
2 **Stomatal and non-stomatal limitations in savanna trees and C₄ grasses**
3 **grown at low, ambient and high atmospheric CO₂**

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10 **Abstract**

11 By the end of the century, atmospheric CO₂ concentration ([CO₂]_a) could reach 800 ppm, having
12 risen from ~200 ppm ~24 Myr ago. Carbon dioxide enters plant leaves through stomata that limit
13 CO₂ diffusion and assimilation, imposing stomatal limitation (L_S). Other factors limiting
14 assimilation are collectively called non-stomatal limitations (L_{NS}). C₄ photosynthesis concentrates
15 CO₂ around Rubisco, typically reducing L_S . C₄-dominated savanna grasslands expanded under low
16 [CO₂]_a and are metastable ecosystems where the response of trees and C₄ grasses to rising [CO₂]_a
17 will determine shifting vegetation patterns. How L_S and L_{NS} differ between savanna trees and C₄
18 grasses under different [CO₂]_a will govern the responses of CO₂ fixation and plant cover to [CO₂]_a –
19 but quantitative comparisons are lacking. We measured assimilation, within soil wetting–drying
20 cycles, of three C₃ trees and three C₄ grasses grown at 200, 400 or 800 ppm [CO₂]_a. Using
21 assimilation–response curves, we resolved L_S and L_{NS} and show that rising [CO₂]_a alleviated L_S ,
22 particularly for the C₃ trees, but L_{NS} was unaffected and remained substantially higher for the
23 grasses across all [CO₂]_a treatments. Because L_{NS} incurs higher metabolic costs and recovery
24 compared with L_S , our findings indicate that C₄ grasses will be comparatively disadvantaged as
25 [CO₂]_a rises.

26 **Keywords**

27 Photosynthesis, elevated CO₂, global change, Poaceae, acacia, *Vachellia*, *Celtis*, *Combretum*, non-
28 stomatal limitations, sub-ambient CO₂.

29 **Short title**

30 Photosynthetic limitations and acclimation of savanna plants at low-to-high [CO₂]_a

31 Introduction

32 All photosynthetic organisms use the same ancestral C_3 biochemical machinery in which CO_2 is
33 fixed by ribulose 1,5-bisphosphate carboxylase/oxygenase (Rubisco) and the products are processed
34 into sugars by dark reactions. In C_3 plants, CO_2 reaches Rubisco along a CO_2 diffusion gradient
35 from higher atmospheric, to lower chloroplastic concentrations [1]. CO_2 diffuses into leaves
36 through stomata – the same pathway as water vapour out – and plants regulate the rate of gas
37 exchange by adjusting stomatal conductance (g_s) through changes in stomatal density, dimensions
38 and aperture, which regulate evapotranspiration (E) [2]. Stomata therefore limit CO_2 diffusion into
39 leaves and the $[CO_2]$ in sub-stomatal cavities (C_i) [3], and the extent of this limitation is called
40 stomatal limitation (L_S). Stomata respond, not exclusively, to temperature, atmospheric humidity
41 and CO_2 concentration ($[CO_2]_a$), and the amount of water within and supplied to leaves from the
42 soil [4]. Limitations to A caused by other leaf-level constraints are called non-stomatal limitation,
43 L_{NS} , and include intercellular and intracellular CO_2 diffusion, light, metabolic and biochemical
44 constraints (Rubisco capacity, adenosine triphosphate [ATP] availability, ribulose 1,5-bisphosphate
45 [RuBP] synthesis, and leaf nitrogen), source–sink dynamics, and leaf ultrastructure [5, 6].

46 Rubisco can either carboxylate or oxygenate RuBP in competing photosynthetic and
47 photorespiratory reactions. Photorespiration metabolises already fixed carbon, evolving CO_2 and
48 offsetting net CO_2 uptake [7-9], and is largely determined by the ratio of O_2 : CO_2 concentration at
49 the Rubisco catalytic sites [8, 10]. C_4 photosynthesis reduces photorespiration by decreasing
50 O_2 : CO_2 with a CO_2 -concentrating mechanism (CCM) [11]. The C_4 pathway evolved
51 independently ~60 times in >18 families [12, 13], many of which appeared in the Neogene
52 (beginning ~23 Myr ago) after a reduction in $[CO_2]_a$ from ~1000 ppm towards 180 ppm [14, 15].
53 Subsequently, savanna ecosystems expanded at the expense of closed forests under low $[CO_2]_a$ on
54 all continents over the last 10–25 Myr [14] as monsoon-driven seasonal aridity increased [16, 17];
55 and C_4 -dominated grasslands generally expanded from mixed C_3 and C_4 grasslands ~9 Myr ago [14,
56 18, 19]. Chronic disturbance from herbivory and fires, fuelled by productive and flammable C_4
57 grasses, suppress tree recruitment and promote open habitats, meaning savanna vegetation patterns
58 are closely linked to the productivity of C_4 grasslands [20-23]. Changes in disturbance drivers can
59 induce rapid transition between open, C_4 -dominated grasslands with scattered trees, and closed
60 forest [24, 25], and savanna vegetation responses to disturbance are likely to be modified by
61 changing $[CO_2]_a$.

62 Today, savannas experience $[CO_2]_a$ levels that are higher than in any point during their
63 evolutionary history, but the effect of rising $[CO_2]_a$ on savanna vegetation patterns is difficult to
64 predict, in part because potential differences in the relative roles of stomatal and non-stomatal
65 limitations in the photosynthetic responses of C_3 and C_4 plants to $[CO_2]_a$ are not well understood
66 [22, 26-29]. When stomatal factors limit photosynthesis during a drought, for example, A is

67 restored by increasing C_i through stomatal opening upon restoration of soil water availability;
68 consequently, L_S does not impair or reduce metabolic function [6, 30, 31]. Conversely, metabolic
69 constraints imposed by L_{NS} are generally not immediately relieved with increases in soil water and
70 g_s , necessitating metabolic repair and prolonging recovery of A to pre-drought levels [32]. Under
71 mild water limitation – that might be experienced daily or weekly in open, semiarid savannas – L_S is
72 thought to predominate limitations to A in C_4 leaves, with L_{NS} becoming more important as leaf
73 water status continues to decline [6, 33, 34]. However, compared with C_3 , C_4 leaves are more
74 susceptible to L_{NS} [32, 35] and the speed of leaf dehydration may govern the mode of limitation to A
75 [35]. Although the severity of water limitation affects the relative influence of L_S and L_{NS} , few
76 studies have assessed stomatal and metabolic contributions to C_3 and C_4 photosynthetic inhibition
77 under moderate soil drying. Consequently, the extent and proportionality of stomatal and metabolic
78 inhibition of A with moderate reductions in leaf water status are largely unknown for either C_3 or C_4
79 plants. Moreover, absolute declines in g_s with increasing growth $[\text{CO}_2]_a$ are generally larger for C_3
80 than C_4 leaves [10, 36]. If, however, C_4 plants suffer from increased L_{NS} relative to C_3 under
81 moderate fluctuations in water availability this will impinge on their performance even under future
82 rises in $[\text{CO}_2]_a$. Quantifying these processes will be important for predicting shifts in savanna
83 vegetation patterns.

84 Here we aim to resolve how the relative contributions of L_S and L_{NS} respond to $[\text{CO}_2]_a$ and affect
85 CO_2 fixation in C_3 forest and savanna trees and C_4 savanna grasses. We measured photosynthesis
86 in three tree species (*Vachellia karroo*, *Celtis africana* and *Combretum apiculatum*) and three C_4
87 grass species (*Eragrostis curvula*, *Heteropogon contortus* and *Themeda triandra*) grown at either
88 low (200 ppm), ambient (400 ppm) or elevated (800 ppm) $[\text{CO}_2]_a$. We grew the plants in replicated
89 controlled-environment growth chambers and measured photosynthetic potential over typical
90 wetting–drying cycles by watering plants to 80% of pot capacity and allowing soil moisture to
91 decline over 2–3 days during which measurements were taken. We characterised photosynthetic
92 potential with A –response measurements to parameterise empirical models for direct comparison
93 between the trees and grasses, quantify L_S and L_{NS} , and assess differences in the $[\text{CO}_2]_a$ -acclimation
94 responses of the trees and grasses.

95 **Materials and Methods**

96 *Plants and growth conditions*

97 Seeds of *Vachellia karroo* (Hayne) (formerly *Acacia karroo*) were obtained from the Desert
98 Legume Program, (Tucson, AZ, US), and both *Combretum apiculatum* (Sond.) and *Celtis africana*
99 (N.L.Burm.) from Silverhill Seeds (Cape Town, ZA). *V. karroo* is a leguminous tree typical of
100 open savannas, *Combretum* spp. are common in miombo closed savanna woodland, and *C. africana*
101 is a forest tree. Germinated seeds were randomly distributed between six controlled-environment

102 growth chambers (Conviron BDR16, Conviron, Manitoba, CA) and grown for 18 months prior to
103 measurements. C_4 grass seeds of *Eragrostis curvula* ([Schrad.] Nees) (accession number PI-
104 155434), *Heteropogon contortus* ([L.] P.Beauv. ex Roem. & Schult.) (PI-228888) and *Themeda*
105 *triandra* (Forssk.) (PI-208024) were obtained from the Germplasm Resources Information Network
106 (GRIN, Agricultural Research Service, USDA, Washington D. C., US). These grasses span a range
107 of adaptations to fire and drought and are broadly representative of open African savannas. Once
108 established, a plant from each grass species was randomly selected, split into individuals at the
109 rhizome, distributed between the growth chambers, and grown for 12 months prior to
110 measurements. For clarity we refer to the plants by genus from here on.

111 Plants were grown in 2.5 dm³ pots ($n = 4-10$) filled with three-parts commercial loam-free top
112 soil (Boughton Ltd. Kettering, GB) plus one-part John Innes No.3 compost (John Innes
113 Manufacturers Association, Reading, GB). Growth chambers (two per [CO₂]_a treatment) were
114 maintained at three [CO₂]_a levels of 200, 400, or 800 ppm and otherwise constant conditions of
115 26 : 17 °C and 70 : 50 % relative humidity (day : night). A 12-hr photoperiod with a midday peak
116 photosynthetic photon flux density (*PPFD*) of 800 $\mu\text{mol m}^{-2} \text{s}^{-1}$ was imposed at canopy level.
117 Light was provided from a 3:1 mix of 39-W white-fluorescent tubes (Master TL5, Philips,
118 Eindhoven, NL) and 39-W red-blue fluorescent tubes (Grolux T5, Havells-Sylvania, Newhaven,
119 GB), augmented with six 105-W halogen light bulbs (GLS, Havells-Sylvania). Plants were rotated
120 weekly within, and monthly between, cabinets along with environmental settings to minimise block
121 effects. From the outset, plants were watered to gravimetrically determined 80 % pot capacity three
122 times per week after 24–32 photoperiod hours since last watering and all pots were provided with
123 150 ml of 3:1:2 N:P:K soluble nutrient mix (Miracle-Gro[®] All Purpose Plant Feed, Scotts Miracle-
124 Gro, Marysville, OH, US) diluted to (5g nutrient mix l⁻¹ water) every two or three weeks as part of
125 the watering volume.

126 *Leaf gas exchange and water potential*

127 Instantaneous mid-afternoon leaf gas exchange was measured three times over six weeks on all
128 plants using an infrared gas analyser, IRGA (LI6400XT, LI-COR Biosciences, Lincoln, NE, US)
129 fitted with a 6 cm² cuvette and a red-blue LED light source (6400-02B, LI-COR Biosciences) under
130 operational environmental conditions (denoted by subscript ‘_{op}’) within the growth chambers after
131 ~12 photoperiod hours since watering on young, fully expanded leaves. Two to four grasses blades
132 were carefully aligned side by side and held together with insulation tape, avoiding any overlapping
133 between blades, and clamped between the gaskets such that the area of the gas exchange cuvette
134 was filled entirely. Where tree leaves did not fill the cuvette we made leaf area measurements using
135 scaled, digital images of each leaf, taken while still attached to the plant using a bespoke leaf clamp
136 and camera stand. Leaf area was calculated using ImageJ software (NIH, Bethesda, MA, US) and
137 was used to correct gas exchange data at the time of measurement.

138 To minimise environmental perturbations and the time for leaf gas exchange to stabilise, the
139 cuvette and integrated gas analyser was placed inside the growth chambers, which were opened
140 briefly to switch plants between measurements, while air was supplied from within the closed
141 chambers to the IRGA console outside using plastic tubing and CO₂ was supplied from cartridges
142 (Liss–Group, Répcelak, HU). We set reference air [CO₂] (C_a , 200, 400 or 800 $\mu\text{mol mol}^{-1}$), block
143 temperature (26°C) and light intensity (500 $\mu\text{mol m}^{-2} \text{s}^{-1}$) in the cuvette to correspond to those of the
144 growth chambers at the time of measurement (mid-afternoon), set a flow rate of 235 $\mu\text{mol s}^{-1}$ and
145 took a 10-s average reading after readings had stabilised. Pilot studies indicated that this regime,
146 particularly *PPFD* of the growth and measuring environment, ensured optimal growth for both trees
147 and grasses and captured responses between fully lit and shaded leaves. During operational leaf gas
148 exchange measurements, we sampled an adjacent, young, fully expanded leaf from each plant and
149 immediately determined midday leaf water potential (Ψ_{leaf}) using a Scholander pressure chamber
150 (PMS Instrument Company, Model 1000, Albany, OR, US). Simultaneous leaf sampling ensured
151 we had an indicator of leaf water status at the time of leaf gas exchange measurement.

152 To derive photosynthetic model parameters (see *A–response curve analysis and photosynthetic*
153 *parameters*), responses of net leaf *A* to C_i and *PPFD* (*A–C_i* and *A–PPFD* response curves) were
154 measured after watering on a subsample of three to six randomly selected plants per
155 species \times [CO₂]_a treatment using the same (trees) or similar (grasses) leaves to those used for
156 operational gas exchange measurements. *A*–response curves were measured at the bench using the
157 same IRGA as before, supplied with humidified ambient air adjusted to 60–70 % relative humidity
158 and CO₂ from cartridges. Block temperature was 26 °C and flow rate was 235 $\mu\text{mol s}^{-1}$ for both
159 operational and *A*–response measurements and the leaf-to-boundary layer water mole fraction
160 gradient within the cuvette (D_s) was $< 20 \text{ mmol mol}^{-1}$ during gas exchange measurements. This
161 corresponds to an atmospheric vapour pressure deficit of $< 2 \text{ kPa}$, which is unlikely to have induced
162 significant stomatal limitation of assimilation. For *A–PPFD* curves, reference CO₂ was 200, 400 or
163 800 $\mu\text{mol mol}^{-1}$ according to experimental growth [CO₂]_a treatment, and for *A–C_i* curves *PPFD* was
164 1500 $\mu\text{mol m}^{-2} \text{s}^{-1}$. Leaves were acclimated for 30–60 min to reach full photosynthetic induction
165 before automated *A*–response measurement routines were launched. The sample and reference
166 IRGAs were matched before each measurement, mass flow leaks were sealed with water based
167 putty, primary data were corrected for CO₂ diffusion, and C_i was recalculated after Bellasio *et al.*
168 [37, 38].

169 *A–response curve analysis and photosynthetic parameters*

170 Comprehensive sets of fitted enzyme- and light-limited photosynthetic parameters (Tables 1 and 3)
171 were derived from *A*–response curves within the framework of Bellasio, Beerling and Griffiths [37]
172 and [38]. The dependence of gross assimilation (*GA*) on *PPFD* was modelled empirically as a non-

173 rectangular hyperbola parametrised using means from the species \times $[\text{CO}_2]_a$ treatment level after
 174 Prioul and Chartier [39] as described in Bellasio, Beerling and Griffiths [37]:

$$GA = \frac{Y(\text{CO}_2)_{\text{LL}} \text{PPFD} + GA_{\text{SAT}} - \sqrt{(Y(\text{CO}_2)_{\text{LL}} \text{PPFD} + GA_{\text{SAT}})^2 - 4mY(\text{CO}_2)_{\text{LL}} \text{PPFD} GA_{\text{SAT}}}}{2m} \quad 1$$

175 GA_{SAT} defines the horizontal asymptote and represents the light-saturated rate of GA under the
 176 $[\text{CO}_2]$ of the measurements. $Y(\text{CO}_2)_{\text{LL}}$ describes the maximal quantum yield for CO_2 fixation, that
 177 is the conversion efficiency of PPFD into fixed CO_2 under the $[\text{CO}_2]$ of the measurements, and
 178 represents the inclined asymptote. m is an empirical factor ($0 \leq m \leq 1$) defining curvature. These
 179 parameters were estimated together with respiration in the light ($R_{\text{LIGHT}} = GA - A$) in a single step by
 180 fitting Eqn 1 to $A - \text{PPFD}$ curves using the fitting tool of ref. [37], note that this method does not
 181 require fluorescence data and was described in the video tutorial ‘additional features’
 182 [<http://youtu.be/fEZkujIfesc>].

183 The relationship between A and C_i was modelled empirically as a non-rectangular hyperbola,
 184 analogous to Eqn 1, parametrised using treatment means at the species \times $[\text{CO}_2]_a$ treatment level,
 185 describing potential assimilation (A_{pot}) for a given C_i under optimal conditions after Bellasio,
 186 Beerling and Griffiths [37] as:

$$A_{\text{pot}} = \frac{CE(C_i - \Gamma) + A_{\text{SAT}} - \sqrt{(CE(C_i - \Gamma) + A_{\text{SAT}})^2 - (4\omega A_{\text{SAT}} CE(C_i - \Gamma))}}{2\omega} \quad 2$$

187 where A_{SAT} represents the CO_2 -saturated rate of A under the PPFD of the measurements and defines
 188 the horizontal asymptote. CE is maximal carboxylating efficiency for CO_2 fixation (CE), and
 189 defines the inclined asymptote. ω is an empirical factor ($0 \leq \omega \leq 1$) defining curvature.

190 *Stomatal and non-stomatal limitation to A*

191 The limitation to A imposed by stomata (stomatal limitation, L_S) was determined analogously to
 192 Farquhar and Sharkey [40] using Eqn 2 for each species \times $[\text{CO}_2]_a$ treatment (Table 1) and was
 193 calculated after [37, 38] as:

$$L_S = \frac{A_{\text{potCa}} - A_{\text{potCiop}}}{A_{\text{potCa}}} \quad 3$$

194 and non-stomatal limitation (L_{NS}), defining limitations to A not related to physical stomatal density,
 195 dimensions or aperture, was calculated after Bjorkman, Downton and Mooney [41] as:

$$L_{\text{NS}} = \frac{A_{\text{potCiop}} - A_{\text{op}}}{A_{\text{potCa}}} \quad 4$$

196 where A_{potCa} is the A that would occur, as predicted by the $A - C_i$ curve, if there was no epidermal
 197 impediment to CO_2 diffusion into the leaf such that C_i was equal to ambient $[\text{CO}_2]$ at the leaf
 198 surface (C_a) (Figure 1). A_{potCiop} is the A that would occur, as predicted by the $A - C_i$ curve, when C_i

199 equals C_{iop} (C_i under operational growth conditions, Figure 1) [42]. A_{potCa} and $A_{potCiop}$ were
200 calculated from Eqn 2 by solving for $C_i=C_a$ and $C_i=C_{iop}$.

201 *Statistical analysis*

202 The effects of species (nested within photosynthetic type), $[CO_2]_a$, and their interaction on
203 operational gas exchange measurements, D_S in the leaf chamber, L_S , L_{NS} , Ψ_{leaf} and fitted
204 photosynthetic parameters (Table 1) were tested with two-way ANOVA using a general linear
205 model (GLM) framework following appropriate transformation to satisfy assumptions of
206 homogeneity of variance (details of data transformation are listed in Tables 2 and 3). Specific
207 differences between means of L_S and L_{NS} were tested with *post-hoc* Tukey pairwise comparisons.
208 The level of biological replication was $n = 4-10$ (as indicated) for operational gas exchange data,
209 L_S , L_{NS} and Ψ_{leaf} (in which biological replicates are the mean of triplicate technical replicates) and
210 $n = 3-6$ (as indicated) for photosynthetic parameters derived from A -response curves. All ANOVA
211 models were fitted and analysed in Minitab v.17 (Minitab Inc., State College, PA, US) with a
212 significance threshold of 95 %.

213 **Results**

214 *Gas exchange under operational conditions*

215 Measurements of gas exchange under operational conditions (' op ') (Figure 2) were carried out in the
216 middle of the drying cycle after 24–28 hours since watering to 80% pot capacity. Increased growth
217 $[CO_2]_a$ stimulated leaf assimilation (A_{op}) in both the trees and the C_4 grasses except *Eragrostis*, but
218 there were notable species differences within photosynthetic type (Figure 2A; Table 2). The
219 reduction in growth $[CO_2]_a$ from 400 ppm to 200 ppm led to a decline in A_{op} of 45% on average
220 across the three tree species (*Vachellia* –38%, *Celtis* –60% and *Combretum* –37%). With the rise
221 in growth $[CO_2]_a$ from 400 ppm to 800 ppm, A_{op} for the trees increased by 77% on average
222 (*Vachellia* +60%, *Celtis* +63% and *Combretum* +109%). The CO_2 -fertilisation effect on A_{op} for the
223 trees was stronger with the increase in growth $[CO_2]_a$ from 200 ppm to 400 ppm than from 400 ppm
224 to 800 ppm (Figure 2A; Table 2).

225 For the grasses, A_{op} declined by an average of –30% with the decline in growth $[CO_2]_a$ from 400
226 ppm to 200 ppm, but variation between species was high, with an increase in A_{op} of 8% for
227 *Eragrostis* being offset by decreases of –48% and –49% for *Heteropogon* and *Themeda*,
228 respectively (Figure 2A). Differences in the responses of the grass species to growth $[CO_2]_a$ were
229 maintained with the increase from 400 ppm to 800 ppm. *Eragrostis* A_{op} was least responsive to the
230 increase in $[CO_2]_a$ (+1%), whereas A_{op} for *Heteropogon* (+28%) and *Themeda* (+106%) was much
231 more responsive. Assimilation in *Eragrostis* leaves was offset by relatively high rates of daylight
232 respiration (R_{LIGHT}), particularly at higher growth $[CO_2]_a$ (Table 1).

233 For all species except *Celtis*, stomatal conductance (g_s) and leaf-level evapotranspiration (E_{op})
234 increased as growth $[\text{CO}_2]_a$ declined from 400 ppm to 200 ppm (Figure 2B–C; Table 2; +25% g_{Sop}
235 and +28% E_{op} on average for the trees and +61% g_{Sop} and +78% E_{op} on average for the C_4 grasses).
236 In contrast, g_{Sop} and E_{op} were less responsive to the increase in growth $[\text{CO}_2]_a$ from 400 ppm to 800
237 ppm (Figure 2B–C; Table 2; –5% g_{Sop} and –16% E_{op} on average for the trees and –18% g_{Sop} and
238 –25% E_{op} on average for the C_4 grasses).

239 The mean (Figure 2D) and range (Figure 3) of C_{iop} increased progressively with growth $[\text{CO}_2]_a$
240 for both the trees and the grasses (Table 2). The increase in C_{iop} with growth $[\text{CO}_2]_a$ was generally
241 linear for all species except *Heteropogon*, which showed no apparent change in C_i with the increase
242 in $[\text{CO}_2]_a$ from 200 ppm to 400 ppm (Figure 2D). At 200 ppm $[\text{CO}_2]_a$, C_i clustered around low
243 values but the range of values became increasingly spread at higher growth $[\text{CO}_2]_a$ in a manner that
244 was independent of photosynthetic type.

245 With the exception of *Eragrostis*, the leaf-to-boundary layer water vapour mole fraction (D_s)
246 within the leaf chamber during gas exchange measurements generally declined with increasing
247 growth $[\text{CO}_2]_a$ (Figure 2E; Table 2). Declines were steeper between 200 ppm and 400 ppm than
248 400 ppm and 800 ppm, reflecting the trend in g_{Sop} and E_{op} , but D_s for *Eragrostis* was apparently
249 independent of g_s (Figure 2B–C). Day time leaf water potential (Ψ_{leaf}) generally increased non-
250 linearly with growth $[\text{CO}_2]_a$, with steeper responses for all species except *Vachellia* and C_4
251 *Heteropogon* between 200 ppm and 400 ppm $[\text{CO}_2]_a$ than between 400 ppm and 800 ppm (Figure
252 2F; Table 2). Under each growth $[\text{CO}_2]_a$, Ψ_{leaf} varied more between tree species than C_4 grasses.
253 The savanna tree, *Vachellia* operated at the lowest Ψ_{leaf} (most negative) across all $[\text{CO}_2]_a$ levels,
254 reflecting its relatively high rates of g_s , E_{op} and A_{op} (Figure 2A–C).

255 *A*–response curves

256 The *A*–response curves used to determine A_{pot} were measured at 80% of pot capacity. The light
257 curves revealed that at high *PPFD*, *A* increased progressively with increasing growth $[\text{CO}_2]_a$ for all
258 the tree species, but the trend was most pronounced for *Vachellia* (Figure 4). Amongst the C_4
259 grasses, *Heteropogon* and *Themeda* showed a similar trend as the trees in which *A* at high *PPFD*
260 increased with growth $[\text{CO}_2]_a$, but *Eragrostis* displayed high *A* across all growth $[\text{CO}_2]_a$ levels. At
261 200 ppm $[\text{CO}_2]_a$ under high *PPFD*, *Eragrostis* had at least 4-fold higher *A* compared with all other
262 species, but C_4 *Heteropogon* and *Themeda* attained similar rates to *Vachellia* – almost double those
263 of *Celtis* and *Combretum* (Figure 4; GA_{SAT} in Table 1). The *A*– C_i response curves were consistently
264 steeper for the grasses than trees, especially those grown at 200 ppm $[\text{CO}_2]_a$, but CO_2 -saturated rates
265 of *A* showed greater differences between species than between C_3 trees and C_4 grasses across all
266 $[\text{CO}_2]_a$ (Figure 5).

267 *Potential rates of assimilation determined from photosynthetic parameters*

268 The A – $PPFD$ and A – C_i response curves were used to derive a suite of photosynthetic parameters
269 (Table 1). The CO_2 -saturated rate of assimilation (A_{SAT}) was not affected by growth $[CO_2]_a$, but
270 was consistently ~39% higher for the trees than grasses across growth $[CO_2]_a$ (Table 1 and 2). The
271 initial slope of the A – C_i curves, called carboxylation efficiency (CE), was generally 2–3-fold higher
272 for the C_4 grasses than trees, and declined with increasing growth $[CO_2]_a$ across all species except
273 *Celtis* (Tables 1 and 3). CE decreased by 34% in *Eragrostis*, 79% in *Heteropogon* and 26% in
274 *Themeda*, compared with a marginal decrease of 18% in *Vachellia* and 56% decrease in
275 *Combretum*, while *Celtis* showed no downregulation of CE (Table 1). Accordingly, the CO_2
276 compensation point (Γ , the C_i at which A is zero) was ~94% higher for trees across $[CO_2]_a$, and
277 overall increased with growth $[CO_2]_a$ (Table 1 and 2).

278 The light-saturated rate of gross assimilation (GA_{SAT}) increased with growth $[CO_2]_a$ for all
279 species except *Eragrostis*, which maintained consistently high GA_{SAT} across $[CO_2]_a$; but GA_{SAT} was
280 generally less responsive to growth $[CO_2]_a$ for the grasses than trees. For the C_4 grasses, GA_{SAT} was
281 60% higher compared with the trees at 200 ppm $[CO_2]_a$, 19% higher at 400 ppm, but was 25%
282 lower than the trees at 800 ppm $[CO_2]_a$ (Table 1). Quantum yield of CO_2 fixation [$Y(CO_2)_{LL}$ – a
283 measure of light-use efficiency, for comparison with other studies note that here it is expressed on
284 incident light basis] increased sharply with increases in growth $[CO_2]_a$ for the trees, but showed no
285 variation for C_4 *Eragrostis* and *Heteropogon*, and a slight increase for *Themeda*. Overall, $Y(CO_2)_{LL}$
286 was 23% lower for trees than grasses at 200 ppm, but this was reversed at 800 ppm $[CO_2]_a$ where
287 $Y(CO_2)_{LL}$ was 36% higher for trees. The light compensation point (LCP – $PPFD$ at which A is
288 zero) was generally unaffected by growth $[CO_2]_a$ for C_4 *Eragrostis* and *Heteropogon*. Daylight
289 mitochondrial respiration (R_{LIGHT}) was 40% higher for grasses than trees at 200 ppm $[CO_2]_a$, 20%
290 higher at 400 ppm (Table 1 and 2), driven by substantial increases in R_{LIGHT} for trees grown at
291 higher $[CO_2]_a$.

292 *Operational and potential rates of assimilation*

293 The values of A_{op} and C_{iop} obtained from within-cabinet measurements (shown as points in Figure
294 3) can be compared with empirically modelled A – C_i curves parameterised with species $\times [CO_2]_a$
295 treatment means (lines in Figure 3). The A – C_i curves were measured on young, fully expanded
296 leaves of well-watered plants, under controlled laboratory conditions, meaning many of the
297 limitations present in the growth cabinets were minimised, and we refer to these conditions as those
298 allowing a ‘potential’ rate of leaf-level assimilation (A_{pot}). The distance between the datapoints and
299 the modelled curves, therefore, indicates the degree to which leaf-level A_{op} was limited by
300 conditions imposed by the growth environment. Comparison of the datapoints with the curves in
301 Figure 3 indicates that plants grown at 200 ppm $[CO_2]_a$ generally assimilated CO_2 closer to their

302 potential rates compared with plants grown at higher $[\text{CO}_2]_a$, and that the C_3 trees tended to operate
303 closer to their potential compared with the grasses. C_4 grasses grown at 800 ppm $[\text{CO}_2]_a$ operated at
304 rates that were on average 38% lower than potential rates. A_{op} for some plants was higher than the
305 modelled $A-C_i$ curves (parameterised with mean values from the sub-sample of plants randomly
306 selected for A -response measurements), indicating those individuals were operating closer to
307 potential rates within the growth chambers. The distance between potential and actual assimilation
308 was quantified and resolved in stomatal and non-stomatal limitations to assimilation.

309 *Quantifying non-stomatal limitations to assimilation*

310 We calculated stomatal (L_S) and non-stomatal limitations (L_{NS}) to assimilation through empirical
311 modelling (Eqn 1), using parameters derived at the species \times $[\text{CO}_2]_a$ level (Table 1). L_S are
312 diffusional limitations imposed by stomatal closure and are mediated by lower values of C_i . Using
313 the $A-C_i$ curve, L_S is the relative difference between the value of A when C_i is equal to C_a and the
314 value of A when C_i is equal to C_{iop} (Eqn 3; Figure 1). L_{NS} include sink limitations, incomplete
315 photosynthetic induction, light limitation, limitation to triose phosphate use (which is unlikely under
316 the growth conditions here), but not down-regulation of photosynthetic potential (V_{CMAX} , V_{PMAX} ,
317 J_{SAT} – see *Discussion*). L_{NS} can be visualised for each datapoint as the relative difference between
318 the value of within-cabinet A_{op} and the value of A when C_i is equal to C_{iop} along the $A-C_i$ curve
319 (Eqn 4; Figure 1).

320 The primary limitation to photosynthesis for the C_4 grasses was L_{NS} across growth $[\text{CO}_2]_a$ levels,
321 whereas the trees experienced proportionally higher L_S , particularly when grown at 200 ppm $[\text{CO}_2]_a$
322 (Figure 6 dotted lines and grey shading; Table 3). For the C_4 grasses, L_{NS} was 56% – 100% higher
323 on average, whereas L_S was 60% – 76% lower on average compared with the trees across $[\text{CO}_2]_a$
324 treatments, with the largest differences observed at 200 ppm $[\text{CO}_2]_a$ (Figure 6; Table 3), in line with
325 previous reports [30-32, 34, 35]. L_S declined significantly as growth $[\text{CO}_2]_a$ increased for both the
326 trees and grasses, whereas L_{NS} responded less to $[\text{CO}_2]_a$; although for the grasses, L_{NS} declined
327 marginally with increases in growth $[\text{CO}_2]_a$ (Figure 6; Table 3). At species level, for *Eragrostis*
328 grown at 200 ppm $[\text{CO}_2]_a$, L_S was 92% higher compared with plants grown at 800 ppm $[\text{CO}_2]_a$ (0.22
329 vs 0.018), but this sensitivity to $[\text{CO}_2]_a$ was not significant for C_4 *Themeda* or *Heteropogon*.

330 For all three C_4 grasses, higher L_{NS} was generally linked with lower L_S , and this pattern was
331 apparently independent of growth $[\text{CO}_2]_a$ for *Heteropogon* and *Themeda* (Figure 6). For *Eragrostis*,
332 however, the relationship was driven more by effects of growth $[\text{CO}_2]_a$, whereby L_S was highest and
333 L_{NS} was lowest for plants grown at 200 ppm $[\text{CO}_2]_a$ (Figure 6a and d). This indicates that for
334 *Eragrostis*, metabolic factors became increasingly limiting as growth $[\text{CO}_2]_a$ increased. This
335 pattern was also observed for *Celtis* trees, whereas for all the remaining tree and grass species, L_{NS}
336 was lowest at 800 ppm $[\text{CO}_2]_a$ (Figure 6). Moreover, L_S for *Eragrostis* was remarkably high at 200
337 ppm $[\text{CO}_2]_a$ compared with the other C_4 grasses, and was similar to that of C_3 *Vachellia* (Figure 6a).

338 Discussion

339 Controlled-environment and field studies have generally shown that elevated $[\text{CO}_2]_a$ stimulates
340 assimilation and growth of C_3 plants [43-45]. Studies have also found that C_4 plant growth can
341 respond positively to elevated $[\text{CO}_2]_a$ under well-watered conditions [46-51]. The growth
342 stimulation of C_4 plants in response to a doubling of ambient $[\text{CO}_2]_a$ (from 350–400 to 700–800
343 ppm) is, on average, about 22–33%, compared with 40–44% for C_3 plants [43, 44, 51, 52]. In C_3
344 plants, stimulated growth is attributed primarily to increases in leaf assimilation potential (A_{pot}).
345 Although this mechanism has also been linked with stimulated growth of C_4 plants [47, 49-51, 53,
346 54], a number of studies have found a growth response in C_4 plants in the absence of enhanced leaf
347 A [46, 55] or have found enhancement of leaf A in the absence of increased growth [49, 50].

348 In C_3 plants, acclimation to elevated $[\text{CO}_2]_a$ can induce down-regulation of the potential for
349 carboxylation (Rubisco and other C_3 cycle enzymes), and is often accompanied by reduction in
350 foliar nitrogen content and accumulation of carbohydrate reserves [56]. In C_4 plants, acclimation
351 may involve down-regulation of PEPC activity [51, 54, 57], but this is not commonly observed. In
352 our study we derived the carboxylating efficiency (CE), which is empirically based and allows
353 comparison of both C_3 and C_4 enzymatic capacity without requiring assumptions of the
354 underpinning biochemistry (for details see [37, 38]). Unexpectedly, and in contrast with previous
355 reports [for review, Ghannoum, Caemmerer, Ziska and Conroy [58]], the pattern of down-
356 regulation presented here was more pronounced in the C_4 grasses than the C_3 trees. The maximal
357 rates of A observed for some of the species, notably *Heteropogon* and *Themeda*, were lower than
358 expected (e.g. [32, 59, 60]). This may be partly due to differences between the environmental
359 conditions in the growth chambers and those experienced by C_4 grasses in the field – but *Eragrostis*
360 attained reasonably high rates of A under the same conditions – and partly by the absence of
361 disturbance in our experiment. Observations indicate that *Themeda* all but disappears if disturbance
362 is prevented, but dominates where disturbance is frequent [61, 62]. Burning, in particular, is an
363 important factor in *Themeda* and *Heteropogon* growth and ecosystem dominance, and may
364 stimulate higher productivity and photosynthetic rates. Interestingly, *Themeda* displayed traits that
365 are not usual for a C_4 grass and this is supported by previous studies where *Themeda* was found to
366 switch to an unexpected C_3 -like behaviour when nitrogen supply was changed from nitrate to
367 ammonium [63].

368 To allow higher rates of A_{op} at high $[\text{CO}_2]_a$, despite enzymatic down-regulation of carboxylating
369 capacity, the biochemical machinery must be exploited more efficiently. In part, because it is the
370 reaction substrate, high $[\text{CO}_2]_a$ allows Rubisco and PEPC to operate closer to CO_2 saturation, and,
371 consequently, at a higher velocity. Indeed, here the composition of the photosynthetic machinery
372 was shifted away from carboxylating capacity towards greater electron transport capacity. This was
373 indicated by an increase in the empirical parameter, GA_{SAT} , which was consistently up-regulated to

374 a varying degree in all plants at higher $[\text{CO}_2]_a$, with trees showing stronger acclimation to growth
375 $[\text{CO}_2]_a$ than the grasses (Table 1). A larger increase in electron transport capacity for the trees with
376 increases in growth $[\text{CO}_2]_a$ was substantiated by increases in $Y(\text{CO}_2)_{\text{LL}}$ at higher growth $[\text{CO}_2]_a$,
377 which were not observed for the grasses (Table 1).

378 Over the 2–3–day watering–drying cycle, despite a relatively moderate reduction in soil water,
379 L_{NS} imposed a clear effect on C_4 CO_2 fixation, causing sufficient metabolic inhibition in the C_4
380 grasses to reduce A by ~40% compared with 20–30% for the trees, highlighting the sensitivity of C_4
381 photosynthesis to soil drying and reductions in leaf water status [64–66] (Figure 6). Non-stomatal
382 limitation normally includes source-sink feedbacks, reduced substrate supply to carboxylases,
383 limitations imposed by the diffusion of metabolites between M and BS cells, light limitation, CO_2
384 leakiness [67], and downregulation of photosynthetic potential. Here, the latter does not factor in
385 the estimation of L_{NS} because $A-C_i$ curves were purposely measured on the same or similar leaves
386 to those on which A_{op} was measured. Our findings indicate that C_4 grasses could experience
387 metabolic impairment of their photosynthetic machinery even with mild reductions in soil water
388 availability that may be experienced over seasonal or even shorter timescales.

389 Transient decreases in leaf water status could form a central driver of L_{NS} , which may arise as a
390 result of either soil or atmospheric water deficit. Under conditions of high midday radiation and
391 temperature, leaves may experience substantial evaporative demands that induce transient decreases
392 in leaf water status with adverse effects on A . However, we recognise that it is not straightforward
393 to extrapolate from operating performance in pot experiments under intermediate PPFD to impacts
394 of high radiation in a natural field setting where roots may extend to deeper water resources.
395 Nevertheless, the C_4 photosynthetic pathway is more demanding both anatomically and
396 biochemically than the C_3 pathway because it places metabolic demand on both the M and the BS,
397 and requires continuous rapid exchange of metabolites between the two [68–70]. When leaf water
398 status falls below a threshold, C_4 photosynthesis becomes quickly inhibited – a phenomenon that is
399 captured experimentally by increasing L_{NS} . Comparative studies of closely related C_3 and C_4
400 grasses suggest that C_4 species experience greater L_{NS} during drought compared with C_3 species,
401 which experience proportionally higher L_S [32, 66]. Ripley, Frole and Gilbert [32] found that L_{NS}
402 accounted for 50% of the decline in A with declining soil moisture for C_4 grass species, compared
403 with 25% for closely related C_3 species, and the predominance of L_{NS} over L_S prolonged the
404 recovery of C_4 A following subsequent increases in soil moisture. This indicates that photosynthetic
405 rates recover more quickly when inhibited by stomatal compared with metabolic factors.

406 The reduction in A associated with moderate drying in our study was not sufficient to curtail the
407 photosynthetic advantage of the C_4 grasses over C_3 trees under operational conditions at low $[\text{CO}_2]_a$,
408 particularly for *Eragrostis*. But the C_4 photosynthetic advantage over C_3 trees diminished at higher
409 growth $[\text{CO}_2]_a$. This allowed the trees and *Celtis*, in particular to attain high rates of A_{op} that were
410 generally higher than for the C_4 grasses at 800 ppm $[\text{CO}_2]_a$ (Figure 3). Under more severe soil

411 drying or cooler conditions, when C₄ grasses may be comparatively more susceptible than C₃
412 grasses [64], these effects could become more acute. There is evidence that C₄ grasses experience
413 L_{NS} under mild drought [33], and that the speed of leaf dehydration governs the mode of limitation
414 to A, whereby slower dehydration induces L_S and rapid dehydration is more likely to induce
415 metabolic inhibition [35]. Combined with Saccardy *et al.* [35], our findings indicate that chronic
416 L_{NS}, experienced during moderate drying, and potentially compounded during more severe drought
417 or winter frost, could impair C₄ metabolic function and impart long-term metabolic damage, thereby
418 offsetting the assimilatory advantage of C₄ grasses under optimal conditions. This represents an
419 overlooked factor in competitive interactions between trees and C₄ grasses and possibly between C₃
420 and C₄ grasses under changing [CO₂]_a, necessitating further studies into the hydraulic responses of
421 C₃ and C₄ plants to soil drying.

422 As our findings indicate, rising [CO₂]_a over coming decades is more likely to alleviate L_S of C₃
423 leaves than C₄, whilst L_{NS} of both C₃ and C₄ leaves is likely to remain unaffected (Figure 6; Table
424 3). Savanna ecosystems are likely to be particularly sensitive to differential effects of rising [CO₂]_a
425 on L_S and L_{NS} for C₃ trees and C₄ grasses, which will affect CO₂ fixation and modify tree–grass
426 interactions and vegetation responses to changing [CO₂]_a. Combined with comparatively low L_{NS}
427 for the forest and woodland trees *Celtis* and *Combretum*, this indicates that C₃ trees will become
428 more competitive under rising [CO₂]_a and may expand into open habitats, as supported by
429 theoretical analyses [71]. In a savanna context, greater competitiveness of forest and woodland
430 species under rising [CO₂]_a could be critical, because, if the advantage in leaf-level CO₂ fixation
431 promotes growth and canopy expansion, C₄ grasses may become overshadowed leading to lower
432 grassy abundance and increasing woody encroachment.

433 **Conclusion**

434 Under a physiological watering–drying cycle, the assimilation of C₄ grasses was disproportionately
435 limited by metabolic factors that were not alleviated by increasing [CO₂]_a of the growth
436 environment. In fact, for the C₄ grass with the highest rates of assimilation (*Eragrostis*), non-
437 stomatal limitations to assimilation increased at higher growth [CO₂]_a. A fraction of the non-
438 stomatal limitation in the C₄ grasses was likely caused by transient decreases in leaf water status
439 and linked by transpiration to stomatal limitation, although the mechanistic underpinnings remain
440 unresolved. In contrast, the inhibition of assimilation in C₃ forest trees due to stomatal factors
441 decreased substantially with increasing [CO₂]_a and this was accompanied by substantial increases in
442 photosynthetic rates. Our findings indicate that with rising [CO₂]_a, limitations to photosynthesis
443 will be alleviated more for C₃ trees and grasses than C₄ grasses, which will reduce the
444 competitiveness of C₄ grasses to impinge on savanna vegetation patterns. Leaf-level processes
445 driving L_S and L_{NS} and their responses to [CO₂]_a are not currently incorporated in mechanistic
446 predictions of savanna vegetation change under future climate scenarios [72]. We suggest that leaf

447 level inhibition of assimilation should be more widely considered in predictions of vegetation
448 responses to environmental change [73]. Resolving the physiological underpinnings of L_{NS} and
449 their relative contribution to photosynthetic inhibition is a pressing need.

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454 **Author contributions**

455 CB, JQ and DJB designed the research. CB and JQ performed the measurements. CB and JQ
456 analysed the data. JQ and CB wrote the paper with contributions from DJB.

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Figures

Figure 1. Schematic representation of the derivation of entities used in the calculation of stomatal (L_S) and non-stomatal (L_{NS}) limitations to assimilation for plants grown at 200 ppm or 800 ppm $[\text{CO}_2]_a$. Modelled $A-C_i$ curves showing the response of A_{pot} to C_i for **A** *Vachellia karroo* and **B** C_4 *Eragrostis curvula* grown at either 200 ppm (dashed) or 800 ppm (solid) $[\text{CO}_2]_a$, for illustrative purposes only. Square symbols denote mean A_{op} and C_i (\pm S.D.) measured under operational conditions. Note how for *E. curvula* (**B**) squares plot at a consistent rate of $\sim 10\text{--}11 \mu\text{mol m}^{-2} \text{s}^{-1}$ for plants grown at both 200 ppm (open symbols) and 800 ppm (filled symbols) $[\text{CO}_2]_a$, whereas $C_{i\text{op}}$ increases with growth $[\text{CO}_2]_a$ to become situated beneath the CO_2 -saturated part of the $A-C_i$ curve. Leftward-pointing arrows indicate the effect of L_S in the inhibition of A through lowering of C_i relative to C_a . If the decline in C_i is beneath the flatter parts of the $A-C_i$ curve, as it is for plants grown at 800 ppm $[\text{CO}_2]_a$, then the reduction in A is minimal (dark grey shading) and L_S is small (Eqn 3). For plants grown at 200 ppm $[\text{CO}_2]_a$, the decline in C_i relative to C_a , although smaller than at higher $[\text{CO}_2]_a$, occurs beneath the steeper, transitional part of the $A-C_i$ curve and the differential between $A_{\text{pot}}-C_a$ and $A_{\text{pot}}-C_{i\text{op}}$ is larger than at higher growth $[\text{CO}_2]_a$. This is reflected in higher L_S . The differential between A_{op} and $A_{\text{pot}}-C_{i\text{op}}$, highlighted by the light grey shading, largely determines L_{NS} (Eqn 4).

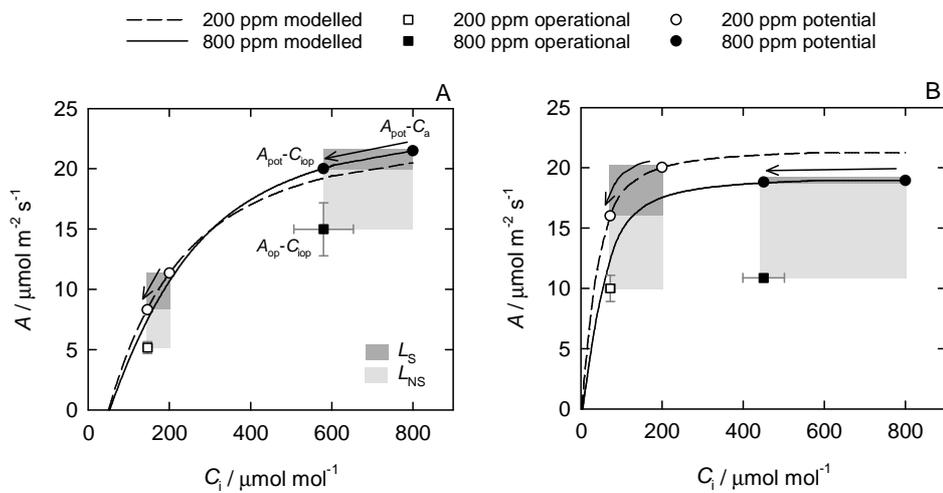


Figure 2. Operational gas exchange measured under growth chamber environmental conditions. Mean \pm S.E. operational (A) CO_2 assimilation, A_{op} / $\mu\text{mol CO}_2$ per unit area leaf per second; (B) stomatal conductance, g_{Sop} / mol H_2O per unit area leaf per second; (C) leaf evapotranspiration, E_{op} / mmol H_2O per unit area leaf per second; (D) $[\text{CO}_2]$ in the sub-stomatal cavity, C_{iop} / $\mu\text{mol CO}_2$ per mol air; (E) leaf-to-boundary layer water mole fraction gradient, D_s / mmol H_2O per mol air; and (F) leaf water potential at midday, Ψ_{leaf} / MPa for three C_3 trees and three C_4 grasses grown at either 200 ppm, 400 ppm or 800 ppm $[\text{CO}_2]_{\text{a}}$. Note that symbols have been consistently offset from the true x-axis value and connecting lines were introduced for clarity. C_3 trees are *Vachellia karroo* ($n = 8$), *Celtis africana* ($n = 4$ -10) and *Combretum apiculatum* ($n = 4$); and C_4 grasses are *Eragrostis curvula* ($n = 8$), *Heteropogon contortus* ($n = 6$) and *Themeda triandra* ($n = 4$). Results of two-way ANOVA testing for effects of species (nested within photosynthetic type), $[\text{CO}_2]_{\text{a}}$ and their interaction on each measure are given in Table 2.

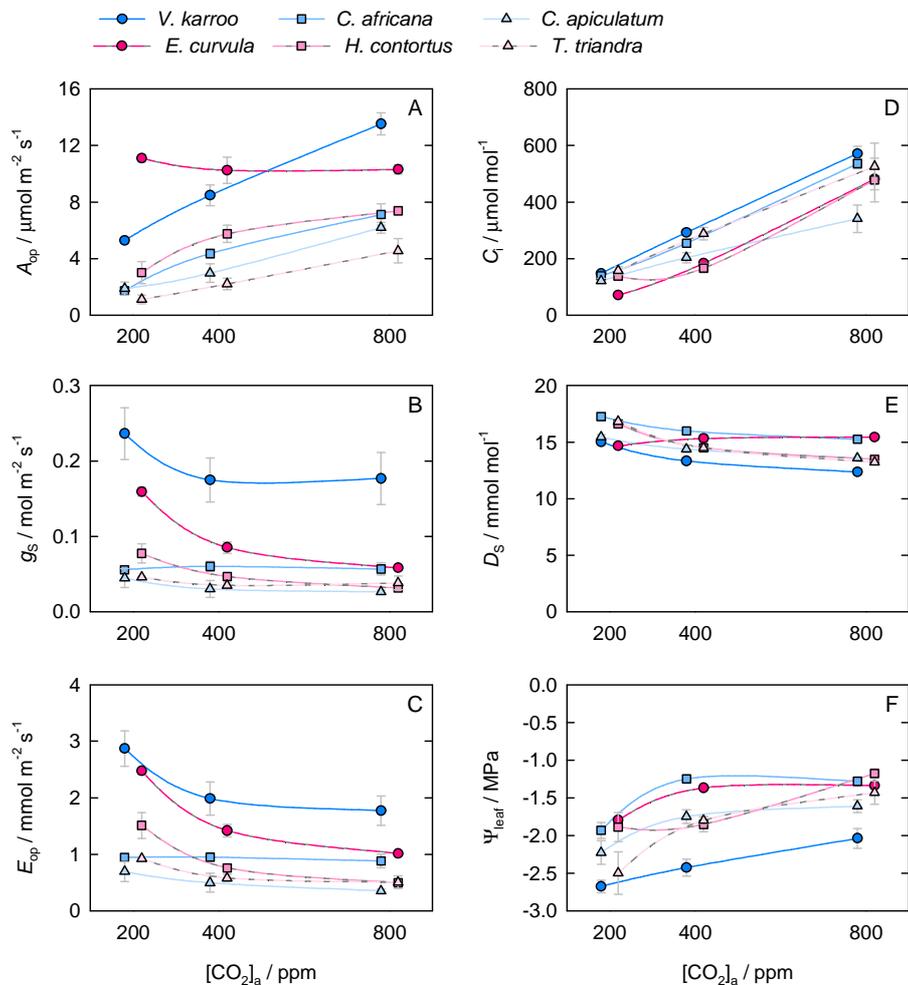


Figure 3. Dependence of assimilation on C_i – observations and simulations from empirical photosynthetic models. Curves show modelled assimilation using empirical models of photosynthesis calculated for each species at variable C_i . C_3 trees (**A**) are *Vachellia karroo* ($n = 8$), *Celtis africana* ($n = 9-10$), and *Combretum apiculatum* ($n = 4$); and C_4 grasses (**B**) are *Eragrostis curvula* ($n = 8$), *Heteropogon contortus* ($n = 6$) and *Themeda triandra* ($n = 4$). Model parameters are listed in Table S1. Symbols show in-cabinet gas exchange measurements under operational growing conditions for C_3 trees (**A**) and C_4 grasses (**B**) grown at 200 ppm (left), 400 ppm (centre), or 800 ppm (right) $[\text{CO}_2]_a$.

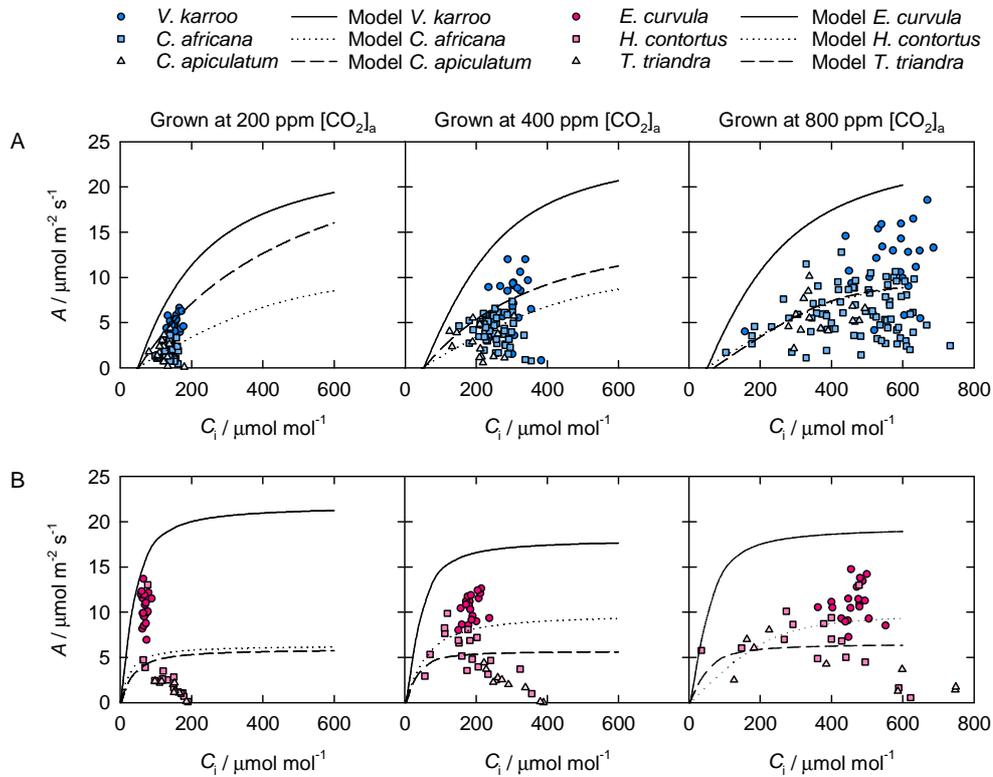


Figure 4. Primary data obtained from gas exchange: A-PPFD curves. Response of mean \pm 1 S.E. photosynthetic CO₂ assimilation (*A*) to increasing photosynthetic photon flux density (*PPFD*) (*A-PPFD* curves) measured on leaves of (**A**) C₃ trees *Vachellia karroo*, *Celtis africana* and *Combretum apiculatum* and (**B**) C₄ grasses *Eragrostis curvula*, *Heteropogon contortus* and *Themeda triandra* (grown at 200 ppm (left), 400 ppm (centre), or 800 ppm (right) [CO₂]_a (*n* = 3–6 plants per species \times [CO₂]_a treatment)).

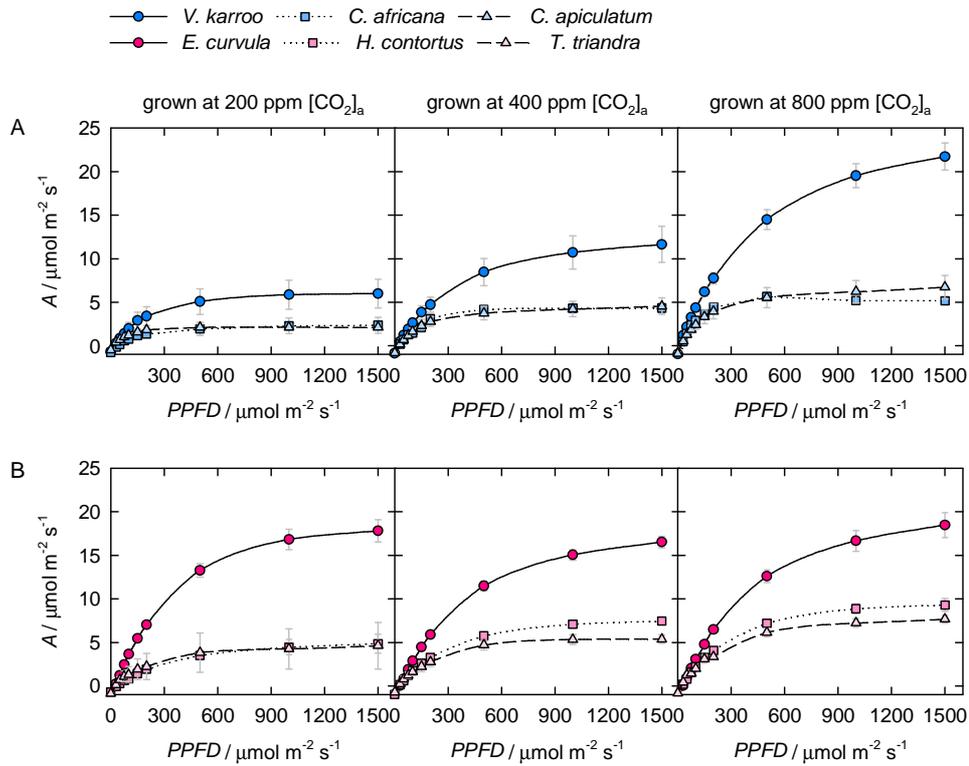


Figure 5. Primary data obtained from gas exchange A–C_i curves. Response of mean ± 1 S.E. photosynthetic CO₂ assimilation (A) to [CO₂] in the sub-stomatal cavity (C_i) measured on leaves of **(A)** C₃ trees *Vachellia karroo*, *Celtis africana* and *Combretum apiculatum*, and **(B)** C₄ grasses *Eragrostis curvula*, *Heteropogon contortus* and *Themeda triandra* grown at 200 ppm (left), 400 ppm (centre), or 800 (right) ppm [CO₂]_a (n = 3–6 plants per species × [CO₂]_a treatment). Horizontal error bars indicate ± 1 S.D. for measured C_i at each C_a setpoint.

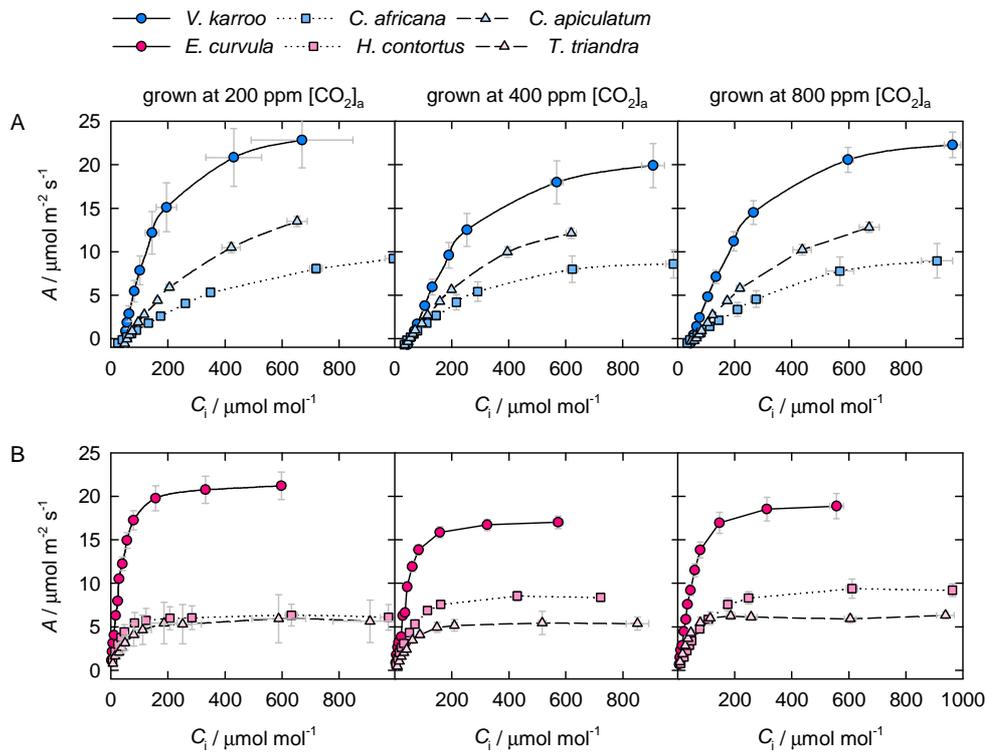
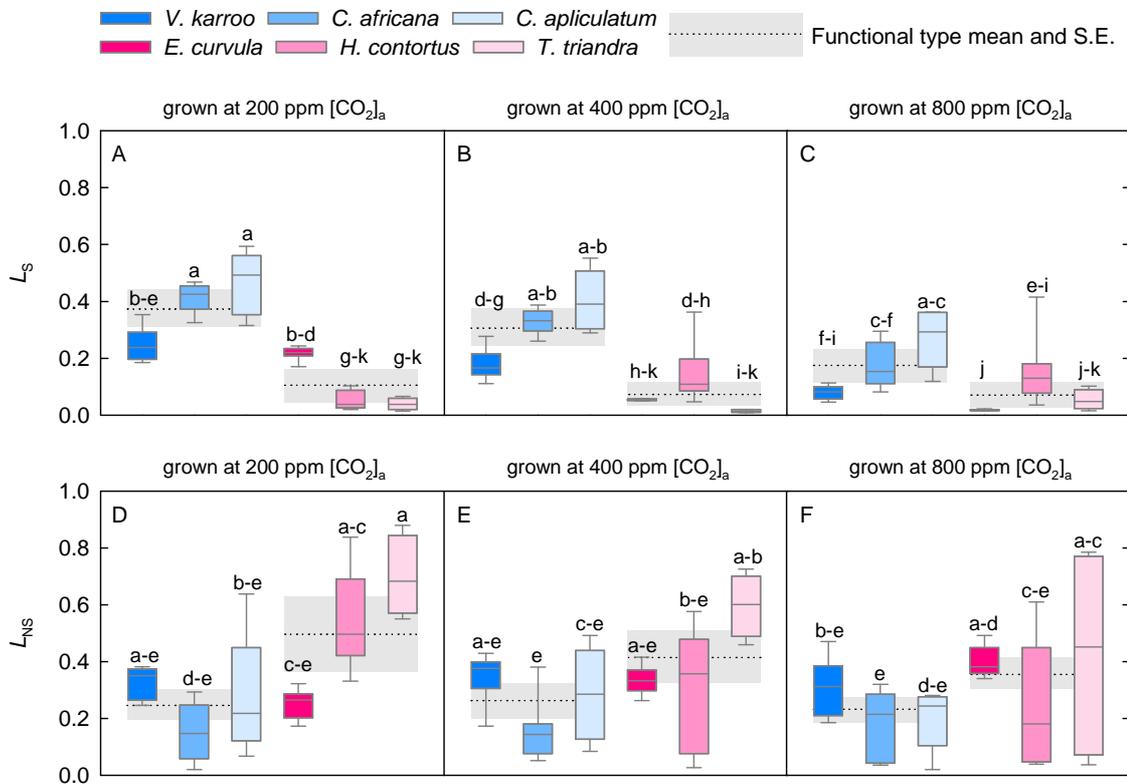


Figure 6. Stomatal and non-stomatal limitations to assimilation. Box plots show stomatal limitation, L_S (**A–C**) and non-stomatal limitation, L_{NS} (**D–F**) for C_3 trees (blue shades) and C_4 grasses (red shades) grown at either 200 ppm, 400 ppm or 800 ppm $[CO_2]_a$. Boxes show median line with the 25th and 75th percentiles and whiskers show the 10th and 90th percentiles of the data range. C_3 trees are *Vachellia karroo* ($n = 8$), *Celtis africana* ($n = 9-10$), and *Combretum apiculatum* ($n = 4$); and C_4 grasses (**B**) are *Eragrostis curvula* ($n = 8$), *Heteropogon contortus* ($n = 6$) and *Themeda triandra* ($n = 4$). Boxes sharing the same letter range across all treatments are not statistically different at $\alpha = 0.05$, and the dotted lines with grey shading behind groups of boxes denote the mean \pm S.E. ($n = 3$) for the C_3 trees and C_4 grasses at each $[CO_2]_a$ level.



Tables

Table 1. Fitted photosynthetic parameters. Mean values (± 1 S.E.) for parameters derived using A -PPFD and A - C_i response curve fitting within the C_3 and C_4 photosynthesis modelling framework of Bellasio *et al.* (2016a, b) for the three C_3 tree species and three C_4 grass species ($n = 3$ –6 plants per species \times $[\text{CO}_2]_a$ treatment). ANOVA results for the photosynthetic parameters are listed in Table S2.

Symbol	Units	Growth CO_2 concentration			Growth CO_2 concentration			Growth CO_2 concentration		
		200 ppm	400 ppm	800 ppm	200 ppm	400 ppm	800 ppm	200 ppm	400 ppm	800 ppm
C_3 trees										
		<i>Vachellia karroo</i>			<i>Celtis africana</i>			<i>Combretum apiculatum</i>		
A_{SAT}	$\mu\text{mol m}^{-2} \text{s}^{-1}$	25.4 (1.11)	24.0 (3.28)	26.7 (1.25)	11.4 (1.41)	11.6 (1.53)	12.5 (1.88)	20.0 (8.56)	19.1 (4.45)	18.9 (1.80)
CE	$\text{mol m}^{-2} \text{s}^{-1}$	0.11 (0.01)	0.09 (0.016)	0.09 (0.012)	0.024 (0.0034)	0.024 (0.0060)	0.025 (0.0050)	0.062 (0.034)	0.047 (0.018)	0.027 (0.0014)
ω	dimensionless	0.399 (0.105)	0.695 (0.056)	0.622 (0.092)	0.815 (0.166)	0.830 (0.135)	0.899 (0.164)	0.012 (0.012)	0.333 (0.327)	0.930 (0.158)
Γ	$\mu\text{mol mol}^{-1}$	49.1 (0.78)	52.6 (2.03)	51.9 (3.65)	45.5 (5.56)	46.6 (3.10)	47.0 (1.34)	50.8 (1.90)	52.5 (0.962)	68.9 (9.76)
GA_{SAT}	$\mu\text{mol m}^{-2} \text{s}^{-1}$	7.66 (0.47)	17.5 (3.34)	30.2 (3.13)	2.18 (0.302)	6.19 (1.10)	9.37 (1.33)	3.68 (0.721)	6.48 (2.40)	9.33 (0.272)
$Y(\text{CO}_2)_{\text{LL}}$	dimensionless	0.030 (0.005)	0.050 (0.008)	0.060 (0.004)	0.027 (0.0042)	0.036 (0.0060)	0.043 (0.0078)	0.025 (0.0078)	0.043 (0.022)	0.074 (0.016)
m	dimensionless	0.272 (0.180)	0.113 (0.069)	0.524 (0.122)	0.336 (0.178)	0.501 (0.117)	0.441 (0.025)	0.352 (0.354)	0.569 (0.269)	0.388 (0.099)
LCP	$\mu\text{mol m}^{-2} \text{s}^{-1}$	15.7 (3.54)	13.1 (2.78)	12.1 (2.14)	30.1 (17.2)	24.3 (5.13)	17.2 (2.41)	42.5 (15.3)	32.8 (7.28)	28.5 (8.13)
R_{LIGHT}	$>0 \mu\text{mol m}^{-2} \text{s}^{-1}$	0.43 (0.005)	0.53 (0.122)	0.72 (0.139)	0.396 (0.054)	0.747 (0.153)	0.653 (0.138)	0.786 (0.035)	1.12 (0.298)	1.49 (0.0050)
C_4 grasses										
		<i>Eragrostis curvula</i>			<i>Heteropogon contortus</i>			<i>Themeda triandra</i>		
A_{SAT}	$\mu\text{mol m}^{-2} \text{s}^{-1}$	21.8 (1.59)	17.5 (0.748)	19.5 (1.42)	6.36 (1.63)	9.91 (1.96)	10.1 (1.97)	6.01 (3.25)	5.69 (0.953)	6.63 (0.184)
CE	dimensionless	0.38 (0.039)	0.29 (0.026)	0.25 (0.018)	0.193 (0.0064)	0.125 (0.0027)	0.040 (0.0085)	0.123 (0.021)	0.106 (0.018)	0.091 (0.019)
ω	dimensionless	0.751 (0.047)	0.777 (0.037)	0.804 (0.033)	0.485 (0.312)	0.589 (0.025)	0.878 (0.0085)	0.569 (0.216)	0.799 (0.075)	0.777 (0.115)
Γ	$\mu\text{mol mol}^{-1}$	1.49 (0.580)	3.85 (1.18)	3.99 (0.980)	0.0 (0.0)	0.0 (0.0)	2.74 (0.545)	5.95 (0.940)	5.74 (1.85)	6.57 (0.693)
GA_{SAT}	$\mu\text{mol m}^{-2} \text{s}^{-1}$	20.4 (1.79)	20.1 (1.10)	21.6 (1.66)	6.91 (2.35)	9.92 (0.092)	12.04 (1.16)	5.99 (3.79)	7.16 (0.337)	9.97 (0.416)
$Y(\text{CO}_2)_{\text{LL}}$	dimensionless	0.050 (0.0035)	0.050 (7.6×10^{-4})	0.050 (0.0035)	0.021 (0.0014)	0.038 (0.0013)	0.021 (0.0014)	0.035 (0.017)	0.036 (0.0075)	0.041 (0.0058)
m	dimensionless	0.421 (0.088)	0.158 (0.053)	0.395 (0.053)	0.075 (0.078)	0.369 (0.179)	0.0 (0.071)	0.0 (0.0)	0.0 (0.0)	0.074 (0.076)
LCP	$\mu\text{mol m}^{-2} \text{s}^{-1}$	25.1 (2.09)	30.1 (1.49)	30.5 (2.210)	34.5 (12.6)	26.9 (0.155)	49.3 (6.61)	35.9 (16.9)	24.1 (8.37)	19.5 (1.00)
R_{LIGHT}	$>0 \mu\text{mol m}^{-2} \text{s}^{-1}$	1.25 (0.092)	1.37 (0.083)	1.38 (0.113)	0.644 (0.195)	0.938 (0.030)	0.847 (0.095)	0.786 (0.120)	0.700 (0.099)	0.701 (0.061)

A_{SAT} , CO_2 -saturated assimilation measured in A - C_i curve; CE , carboxylating efficiency, initial slope of the A - C_i curve; Γ , C_i - A compensation point, i.e. C_i where $A = 0$; GA_{SAT} , Light-saturated gross assimilation at $[\text{CO}_2]_a$ of light curve; LCP , PPFD- A compensation point, i.e. PPFD where $A = 0$; R_{LIGHT} , Respiration in the light/day; $Y(\text{CO}_2)_{\text{LL}}$, initial (or maximum) quantum yield for CO_2 fixation.

Table 2. Output from ANOVA tests on operational gas exchange and leaf water potential. Results of two-way ANOVAs testing for effects of species nested within photosynthesis type [C_3 trees: *Vachellia karroo* ($n = 8$), *Celtis africana* ($n = 4-10$), and *Combretum apiculatum* ($n = 4$); and C_4 grasses: *Eragrostis curvula* ($n = 8$), *Heteropogon contortus* ($n = 6$), and *Themeda triandra* ($n = 4$)], $[CO_2]_a$, (200 ppm, 400 ppm, or 800 ppm) and the interaction of species and $[CO_2]_a$. Mean values \pm S.E. are plotted in Figure 2. Assumptions of homogeneity of variance for the model were satisfied by transforming datasets as indicated.

Symbol	Units	Species (nested within photosynthesis type, PT)			$[CO_2]_a$			Spp.(nested in PT) \times $[CO_2]_a$		
		d.f.	F	P	d.f.	F	P	d.f.	F	P
A_{op}^a	$\mu\text{mol m}^{-2} \text{s}^{-1}$	4, 110	67.2	<0.0001	2, 110	69.6	<0.0001	8, 110	4.59	<0.0001
g_{op}^a	$\text{mol m}^{-2} \text{s}^{-1}$	4, 110	59.6	<0.0001	2, 110	13.8	<0.0001	8, 110	1.18	0.320
E_{op}^a	$\text{mmol m}^{-2} \text{s}^{-1}$	4, 110	56.5	<0.0001	2, 110	27.2	<0.0001	8, 110	1.11	0.361
D_s	$\mu\text{mol mol}^{-1}$	4, 110	58.7	<0.0001	2, 110	100.8	<0.0001	8, 110	13.2	<0.0001
G_i^b	$\mu\text{mol mol}^{-1}$	4, 110	5.18	0.001	2, 110	51.9	<0.0001	8, 110	12.8	<0.0001
Ψ_{leaf}^a	MPa	4, 110	10.5	<0.0001	2, 110	11.4	<0.0001	8, 110	2.65	0.011

^a Data subjected to Johnson Transformation to achieve normality of variance:

A_{op} : $0.6402+0.9252 \cdot \text{Ln}((x+0.1988)/18.512-x)$

g_s : $-1.5724+1.0060 \cdot \text{Asinh}((x-0.02414)/0.01677)$

E_{op} : $-0.1204+1.6636 \cdot \text{Ln}(x+0.03846)$

Ψ_{leaf} : $0.9394+1.0060 \cdot \text{Ln}((x-0.9234)/(3.5548-x))$

^b natural log. transformed

Table 3. Output from ANOVA tests on fitted parameters of the photosynthesis models and stomatal and non-stomatal limitations to photosynthesis. Results of two-way ANOVAs testing for effects of species nested within photosynthesis type [C_3 trees: *Vachellia karroo*, *Celtis africana*, and *Combretum apiculatum*; and C_4 grasses: *Eragrostis curvula*, *Heteropogon contortus*, and *Themeda triandra*], $[CO_2]_a$, (200 ppm, 400 ppm, or 800 ppm) and the interaction of species and $[CO_2]_a$ on photosynthetic parameters. Assumptions of homogeneity of variance for the model were satisfied by transforming datasets as indicated.

Symbol	Units	Species (nested within photosynthesis type, PT)			$[CO_2]_a$			Spp.(nested in PT) \times $[CO_2]_a$		
		d.f.	F	P	d.f.	F	P	d.f.	F	P
A_{SAT}^a	$\mu\text{mol m}^{-2} \text{s}^{-1}$	4, 71	21.6	<0.0001	2, 71	0.32	0.725	8, 71	2.74	0.084
CE^b	$\text{mol m}^{-2} \text{s}^{-1}$	4, 71	23.3	<0.0001	2, 71	3.14	0.049	8, 71	4.55	<0.0001
Γ^c	$\mu\text{mol mol}^{-1}$	4, 71	1.43	0.271	2, 71	8.08	0.001	8, 71	1.27	0.271
ω^c	dimensionless	4, 71	1.62	0.178	2, 71	3.28	0.043	8, 71	0.80	0.605
GA_{SAT}^a	$\mu\text{mol m}^{-2} \text{s}^{-1}$	4, 71	34.7	<0.0001	2, 71	10.73	<0.0001	8, 71	4.16	<0.0001
LCP^b	$\mu\text{mol m}^{-2} \text{s}^{-1}$	4, 71	4.12	0.005	2, 71	0.03	0.971	8, 71	0.69	0.701
R_{LIGHT}^b	$>0 \mu\text{mol m}^{-2} \text{s}^{-1}$	4, 71	6.93	<0.0001	2, 71	5.97	0.004	8, 71	0.98	0.459
m	dimensionless	4, 71	4.13	0.004	2, 71	2.12	0.127	8, 71	1.43	0.197
$Y(CO_2)_{LL}$	dimensionless	4, 71	5.19	0.001	2, 71	8.06	0.001	8, 71	1.35	0.006
L_S^c	dimensionless	4, 110	25.3	<0.0001	2, 110	31.7	<0.0001	8, 110	7.81	<0.0001
L_{NS}^c	dimensionless	4, 110	14.5	<0.0001	2, 110	1.15	0.319	8, 110	3.07	0.004

Data transformations: ^a $\sqrt{}$; ^bnatural log.; ^carcsine($\sqrt{}$).