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2	SEISMIC PERFORMANCE OF IRREGULAR RC FRAMES DESIGNED
3	ACCORDING TO THE DDBD APPROACH
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7	Direct Displacement Based Design; reinforced concrete; irregular frame; height irregularity; seismic
8	design; ductility; nonlinear analysis
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### ABSTRACT

The Direct Displacement-Based seismic Design (DDBD) method has been a major development 11 12 in the context of Performance-Based seismic Design of reinforced concrete (RC) frames. The method has been positively received from the engineering community, while, at the same time, 13 14 significant improvements have been proposed. Even though its field of application is constantly 15 widening, no specific rules are generally provided for specific cases, such as RC frames with 16 setback irregularity, under the claim that, in this case, no modifications in the basic approach are needed. The validity of this assumption is examined by assessing the DDBD provisions through 17 18 design of such irregular RC structures and assessment of their seismic performance under nonlinear static and dynamic analyses. Local ductility associated with global behavior is examined 19 and incompatibilities in demands with the global design displacement are identified, where they 20 occur. Guidelines are provided to ensure that rational performance results are obtained, when the 21 DDBD method is applied. 22

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# 24 **1. Introduction and Statement of the Problem**

Taking advantage of the post-elastic behavior of materials is critical in order to design both 25 26 safe and economic structures against seismic excitations. To that end, a rational approach in earthquake engineering is Performance-Based Design (PBD), where performance levels are 27 defined and a different damage level is prescribed for every performance level set (SEAOC 28 1995). The damage that a structure exhibits is directly related to the displacements that are 29 predicted during the structure's response. Parallel to the evolution of conventional seismic 30 31 design, still currently enforced to a PBD context, extensive efforts have recently been given 32 towards formulating alternative seismic design methodologies that make use of the global 33 structural displacement, instead of the acceleration response and the corresponding inertial 34 force, as the controlled design parameter. One of the most promising methods is the Direct 35 Displacement-Based Design (DDBD) approach, primarily developed by Priestley et al. (2007). Reliable guidelines for the implementation of this methodology for the seismic design 36 37 of a wide range of structures were incorporated into DBD12, the Model Code for DDBD (Sullivan et al. 2012), while investigations are ongoing for improvement of the method, that 38 39 will inevitably lead to further revisions of this code.

40 Significant efforts have been made towards the extension of the applicability of the DDBD approach to different structural building forms and structural materials other than reinforced 41 42 concrete (RC) (Vidot-Vega and Kowalsky 2013), such as structural steel (Malekpour et al. 2013) and masonry (Paparo and Beyer 2015), while keeping its simplicity unaffected. The 43 44 method has also found increasing applicability in the seismic design of bridges, due to the 45 relatively simpler structural configuration of this type of structural system (Mergos 2013, Gkatzogias and Kappos 2015, Amiri et al. 2016) and innovative structural systems, such as 46 47 base isolation (Cardone et al. 2010) and precast prestressed concrete (Yang and Lu 2017). 48 Meanwhile, engineers are encouraged to implement state-of-the-art techniques in everyday practice, such as non-linear analyses, to verify the performance of the structure under 49 consideration. Furthermore, explicit rules or modifications of the basic DDBD approach are 50 not readily available for many commonly encountered structural irregularities such as vertical 51 setback buildings, since, it is claimed, in this case the storey mass to stiffness ratio remains 52 53 essentially constant. Vertical setbacks are often imposed by architectural considerations and significantly affect the seismic response, as post-earthquake field observations (Inel and 54 55 Meral 2016) or different analytical studies of conventionally design irregular buildings have 56 demonstrated (Zhou et al. 2015, Landi et al. 2014, Nezhad and Poursha 2015). However, irregular RC frames have received comparatively little attention within the context of the DDBD approach. Nievas and Sullivan (2015) modified the higher mode effects reduction factor  $\omega_{\theta}$  for steel plane frames with setbacks. Varughese *et al.* (2012) focused on the base shear distribution in in-height stepped buildings, including torsional effects and, more recently, for the DDBD of soft open ground story buildings (Varughese *et al.* 2015). Other types of irregularities, such as out-of-plane-offsets in frames, have also been assessed in the recent literature (Muljati *et al.* 2015).

64 Currently, two methods of analysis have been proposed in DDBD for estimating the required 65 flexural strength of plastic hinges (Priestley et al. 2007). According to the first method, conventional structural analysis is conducted, using modeling assumptions that conform to 66 67 the design procedure approximations for an accurate estimation of members' stiffness. Alternatively, approximate calculations based on equilibrium considerations and appropriate 68 69 assumptions can be employed, thus eliminating the need for member stiffness estimation. 70 Even though the abundance of conventional analysis software renders the first method easier to apply, researchers prefer the second method. The inevitable approximate nature of the 71 72 structural analysis process and the uncertainties associated suggest that simplified procedures 73 such as the second method are attractive.

74 The scope of this work is to investigate the applicability of the DDBD conventional analysis 75 approach on plane RC frames with height irregularity in the form of setbacks, with emphasis on the comparison of local demands that are imposed to the members under nonlinear static 76 77 and dynamic analysis with the design assumed values. To that effect, Seven and Ten Storey 78 RC frames with constant bay widths and setbacks and their regular counterparts, were 79 designed according to the current DDBD approach (Sullivan et al. 2012). The influence of the design analysis method is examined, by comparing the final designs of the Seven Story 80 81 frames, designed by the application of either of two conventional approaches, namely the direct approach and an iterative approach for establishing the design moment capacity. 82 Furthermore, since unequal bay lengths may introduce unequal local demands at the beams 83 84 (O'Reilly et al. 2017), a set of irregular Ten Storey frames with unequal bays is also 85 examined.

Nonlinear static and dynamic time-history analyses are conducted and the results are compared with design predictions, while differences in the response of both the regular and the irregular frames and the influence of higher modes and second order (P- $\delta$ ) effects, are addressed. While the vast majority of DDBD research deals with the limitation of global 90 storey drifts within the design adopted performance limit state related limits, special attention 91 is paid in this work to the local ductility demands associated with the prescribed global 92 deformation ductility and possible exceedances from design expected response are examined. 93 The successful application of the DDBD method is judged by an integrated approach, where 94 global displacement objectives and local ductility criteria are simultaneously met.

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# 96 2. Brief Description of the DDBD Method

97 In order to evaluate the basic assumptions inherent in the basic DDBD procedure, a brief outline of 98 the method is considered. The method is based on the substitution of the actual structure with an equivalent single-degree-of-freedom system (e-SDOF). The e-SDOF representation is characterized 99 by the effective stiffness that corresponds to either the peak displacement response or the target 100 displacement of the design performance level, instead of the initial elastic stiffness of the SDOF 101 system. Using the design displacement profile of the actual structure, the equivalent properties of 102 the e-SDOF, namely its design displacement  $\Delta_d$ , effective mass  $m_e$  and effective height  $H_e$ 103 are derived, as weighted average values of the corresponding actual properties (Sullivan et al. 104 2012). The design of moment resisting frames is likely to be governed by code prescribed drift 105 106 limitation rather than material strain limits, so their design displacement profile is based upon the 107 determination of the maximum allowable global drift  $\theta_c$  for the design limit state. For repairable damage,  $\theta_c = 2.5\%$  is assumed. Following the definition of  $\theta_c$ , Eq. (6.2) of Sullivan *et al* (2012) 108 provides the limit state displacements at each storey, as a function of the global drift. These values 109 110 correspond to the first inelastic mode of vibration. Since higher modes can increase the deformations, they are multiplied with a reduction factor  $\omega_{\theta}$ , which is provided by Fig 5.1 of 111 Sullivan et al (2012) in order to obtain the design displacements. 112

It is evident that the dimensionless yield curvature of a cross-section remains comparatively 113 invariant (Priestley *et al 2007*). As a result, an expression that provides the yield drift  $\theta_y$  of a frame 114 115 building with an enforced weak beam - strong column mechanism can be derived, leading to the evaluation of the yield displacement (see Eqs. C7.1 & 7.2 of Sullivan et al (2012)) and 116 117 subsequently the design global displacement ductility  $\mu$ . The equivalent viscous damping (EVD) coefficient  $\xi_{eq}$  of the e-SDOF is then calculated; EVD represents the combined elastic and 118 119 hysteretic energy absorbed during the inelastic response that is prescribed by the aforementioned 120 displacement ductility. Assuming an appropriate hysteretic rule for RC frames, such as the rule proposed by Takeda (1970), with parameters  $\alpha$  and  $\beta$  equal to 0.3 and 0.6 respectively (Eq. (7.4) of 121

122 Sullivan *et al.* 2012), yields  $\xi_{eq}$  from the design global ductility  $\mu$ .

After selecting an appropriate design displacement spectrum consistent with the seismic design 123 intensity, the damped spectral ordinates are calculated using a damping reduction factor. A typical 124 125 displacement spectrum comprises an approximately linear ascending branch followed by a constant displacement plateau at the spectrum corner point period and displacement  $T_D$  and  $\Delta_{D,\xi}$ , with all 126 the relevant design properties indexed D. The non-linearity at low periods, corresponding to the 127 constant acceleration period range, can be safely disregarded. Currently, the appropriate damping 128 129 reduction factor  $R_{\xi}$  is given by Eq. (1.2) of Sullivan *et al.* (2012). It is noted, however, that the calculation of the inelastic displacement demand is subject to further development, such as adopting 130 131 the findings of Pennucci et al (2011), also incorporated in Annex 2 of Sullivan et al (2012). If the design displacement does not exceed the damped spectrum corner displacement  $\Delta_{D,\xi}$ , as is 132 common for RC frames in moderate to high seismicity, the effective period of the e-SDOF structure 133 is obtained from Eqs. (1.1) and (5.6) of Sullivan et al (2012), thereby yielding the effective stiffness 134 of the e-SDOF system  $K_e$  (Eq. 5.4 of Sullivan *et al* 2012). 135

The influence of P- $\delta$  effects is directly incorporated into the DDBD design process by increasing 136 the total base shear force  $V_{base}$  by an additional force  $V_{P-\Delta}$ , if the stability coefficient of the e-137 138 SDOF structure namely  $m_e g/K_e H_e$ , exceeds 0.05. Furthermore the stability coefficient of each 139 storey should not exceed 0.30, which would rarely be the case for RC frames. The total base shear force can be obtained from Eqs. (5.1) and (5.8) of Sullivan et al (2012). An upper bound is usually 140 checked in order to correct for the response at extremely low periods of vibration, where the 141 displacement spectral shape has been distorted. In order to evaluate the flexural strength of plastic 142 hinges, the design base shear is distributed as equivalent lateral forces according to the distributions 143 of the storey masses  $m_i$ , using Eqs. (8.1a)–(8.1b) (Sullivan *et al* 2012). Structural analysis of the 144 model can now be performed, yielding the design internal forces. As noted above, two alternative 145 methods have been proposed to perform analysis, both requiring the determination of the column 146 base flexural strength by utilizing equilibrium considerations. 147

Upon completion of the analysis, bending moments at joint faces, shear and axial forces are readily available. Detailing of plastic hinges is performed by moment – curvature analyses of the sections where hinging is expected. Such analyses are conducted with material strain limits depending on the design limit state, using expected material strengths without reduction partial factors. On the other hand, strength for capacity protected actions (shear, flexure in locations where no plastic hinges are expected to form) is calculated with characteristic values of the material capacities, reduced by partial factors. The required dependable strength for these sections is derived from the value corresponding to the design lateral force distribution, amplified due to material overstrength and higher mode effects. The required column flexural and shear strengths, in particular, are obtained from Eqs. (9.2)-(9.5) of Sullivan *et al* (2012), respectively. If no further calculations are performed, a material overstrength factor  $\varphi_o$  equal to 1.25 is assumed. The higher mode dynamic amplification factor  $\omega_f$  is height dependent and is obtained from Fig (9.1) of Sullivan *et al* (2012), for plane frames.

161 Having outlined the basic DDBD method, it is noted that the local ductility demands are not explicitly defined in terms of plastic rotation or other, during the design process. No minimum 162 163 curvature ductility is prescribed and, consequently, no confining reinforcement is dictated, as in current force based seismic design codes (EC8-1 2004). It is therefore assumed that the strain limits 164 165 adopted during the section detailing would suffice in providing the necessary local ductility. To accommodate the needs of the present study, the design plastic rotation is defined for every plastic 166 167 hinge by multiplying the design plastic curvature with the plastic hinge length, proposed by Priestley et al. (2007), as also outlined in the following section. 168

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# 170 **3. Description of the Frames and DDBD Design Procedure**

The basic geometry of the Seven-Storey and Ten-Storey frames that are investigated herein is 171 depicted in Figs.1a, 1b. The frames consist of three bays with constant bay length equal to 172 6m. Furthermore, for the Ten-Storey building, a set of frames with unequal bays (6m - 3m -173 6m) is introduced, with different locations of the unequal bay within the setback. Storey 174 height is retained constant in all the frames, and is equal to 3m at all levels. There is no 175 176 setback at the perpendicular direction of irregular buildings, so no torsional response is anticipated. As a result, the seismic response can be decoupled and plane frame models 177 describe adequately the behavior of the structures. Frame spacing at the perpendicular 178 direction is equal to the bay length. Due to the monolithic nature of RC structures, seismic 179 180 forces are resisted by every frame in the respective direction. As a result, two unique frames 181 exist for each building with different seismic masses (inner and outer). A typical plan is illustrated in Fig.2, where the tributary areas that are used for the distribution of the slab loads 182 183 to the beams are also depicted.



Fig. 1a Frame elevations of the Ten-Storey Frames



Fig. 1b Frame elevations of the Seven-Storey Frames

Fig. 2 Typical Plan View

The gravity loads were considered according to the seismic combination G+0.3Q (EC8-1 184 2004). Maximum factored gravity loads - combination 1.35G+1.50O (EC8-1 2004) was also 185 taken into account and governed the required flexural strength of the roof beams. The self 186 weight was evaluated as 4.0 kN/m<sup>2</sup>, assuming constant slab thickness equal to 0.16m and 187 typical element cross-sections. Super-imposed dead load and live load were also accounted, 188 with characteristic values equal to 1.5 kN/m<sup>2</sup> and 2.0 kN/m<sup>2</sup> respectively. Interior moveable 189 masonry partitions were substituted by an equivalent uniformly distributed load equal to 1.0 190 191  $kN/m^2$ . The perimeter infill is only applied to the outer frame beams as a line load with characteristic value 9.0 kN/m. It is suggested that the plastic hinges are designed for either 192 193 the maximum factored gravity loads or the seismic loads only, while the axial force of column bases is derived only from the gravity loads. (Priestley et al. 2007). 194

Beam height governs the yielding of frames and has to be predefined in order to proceed with the calculations. For the frames considered, a beam height equal to 0.60m was selected. Columns are square and their size is defined with respect to the upper limits of the normalized axial force proposed by EC8 (2004). Column size is also reduced with height and is only needed when the calculation of moments at joint faces is performed. The seismic mass of stories without setback for outer and inner frames is equal to 65 tons and 87 tons, 201 respectively while, for setback stories, it is reduced to 43 tons and 58 tons, respectively.

In Fig.3a and 3b, the design displacement profiles of the Seven-Storey and the Ten-Storey 202 Frames (R or A) are given for the design assumed limit state of repairable damage ( $\theta_c$  = 203 2.5%). For the evaluation of the design plastic rotations, frame members are considered as 204 205 equivalent cantilevers and the design plastic curvature is calculated using the design drift above as input, following Priestley et al. (2007). It is assumed that each storey's beams are subject to plastic 206 rotations stemming from the drift demand of the respective storey lower columns. For example, 207 design plastic rotations of the 2<sup>nd</sup> storey beams are calculated using the drift demands of the second 208 storey columns (Fig. 3c); it is, therefore, reasonably assumed that a storey's beams are subjected to 209 the largest rotation induced to the joint by the columns. The equivalent cantilevers' length was 210 211 taken as follows: for beams, it was assumed equal to the half of the clear span, neglecting for gravity loads, an approximation which is compatible with the DDBD approach. For ground floor 212 213 columns, it was explicitly defined during the calculation of the required strength at the column bases. Other columns are capacity protected from inelastic action and, therefore, no design plastic 214 215 rotation is defined.



Fig. 3 Design Displacement Profiles of a) the Seven – Storey and b) the Ten – Storey Frames (R or A); and c) Evaluation of the typical 2<sup>nd</sup> storey beam plastic rotation.

It has been repeatedly reported that the current codified displacement spectra are incapable of 216 reliably predicting the displacement demand in the medium and high period range (Akkar and 217 Bommer (2007), Priestley et al. (2007), Cauzzi et al. (2008)). A finding that has been attested 218 by the authors, since designing frames to DDBD using EC8 elastic displacement spectrum 219 220 without any modifications led to unrealistic results. Therefore, seismological research has focused on the reliable estimation of appropriate spectral values in the long period range, to 221 222 accompany the DDBD method. Priestley et al. (2007) propose Eqs. (1) - (2) for the corner period  $T_D$  (transition period between constant spectral velocity and constant spectral 223

displacement areas) and the peak displacement response  $\delta_{max}$ , respectively (Faccioli *et al.* 2004), also adopted herein. For design purposes, a typical seismic scenario of a moment magnitude  $M_w = 7$  earthquake at an epicentral distance R = 10 km on firm ground ( $C_s = 1$ ) was assumed, yielding the design displacement spectrum used in the present study with  $T_D =$ 4.25s and  $\Delta_{D,5\%} = 0.631$ m (Eqs. (1), (2)):

$$T_D = 1 + 2.5(M_w - 5.7) \tag{1}$$

$$\delta_{max} = \Delta_{D,5\%} = C_s \cdot \frac{10^{M_w - 3.2}}{R}$$
(2)

- The e-SDOF properties that result from the application of the DDBD procedure described in Section (2) are summarized in Table 1. For simplicity, only the results of the outer frames (types A and R) are provided, with the response parameters of the other cases being similar.
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# Table 1. Properties of the case structures' e-SDOF systems.

Frames	Seven	-storey	Ten storey	
	А	R	А	R
Design Displacement $\Delta_d$ (m)	0.290	0.304	0.400	0.423
Yield Displacement $\Delta_y$ (m)	0.189	0.201	0.264	0.281
Ductility $\mu$	1.53	1.52	1.52	1.50
% EVD $\xi_{eq}$	11.24	11.11	11.13	11.03
Damped Corner Displacement $\Delta_{D,\xi}$ (m)	0.459	0.461	0.461	0.462
Effective Fundamental Period $T_e$ (s)	2.68	2.80	3.69	3.89
Effective Mass $m_e$ (tons)	342.5	382.2	432.7	536.2
% Effective to Total Seismic Mass	83.3	84.0	80.1	82.5
Effective Height $H_e$ (m)	13.75	14.61	19.18	20.46
% Effective to Total Building Height	65.5	69.6	63.9	68.2
Base Shear $V_{base}$ (kN)	543.6	583.7	545.9	646.8
% Base Shear to Total Seismic Weight $R_V$	13.5	13.1	10.3	10.1
% e-SDOF Stability Coefficient $\theta_{P-\Delta}$	14.4	14.8	17.7	18.4
Additional Base Shear $V_{P-\Delta}$ (kN)	34.8	38.4	44.3	54.4
Additional to Total Base Shear	6.6%	6.8%	8.1%	8.4%

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Elastic and damped design spectra are plotted in Fig.4. It is evident that the design point is located at the ascending branch (constant velocity period range), with minor differences being observed among irregular and regular frames. Since frame displacements are governed by drift limits, their design ductility demand is low ( $\mu \approx 1.50$ ). Taller frames are inherently more

flexible ( $T_e \approx 3.8s > 2.8s$ ) and attract comparatively less base shear, including the added force

240 for P- $\delta$  compensation ( $R_v \approx 10\% < 13\%$ ).



Fig. 4 Elastic and damped DDBD compatible design spectra

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# 242 4. Structural Analysis in DDBD Design

As noted in the introduction and depicted in Fig. 5, two alternative design analysis methods have been proposed for the estimation of the required flexural capacity of the members. The first method includes conventional iterative or non-iterative linear structural analysis, with modeling assumptions conforming to the guidelines of Priestley *et al* (2007). On the other hand, the second method makes use of approximate hand calculations based on equilibrium.



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Fig 5 Available design analysis methods to accompany the DDBD method

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# 251 **4.1 Non iterative conventional design analysis**

The difference of the conventional analysis methods, as far as their application using commonly available structural analysis software is concerned, lies in the effective stiffness values utilized for the beams. In line with the direct nature of the DDBD procedure, direct non-iterative analyses have been proposed, if reliable estimates of the cracked stiffness are employed. Such estimates can be found in Paulay and Priestley (1992), Priestley (2003) and currently enforced seismic design codes (EC8-1 2004, EC8-3 2005, KANEPE 2012). It should be noted, however, that since differences are observed among these proposed values, designers are encouraged to use the most up-to-date estimates of cracked stiffness.

# 260 **4.2 Iterative conventional design analysis**

While tabulated or codified values of the stiffness can be used, other approximate design 261 analysis methods may also be employed, based on the yield curvature; the fact that constant 262 yield curvature is a more realistic assumption for sections than constant effective stiffness 263 264 (Priestley *et al* 2007), allows for incorporating this parameter into an iterative design process instead, such as the one described below, whereby the effective stiffness is iteratively 265 obtained. Such an iterative method would seem a rational approach to apply, particularly in 266 the case of unequal column sizes within the same storey, in view of the fact that irregular 267 forms of setback structures are considered and columns do not carry the same gravity load. 268 The procedure, depicted in the flowchart of Fig. 6, rather than using closed form expressions, 269 270 iterates in order to obtain the correct value of the effective stiffness, since this depends on the member's flexural resistance, which is not readily available at the initial stage of the 271 calculation. In order to estimate the effective member stiffness  $I_{cr}$ , the average moment  $\overline{M}$ 272 from both member ends is utilized, while yield curvature  $(\varphi_v)$  values are adopted from 273 Sullivan et al. (2012) (Fig. 6). 274



# Fig. 6 Iterative structural analysis flowchart

# 275 **4.3 Direct equilibrium design analysis**

276 Regarding the second method of Fig. 5 (equilibrium considerations), its general outline is extensively described in the literature (Priestley et al. 2007). After the column base moments 277 278 are identified, a bottom-to-top sequence of member equilibrium is followed to determine the required flexural strength in other locations. Nievas and Sullivan (2015) introduced a 279 modification of the sequence to encompass the effect of setbacks at the corresponding joints. 280 281 According to this procedure, the moment demand of the setback beam is equated with the 282 moment of the concurring column, while the moments of the remaining beams, derived from 283 equilibrium, are increased. Trial hand calculations by the authors showed that the increased 284 demand of the other beams was comparable to the demand derived from the application of 285 the conventional procedure in Priestley et al. (2007).

# **4.4 Critical examination of the conventional design of the Seven Storey Frames**

In order to investigate the two conventional design analysis approaches described above, both the iterative and non-iterative conventional procedures were applied for the design of the Seven-Storey Frames in order to examine any differences in the member design outcome. For the iterative scheme, the relative and absolute difference tolerances were 1% and 1.0 kNm, respectively (Fig. 6). Both procedures were coded on the OpenSees platform (McKenna *et al.* 2010), since its scripting interface renders it extremely efficient in applying such unconventional iterative analysis procedures.

Given that for the Seven-Storey Frame A, inner and outer columns of the same storey had 294 295 initially different dimensions, reflecting the differences in axial load due to the presence of 296 the setback, it was observed that, the algorithm of the iterative procedure altered the load 297 carrying behavior of the frames. Following the sequence of calculations described in Eq. (3) 298 led the stiffer bays to fully attract the seismic forces, while the other members became seismically not critical. Step by step, the differences in stiffness were exaggerated, leading to 299 300 excessive demands by the stiffer bays. Such a design procedure is naturally not apparent in the non-iterative analysis method, whereby the member effective stiffness remains constant. 301

$$h_1 < h_2 \Longrightarrow \frac{1}{h_1} > \frac{1}{h_2} \Longrightarrow \varphi_{y,1} > \varphi_{y,2} \Longrightarrow \frac{1}{\varphi_{y,1}} < \frac{1}{\varphi_{y,2}} \Longrightarrow (EI_{cr})_1 < (EI_{cr})_2$$
(3)

302 Further analysis proved that the same design behavior was encountered in the irregular

building as well, if non uniform member sizes were initially selected. As a result, the iterative procedure on constant yield curvature was deemed to be incapable of distributing equally the seismic load in cases of unequal column width, regardless of frame irregularity, as it can be deduced from the corresponding bending moment diagrams, derived from the iterative design analysis procedure, illustrated in Fig.7 (only the results of the outer frame are provided, since the inner frame's results were similar).



Fig. 7 Bending moment diagrams for Seven-Storey Frames R and A with: a) uniform; and b) non-uniform column section dimensions.

309 It is additionally noted that similar behavior was observed during the design of the frames with unequal bay lengths, even with uniform sections. Stiffer bays (currently determined by 310 beam lengths rather than column section dimensions) also attracted the majority of seismic 311 loads, leading to unusual and doubtful designs. Consequently, equal dimensions for the 312 columns in each storey were adopted in all cases considered. For completeness of the 313 argument put forward, however, the two Seven-Storey Frame A designs using uniform or non 314 uniform column configurations, are compared in detail under inelastic seismic response, in 315 Section (8). It is expected that the frame with unequal bay capacities will demonstrate 316 unfavorable seismic behavior, an issue further discussed in Section (8). 317

## 319 **5. Detailing of Frame Member Sections and Inelastic Modeling**

Having determined the required flexural strength at critical locations, the appropriate amount 320 of reinforcement for all members is calculated. The influence of the iterative algorithm on 321 section uniformity was tested on the Seven-Storey Setback Frame, where two configurations 322 were designed. Detailing of plastic hinges and capacity design was applied according to the 323 principles outlined in Section (3). Cross section dimensions and detailing of all frames are 324 illustrated in Figs. A1 to A7, Appendix A. Characteristic concrete compressive strength was 325 326 assumed equal to 30 MPa, while characteristic steel yield stress was taken equal to 500 MPa. 327 Stirrup pattern and spacing were initially selected according to EC8-1 (2004) specifications 328 and modified where needed. Specifically, the number of ties was determined by the number of longitudinal reinforcing bars, since the distance between two consecutive bars dictates that 329 330 every rebar should be tied by transverse reinforcement.

Capacity design provisions for columns lead to more reinforcement than the amount 331 necessary at the base plastic hinge. It is uncommon construction practice to put an increasing 332 333 amount of reinforcement up the height of the column compared to the reinforcement at the base. Therefore, the largest number of reinforcing bars that is required at any column section 334 is set at the column base and is curtailed along the column height as needed. Such a distortion 335 to the design flexural strengths is unavoidable and is expected to affect the displacement 336 profiles. In order to further investigate the influence of this practice, the Ten - Storey Frame R 337 was also analyzed with reduced reinforcement at column bases. It is stressed out that this 338 reduced amount, despite being closer to the design demands than initial detailing, was still 339 necessarily increased; minimum reinforcement clauses still governed the design, even though 340 341 for this case they were relaxed to 0,7% adopted, in lieu of 1% (EC2-1 2004).

The inelastic models of the frames were assembled in the OpenSees platform (McKenna *et al.* 2010) and non-linear static pushover (SPO) and dynamic time-history analyses (NLTHA) were carried out. Material model *Concrete01* was used for both unconfined and confined concretes, while material model *Hysteretic* was deemed appropriate for reinforcing steel. Confined concrete properties were calculated based on Eurocode 2 provisions (EC2-1 2004).

347 Beams and columns were modeled with distributed damage force-based nonlinearBeamColumn finite elements with five integration points. Frame joints were 348 modeled with effectively rigid elastic elements. Diaphragmatic action was imposed with rigid 349 truss members, instead of kinematic constraints of the respective degrees-of-freedom. It has 350

been reported that fictitious axial forces can be obtained in constrained elements with 351 sections having asymmetric position of the neutral axis (Zeris et al (2007) and OpenSees 352 online manual). To avoid such a distorted behavior, the modeling convention adopted in Zeris 353 et al. (2007) were adopted, whereby double nodes were created and the beam axial degree-of-354 freedom only was released at one beam end. P- $\delta$  effects were accounted for in all the 355 analyses. Following the recommendations by Priestley et al. (2007), 5% Rayleigh damping 356 for NLTHA was assigned as tangent-stiffness proportional to the period of the fundamental 357 mode, excluding mass – proportional terms. The first fundamental mode was calculated with 358 359 OpenSees after the gravity loads were applied and cracking was initiated to the model. 360 Special attention was also paid to the local behavior of members. The plastic rotation of member ends was compared with plastic rotational capacity, calculated according to EC8-3 361 (2005). It should be noted however that this comparison is indicative, since codified 362 equations yield the chord rotation of members, including shear contribution and bar pullout. 363

For the NLTHA, a set of fourteen accelerograms was selected from the PEER NGA-West2 database (PEER 2014). Their selection was based on the moment magnitude and distance that characterize the design seismic scenario, while pulse-like records were avoided. A scaling factor was automatically computed, so that the mean response spectrum is well fitted with the Design Spectrum. The selected record characteristics and scaling are displayed in Table 2. The spectral displacements of the individual scaled records, as well as their mean spectrum are compared with the design spectrum in Fig 8.

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ID	Earthquake and Station Name	Year	Scale Factor	Magnitude	$R_{jb}$ (km)
1	Imperial Valley-02, El Centro Array #9	1940	1.9007	6.95	6.09
2	Imperial Valley-06, El Centro Array #11	1979	1.5702	6.53	12.56
3	Irpinia, Italy-01, Calitri	1980	3.2386	6.9	13.34
4	Corinth, Greece, Corinth	1981	2.5486	6.6	10.27
5	Kalamata, Greece-01, Kalamata (bsmt)	1986	2.3315	6.2	6.45
6	Superstition Hills-02, El Centro Imperial	1987	1.7267	6.54	18.2
7	Loma Prieta, Gilroy Array #4	1989	1.8076	6.93	13.81
8	Northridge-01, W Lost Canyon	1994	1.503	6.69	11.39

9	Kobe, Japan, Shin-Osaka	1995	2.1925	6.9	19.14
10	Chi-Chi, Taiwan, CHY029	1999	2.0986	7.62	10.96
11	Chi-Chi, Taiwan; TCU055	1999	1.8928	7.62	6.34
12	Iwate, Japan, IWTH26	2008	1.1183	6.9	5.97
13	El Mayor-Cucapah, El Centro Array #10	2010	1.5072	7.2	19.36
14	Christchurch, Botanical Gardens	2011	0.9914	6.2	5.52

373



Fig. 8 Displacement spectrum of scaled records, mean spectrum and DDBD spectrum

374

# 375 6. SPO and NLTHA Analysis Results: Global Drift and Resistance Demands

The seismic behavior of the frames was evaluated by both global and local criteria. All response parameters have been established through SPO analyses and were subsequently compared with the average NLTHA envelope values. Global behavior in terms of total base shear and roof displacement is commonly been used in the literature as a criterion for the successful application of the design method. In the present study, local member ductility demands, imposed by frame displacements, were further addressed as well.

The SPO capacity curves depicted in Fig. 9 show a stable post-elastic behavior, apart from the Regular Ten-Storey Frame R. For this frame, the P- $\delta$  effects and the lower ductility supply associated with the relatively larger magnitude of gravity loads, led to unstable behavior with significant degradation of resistance. The overstrength factor  $\Omega$  varied between 1.0 and 1.20. The average overstrength from the dynamic analyses was slightly increased

(1.25-1.50), while the maximum overstrength observed was 1.35 and 1.60, for the Seven-387 Storey and Ten-Storey Frames, respectively (both A and R). The maximum base shear is 388 obtained at a roof displacement lower than the design value. It is evident that setback frames 389 are less susceptible to P- $\delta$  effects than regular frames are. Furthermore, Ten – Storey Frame 390 C, exhibits an even more stable behavior, owing to the relatively lower mass on the upper 391 storeys. On the other hand, higher order effects are more influential on the behavior of Seven 392 - Storey Frame B, than in the rest of the Seven - Storey frames. This phenomenon can be 393 attributed to the more irregular geometric form of these frames. The amount and the 394 395 distribution of the total base shear, as proposed by Eqs.(5.1), (5.8) and (8.1) of Sullivan et al 396 (2012), are sufficient for counteracting these effects in terms of total base shear. Consequently, a stable behavior is generally achieved with reasonable overstrength factors for 397 398 both the Regular and Setback structures.





Fig. 9 Comparison of the SPO capacity curves and the NLTHA peak base shear and roof displacement, all buildings and records considered.

Fig. 9 also depicts the envelope values from the NLTHA analyses (absolute values considered 399 400 throughout). Both maximum base shear at the corresponding roof displacement and base shear at the instance of maximum roof displacement are shown. It is observed that the design 401 402 roof displacement is rarely attained during NLTHA, whilst higher base shears and increased overstrength is developed by the frames. It is therefore attested that using the DDBD 403 404 methodology together with the design displacement spectrum adopted, leads to conservative predictions of the irregular frames' roof displacement under NLTHA. Furthermore, it is 405 observed that, generally, SPO predictions provide a median behavior to the NLTHA results in 406 terms of base shear, which are more conservative in terms of roof displacement demand. 407

The drift profiles of the Seven-Storey and Ten-Storey Frames are depicted in Fig. 10. 408 409 Maximum absolute values from individual NLTHA and their average are plotted, along with the corresponding SPO values at the , and are compared to the design profile. Since the 410 design drift profile corresponds to the first inelastic mode of vibration, alterations are 411 412 expected in the displacement profiles obtained from analyses due to higher modes 413 contribution. Therefore, fundamental criterion for the success of the DDBD method is the non-exceedance of the maximum allowable drift of the design limit state, which has been 414 previously defined as  $\theta_c = 2.5\%$ . Even though Pettinga and Priestley (2005) observed good 415 agreement between the design displacement profile and the NLTHA results of regular frames, 416 more spurious results are obtained herein, especially for the Ten-Storey frames. This 417 phenomenon is both attributed to record type and P- $\delta$  effects. Since natural accelerograms 418 are scaled to match the design spectrum, a scatter in spectral demands exists throughout the 419 period range; therefore, the various modes of vibration are expected to have different 420

421 demands at different records, which will generally remain different as the structure softens.

422 The way P- $\delta$  effects modify response is similar to the behavior depicted on Fig. 9. In general, the displacements of the first levels are less than the design displacements. A plausible 423 explanation lies with the fact that the reinforcement at the base of the columns is increased in 424 425 order to comply with capacity design requirements of the upper levels. Thus, the lower part of the frame is made stiffer than the design assumptions and lower displacements are 426 427 expected. It is also noted that SPO produces more conservative results than NLTHA, closer to the maxima than the average obtained values. The standard SPO is incapable of incorporating 428 429 the higher mode effects at the response of the Ten-Storey Setback Frame, identifying the need of a more sophisticated procedure for such frames. 430

The drift profiles of the Seven-Storey Frames are in good agreement with the design drift 431 profile, except for the first levels and the roof. The similarity of the SPO and the NLTHA 432 results is also pointed out. The influence of the setback is not always evident, since both 433 Regular and irregular type A frames exhibit similar behavior. On the contrary, setting the 434 setback at a lower storey, as in Frame B, renders higher mode effects more important and 435 imposes higher drifts on the upper storeys. This influence of higher modes is more apparent 436 at the Ten-Storey Frames. Drifts are initially increasing with setback height, leading to 437 significant excess of the design values in the middle and upper parts of the Ten Storey frames, 438 439 especially in Ten Storey Frame A.





Fig. 10 Comparison of the peak absolute drift profiles under NLTHA, with design limit and SPO prediction at the design roof deformation, all buildings and records considered.

440 Ten – Storey Frame 10C exhibits storey drifts at the upper storeys that are less than their counterparts of Frame A, a behavior that could be anticipated from the capacity curves. 441 Absolute drifts are constantly lower than the design values. The average NLTHA response of 442 all frames does not exceed the 2.5% limit, in terms of drift. Therefore, the drift reduction 443 factor  $\omega_{\theta}$  used for design does not need modification. In conjunction with this observation, 444 together with the predicted capacity curves, it can be concluded that handling of P- $\delta$  and 445 higher mode effects at the global level is sufficient both for regular and irregular RC frames 446 considered. 447

The storey shear profiles of the Seven-Storey and the Ten-Storey Frames are illustrated in Fig. 11. For simplicity, only the shear forces of the inner frame columns are shown. The average column shear forces obtained by NLTHA are in excellent agreement with the capacity design assumptions, while SPO results underestimate their magnitude, a finding that

is consistent with the base shear plots of Fig.9; this is attributed to the fact that the imposed 452 lateral force profile under SPO, for these irregular frames, does not necessarily correspond to 453 the inertia force profiles during dynamic response under NLTHA. In some cases, the 454 envelope shear forces are also well predicted. The inherent conservatism of Eq. (9.5) of 455 Sullivan et al (2012) for tall regular frames has been attested by Priestley et al. (2007), but 456 this safety margin is shown to be sufficient to cover the shear amplification for the irregular 457 frames. Shear failure was avoided at every analysis. In fact, the margin between shear 458 demands and dependable shear strength was significant, since the detailing rules that are 459 460 enforced by codes were taken into account during shear design; the provided stirrup spacing was governed by avoidance of buckling of the longitudinal reinforcement. It is stressed out 461 that Priestley's et al (2007) suggestion is stricter than Eurocode limits. 462



Fig. 11 Comparison of the storey shear profiles under NLTHA with design and SPO at the design roof deformation, all buildings (interior frames) and records considered.

# 464 **7. SPO and NLTHA Analysis Results: Implications on Local Member Demands**

463

465 Attention is now drawn to the local level in order to identify if the global displacement

demands cause depletion of the local ductility capacity. Implications of global demands to the local level are important, since the achievement of the design performance level (i.e. the formation of a favorable collapse mechanism within the prescribed drift limits) depend on the condition of no failure at the local level, i.e. plastic rotation demands do not exceed the plastic rotation capacity. Both average maximum values and the development of plastic rotations are hereafter considered.

The average value of the maximum absolute plastic rotations obtained from NLTHA at each 472 plastic hinge is depicted graphically in Fig. 12a (again, only the inner setback frames are 473 474 shown for clarity). The percent total hysteretic energy absorbed separately by the beams and columns of each storey, is illustrated in Fig.12b. Since no exact values are plotted, these 475 figures provide a more qualitative approach; the formation of the prescribed collapse 476 mechanism (beam-sway) is attested and the sections that absorb more hysteretic energy are 477 identified. The spatial distribution and magnitude of the plastic rotations appear strongly 478 correlated with the drift profile. It is noted that the regular ten-storey frame utilizes middle 479 height beams and upper storey columns significantly more than the respective setback frame 480 that is depicted in Fig. 12. Capacity design has been successfully implemented since 481 insignificant plastic deformations were observed at columns. Therefore, Eq. (9.2) of Sullivan 482 483 et al (2012) seems to sufficiently enforce the as predicted hinge distribution in the beams, and therefore needs no modification. 484

485



Fig. 12 Distribution of plastic hinges (Left) and hysteretic energy absorption (Right) of: a) Seven-Storey Frame A and; b) Ten-Storey Frame A

Apart from the formation of a suitable collapse mechanism, essential are the checks 487 confirming that the plastic rotational capacity has not been exceeded. Fig. 13 illustrates the 488 development of plastic rotations during SPO at characteristic locations (continuous line), 489 along with the envelope values of NLTHA (dashes and crosses), compared to the rotational 490 capacity (dashed line, following EC8 - 3 2005, KANEPE 2012) and the design plastic 491 rotation (square point). In order to maintain the clarity of the plots, only the maximum plastic 492 rotations are plotted. The values depicted correspond to the beam end under negative 493 bending, because they are more critical due to the influence of gravity loads on the shear 494 495 span's length. Similar to Fig. 9, both the maximum plastic rotation with the corresponding roof displacement (dashes) and the plastic rotation at the instance of maximum roof 496 displacement (crosses) are depicted. In order to provide a better comparison between local 497 and global behavior, plastic rotations are also plotted against the corresponding storey drift -498 a more localized global deformation parameter, compared to the roof displacement. It is also 499 noted that the scatter from the monotonic trend is in this case reduced, compared with the 500 results plotted against the roof displacement, thus validating the use of the storey drift as a 501 reliable index of local damage for the case of irregular buildings. 502

503 Depletion of the rotational capacity was often observed in members that were subjected to 504 increased demands, such as base columns and first-storey beams. Since codified equations 505 include fixed-end rotations and shear deformations (EC8 – 3 2005, KANEPE 2012), failure 506 would be expected to be much more imminent than what these figures imply. The increase of





Fig. 13 Development of plastic rotations in the building beams in terms of: a) and b) the corresponding storey drift and; c) to e) the roof displacement

plastic rotation demands with reference to roof displacement, as obtained by NLTHA, 507 displayed a tendency that was effectively described by the static SPO. An exception to this 508 rule was posed by the Ten-Storey Frame R, where plastic rotation demands accumulated 509 510 earlier than predicted by SPO. The situation has been anticipated and is in line with the disharmony between displacements and the global instability of that structure, which has 511 been previously noted. Furthermore, the departure of drift demands from the drift design 512 profile is in line with the difference between design plastic rotation and analysis results. Even 513 though in terms of drift, the outcome was not severe, because ultimately there was 514 conformation with the drift limit of the limit state, this is not the case for plastic rotations; 515 Failure can be imminent, therefore jeopardizing the whole structure's response, while the 516 designer is not aware of the exceedance that could be accommodated during the design. It is 517

also pointed out that the response of the 10storey frame with the reduced reinforcement at column bases is effectively the same with its regular counterpart with unreduced reinforcement; the reduction of moment capacity at the bases is not enough to alter the global behavior in terms of drift. On the contrary, the frame is susceptible to soft storey failure at ground floor; Plastic rotations of the upper end of the ground floor column are doubled, when compared to their regular counterpart and become comparable to those at the base, where a hinge formed.

The influence of unequal bay lengths on local demands is now examined, considering the Ten 525 - Storey Frames, types B - C and D. After evaluation of the SPO and NLTHA results, it is 526 concluded that the global behavior in terms of drift remains unaffected by the shorter span 527 frame and bears similarity with their equal - bay counterparts. Fig. 14 illustrates the 528 development of plastic rotations from SPO (continuous line) and the NLTHA maxima (dashes 529 and crosses), compared with the rotational capacity (dashed line) for a long and a short beam 530 at the 6<sup>th</sup> storey (Figs. 14a and 14b, respectively). At first, it is observed that the local 531 532 demands on shorter beams do not significantly differ from the demands on longer beams and therefore cannot provide the basis for a rule, without further investigation. During SPO, the 533 534 similarity was anticipated, since a beam-sway mechanism is practically enforced. Furthermore, the development of plastic rotations during SPO is characterized by a linear 535 536 trend, which is further validated by the maxima points of the NLTHA. The discrepancies between design predictions and analysis demands that have been identified for drifts are also 537 538 valid for plastic rotations, signaling an overestimation of plastic rotation at the lower and an underestimation at the upper storeys, respectively. Depletion of rotation capacity is noted as 539 well, especially at the upper storeys. It is also noted that insignificant differences at the 540 seismic behavior where observed, when the external left bay was shortened instead of the 541 542 central.



Fig. 14 Development of beam plastic rotations of Ten-Storey Frame C, at: a) The longer span; and b) The shorter span.

In order to further investigate the effect of height irregularity, the setback storey at the Seven 543 – Storey Frames is set at the 3<sup>rd</sup> storey instead of the 5<sup>th</sup>. Thus, the upper part of the frame has 544 formed a tower, where higher mode effects are expected to be more significant. Fig. 15a 545 depicts the development of plastic rotations at a 4<sup>th</sup> storey beam along with the maxima points 546 of NLTHA for this case. It is observed that the trend of the SPO is still validated by the 547 548 NLTHA, albeit with higher scatter in the demands. It is further noted that the many NLTHA maxima are accompanied with an increased storey drift exceeding the 2.5% design limit, a 549 550 phenomenon that was less frequently observed in the other case buildings studied. The design plastic rotation is once again underestimated and the tower beams are more utilized than 551 expected. This finding is attested by Fig 15b, where the hysteretic energy absorption is 552 depicted. Increased amounts of energy are absorbed by the beams of the tower, especially 553 when compared to Fig 12a-(right), which represents a more regular behavior; Seven – Storey 554 Frame C absorbs energy more uniformly, while Frame A shows a triangular distribution. 555



Fig. 15. Seven-Storey Frame C (Tower): a) Development of beam plastic rotations; and b) Hysteretic energy absorption with height

A similar behavior was encountered at the profile of increasing vertical interstorey drifts with roof displacement, but the presented figures are more informative, because of the presence of an upper bound indicative of failure. Plastic rotational and drift demands differ from their respective design values in a similar manner.

560

# 561 **8. Performance of the Frame with Non-Uniform Column Section Dimensions**

So far, only the frame configurations with uniform sections have been considered. Figs. 16 -562 563 17 summarize the response of the Seven-Storey Setback Frame with the adoption of nonuniform column dimensions and designed using the iterative conventional analysis described 564 565 in Section (4.2). It is apparent that a frame designed according to such principles exhibits an 566 unfavorable behavior and is susceptible to soft storey at the setback level (Fig. 16a). Shear 567 failures are also observed, since both single NLTHA and average behavior shows that shear demand overrides the dependable strength (Fig. 16b). For these failures to be avoided, stirrup 568 configurations denser than design requirements were assumed, as shown in Fig. A1. 569

570 In terms of total base shear, significant overstrength ( $\Omega \approx 2.0$ ) was observed. The drift 571 profiles are constantly increasing with height, signifying a stiff behavior characteristic of 572 wall-type structures rather than frames (Fig. 16a). They also significantly deviate not only 573 from the design drift profile, but also from the drift limit, thus implying relatively higher damage in this structure than intended by the design performance level. Furthermore, as can be seen from the spatial distribution of plastic hinges in Fig. 17a, hysteretic energy was absorbed in a limited number of cross-sections, while, their rotational capacity was exceeded in several nonlinear dynamic analyses (Fig. 17b). Thus, the disharmony between design predictions and analysis results that is apparent in drift profiles is also observed in the member local behavior.



Fig. 16 Seven-Storey Setback Frame with non-uniform sections: a) Drift profiles and; b) Storey shear



Fig. 17 Seven-Storey Setback Frame A with non-uniform column section dimensions:a) Plastic rotation spatial distribution and;b) Development of a typical beam's plastic rotation under SPO and NLTHA (for notation, see Fig. 18).

# 580 9. Conclusions

581

582 The DDBD method has been applied to the design of several in height regular or irregular

setback RC frames seven and ten stories tall, with different numbers of recessed stories and
span irregularity. All structures were subsequently analyzed as plane frames under nonlinear
SPO and NLTHA analyses, using a set of fourteen base excitations. Considering the global
and local performance of the case structures, the following are concluded:

• With minor exceptions for the tall setback configurations, the peak roof displacement demand under NLTHA did not exceed the design roof displacement adopted in the DDBD design of the frames using the damped DDBD compatible design spectra proposed in Priestley *et al.* (2007).

Even though the influence of higher modes and P- $\delta$  effects were considered in 591 • design, their contribution to the response introduced significant deviations from the design 592 593 drift profiles observed by the NLTHA predictions, particularly for the Ten Storey Frames. 594 The maximum allowable drift for the design limit state, namely 2.5% was not, on average, exceeded for all fourteen NLTHA, thus confirming the success of the design in terms of drift. 595 However, considerable scattering deviation by as much as 200% of the design anticipated 596 597 value was obtained at the upper third of the buildings studied and for selected ground motions, with most notable differences obtained in the case of the Ten - Storey Setback and 598 599 Regular frames.

The SPO capacity curves of the buildings indicated an acceptable behavior and the 600 601 preservation of instability at acceptable levels. Therefore, the treatment of higher modes and P- $\delta$  effects, as is currently incorporated into DDBD design, is satisfactory for the cases 602 603 considered. However, based on the analysis findings, it is concluded that the taller regular frames were more susceptible to P- $\delta$  effects than the corresponding setback frames. 604 Additionally, the calculation of the required shear strength, as is currently enforced in 605 DDBD, was conservative enough to account for the shear amplification observed in the 606 irregular frames. 607

• Capacity design provisions were also proven to be sufficient for the irregular frame 609 designs, since no significant column hinging was observed apart from the base critical region 610 at the ground storey. Furthermore, no column hinging was observed at the recess levels. 611 Weak beam – strong column collapse mechanism was successfully enforced at all cases, 612 leading to a more stable behavior; inelastic behavior is limited to the inherently more ductile 613 members, namely the beams. The interrelation between local (member) and global (e-SDOF 614 structural) ductility demands requires further development, for better control of the local 615 damages incurred at the ultimate limit state design displacement. It has been repeatedly observed in the analyses herein that global e-SDOF displacements, characterizing the design 616 617 limit state, led to excessive local plastic rotation ductility demands that did not conform with the design limit state assumptions, leading many members to exhibit premature failure due to 618 depletion of their plastic deformation capacity. Therefore, not only the subsequent limit state 619 620 (collapse prevention) was more imminent than intended, but the achievement of the design limit state in itself (repairable damage) may be questionable. Further investigation is also 621 622 needed for a more accurate establishment of the beams' design plastic rotation; their values 623 have been repeatedly underestimated, a phenomenon that is consistent with the exceedance 624 of the design drift.

On the other hand, investigation of the local demands induced for the different forms 625 of irregularity show insignificant correlation between them. For the cases considered, 626 627 setbacks with both equal or unequal bay lengths do not significantly alter the plastic rotation demands on the beams, when compared to the regular configurations. Given the limited 628 629 amount of data, however, further investigation is needed, where other configurations, taller 630 and relatively slender building forms and additional types of geometric irregularity can be examined (e.g., other tower forms, frames with discontinuous beams or columns), before the 631 establishment of a general design rule. 632

An extensive comparative study of the alternative methods for linear structural 633 analysis showed that the iterative conventional procedure is inadequate for the design of 634 635 frames whose stiffness is inherently non - uniformly distributed. Such are the cases of unequal column dimensions or unequal bay lengths within the same storey. Stiffer bays 636 attracted the majority of the seismic load, regardless of the irregularity of the frame. The 637 638 seismic behavior of such frames was unacceptable, since drift limits and deformation capacity were often exceeded, while they were found to be susceptible to a soft storey 639 640 collapse mechanism and shear failures. Consequently, the only viable solution for the 641 rational design of such frames – apart from equilibrium considerations – is the adoption of 642 realistic estimates of the cracked stiffness in non-iterative analyses.

643

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- 733

# 734 Appendix

735

- The following figures depict the section dimensions and detailing of the frames designed andanalyzed herein.
- 738

### 7-STOREY FRAMES WITH SETBACK AND UNIFORM SECTIONS

	COLUM	IN REBARS	BEAN	REBARS	
8Ø18	8Ø18	8Ø18		2Ø16 (outer) 3Ø16 (inner)	
8Ø18	8Ø18	8Ø18		3Ø16 (outer) 4Ø16 (inner)	
8Ø18	8Ø18 (outer) 12Ø18 (inner)	8Ø18 (outer) 12Ø18 (inner)	8Ø18	4Ø16 (outer)	
8Ø18	8Ø18 (outer) 12Ø18 (inner)	8Ø18 (outer) 12Ø18 (inner)	8Ø18	5Ø16 (inner)	
8Ø18	12Ø18 (outer) 16Ø18 (inner)	12Ø18 (outer) 16Ø18 (inner)	8Ø18	5Ø16 (outer)	
8Ø18	12Ø18 (outer) 16Ø18 (inner)	12Ø18 (outer) 16Ø18 (inner)	8Ø18	6Ø16 (inner)	
8Ø18	12Ø18 (outer) 16Ø18 (inner)	12Ø18 (outer) 16Ø18 (inner)	8Ø18	5Ø16 (inner)	
~	77 /77	7 (117)	170	77	

BEAM SECTION DIMENSIONS: 60X30 cm

COLUMN SECTION DIMENTIONS: 45X45 cm

INNER FRAME

STIRRUPS: Ø8/100 AT EVERY COLUMN

## **REGULAR 7-STOREY FRAMES**

	c	COLUMN REBAR	S	BEAM REBARS
				3Ø16
8Ø18	8Ø18	8Ø18	8Ø18	0.010
8Ø18	8Ø18	8Ø18	8Ø18	31216
	0.000	0.000		3Ø16 (outer)
8Ø18	8Ø18 (outer) 12Ø18 (inner)	8Ø18 (outer) 12Ø18 (inner)	8Ø18	4Ø16 (inner)
	8018 (outer)	8018 (outer)		4Ø16 (outer)
8Ø18	12Ø18 (inner)	12Ø18 (inner)	8Ø18	5016 (inner)
	12018 (outer)	12Ø18 (outer)		5Ø16 (outer)
8Ø18	16Ø18 (inner)	16Ø18 (inner)	8Ø18	(inner)
				5Ø16 (outer)
8Ø18	12Ø18 (outer) 16Ø18 (inner)	12Ø18 (outer) 16Ø18 (inner)	8Ø18	7Ø16 (inner)
				4Ø16 (outer)
8Ø18	12Ø18 (outer) 16Ø18 (inner)	12Ø18 (outer) 16Ø18 (inner)	8Ø18	5Ø16 (inner)
177.	<i>n n</i> n.	7 171	7 177	77

BEAM SECTION DIMENSIONS: 60X30 cm COLUMN SECTION DIMENTIONS: 45X45 cm STIRRUPS: Ø8/100 AT EVERY COLUMN

# 7-STOREY FRAMES WITH SETBACK AND NON-UNIFORM SECTIONS

#### OUTER FRAME COLUMN REBARS BEAM REBARS 50x30 2Ø18 30x30 4Ø18 35x35 16Ø20 35x35 16Ø20 50x30 4Ø18 30x30 4Ø18 35x35 16Ø20 35x35 16Ø20 60x30 4Ø18 40x40 16Ø20 35x35 8Ø18 40x40 16Ø20 35x35 8Ø18 60x30 5Ø18 40x40 16Ø20 40x40 16Ø20 35x35 8Ø18 35x35 8Ø18 60x30 6Ø18 40x40 8Ø18 40x40 8Ø18 45x45 20Ø20 45x45 20Ø20 70x30 7Ø18 45x45 20Ø20 45x45 20Ø20 40x40 8Ø18 40x40 8Ø18 70x30 5Ø18 40x40 8Ø18 40x40 8Ø18 45x45 20Ø20 45x45 20Ø20

	COLUMN R	BEAN	REBARS	
35×35	40×40	40×40		50x30
8Ø18 Ø8/100	16Ø20 Ø8/100	16Ø20 Ø8/100		2010
				50x30
35x35 8Ø18 Ø8/100	40x40 16Ø20 Ø8/100	40x40 16Ø20 Ø8/100		5Ø18
				60x30
40x40 8Ø18 Ø8/100	45x45 16Ø20 Ø8/100	45x45 16Ø20 Ø8/100	40x40 8Ø18 Ø8/100	5Ø18
00/100	00/100	00/100	00/100	60x30
40x40 8Ø18 Ø8/100	45x45 16Ø20 Ø8/100	45x45 16Ø20 Ø8/100	40x40 8Ø18 Ø8/100	6Ø18
20/100	20/100	201100	20/100	60x30
45x45 8Ø18 Ø8/100	50x50 16Ø20 Ø8/100	50x50 16Ø20 Ø8/100	45x45 8Ø18 Ø8/100	7Ø18
				70x30
45x45 8Ø18 Ø8/90	50x50 16Ø20 Ø8/100	50x50 16Ø20 Ø8/100	45x45 8Ø18 Ø8/90	8Ø18
				70x30
45x45 8Ø18 Ø8/90	50x50 16Ø20 Ø8/90	50x50 16Ø20 Ø8/90	45x45 8Ø18 Ø8/90	6Ø18
			~	

STIRRUPS: Ø8/100 AT EVERY COLUMN

Fig. A1 Section dimensions and detailing of the Seven-Storey Frames R and A.

#### REGULAR 10-STOREY FRAMES

#### OUTER FRAME INNER FRAME COLUMN REBARS BEAM REBARS COLUMN REBARS BEAM REBARS 3Ø16 3Ø16 40x40 8Ø18 Ø8/100 40x40 8Ø18 Ø8/100 40x40 8Ø18 Ø8/100 40x40 8Ø18 Ø8/100 35x35 8Ø18 35x35 8Ø18 35x35 8Ø18 35x35 8Ø18 3Ø16 3Ø16 40x40 8Ø18 Ø8/100 40x40 8Ø18 Ø8/100 40x40 8Ø18 Ø8/100 40x40 8Ø18 Ø8/100 35x35 8Ø18 35x35 8Ø18 35x35 8Ø18 35x35 8Ø18 3Ø16 4Ø16 45x45 8Ø18 Ø8/100 45x45 8Ø18 Ø8/100 45x45 8Ø18 Ø8/90 45x45 8Ø18 Ø8/90 40x40 8Ø18 40x40 12Ø18 40x40 12Ø18 40x40 8Ø18 3Ø16 5Ø16 45x45 8Ø18 Ø8/100 45x45 8Ø18 Ø8/100 45x45 8Ø18 Ø8/80 45x45 8Ø18 Ø8/80 40x40 8Ø18 40x40 12Ø18 40x40 12Ø18 40x40 8Ø18 4Ø16 5Ø16 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 45x45 12Ø18 45x45 8Ø18 45x45 12Ø18 45x45 8Ø18 4Ø16 6Ø16 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 45x45 8Ø18 45x45 12Ø18 45x45 12Ø18 45x45 8Ø18 7Ø16 5Ø16 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/90 50x50 12Ø18 Ø8/90 50x50 12Ø18 50x50 12Ø18 50x50 12Ø18 50x50 12Ø18 5Ø16 7Ø16 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/100 50x50 12Ø18 Ø8/90 50x50 12Ø18 Ø8/90 50x50 12Ø18 50x50 12Ø18 50x50 12Ø18 50x50 12Ø18 6Ø16 7Ø16 55x55 12Ø18 Ø8/100 55x55 12Ø18 Ø8/100 55x55 12Ø18 Ø8/90 55x55 12Ø18 Ø8/90 55x55 12Ø18 55x55 12Ø18 55x55 12Ø18 55x55 12Ø18 4Ø16 5Ø16 55x55 12Ø18 Ø8/100 55x55 12Ø18 Ø8/100 55x55 12Ø18 Ø8/90 55x55 12Ø18 Ø8/90 55x55 12Ø18 55x55 12Ø18 55x55 12Ø18 55x55 12Ø18 BEAM SECTION DIMENSIONS: 60X30 cm BEAM SECTION DIMENSIONS: 60X30 cm STIRRUPS: Ø8/100 AT EVERY COLUMN

# Fig. A2 Section dimensions and detailing of the Ten-Storey Frame R.



10-STOREY FRAMES WITH SETBACKS

Fig. A3 Section dimensions and detailing of the Ten-Storey Frame A.

#### OUTER FRAME



BEAM REBARS

#### **INNER FRAME**

COLUMN REBARS



STIRRUPS: Ø8/100 AT EVERY COLUMN

CO	Lumn Rebai	RS		BEAM REBARS
35x35	35x35	35x35	35x35	3Ø16
8Ø18	8Ø18	8018	8Ø18	
35x35	35x35	35x35	35x35	3016
8Ø18	8Ø18	8018	8Ø18	
40x40	40x40	40x40	40x40	3016
8Ø18	12Ø18	12Ø18	8Ø18	
40x40	40x40	40x40	40x40	4Ø16
8Ø18	12Ø18	12Ø18	8Ø18	
45x45	45x45	45x45	45x45	5016
8Ø18	12018	12018	8Ø18	
45x45	45x45	45x45	45x45	6Ø16
8Ø18	12018	12Ø18	8Ø18	
50x50	50x50	50x50	50x50	6016
12Ø18	12Ø18	12Ø18	12Ø18	
50x50	50x 50	50x50	50x50	7016
12Ø18	12Ø18	12Ø18	12Ø18	
55x55	55x55	55x55	55x55	7016
12Ø18	12Ø18	12Ø18	12Ø18	
55x55	55x55	55x55	55x55	6016
12Ø18	12Ø18	12Ø18	12Ø18	
			////	7

STIRRUPS: Ø8/100 AT EVERY COLUMN

INNER FRAME



Fig. A5 Section dimensions and detailing of the Ten-Storey Frame B with Unequal Middle Bay length.



Fig. A6 Section dimensions and detailing of the Ten-Storey Setback Frame D with Unequal External Bay length.



Fig. A7 Section dimensions and detailing of the Seven-Storey Tower Frame B.

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