

**Highlights:**

- The effect of grafting over photosynthesis in different species was evaluated
- There was no impact of self-grafting on photosynthetic traits
- Differences in photosynthetic traits depended on the rootstock genotype
- Grafting has the capability to increase the intrinsic water-use efficiency
- Rootstocks had different mechanisms to reduce abiotic stress effects over photosynthesis

1 **Title:** The influence of grafting on crops' photosynthetic performance

2

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1 **Abstract**

2 In a near scenario of climate change where stress-derived limitations on crop yield by  
3 affecting plant gas-exchange are expected, grafting may become a cheap and easy  
4 technique to improve crops photosynthetic performance and water-use efficiency.  
5 Inconsistent data of the effect of rootstocks over gas-exchange can be found in literature,  
6 being necessary an integrative analysis of the effect of grafting over photosynthetic  
7 parameters. With this aim, we present a compilation of the effect of graft on the net CO<sub>2</sub>  
8 assimilation rate ( $A_N$ ) and other photosynthetic parameters across different species with  
9 agronomic interest. No differences were observed in any photosynthetic parameter  
10 between non-grafted and self-grafted plants under non-stress conditions. However,  
11 differences were found depending on the used rootstock, particularly for the intrinsic  
12 water-use efficiency (WUE). We observed that variations in  $A_N$  induced by rootstocks  
13 were related to changes in both diffusive and biochemical parameters. Under drought or  
14 salt stress, different photosynthetic performance was observed depending on the  
15 rootstock, although the high variability among studies led to remarkable results.  
16 Overall, we observed that grafting can be a useful technique to improve plant  
17 photosynthetic performance, and therefore, crop yield and WUE, and that the rootstock  
18 selection for a target environment is determinant for the variations in photosynthesis.

19

20 **Keywords:** Drought, Photosynthesis, Rootstock, Salinity, Scion, Water Use Efficiency

## 1. Introduction

Grafting is a very ancient technique, consisting in the union of a plant shoot (scion) and a root system (rootstock). For centuries, grafting has been used in woody fruit trees and forestry as a clonal propagation system [1,2], and more recently extended to horticultural crops, mainly in cucurbits and solanaceous species [3]. Nowadays, it is a widely used technique in orchards and greenhouses, overcoming the use of graft for clonal propagation purposes, and focusing the target of rootstocks selection in improving agronomic and physiologic traits [4].

Grafting induces a dramatic stress for plants, since water and nutrient flow from roots to shoots is interrupted until the new xylem is re-established. Different biological steps need to occur during graft union formation, involving differential gene expression and hormonal signaling [5–9]. After adhesion of both graft partners and callus cell proliferation at the graft interface, it takes 3-4 days after grafting to reconnect phloem for most of the vegetables, while xylem reconnects after 6-7 days [10,11].

Not only graft compatibility, but also the rootstock traits determine scion performance. Rootstocks are mainly used to increase biotic [12] and abiotic [13,14] stress tolerance and scion vigour [15,16]. Despite the mechanisms through which rootstocks affect scion are not fully understood yet, there are some evidences of higher root hydraulic conductance [17–19] and extended soil exploration [20,21] of scions grafted onto vigorous rootstocks. Furthermore, the growth promotion of particular rootstocks has been related with an increased nutrient acquisition capacity, which was translated in higher leaf chlorophyll content or fluorescence [22–26]. Another described effect of grafting is the alteration of the hormonal balance between rootstock-scion (detailed review in [27,28]). Changes in the xylem sap concentration of ABA, cytokinins and ethylene precursor aminocyclopropane-1-carboxylic acid (ACC) have been reported when using high-

1 vigorous rootstocks as compared to low-vigorous ones or non-grafted plants, interacting  
2 with leaf size, stomatal closure and water loss [29–33]. Also, the enhancement of  
3 proteomic and metabolic activities involved in Calvin cycle, amino acids biosynthesis,  
4 ROS defense [34] and increased biochemical activity [35] were observed in scion leaves  
5 in response to grafting.

6         Considering all the described effects of grafting over scion development, it is  
7 reasonable to expect an effect of grafting on the photosynthetic performance, and  
8 specifically the leaf gas-exchange governing carbon and water balance. Even very similar  
9 rootstocks, with comparable commercial traits (enhanced scion yield, vigor...), may have  
10 different effect over photosynthesis (positive or negative) depending on many factors.

11 Leaf gas-exchange is regulated by stomata, epidermal pores composed by two specialized  
12 guard cells, modulating their aperture in response to environmental conditions [36]. When  
13 stomata open, atmospheric CO<sub>2</sub> enters the leaf at a rate depending on photosynthetic CO<sub>2</sub>  
14 fixation and diffusive resistances to CO<sub>2</sub>, which are imposed by the stomata itself and the  
15 leaf mesophyll). Concomitantly, water vapor is lost at a rate depending on the leaf-to-air  
16 vapor pressure deficit and on the stomatal conductance ( $g_s$ ). Under saturating irradiance,  
17 the CO<sub>2</sub> fixation into sugar phosphates in the chloroplasts mostly depends on the activity  
18 of Rubisco ( $V_{cmax}$ ) [37]. Increasing the photosynthetic capacity is widely accepted as  
19 critical to enhance crop yield [38–40], and both diffusive and biochemical traits have been  
20 identified as targets to improve the net CO<sub>2</sub> assimilation rate [41–43]. However, crop  
21 water status and the link to stomatal conductance are also important considerations  
22 determining leaf photosynthesis and field crop performance [44,45]. In this sense, the  
23 ratio between leaf CO<sub>2</sub> assimilation and water loss determines the intrinsic water-use  
24 efficiency ( $WUE_i$ ), a key measure of the efficiency of the use of water resources and a  
25 target for crop selection and breeding [46,47]. Nevertheless, plants with increased  $WUE_i$

1 are often endowed with reduced biomass and yield, with an ongoing debate about the  
2 tradeoff between water use and actual yield [48–50]. In this sense, grafting may become  
3 an achievable way to disrupt this tradeoff by selecting superior rootstock × scion  
4 combinations with improved both WUE and yield. In a scenario of climate change, with  
5 higher variability of rainfall [51,52] and higher temperatures [53], finding new strategies  
6 or mechanisms to maximize WUE become unavoidable.

7         To our knowledge, this is the first time that a review study aims at compiling  
8 recent literature (since late 20<sup>th</sup> century) on rootstock-mediated effects on photosynthesis  
9 in grafted species with agronomic interest. Data on  $A_N$ ,  $g_s$  and  $WUE_i$ , among other  
10 photosynthetic parameters, have been integrated with the following objectives: (i) to  
11 determine if grafting has an effect over crops' photosynthetic performance, (ii) to analyze  
12 if the used rootstock influences any of the compiled parameters under non-stress  
13 conditions, and (iii) to examine the role of grafting and rootstocks maintaining the  
14 photosynthetic capacity under abiotic stress conditions. Moreover, in spite of the scarce  
15 information available, an attempt has been done to correlate the rootstock effect on  
16 photosynthesis and crop yield.

## 1 2. Methods

2 Peer-reviewed literature containing data of the net CO<sub>2</sub> assimilation (A<sub>N</sub>) of  
3 grafted plants from different species with agronomic interest published over the last 20  
4 years was compiled (Table 1). Literature was identified by Thompson-ISI Web of Science  
5 (Philadelphia, USA) and Google-Google Scholar (Mountain View, USA). Aside of A<sub>N</sub>,  
6 when available, data of other photosynthetic parameters were also extracted from the  
7 original reports and included in the database: stomatal conductance (g<sub>s</sub>), intrinsic water-  
8 use efficiency (WUE<sub>i</sub>), sub-stomatal CO<sub>2</sub> concentration (C<sub>i</sub>), transpiration rate (E),  
9 mesophyll conductance (g<sub>m</sub>), CO<sub>2</sub> concentration in the chloroplast (C<sub>C</sub>), efficiency of  
10 photosystem II (ΦPSII), maximum quantum efficiency of photosystem II (F<sub>v</sub>/F<sub>m</sub>),  
11 maximum rate of electron transport (J<sub>max</sub>), photochemical (qP) and non-photochemical  
12 quenching (NPQ), chlorophyll content, maximum rate of Rubisco carboxylation (V<sub>cmax</sub>),  
13 Rubisco activity, Rubisco content, yield, use of triose-P (TPU), leaf water potential (Ψ<sub>w</sub>),  
14 mesophyll thickness, leaf nitrogen content (leaf N), carbon to nitrogen ratio (C/N), leaf  
15 mass per area (LMA), carbon isotope composition (δ<sup>13</sup>C) and plant hydraulic conductivity  
16 (K<sub>L</sub>). All measurements included in the present analysis were performed after a prudential  
17 time after grafting, in order to ensure a complete re-establishment of vascular and tissue  
18 connections and avoid any kind of post-grafting stress

19 When not provided, WUE<sub>i</sub> was calculated from A<sub>N</sub> and g<sub>s</sub> values reported in the  
20 original papers as:

$$21 \text{ WUE}_i = \frac{A_N}{g_s}$$

22 Finally, the database also included information on the scion and rootstock species  
23 and variety name, primary target environment for the rootstock selection, growth  
24 conditions and bibliographic data.

1           Compiled articles followed different criteria when defining the used rootstock,  
2 depending on the aim of the study. Hence, according to the literature available  
3 information, we classified the rootstocks in 5 main categories, using the following  
4 criteria: rootstocks commonly used to increase vigor or frequently used in commercial  
5 fields were labeled as commercial (C); rootstocks defined as drought tolerant or with  
6 enhanced performance under drought stress were labeled as drought tolerant (D);  
7 rootstocks defined as salt tolerant or with enhanced performance under salt stress were  
8 labeled as salt tolerant (S), rootstocks defined as tolerant to low temperatures or with  
9 enhanced performance under low temperatures were labeled as cold tolerant (T); wild  
10 species used as rootstocks were labeled as wild relative rootstocks (W); and rootstocks  
11 without particular tolerances to biotic or abiotic stresses, not being wild species, and not  
12 used in commercial fields were labeled as experimental rootstocks (E). Supplementary  
13 Table 1 compiles all the included rootstocks in our analysis, indicating their genus,  
14 species, cultivar, common name and the rootstock group where it belongs.

15           Compiled data was classified according to the type and intensity of abiotic stress  
16 applied to the plants. Although there were data belonging to plants subjected to different  
17 aerial CO<sub>2</sub> concentration, soil flooding, low and high nutrient conditions, salt, drought,  
18 heavy metal toxicity and high and low temperatures stresses, only drought and salt stress  
19 provided enough data for a quantitative analysis. For drought stress, two intensities were  
20 defined: moderate stress when the plant water potential ( $\Psi_w$ ) was  $-1.1 \text{ MPa} < \Psi_w < -1.99$   
21  $\text{MPa}$  or when the leaf relative water content (RWC) was  $80 \% < \text{RWC} < 90\%$ ; and severe  
22 stress at  $\Psi_w < -2 \text{ MPa}$ ,  $\text{RWC} < 79 \%$  or irrigation lower than 30 % as compared to non-  
23 stressed plants. For salt stress, three intensities were defined depending on the  
24 concentration of NaCl in the solution used to irrigate the plants: mild stress at 30 - 50  
25 mM, moderate stress at 51 - 100 mM and severe stress above 100 mM.



1            One-way ANOVA was performed to compare among non-grafted, self-grafted  
2 and rootstock combinations, and also among rootstock combinations ( $P < 0.05$  after  
3 Duncan *post-hoc* test). Dunnett's multiple comparison test was performed to assess  
4 differences of rootstock combinations with non- and self-grafted plants. Pearson's  
5 correlations ( $r$ ) were calculated to determine the relationships among the studied  
6 parameters. All statistical analyses were performed using R software (ver. 3.5.0.; R Core  
7 Team, Vienna, Austria).

- 1 Table 1. Summary of the grafted species included in this study. From left to right: common name, species and family of the scion, rootstock species, primary target environment,
- 2 growth conditions (greenhouse or open field, pot or soil), measurements included in the articles (divided in four categories: gas-exchange, fluorescence, Rubisco and other
- 3 parameters) and references.

Common name and species of the scion (Family)	Rootstock species	Primary target environment	Growth conditions	Measurements				References
				Gas-exchange	Fluorescence	Rubisco	Other	
Pepper <i>Capsicum annuum</i> L. (Solanaceae)	<i>C. annuum</i> , <i>C. chinense</i> , <i>C. baccatum</i>	Drought tolerance, salinity tolerance, temperature tolerance	Greenhouse, open field, pot, soil	$A_N$ , $g_s$ , WUE, $C_i$	$\Phi$ PSII, $F_v/F_m$ , $J_{max}$	$V_{cmax}$	Yield, TPU,	[24,35,54–57]
Watermelon <i>Citrullus lanatus</i> (Thunb.) Matsum and Nakai (Cucurbitaceae)	<i>C. lanatus</i> , <i>C. maxima</i> x <i>C. moschata</i> , <i>L. siceraria</i> , <i>C. maxima</i> , <i>C. pepo</i> , <i>C. moschata</i>	Low nitrogen tolerance, salinity tolerance, cadmium toxicity, low Mg	Greenhouse, open field, pot, soil	$A_N$ , $g_s$ , E, WUE, $C_i$	qP, NPQ, $\Phi$ PSII, ETR, $F_v/F_m$ , $J_{max}$ , chlorophyll content	$V_{cmax}$ , Rubisco activity	$\Psi_w$ , mesophyll thickness, leaf N	[34,58–64]
Muskmelon <i>Cucumis melo</i> L. (Cucurbitaceae)	<i>C. maxima</i> x <i>C. moschata</i> , <i>C. melo</i>	Salinity tolerance, photosynthetic performance improvement	Greenhouse, pot	$A_N$ , $g_s$ , E, WUE, $C_i$	Chlorophyll content		Leaf N	[65,66]
Cucumber <i>Cucumis sativus</i> L. (Cucurbitaceae)	<i>C. sativus</i> , <i>L. cylindrical</i> , <i>C. ficifolia</i> , <i>C. pepo</i> , <i>C. maxima</i> x <i>C. moschata</i> , <i>C. melo</i> , <i>C. moschata</i>	Temperature tolerance, salinity tolerance, photosynthetic performance improvement, nematode tolerance,	Greenhouse, pot, soil	$A_N$ , $g_s$ , E, WUE, $C_i$	qP, $\Phi$ PSII, ETR, $F_v/F_m$ , NPQ, $J_{max}$ , Chlorophyll content	$V_{cmax}$ , Rubisco content, Rubisco activity	Yield, $\Psi_w$ , LMA, C/N, leaf N	[66–75]
Tomato <i>Solanum lycopersicum</i> L. (Solanaceae)	<i>S. lycopersicum</i> , <i>S. habrochaites</i> , <i>S. pennellii</i> , <i>S. sessiflorum</i> , <i>S. melongena</i> , <i>S. pimpinellifolium</i> , <i>S. tuberosum</i>	Temperature tolerance, drought tolerance, graft compatibility, salinity tolerance, photosynthetic performance improvement, resistance to biotic stress, cadmium stress, pesticide tolerance	Greenhouse, open field, pot, soil	$A_N$ , $g_s$ , E, $C_i$	$\Phi$ PSII, $F_v/F_m$ , $F_v'/F_m'$ , NPQ, $J_{max}$ , chlorophyll content	$V_{cmax}$	Yield, meshophyll thickness, LMA, leaf N	[76–85]
Aubergine <i>Solanum melongena</i> (Solanaceae)	<i>S. melongena</i>	Cold tolerance	Greenhouse, pot	$A_N$				[86]

Soybean <i>Glycine max</i> L. (Fabaceae)	<i>G. max</i>	Photosynthetic performance improvement	Greenhouse, pot	$A_N$ , $g_s$ , E, WUE,	qP, $\Phi$ PSII, ETR, Chlorophyll content	Rubisco content, Rubisco activity	[87]
Cotton <i>Gossypium hirsutum</i> L. (Malvaceae)	<i>G. hirsutum</i>	Plant growth	Greenhouse, pot	$A_N$	Chlorophyll content		[88]
Sweet potato <i>Ipomoea batatas</i> Lam. (Convolvulaceae)	<i>I. batatas</i>	Photosynthetic performance improvement	Greenhouse, pot	$A_N$			[89]
Green bean <i>Phaseolus vulgaris</i> L. (Fabaceae)	<i>P. vulgaris</i>	Drought tolerance	Greenhouse, pot	$A_N$ , $g_s$ , WUE,			[90]
Radish <i>Raphanus sativus</i> L. (Brassicaceae)	<i>R. sativus</i>	Photosynthetic performance improvement	Greenhouse, pot	$A_N$		$V_{cmax}$ , Rubisco content, Rubisco activity	LMA, leaf N [91,92]
Kiwifruit <i>Actinidia chinensis</i> Planch. (Actinidiaceae)	<i>A. kolomita</i> , <i>A. polygama</i> , <i>A. macrosperma</i> , <i>A. hemsleyana</i>	Plant hydraulic conductance improvement	Open field, soil	$A_N$ , $g_s$ , $C_i$			$\delta^{13}C$ , $K_L$ [18]
Atemoya <i>Annona x atemoya</i> Mabb. (Annonaceae)	<i>A. atemoya</i>	Photosynthetic performance improvement, plant development	Greenhouse, pot	$A_N$ , $g_s$ , E, WUE,			Leaf N [93]
Orange tree <i>Citrus x sinensis</i> L. Osbeck (Rutaceae)	<i>C. limonia</i> , <i>C. paradisi</i> x <i>P. trifoliata</i> , <i>C. sunki</i> , <i>C. aurantium</i> , <i>C. jambhiri</i> , <i>C. reticulata</i> , <i>P. trifoliata</i>	Photosynthetic performance improvement, tolerance to boron toxicity, flooding and salt stress	Greenhouse, open field, pot, soil	$A_N$ , $g_s$ , $g_m$ , $C_i$ , $C_C$ ,	$F_v/F_m$ , NPQ, $J_{max}$ , chlorophyll content	$V_{cmax}$	$\Psi_w$ , $K_L$ [94–99]
Apple tree <i>Malus domestica</i> Borkh (Rosaceae)	<i>M. domestica</i>	Plant growth	Greenhouse, pot	$A_N$			[100]

Sweet cherry <i>Prunus avium</i> L. (Rosaceae)	<i>P. avium</i> , <i>P. cerasus</i>	High CO <sub>2</sub> response	Greenhouse, open field, pot, soil	A <sub>N</sub> , g <sub>s</sub> , E, WUE, C <sub>i</sub>	F <sub>v</sub> /F <sub>m</sub> , chlorophyll content		Ψ <sub>w</sub> , LMA	[101,102]
Peach <i>Prunus persica</i> L. Batsch (Rosaceae)	<i>Prunus sp.</i>	Salinity tolerance	Greenhouse, pot	A <sub>N</sub> , g <sub>s</sub>			Ψ <sub>w</sub>	[103]
Common pear <i>Pyrus communis</i> L. (Rosaceae)	<i>C. oblonga</i>	Drought tolerance	Open field, soil	A <sub>N</sub> , g <sub>s</sub>	ΦPSII, NPQ			[104]
Grape vine <i>Vitis vinifera</i> L. (Vitaceae)	<i>V. berlandieri</i> , <i>V. champanii</i> , <i>V. longii</i> , <i>V. olonis</i> , <i>V. riparia</i> , <i>V. rupestris</i> , <i>V. vinifera</i>	Photosynthetic performance improvement, nutrient uptake	Greenhouse, open field, pot, soil	A <sub>N</sub> , g <sub>s</sub> , E, WUE, C <sub>i</sub>	qP, ETR, chlorophyll content	Rubisco activity	Ψ <sub>w</sub> , δ <sup>13</sup> C, SLA	[31,105–109]

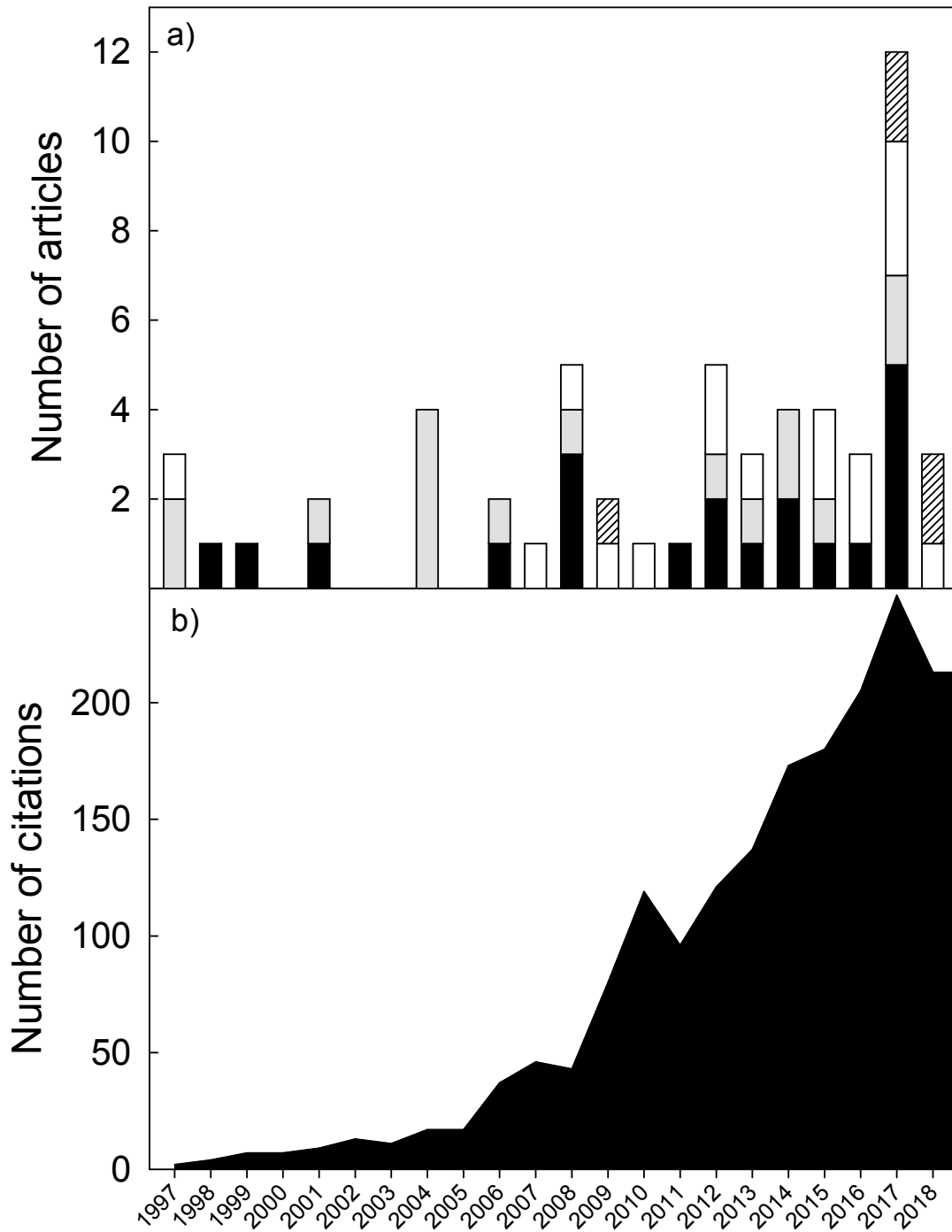
### 1 **3. Results**

#### 2 **3.1. Increasing interest in improving photosynthetic performance via grafting**





3 Over the last 20 years, 57 original research papers including data on the net CO<sub>2</sub>  
4 assimilation rate (A<sub>N</sub>) of grafted plants with agronomic interest have been published in  
5 peer-reviewed journals. The number of published articles has been kept more or less  
6 constant between one and 5 papers per year, with the exception of 2017 when 12 papers  
7 were published (Fig. 1a). The number of citations for these articles has been increasing  
8 up to approximately 250 in the last 3 years (Fig. 1b), denoting an increasing interest on  
9 the effect of grafting on photosynthesis and its interaction with agronomic performance.

10 In these articles, 19 species have been tested as scions and 23 as rootstocks (Table  
11 1). The main target of the compiled articles was to test new rootstocks (41%), and  
12 rootstocks with an improved tolerance to salt (22%) and drought stress (19%). Also, other  
13 topics as to assess the effect of the grafting method on plant growth or to test the effects  
14 of rootstock on biotic stresses were studied. Different growth conditions were observed  
15 across the compiled articles, with 21% of the studies performed in open field and 79% in  
16 greenhouse conditions. Plants were grown in pots in 74% of the studies (7%  
17 hydroponically) and 21% directly in soil (Table 1). No differences were observed in A<sub>N</sub>  
18 or other photosynthetic parameters between pot and soil grown plants for any of the  
19 species (data not shown), and therefore no distinction between growth conditions was  
20 considered in the analyses performed in this study.

21 From the 57 compiled studies, 9% included both non-grafted and self-grafted  
22 plants as controls of the rootstocks' combinations, 28% only self-grafted, 35% only non-  
23 grafted and 28% did not use neither as controls (Fig. 1a).



1

2 Figure 1. a) Number of articles published per year in peer-reviewed journals since late 20<sup>th</sup> century  
 3 containing values of  $A_N$  of grafted combinations from species with agronomic interest. Different colors of  
 4 stacked bars indicate the number of articles containing as controls of rootstock combinations both non-  
 5 grafted and self-grafted plants , only non-grafted , only self-grafted  or neither ; b)  
 6 number of citations per year of the articles showed in Fig. 1a.

### 1 3.2. Effect of grafting on photosynthesis under non-stress conditions

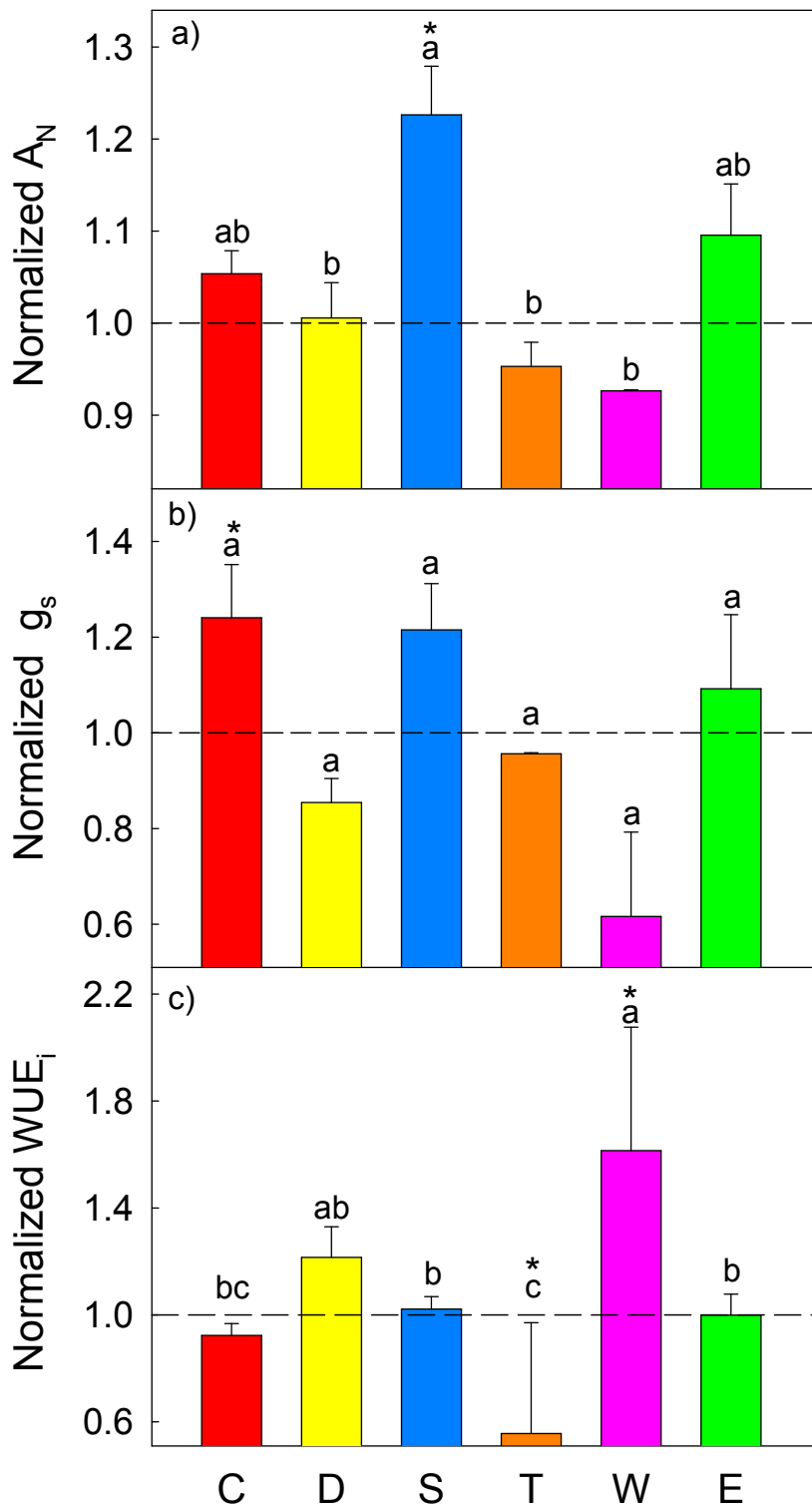
2 When combining data for the same species, no differences were observed between non-  
3 grafted and self-grafted plants for any of the included scion species in  $A_N$ , stomatal  
4 conductance ( $g_s$ ) or intrinsic water-use efficiency ( $WUE_i$ ) under non-stress conditions  
5 (Table 2). In consequence, from now on, we considered both non-grafted and self-grafted  
6 as control plants. Similarly, there were non-significant differences when comparing  
7 control plants with graft combinations where the rootstock genotype is different to the  
8 scion genotype (here defined as rootstock combinations) (Table 2).

9 Although no differences were observed within each scion species for any  
10 photosynthetic parameter under optimal growth conditions, some differential trends were  
11 observed when considering the type of rootstock (Fig. 2). Plants grafted onto salt tolerant  
12 rootstocks significantly increased  $A_N$  in 23% as compared to control plants (Fig. 2a).  
13 When comparing among rootstocks, scions grafted onto salt tolerant rootstocks had  
14 significantly higher  $A_N$  than scions grafted onto low temperature tolerant, drought tolerant  
15 and wild relatives' rootstocks. Regarding  $g_s$ , only scions grafted onto commercial  
16 rootstocks differed significantly (24% increase) from control plants (Fig. 2b). No  
17 significant differences were observed in  $g_s$  among the used rootstocks due to the large  
18 variability, although scions grafted onto wild relatives and drought tolerant rootstocks  
19 tend to decrease, respectively, 40% and 20% their  $g_s$  as compared to control plants. As  
20 for  $WUE_i$ , scions grafted onto wild relative rootstocks significantly increased 61% their  
21  $WUE_i$  as compared to control plants, due to the low  $g_s$  (Fig. 2c), presenting also higher  
22  $WUE_i$  than any other rootstock combination except scions grafted onto drought tolerant  
23 rootstocks. Scions grafted onto low temperature tolerant rootstocks significantly  
24 decreased their  $WUE_i$  (Fig. 2c).

Table 2. Net CO<sub>2</sub> assimilation rate (A<sub>N</sub>), stomatal conductance (g<sub>s</sub>) and intrinsic water-use efficiency (WUE<sub>i</sub>) for the different scion species and graft combinations under non-stress conditions. ‘Non’ refers to non-grafted plants, ‘Self’ to self-grafted plants and ‘Root’ to rootstock combinations. ‘NA’ for non-available data. Data are means ± SE. Number of replicates indicated in brackets near each value. Letters denote significant differences among graft combinations within each scion species by one-way ANOVA after Duncan *post-hoc* test (*P* < 0.05).

Scion species	A <sub>N</sub> μmol CO <sub>2</sub> m <sup>-2</sup> s <sup>-1</sup>	g <sub>s</sub> mol H <sub>2</sub> O m <sup>-2</sup> s <sup>-1</sup>	WUE <sub>i</sub> μmol CO <sub>2</sub> mol <sup>-1</sup> H <sub>2</sub> O
<i>Capsicum annuum</i>			
Non	19.29 ± 2.59 <sup>a</sup> (n = 6)	0.45 ± 0.09 <sup>a</sup> (n = 6)	49.32 ± 6.96 <sup>a</sup> (n = 6)
Self	NA	NA	NA
Root	20.63 ± 1.04 <sup>a</sup> (n = 18)	0.46 ± 0.05 <sup>a</sup> (n = 18)	52.01 ± 5.29 <sup>a</sup> (n = 18)
<i>Citrullus lanatus</i>			
Non	13.76 ± 5.54 <sup>a</sup> (n = 3)	0.24 ± 0.02 <sup>a</sup> (n = 2)	77.68 ± 12.13 <sup>a</sup> (n = 2)
Self	16.01 ± 2.78 <sup>a</sup> (n = 5)	0.65 ± 0.14 <sup>a</sup> (n = 2)	28.94 ± 6.19 <sup>a</sup> (n = 2)
Root	15.17 ± 2.89 <sup>a</sup> (n = 7)	0.49 ± 0.10 <sup>a</sup> (n = 5)	48.04 ± 12.18 <sup>a</sup> (n = 4)
<i>Cucumis melo</i>			
Non	18.18 ± 4.22 <sup>a</sup> (n = 2)	0.26 ± 0.05 <sup>a</sup> (n = 2)	76.29 ± 29.87 <sup>a</sup> (n = 2)
Self	NA	NA	NA
Root	19.57 ± 2.15 <sup>a</sup> (n = 3)	0.31 ± 0.04 <sup>a</sup> (n = 3)	67.32 ± 18.36 <sup>a</sup> (n = 3)
<i>Cucumis sativus</i>			
Non	19.73 ± 1.94 <sup>a</sup> (n = 6)	0.46 ± 0.2 <sup>a</sup> (n = 4)	93.07 ± 23.45 <sup>a</sup> (n = 3)
Self	13.94 ± 1.11 <sup>a</sup> (n = 4)	0.27 ± 0.06 <sup>a</sup> (n = 4)	62.45 ± 16.84 <sup>a</sup> (n = 4)
Root	16.40 ± 1.95 <sup>a</sup> (n = 11)	0.51 ± 0.13 <sup>a</sup> (n = 9)	60.91 ± 14.96 <sup>a</sup> (n = 8)
<i>Ipomoea batatas</i>			
Non	NA	NA	NA
Self	11.17 ± 2.44 <sup>a</sup> (n = 2)	NA	NA
Root	9.08 ± 1.33 <sup>a</sup> (n = 4)	NA	NA
<i>Solanum lycopersicum</i>			
Non	19.95 ± 3.69 <sup>a</sup> (n = 5)	0.37 ± 0.09 <sup>a</sup> (n = 5)	62.65 ± 8.64 <sup>a</sup> (n = 5)
Self	19.55 ± 1.91 <sup>a</sup> (n = 7)	0.39 ± 0.07 <sup>a</sup> (n = 5)	58.86 ± 9.37 <sup>a</sup> (n = 5)
Root	19.85 ± 1.04 <sup>a</sup> (n = 29)	0.45 ± 0.09 <sup>a</sup> (n = 15)	62.46 ± 6.45 <sup>a</sup> (n = 15)
<i>Solanum melongena</i>			
Non	18.79 <sup>a</sup> (n = 1)	NA	NA
Self	NA	NA	NA
Root	19.61 ± 0.30 <sup>a</sup> (n = 2)	NA	NA
<i>Raphanus sativus</i>			
Non	NA	NA	NA
Self	18.03 ± 2.97 <sup>a</sup> (n = 4)	NA	NA
Root	20.26 ± 4.01 <sup>a</sup> (n = 4)	NA	NA
<i>Phaseolus vulgaris</i>			
Non	NA	NA	NA
Self	19.15 ± 1.89 <sup>a</sup> (n = 2)	0.6 ± 0.18 <sup>a</sup> (n = 2)	37.76 ± 4.09 <sup>a</sup> (n = 2)
Root	19.06 ± 0.65 <sup>a</sup> (n = 2)	0.57 ± 0.24 <sup>a</sup> (n = 2)	39.29 ± 15.31 <sup>a</sup> (n = 2)
<i>Gossypium hirsutum</i>			
Non	NA	NA	NA
Self	16.34 ± 2.77 <sup>a</sup> (n = 2)	NA	NA
Root	16.44 ± 1.61 <sup>a</sup> (n = 2)	NA	NA
<i>Glycine max</i>			
Non	13.69 <sup>a</sup> (n = 1)	0.19 <sup>a</sup> (n = 1)	72.05 <sup>a</sup> (n = 1)
Self	13.79 <sup>a</sup> (n = 1)	0.19 <sup>a</sup> (n = 1)	72.58 <sup>a</sup> (n = 1)
Root	15.91 ± 0.29 <sup>a</sup> (n = 2)	0.26 ± 0.05 <sup>a</sup> (n = 2)	63.30 ± 11.08 <sup>a</sup> (n = 2)
<i>Annona x atemoya</i>			
Non	5.7 <sup>a</sup> (n = 1)	0.12 <sup>a</sup> (n = 1)	47.5 <sup>a</sup> (n = 1)
Self	6.1 <sup>a</sup> (n = 1)	0.11 <sup>a</sup> (n = 1)	55.45 <sup>a</sup> (n = 1)
Root	6.4 ± 1.0 <sup>a</sup> (n = 3)	0.13 ± 0.01 <sup>a</sup> (n = 3)	47.51 ± 4.36 <sup>a</sup> (n = 3)
<i>Vitis vinifera</i>			
Non	10.75 ± 1.65 <sup>a</sup> (n = 4)	0.27 ± 0.07 <sup>a</sup> (n = 4)	49.47 ± 8.73 <sup>a</sup> (n = 4)
Self	NA	NA	NA
Root	11.12 ± 0.43 <sup>a</sup> (n = 22)	0.26 ± 0.01 <sup>a</sup> (n = 22)	42.34 ± 2.61 <sup>a</sup> (n = 22)





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Figure 2. Variability of a) net CO<sub>2</sub> assimilation rate ( $A_N$ ), b) stomatal conductance ( $g_s$ ) and c) intrinsic water-use efficiency in the ratio between of rootstock combinations values normalized to and control plants (referring to both non- and self-grafted plants) under control conditions. Data are means  $\pm$  SE. 'C' refer to commercial, 'D' to drought tolerant, 'S' to salt tolerant, 'T' to cold tolerant, 'W' to wild relative and 'E' to experimental rootstocks. Letters denote differences among rootstock combination ratios-normalized values by one-way ANOVA after Duncan *post-hoc* test ( $P < 0.05$ ); and asterisks between each rootstock combination and non- and self-grafted plants after Dunnett's test ( $P < 0.05$ ).

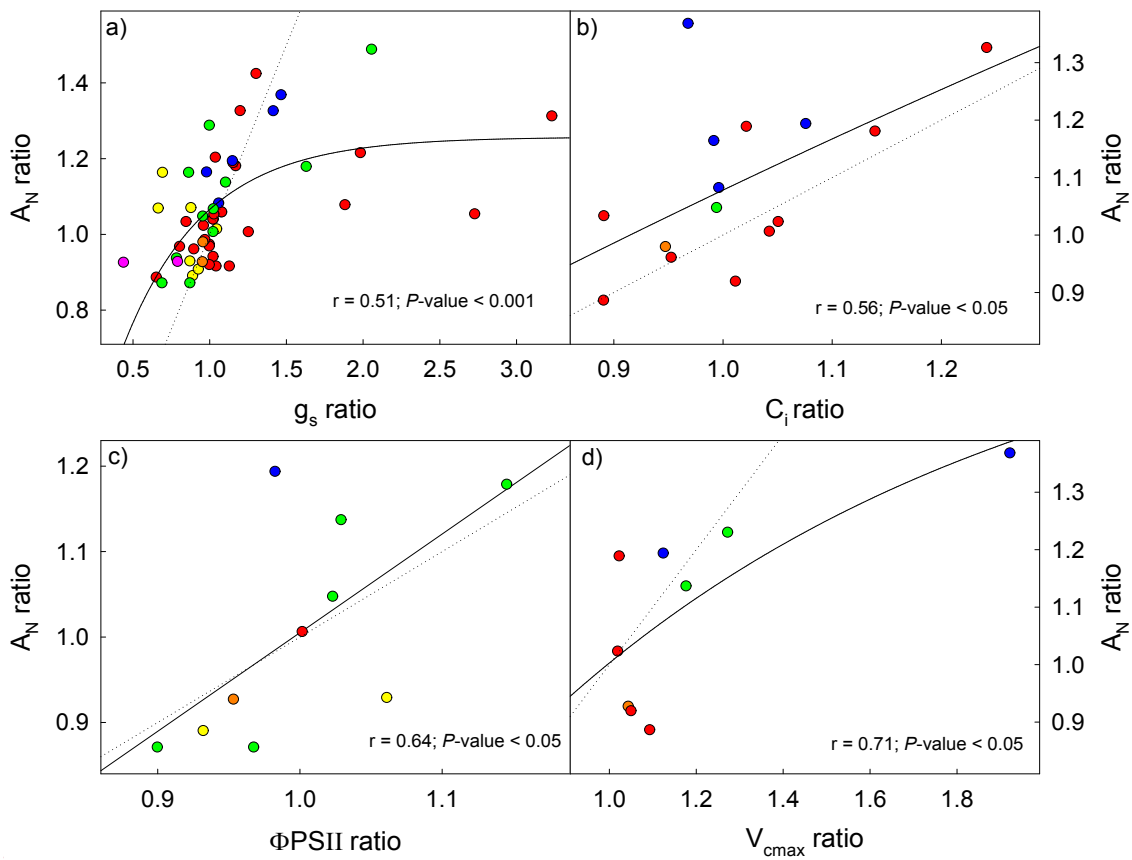
Regarding to other photosynthetic parameters under optimal conditions, non-significant differences were observed when comparing between control plants and rootstock combinations or among rootstock combinations for the sub-stomatal CO<sub>2</sub> concentration (C<sub>i</sub>), the efficiency of photosystem II (ΦPSII), the photochemical and non-photochemical quenching (qP and NPQ) and the maximum quantum efficiency of photosystem II (F<sub>v</sub>/F<sub>m</sub>) (Table 3 and data not shown). On the contrary, scions grafted onto salt tolerant rootstocks had significantly higher values for the maximum velocity of Rubisco carboxylation (V<sub>cmax</sub>) than control plants, although no differences were found among rootstock combinations for this parameter (Table 3).

Table 3. ~~Ratio of~~ Variation ~~between of~~ rootstock combinations ~~values and normalized to~~ control plants (referring to both non- and self-grafted plants) for the sub-stomatal CO<sub>2</sub> concentration (C<sub>i</sub>), the efficiency of photosystem II (ΦPSII) and the maximum velocity of Rubisco carboxylation (V<sub>cmax</sub>) under non-stress conditions. Data are means ± SE. ‘C’ refers to commercial, ‘D’ to drought tolerant, ‘S’ to salt tolerant, ‘T’ to cold tolerant and ‘E’ to experimental rootstocks. ‘NA’ for non-available data. Letters denote differences among rootstock combination ~~ratios-normalized values~~ by one-way ANOVA after Duncan *post-hoc* test (*P* < 0.05); and asterisks between each rootstock combination and non- and self-grafted plants after Dunnett’s test (*P* < 0.05).

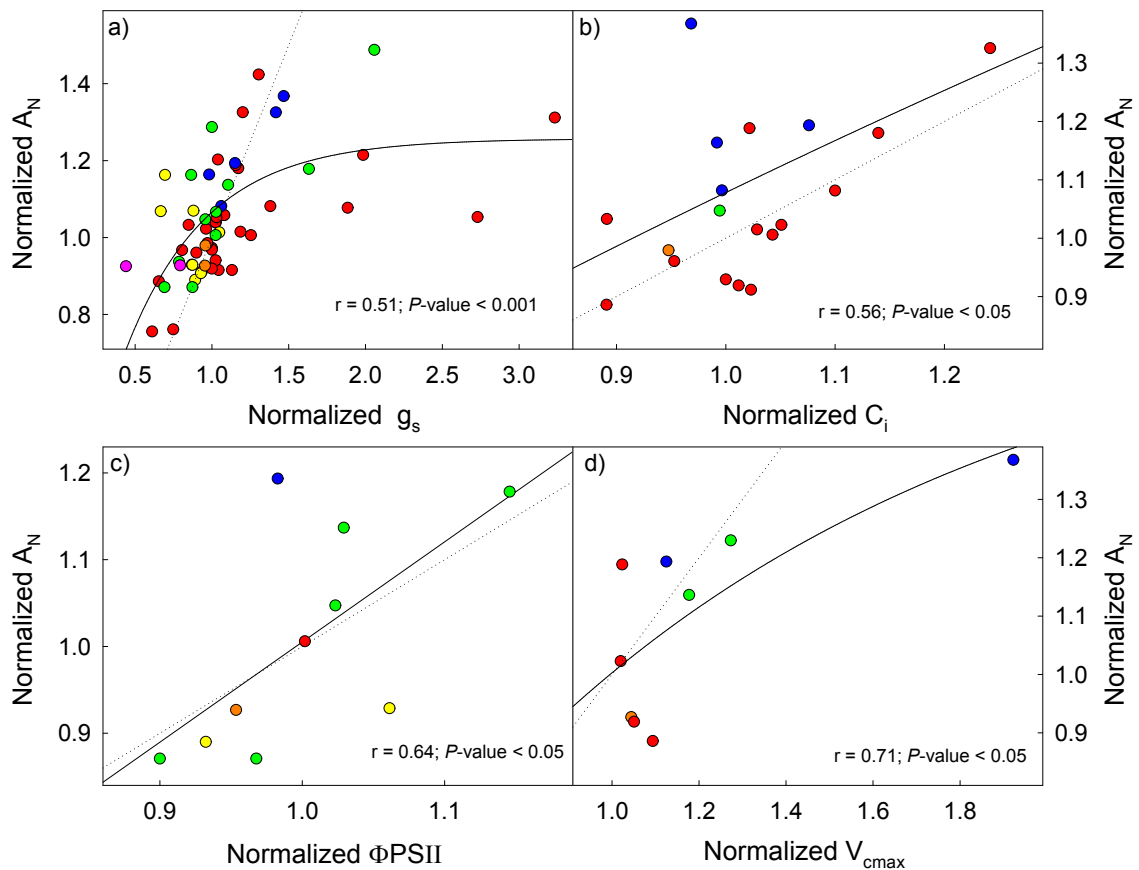
Rootstock combination	C <sub>i</sub>	ΦPSII	V <sub>cmax</sub>
C	1.03 ± 0.03 <sup>a</sup>	1.01 <sup>a</sup>	1.05 ± 0.02 <sup>a</sup>
D	NA	0.99 ± 0.07 <sup>a</sup>	NA
S	1.01 ± 0.04 <sup>a</sup>	0.98 <sup>a</sup>	1.52 ± 0.39 <sup>a*</sup>
T	0.95 <sup>a</sup>	0.95 <sup>a</sup>	1.04 <sup>a</sup>
E	0.99 <sup>a</sup>	1.01 ± 0.04 <sup>a</sup>	1.23 ± 0.05 <sup>a</sup>

The ~~ratio of the~~ normalized to control plants values of the different rootstock combinations ~~vs. control plants~~ for A<sub>N</sub> was positively correlated with the analogous ~~ratio~~ normalization for g<sub>s</sub>, C<sub>i</sub>, ΦPSII and V<sub>cmax</sub> (Fig. 3). Aside from these general trends, contrasting effects were also visible, particularly in the relationship A<sub>N</sub> vs. g<sub>s</sub>. For

1 instance, it is remarkable that the largest relative increases in  $g_s$  without equivalent  
 2 increase in  $A_N$  were observed in plants grafted onto vigorous commercial rootstocks.  
 3 When these values were not considered, a linear adjustment of the  $A_N$  vs.  $g_s$  relationship  
 4 was observed ( $r = 0.69$ ;  $P$ -value  $< 0.001$ ), close to the 1:1 ratio. Interestingly, the largest  
 5 relative decreases in  $g_s$  while maintaining or increasing  $A_N$  were found in scions grafted  
 6 onto drought tolerant rootstocks (Fig. 3a).



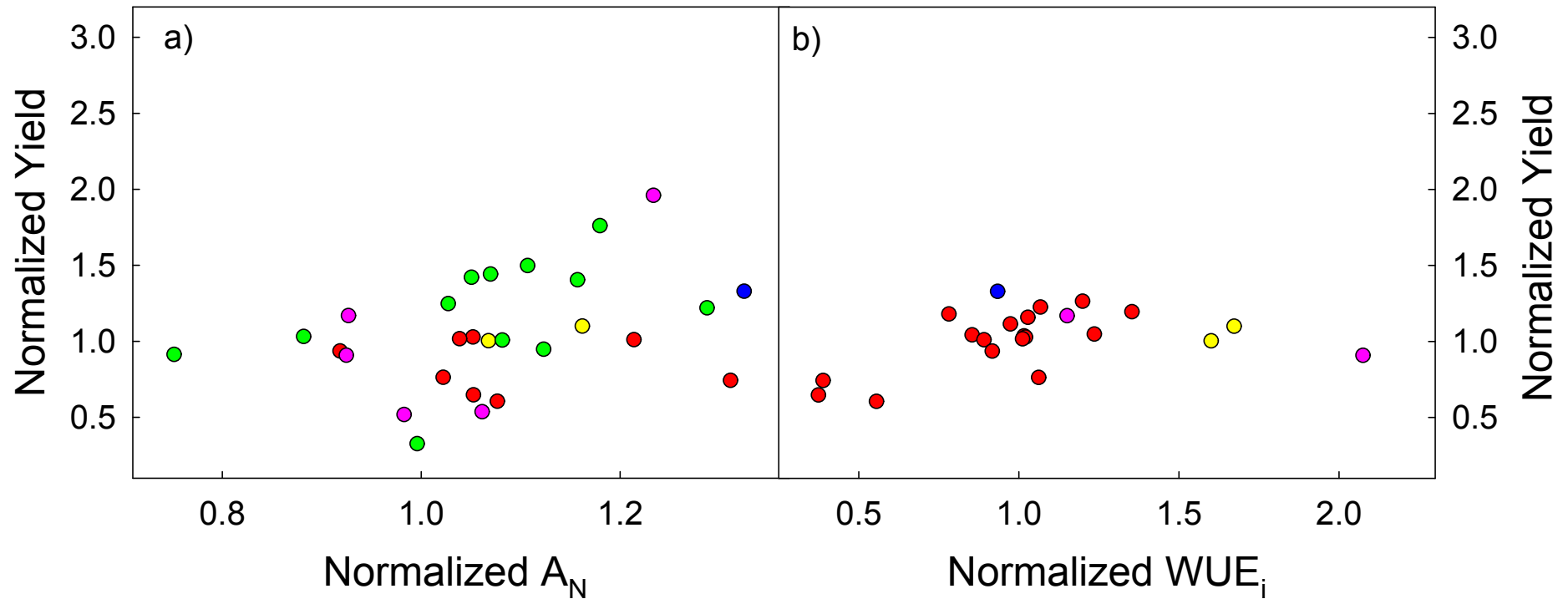
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3 Figure 3. Relationship between the **ratio-normalized values** of rootstock combinations **-vs-to** control plants  
4 (referring to both non- and self-grafted plants) under control conditions for the net CO<sub>2</sub> assimilation rate  
5 ( $A_N$ ) and a) the stomatal conductance ( $g_s$ ), b) the sub-stomatal CO<sub>2</sub> concentration ( $C_i$ ), c) the efficiency of  
6 photosystem II ( $\Phi$ PSII) and d) the maximum velocity of Rubisco carboxylation ( $V_{cmax}$ ). Red dots refer to  
7 commercial, yellow to drought tolerant, blue to salt tolerant, orange to cold tolerant, purple to wild relative  
8 and green to experimental rootstocks. Data are means. SE is not shown for clarity. Solid lines represent  
9 regressions and dotted lines the 1:1 ratio.

10 A positive trend was observed between the **ratio-normalized values** of the different  
11 rootstock combinations **-vs. to** control plants for yield and both  $A_N$  ( $r = 0.26$ ;  $P$ -value =  
12 0.12, Figure 4a) and  $WUE_i$  ( $r = 0.37$ ;  $P$ -value = 0.08, Figure 4b). Despite the lack of  
13 significance, this data suggests that grafting onto particular rootstocks, as salt tolerant or  
14 wild relatives' rootstocks, could allow increasing  $WUE_i$  with no negative impact on yield.



1

2 Figure 4. Relationship between the ~~ratio of~~ rootstock combinations ~~values normalized to vs.~~ control plants (referring to both non- and self-grafted plants) under control conditions  
 3 for yield and a) the net CO<sub>2</sub> assimilation rate ( $A_N$ ) and b) the intrinsic water-use efficiency ( $WUE_i$ ). Red dots refer to commercial, yellow to drought tolerant, blue to salt tolerant,  
 4 orange to cold tolerant, purple to wild relative and green to experimental rootstocks. Data are means. SE is not shown for clarity.

### 1 3.3. Effect of grafting on photosynthesis under stress conditions

2 Grafting is used to mitigate the negative effects on plant growth when plants are subjected  
3 to abiotic stress conditions, such as drought, flooding, heavy metal in soil, low nutrient,  
4 salt, or extreme temperature environments (Table 1). Unfortunately, with the exception  
5 of drought and salt stress, for the rest of abiotic stresses where grafting was used to study  
6 the effect of each stress over  $A_N$ , not enough data was available to perform a statistically  
7 valid analysis. We therefore compiled different morphological and physiological traits  
8 identified in literature to the maintenance of net  $CO_2$  assimilation rate in grafted plants  
9 for each type of abiotic stress, including anatomical adaptations in scion leaves, changes  
10 in shoot:root biomass ratio, different gene expression in scion, different hormone balance,  
11 differences in Rubisco activity, enhanced stomata opening control, induced anti-oxidative  
12 defense, protection of PSII and reduced heavy metal or ion allocation in scion (Table 4).  
13 We found that induction of the anti-oxidative defense and protection of PSII were the  
14 most common traits associated to overcome the different stresses through delaying stress-  
15 induced leaf senescence, and that the low nutrient supply was the stress involving more  
16 changes in the studied traits (Table 4).

1 Table 4. Morphological and physiological traits associated to the maintenance of net CO<sub>2</sub> assimilation rate in grafted plants under different stress conditions as compared to  
 2 non-stressed plants. Heavy metals refer to stress caused by accumulation of heavy metals in soil, temperature to stress caused by an extreme (high or low) temperature in the  
 3 scion or rootstock zone, nutrient to stress caused by a low nutrient supply, and flooding to stress caused by waterlogging.

4

	Anatomical adaptations in scion leaves	Changes in Shoot:Root biomass ratio	Different gene expression in scion	Different hormone balance	Differences in Rubisco activity	Enhanced stomata opening control	Induced anti-oxidative defense	Protection of PSII	Reduced heavy metal or ion allocation in scion	References
Drought		●		●			●	●	●	[24,31,54,56,95,104,110]
Flooding		●						●		[64,99]
Heavy metals							●	●	●	[62,73,97,98]
Nutrient	●	●	●	●	●		●			[59,60,91,107]
Salt		●			●		●	●	●	[34,35,94,103,56–58,63,66,69,72,79]
Temperature					●	●	●	●		[55,67,71,74,75,81,86,96]

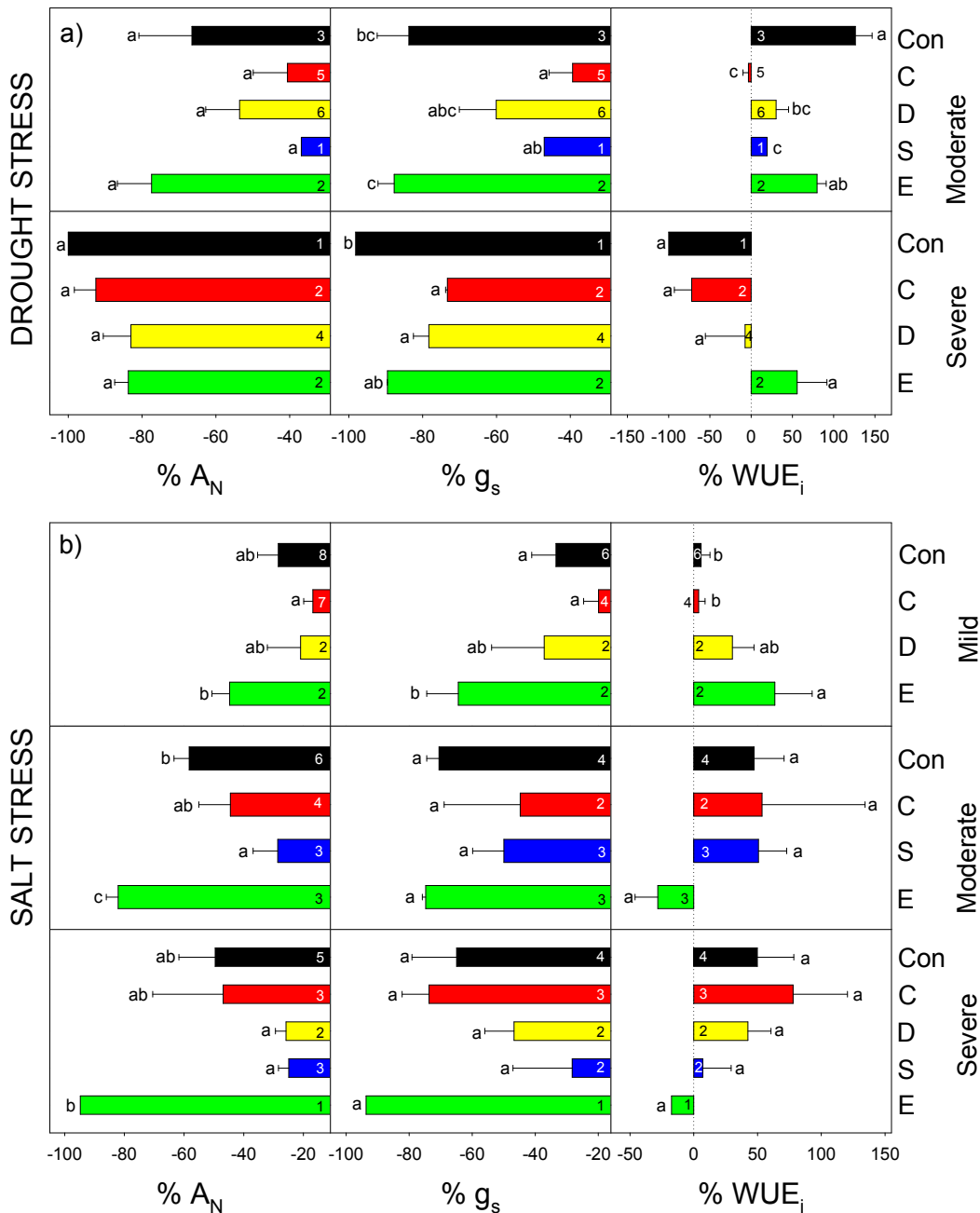
5

1 For drought and salt stresses, data from different scion species was merged  
2 according to the intensity of stress and the graft combination, and the values for  $A_N$ ,  $g_s$   
3 and  $WUE_i$  under stress were compared to those under non-stress conditions (Fig. 5). It  
4 has to be considered that not all rootstock combinations were found for all the evaluated  
5 stress levels. As under non-stress conditions, no differences between non- and self-grafted  
6 plants were found for  $A_N$ ,  $g_s$  or  $WUE_i$  under any level of drought or salt stress (data not  
7 shown). Therefore, data from both non- and self-grafted plants were again combined and  
8 considered as control plants to be compared to the different types of rootstock. Under  
9 moderate drought stress, no differences were observed between control plants and  
10 rootstock combinations or among rootstock combinations in the relative reduction in  $A_N$   
11 irrespective of the used rootstock; meanwhile scions grafted onto commercial rootstocks  
12 had a lower  $g_s$  reduction as compared to control plants (Fig. 5a). Commercial, drought  
13 and salt tolerant rootstock combinations had lower  $WUE_i$  increase as compared to control  
14 plants. Similar to moderate drought stress, no effect of the used rootstock was observed  
15 in the reduction of  $A_N$  under severe drought stress. Both commercial and drought tolerant  
16 rootstock combinations had a lower decrease in  $g_s$  as compared to control plants.  
17 Nevertheless, no differences were observed in  $WUE_i$  between control plants and rootstock  
18 combinations or even among rootstock combinations (Fig. 5a).

19 No differences were observed among control plants, commercial and drought  
20 tolerant rootstock combinations under the effect of mild salt stress on  $A_N$ ,  $g_s$  and  $WUE_i$   
21 (Fig. 5b). However, both control plants and commercial rootstock combinations had  
22 lower decrease in  $g_s$  and lower increase in  $WUE_i$  than experimental rootstock  
23 combinations. Under moderate salt stress conditions, scions grafted onto salt tolerant  
24 rootstocks had lower decrease in  $A_N$  than control plants with non-significant effect on  $g_s$   
25 or  $WUE_i$  being observed among rootstock combinations. Under severe salt stress, non-



1 significant differences between control plants and rootstock combinations or among  
2 rootstock combinations were observed on any photosynthetic parameter, although there  
3 is a trend for lower decrease in  $A_N$  and  $g_s$  for scions grafted onto salt and drought tolerant  
4 rootstocks (Fig. 5b).



1

2 Figure 5. Percentage of change of net CO<sub>2</sub> assimilation rate ( $A_N$ ), stomatal conductance ( $g_s$ ) and intrinsic  
3 water-use efficiency ( $WUE_i$ ) of control plants (referring to both non- and self- grafted plants) and rootstock  
4 combinations under different a) drought and b) salt stress conditions as compared to non-stressed plants.  
5 Black bars refer to control plants, red bars to commercial, yellow to drought tolerant, blue to salt tolerant  
6 and green to OTHER rootstocks. Labels as follows: Con refer to control plants, C to commercial, D to  
7 drought tolerant, S to salt tolerant and E to experimental rootstocks. Data are means + SE ( $n$  indicated inside  
8 each box). Letters denote differences among control plants and rootstock combination within each stress  
9 level by one-way ANOVA after Duncan *post-hoc* test ( $P < 0.05$ ). For drought stress, two intensities were  
10 defined: moderate stress  $-1.1 \text{ MPa} < \Psi_w < -1.99 \text{ MPa}$  or  $80 \% < \text{RWC} < 90\%$ ; severe stress  $\Psi_w < -2 \text{ MPa}$ ,  
11  $\text{RWC} < 79 \%$  or irrigation lower than 30 % as compared to non-stressed plants. For salt stress, three  
12 intensities were defined depending on the concentration of NaCl in the solution used to irrigate the treated  
13 plants: mild stress 30 - 50 mM; moderate stress 51 – 100 mM; severe stress > 100 mM.

1 4. Discussion

2 4.1. There are no differences between non- and self-grafted plants for the main  
3 photosynthetic parameters in the studied cases

4 Despite all the morphologic and physiologic changes that grafting process implies (Fig.  
5 6), no differences were found between non-grafted and self-grafted plants in any of the  
6 included scion species for  $A_N$ ,  $g_s$ , or  $WUE_i$  under non-stress conditions (Table 2). Hence,  
7 the available data lead to deduce that there is no effect of grafting over photosynthetic  
8 parameters when the rootstock is genetically the same than the scion. This result is  
9 probably due to the fact that measurements were performed in fully-recovered  
10 combinations after grafting. No irregular xylem connections were observed for self-  
11 grafted pepper, tomato and aubergine plants 30 days after grafting, denoting no hydraulic  
12 restrictions due to grafting [9,56]. Moreover, no differences have been found in plant  
13 biomass (fresh or dry), number of flowers or yield (total or marketable) between non-  
14 grafted and self-grafted plants for a large range of species [111–113]. According to this  
15 study, it seems that either non-grafted or self-grafted plants could be used as controls  
16 when comparing with other rootstock combinations under non-stress conditions.

17

18 4.2. The rootstock selection determines the photosynthetic performance of the scion  
19 under non-stress conditions

20 When comparing control plants (i.e. both non- and self-grafted plants) to rootstock  
21 combinations for each scion species, no differences were observed for  $A_N$ ,  $g_s$  or  $WUE_i$   
22 (Table 2). The lack of differences in the photosynthetic parameters between control plants  
23 and rootstock combinations agreed with the limited influence of the rootstock over the  
24 scion growth or yield under non-stress conditions [33,78,114,115]. However, it must be  
25 considered that very diverse rootstocks were used in different studies for a single scion

1 species (Table 1). For this reason, we decided to analyse all compiled data from different  
2 scion species depending on the used rootstock, and compare to control plants (Fig. 2).  
3 The higher  $A_N$  observed for scions grafted onto salt tolerant rootstocks and  $g_s$  of scions  
4 grafted onto commercial rootstocks as compared to control plants can be associated with  
5 their larger root system and the higher  $V_{cmax}$  of scions grafted onto salt tolerant rootstocks  
6 (Table 3, Fig. 2a,b) [56,58,72]. However, this was not translated into higher scion biomass  
7 or increased number of leaves for most of the reported data [35,72,78,79], probably  
8 because under optimal conditions the shoot development is not limited by the source  
9 activity in absence of additional sinks. Indeed, it has to be considered that almost half of  
10 the total fixed carbon in the scion is translocated to the root system [116,117]. Hence,  
11 even under non-stress conditions, the balance between generative and vegetative vigour  
12 when using a vigorous rootstock must be considered in relation to the increased  
13 photosynthesis, since extra assimilates can be allocated to roots and fruits, but not to  
14 leaves [54]. Unfortunately, not enough data was available to perform a valid analysis of  
15 the effect of grafting over scion and rootstock growth, and its interaction with  
16 photosynthesis.

17         When wild relatives, commonly found in non-cultivated areas under harsh  
18 conditions [118,119], were used as rootstocks, higher proportional decrease in  $g_s$  as  
19 compared to other rootstock combinations was observed (Fig. 2b), leading to remarkable  
20  $WUE_i$  increase (Fig. 2c). However, no negative effect in yield was found in tomato  
21 grafted onto wild relatives despite their higher  $WUE_i$  [78,84]. Since graft compatibility is  
22 related to the taxonomic distance between scion and rootstock [3,120], the use of closest  
23 semi-domesticated species or even landraces usually grown under non-irrigated  
24 environments must be considered to obtain new rootstocks with increased  $WUE_i$  [4].

25

#### 1 4.3. The increase of the photosynthetic capacity is related to the capability of the rootstock 2 to improve scion leaf traits

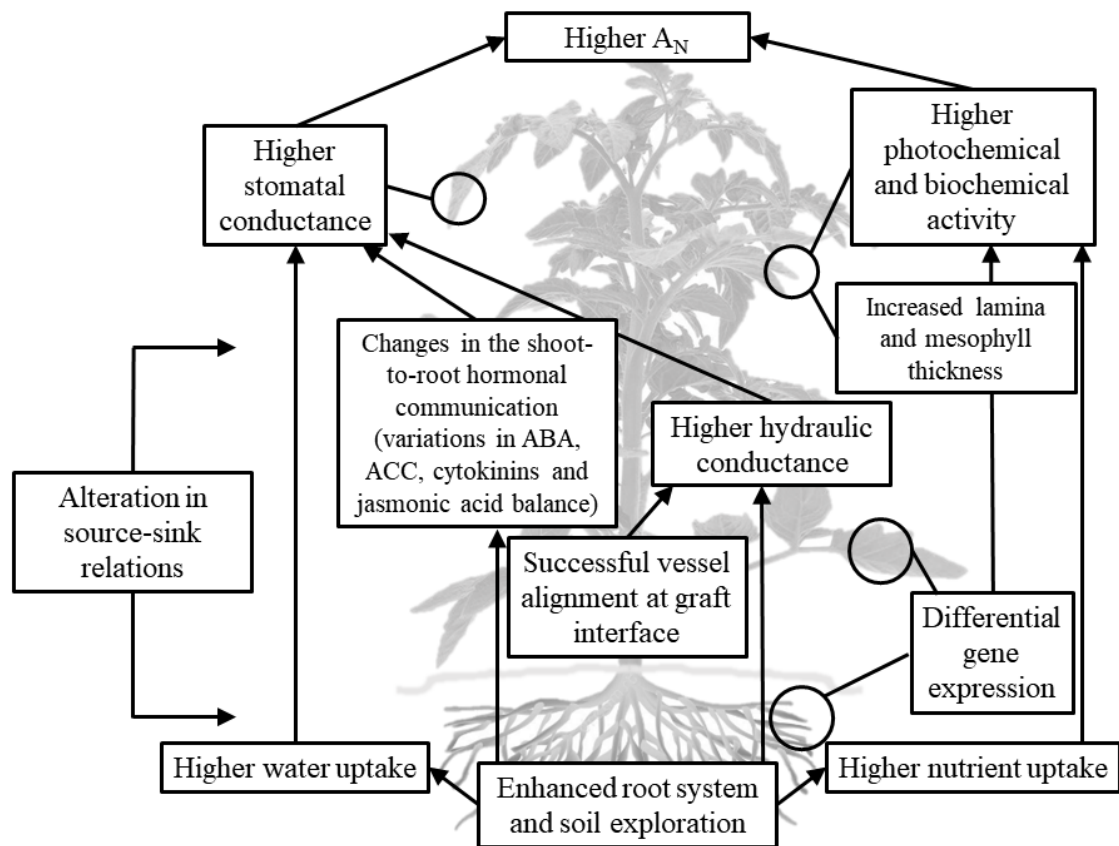
3 Under non-stress conditions, the increase in  $g_s$  of scions grafted onto different rootstocks  
4 as compared to control plants was positively correlated with the increase in  $A_N$  (Fig 3a;  $r$   
5 = 0.51;  $P$ -value < 0.001). For most of the included rootstock combinations, changes in  
6 both parameters were proportional (near the 1:1 ratio), indicating a low interaction of the  
7 used rootstock in the relationship between  $A_N$  and  $g_s$ . Nevertheless, specific rootstock  
8 combinations did not follow the described general pattern, depending on the used  
9 rootstock or even the scion.[78] used a drought tolerant tomato landrace as scion,  
10 characterized by low stomatal aperture and maximization of  $WUE_i$  [121,122]. When  
11 grafted onto commercial rootstocks, the drought tolerant tomato landrace increased  $g_s$  up  
12 to three times but only increased  $A_N$  20% as compared to control plants, thereby  
13 decreasing  $WUE_i$ , indicative that increasing  $g_s$  may not translate into enhanced  $A_N$  when  
14 photosynthesis is biochemically-limited [78]. On the contrary, tomato, pepper and bean  
15 scions grafted onto wild, commercial, drought tolerant and experimental rootstocks  
16 increased  $WUE_i$  as compared to control plants by decreasing  $g_s$  in most cases, with no  
17 negative effect on  $A_N$  (Fig. 3a), plant growth or yield [24,56,78,85,90], suggesting that  
18 the rootstock can be used to optimize  $CO_2$  fixation per unit of water transpired.

19 Aside from  $g_s$ , the mesophyll anatomical properties are also a key photosynthetic  
20 trait determining the pathway of  $CO_2$  from substomatal cavity to carboxylation sites  
21 [123,124]. It has been reported that grafting onto commercial rootstocks altered leaf  
22 mesophyll thickness and spongy parenchyma thickness as compared to control plants, but  
23 its effect on  $A_N$  has not been yet studied [60,83]. Not only diffusional parameters, but  
24 photochemical and biochemical leaf traits could also limit  $A_N$  [125,126]. No major  
25 incidence of the used rootstock on  $\Phi PSII$  was found for the studied scions under non-

1 stress conditions (Table 3), being the changes in both parameters near the 1:1 ratio (Fig.  
2 3c). Apart from the higher stomatal control and increase of water-use efficiency through  
3 regulating leaf biomass [33], increases in ABA and cytokinins level of grafted plants has  
4 been related with activation of the antioxidant system and increase in mRNA levels of the  
5 large and small subunits of Rubisco [14,75]. Hence, higher  $V_{\text{cmax}}$  and maximum rate of  
6 electron transport ( $J_{\text{max}}$ ) were observed in grafted plants, driving to an increase in  $A_{\text{N}}$   
7 [35,63]. However, contrasting results were observed when assessing the effect of grafting  
8 on Rubisco content [75,87,92].

9 Overall, different processes and mechanisms are involved in the regulation of  
10 photosynthetic parameters in grafted plants (Fig. 6). Scion and rootstock traits, but also  
11 their interaction, determine changes in the described diffusive and photo- and biochemical  
12 traits. Unravelling how to optimize those processes using particular rootstocks will not  
13 only lead to increase  $A_{\text{N}}$ , but also to improve agronomic performance and maximize  
14 potential yield under control conditions [38,44].

15



1

2 Figure 6. Main processes involved with increases in the net CO<sub>2</sub> assimilation ( $A_N$ ) regulated by the scion-  
 3 rootstock interaction under non-stress conditions. Arrows represent positive regulations.

4

5 In this sense, Fig. 4a suggests a positive, although non-significant ( $P$ -value >  
 6 0.05), trend between photosynthesis and yield. Several reasons can explain the weakness  
 7 of this correlation, such the scarcity of studies considering the rootstock effect on both  
 8 parameters, the additional generative/reproductive effects on assimilate reallocation and  
 9 the interaction with the environmental conditions. Also, Fig. 4b showed that  $WUE_i$  can  
 10 be increased without negative effect on yield. More studies are required to confirm those  
 11 rootstock-mediated enhancements, but inclusion of other parameters as rootstock and  
 12 scion biomass will help to clarify and understand the role of grafting in how carbon is  
 13 allocated in the plant.

1 4.4. Grafting promotes different mechanisms to overcome the deleterious effect of  
2 abiotic stress over photosynthetic performance

3 Under abiotic stress, the use of tolerant rootstock to that particular stress leads to the  
4 activation of different mechanisms to protect the photosynthetic apparatus and delay the  
5 stress-induced leaf senescence (Table 4). Most of those mechanisms are linked among  
6 them. For example, the protection of the reaction center of PSII is usually related with the  
7 activation of the anti-oxidative defense system [63], which in turn is associated to the  
8 capacity to retain ions in roots and avoid their translocation to leaves [94,127,128].  
9 Similarly, rootstock grafting maintains Rubisco activity under stress conditions due to an  
10 overexpression of Rubisco related genes, improving the photosynthetic performance [72].  
11 Hence, mitigation of the effect of the stress over  $A_N$  when using tolerant rootstocks is  
12 mostly related to the alleviation of deleterious effect of stress over scion photochemical  
13 and biochemical parameters [35], although an effect through altering diffusive rates or  
14 regulating other stomatal related parameters cannot be ruled out [30]. Indeed, elevated  
15  $A_N$ ,  $g_s$  and  $C_i$ , and maintaining sink-activity in the aerial organs under stress, explained  
16 increased yield in pepper grafted onto a generative rootstock under control and drought  
17 conditions [54].

18 However, the maintenance of elevated transpiration under stress conditions is not  
19 always an advantageous trait, particularly when water is scarce. Tolerance to drought and  
20 salt stress has been related to a decrease of transpiration, achieved through a reduction of  
21 leaf area or biomass accumulation, which in turn increase WUE at the whole plant level  
22 [129,130], but decreases crop yield. The tendency of scions grafted onto drought tolerant  
23 rootstocks to decrease  $g_s$  more than other rootstock combinations under moderate drought  
24 stress can be associated to an improved stomatal closure response, regulated by root  
25 chemical signals like ABA, cytokinins and jasmonic acid [32] (Fig. 5a). Despite their



1 higher proline concentration and antioxidant activity in leaves [24], the reduction of ~80%  
2 in  $g_s$  observed for drought tolerant rootstock combinations under severe drought stress  
3 unavoidably leads to a reduction in  $A_N$ , analogous to the reported in other rootstock  
4 combinations. On the other hand, similar  $A_N$  reduction was observed under both moderate  
5 and severe salt stress conditions when using salt tolerant rootstocks, denoting the  
6 capability of these rootstocks to avoid ion translocation to scion and protect the  
7 photosynthetic apparatus even under extreme conditions [56,103,131] (Fig. 5b).

8 Overall, maintenance or optimization of  $A_N$  vs  $g_s$  under stress conditions can be  
9 modified by the rootstock through acting on different biophysical and biochemical  
10 processes in the aerial part of the plant, existing examples where those advantages can be  
11 translated to higher yield. Gaining knowledge about the physiological and genetic  
12 determinants of such rootstock-mediated traits is of great interest to increase yield and  
13 yield stability through grafting.

14

#### 15 4.5. Concluding remarks

16 The lack of differences in  $A_N$ ,  $g_s$  or  $WUE_i$  between non-grafted and self-grafted plants in  
17 any of the included species suggests that both non- or self-grafted plants can be selected  
18 as controls in future experiments devoted to examine the effect of grafting on  
19 photosynthesis. Published data indicate that  $WUE_i$  can be improved by grafting onto  
20 specific rootstocks under non-stress conditions, with scions grafted onto vigorous  
21 rootstocks increasing  $A_N$ . There are still gaps to be filled towards a complete  
22 understanding of the scion-rootstock communication and the mechanisms through which  
23 photosynthesis is affected by grafting. In this sense, we propose that future research  
24 should include changes in hormonal balance, and stomatal and leaf anatomy

1 measurements as a complement of the photosynthesis measurements in order to obtain  
2 answers to some of those questions. Moreover, more accurate studies considering long-  
3 term experiments are required to establish a clear relationship between the affected  
4 photosynthetic parameters and crop yield. Overall, the present compilation of data allows  
5 to highlight important effects of grafting on photosynthesis and reveals grafting as a  
6 viable technique to improve crop photosynthetic performance and to contribute to food  
7 security in the context of climate change imposed conditions.

8

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2

Table 1. Summary of the used rootstock for each species included in this study. From left to right: Scion species, rootstock species and cultivar or common name (when available), group where the rootstock was classified, and references. Wild refers to wild relative rootstocks, and self-grafted to those rootstocks not classified in any group since were the same than the scion.

Scion species	Rootstock species	Rootstock cultivar (common name)	Group	References
<i>Capsicum annuum</i> L.	<i>C. annuum</i>	Antinema (sakata)	Commercial	[56]
	<i>C. annuum</i>	Atlante	Commercial	[54], [55]
	<i>C. annuum</i>	A25	Salt tolerant	[35], [56]
	<i>C. annuum</i>	Creonte	Commercial	[54], [55]
	<i>C. annuum</i>	Piquillo de Lodosa	Experimental	[24]
	<i>C. annuum</i>	Serrano	Experimental	[24], [57]
	<i>C. annuum</i>	Terrano	Commercial	[54], [55]
	<i>C. baccatum</i>	Pendulum	Drought tolerant	[24], [56], [57]
	<i>C. chinense</i>		Drought tolerant	[24], [56], [57]
<i>Citrullus lanatus</i> (Thunb.)	<i>C. lanatus</i>	Esmeralda F1	Self-grafted	[58]
	<i>C. lanatus</i>	Xiuli	Self-grafted	[34], [63]
	<i>C. lanatus</i>	Zaojia8424	Self-grafted	[59], [60]
	<i>C. maxima</i>		Experimental	[62]
	<i>C. maxima</i> x <i>C. moschata</i>	Duchesne	Commercial	[61]
	<i>C. maxima</i> x <i>C. moschata</i>	Qingyan Zhenmu No.1	Commercial	[60]
	<i>C. maxima</i> x <i>C. moschata</i>	Shintosa F-90 F1	Commercial	[58]
	<i>C. moschata</i>	Jingxinzhen No.4	Commercial	[59]
	<i>C. pepo</i>	Tiana F1	Experimental	[62]
	<i>L. siceraria</i>	Chaofeng Kangshengwang	Salt tolerant	[63]
	<i>L. siceraria</i>	DG-01 F1	Commercial	[58]
	<i>L. siceraria</i>	Jingxinzhen No.1	Commercial	[59]
	<i>L. siceraria</i>	SKP	Commercial	[64]
	<i>Cucumis melo</i> L.	<i>C. maxima</i> x <i>C. moschata</i>	P360	Commercial
<i>C. maxima</i> x <i>C. moschata</i>		Riben Strong	Commercial	[65]
<i>C. maxima</i> x <i>C. moschata</i>		Shengzhen1	Commercial	[65]



<i>Cucumis sativus</i> L.	<i>C. melo</i>	Zhongmil	Self-grafted	[65]
	<i>C. ficifolia</i>	Bouché	Salt tolerant, cold tolerant	[67], [72], [74], [75]
	<i>C. maxima</i> x <i>C. moschata</i>	P1313	Commercial	[66], [69]
	<i>C. maxima</i> x <i>C. moschata</i>	RS841	Commercial	[70]
	<i>C. maxima</i> x <i>C. moschata</i>	Shintosa	Commercial	[73]
	<i>C. moschata</i>	Chaojiquanwang	Salt tolerant	[72]
	<i>C. pepo</i>	Excitte Ikki	Experimental	[68]
	<i>C. sativus</i>	Jiyan No. 4	Self-grafted	[71], [74], [75]
	<i>C. sativus</i>	Jinchun No. 2	Self-grafted	[72]
	<i>C. cylindrical</i>	Xiangfei No. 236	Experimental	[71]
<i>Solanum lycopersicum</i> L.	<i>S. habrochaites</i>	LA1777	Wild	[81]
	<i>S. habrochaites</i>	PI-127826	Wild	[84]
	<i>Solanum</i> introgression line	LA3957	Experimental	[81]
	<i>S. lycopersicum</i>	AR-9704	Commercial	[77]
	<i>S. lycopersicum</i>	Arnold	Commercial	[83]
	<i>S. lycopersicum</i>	Beaufort	Commercial	[76], [78]
	<i>S. lycopersicum</i>	Buffon	Commercial	[83]
	<i>S. lycopersicum</i>	Clarabella	Self-grafted	[83]
	<i>S. lycopersicum</i>	E-6203 (LA4024)	Experimental	[81]
	<i>S. lycopersicum</i>	Emperador	Commercial	[83]
	<i>S. lycopersicum</i>	Hezuo 903	Self-grafted	[79]
	<i>S. lycopersicum</i>	Ikram	Self-grafted	[80]
	<i>S. lycopersicum</i>	Maxifort	Commercial	[78], [80], [83],
	<i>S. lycopersicum</i>	M82	Experimental	[82]
	<i>S. lycopersicum</i>	Ramellet	Experimental	[78]
	<i>S. lycopersicum</i>	RVTC20	Experimental	[84]
	<i>S. lycopersicum</i>	RVTC57	Experimental	[84]
	<i>S. lycopersicum</i>	SantaCruz (Kada)	Self-grafted	[84]
	<i>S. lycopersicum</i>	TOM-NtAQP1	Experimental	[82]

	<i>S. lycopersicum</i>	Unifort	Commercial	[80]
	<i>S. lycopersicum</i>	Zhezhen No.1	Commercial	[79]
	<i>S. lycopersicum</i>	0224-53	Experimental	[84]
	<i>S. lycopersicum</i>	6889-50	Experimental	[84]
	<i>S. melongena</i>	Black Beauty	Experimental	[80]
	<i>S. tuberosum</i>	DTS1	Commercial	[85]
	<i>S. tuberosum</i>	HZ88	Commercial	[85]
	<i>S. tuberosum</i>	LS6	Commercial	[85]
	<i>S. tuberosum</i>	QS9	Commercial	[85]
	<i>S. pennellii</i>	LA716	Wild	[84]
	<i>S. pimpinellifolium</i>	LA0413	Wild	[78]
	<i>S. sessiflorum</i>	Cubiu	Experimental	[84]
<i>Solanum melongena</i>	<i>S. melongena</i>	Hiranasu	Cold tolerant	[86]
	<i>S. melongena</i>	Taiby	Experimental	[86]
<i>Glycine max L.</i>	<i>G. max</i>	L14	Experimental	[87]
	<i>G. max</i>	Z35	Experimental	[87]
<i>Gossypium hirsutum L.</i>	<i>G. hirsutum</i>	K1	Experimental	[88]
	<i>G. hirsutum</i>	K2	Experimental	[88]
<i>Ipomoea batatas Lam.</i>	<i>I. batatas</i>	Histarch	Experimental	[89]
	<i>I. batatas</i>	Koganesengan	Experimental	[89]
	<i>I. batatas</i>	Tsurunashigenji	Experimental	[89]
<i>Phaseolus vulgaris L.</i>	<i>P. vulgaris</i>	Jaguar	Experimental	[90]
	<i>P. vulgaris</i>	TB1	Experimental	[90]
<i>Raphanus sativus L.</i>	<i>R. sativus</i>	Comet	Experimental	[91], [92]
	<i>R. sativus</i>	Hadaikon	Experimental	[91]
	<i>R. sativus</i>	Leafy	Experimental	[92]
<i>Actinidia chinensis Planch.</i>	<i>A. hemsleyana</i>	Kaimai	Commercial	[18]
	<i>A. kolomita</i>		Experimental	[18]
	<i>A. macrosperma</i>		Experimental	[18]
	<i>A. polygama</i>		Experimental	[18]
<i>Annona x atemoya Mabb.</i>	<i>A. atemoya</i>	Araticum-de-terra-fria	Experimental	[93]
	<i>A. atemoya</i>	Araticum-mirim	Experimental	[93]

<i>Citrus x sinensis</i> L.	<i>A. atemoya</i>	Biribá	Experimental	[93]
	<i>C. aurantium</i>		Commercial	[97], [98], [99]
	<i>C. jambhiri</i>		Commercial	[99]
	<i>C. limonia</i>	Rangpur	Commercial	[95], [96]
	<i>C. paradisi x P. trifoliata</i>	Swingle citrumelo	Commercial	[95], [96], [97], [98]
	<i>C. reticulata</i>	Blanco	Commercial	[94]
	<i>C. sunki</i>	Tanaka	Commercial	[95]
	<i>P. trifoliata</i>		Commercial	[94]
<i>Malus domestica</i> Borkh	<i>M. domestica</i>	Baleng Crab	Commercial	[100]
	<i>M. domestica</i>	M9	Commercial	[100]
	<i>M. domestica</i>	Shao Series No. 40	Commercial	[100]
<i>Prunus avium</i> L.	<i>P. avium</i>	Maxma 14	Commercial	[102]
	<i>P. cerasifera x P. munsoniana</i>	Mariana 2624	Commercial	[101]
	<i>P. cerasus</i>	CAB11E	Commercial	[102]
	<i>P. cerasus</i>	Edabriz	Commercial	[102]
	<i>P. cerasus</i>	Gisela 5	Commercial	[102]
<i>Prunus persica</i> L. Batsch	<i>Prunus sp.</i>	GF677	Commercial	[103]
	<i>Prunus sp.</i>	Mr S2/5	Commercial	[103]
<i>Pyrus communis</i> L.	<i>C. oblonga</i>	Adams	Commercial	[104]
	<i>C. oblonga</i>	Sydo	Commercial	[104]
<i>Vitis vinifera</i> L.	<i>V. berlandieri x V. riparia</i>	161/49	Drought tolerant	[31]
	<i>V. berlandieri x V. rupestris</i>	93-5 Couderc	Drought tolerant	[31]
	<i>V. champinii</i>	Salt Creek	Salt tolerant	[105], [109]
	<i>V. longii</i>		Wild	[109]
	<i>V. olonis x V. Othelo</i>	Harmony	Commercial	[105]
	<i>V. rupestris</i>	St. George	Commercial	[105], [109]
	<i>V. vinifera</i>	C-3309	Commercial	[107]
	<i>V. vinifera</i>	Dogridge	Salt tolerant	[109]
	<i>V. vinifera</i>	Freedom	Commercial	[109]
	<i>V. vinifera</i>	K51-40	Experimental	[108]
	<i>V. vinifera</i>	Ramsey	Drought tolerant	[108]
	<i>V. vinifera</i>	Ru-140	Commercial	[107]
	<i>V. vinifera</i>	Schwarzmann	Experimental	[108]
	<i>V. vinifera</i>	SO4	Experimental	[107], [108], [109]
<i>V. vinifera</i>	Teleki5C	Drought tolerant	[108]	

<i>V. vinifera</i>	110R	Commercial	[109]
<i>V. vinifera</i>	140 Ruggieri	Experimental	[108]
<i>V. vinifera</i>	1103 Paulsen	Commercial	[109]
<i>V. vinifera</i>	1613C	Salt tolerant	[109]
<i>V. vinifera</i>	41B	Commercial	[109]
<i>V. vinifera</i>	41B Millardet	Drought tolerant	[31]
<i>V. vinifera</i>	420A	Experimental	[108]
<i>V. vinifera</i>	5BB	Commercial	[107]
<i>V. vinifera</i>	5C	Commercial	[107]
<i>V. vinifera</i>	8B	Commercial	[107]

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