

High species richness and lineage diversity of reef corals in the mesophotic zone.

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1 Coral reefs are increasingly threatened by thermal bleaching and tropical storm events associated with
2 rising sea surface temperatures. Deeper habitats offer some protection from these impacts and may
3 safeguard reef-coral biodiversity, but their faunas are largely undescribed for the Indo-Pacific. Here,
4 we show high species richness of scleractinian corals in mesophotic habitats (30-125 m) for the
5 northern Great Barrier Reef region that greatly exceeds previous records for mesophotic habitats
6 globally. Overall, 45% of shallow reef species (≤ 30 m), 78% of genera and all families extended
7 below 30 m depth, with 13% of species, 41% of genera and 78% of families extending below 45 m.
8 Maximum depth of occurrence showed a weak relationship to phylogeny, but a strong correlation with
9 maximum latitudinal extent. Species recorded in the mesophotic had a significantly greater than
10 expected probability of also occurring in shaded microhabitats and at higher latitudes, consistent with
11 light as a common limiting factor. The findings suggest an important role for deeper habitats,
12 particularly depths 30-45 m, in preserving evolutionary lineages of Indo-Pacific corals. Deeper reef
13 areas are clearly more diverse than previously acknowledged and therefore deserve full consideration
14 in our efforts to protect the world's coral reef biodiversity.

15 **1. Introduction**

16 Coral reefs around the world are severely threatened by the increasing frequency and magnitude of
17 climate-related stressors, such as mass bleaching events and tropical storms [1-3]. In particular, the
18 2015/2016 mass coral bleaching event was the most severe on record, with reefs across the Indo-
19 Pacific severely affected and up to 90% coral mortality reported in the northern Great Barrier Reef
20 and adjacent Coral Sea atolls of Australia [2]. These impacts are so severe that local extinctions and
21 slow recovery are predicted in many areas [3,4]. Both thermal bleaching and severe tropical storms
22 are widely predicted to increase in frequency and severity as global sea temperatures increase [2,5],
23 thus there is an urgent need to investigate areas that may safeguard biodiversity during such events.

24 Deeper reef areas have received much recent interest for their potential to provide refuge against
25 major disturbances [6-8]. While not immune from disturbance [8,10], they offer a degree of protection
26 to deeper coral communities as impacts of thermal bleaching and severe tropical storms often decline

27 over depth, [9-11]. Surviving deep coral populations might therefore mitigate against local extinctions
28 and supply larval recruits to facilitate recovery of shallow populations on damaged reefs [9,11,12].
29 These potential roles are the subject of much recent debate [6-8], but one that has been largely
30 overlooked is that of lineage protection. The preservation of evolutionary lineages is increasingly
31 recognised in conservation biology and is particularly relevant to reef corals since many are
32 considered endangered [13-15]. Reef corals have recently undergone major taxonomic and
33 phylogenetic revision [16], but are generally accepted as having two major modern lineages, the
34 “Robust” and “Complex” clades [17], each with multiple families, that arose from a deep-sea lineage
35 up to 425 mya [17,18]. The extent to which these phylogenetically distant lineages are able to extend
36 into deeper habitats is therefore of interest to both the conservation and general biology of reef corals.

37 Despite the potentially critical roles that deeper habitats may play in the future of reefs and reef
38 corals, species-level assessments and their overlap with shallow communities have been largely
39 limited to the Red Sea and west Atlantic, with little taxonomic data for the extensive reef areas of the
40 Indo-Pacific [19]. Deeper coral habitats are commonly defined as the mesophotic zone, encompassing
41 depths 30 to ~150 m [20] and prior to this study, greatest richness was reported for the Red Sea (93
42 species) and in the west Atlantic for Jamaica (38 species, table 1). The Great Barrier Reef region
43 (GBR) has extensive areas of potential mesophotic habitat [21], but studies have been largely limited
44 to observation by submersibles and sampling by dredge with few taxonomic collections [22-28]. Only
45 32 valid species were reported for the GBR mesophotic zone prior to our research program (table 1).

46 Here, we report the main findings of a large taxonomic study of mesophotic corals of the
47 northern Great Barrier Reef and adjacent Coral Sea Atolls (herein referred to as northern GBR
48 region), conducted from 2010 to 2016. Samples collected using remotely operated vehicles (ROVs)
49 and deep SCUBA diving were used for the great majority of records as there are issues with *in situ*
50 identification of many coral genera [24,29], particularly in mesophotic habitats where morphologies
51 can be atypical [30,31]. We build on initial reports from our research program that focused
52 specifically on staghorn corals [31] and lower mesophotic depths (60-126 m) [32] and consolidate

53 data from museum collections and previous literature to summarize the fauna and its potential for
54 safeguarding shallow-reef taxa and evolutionary lineages. We also test for a phylogenetic pattern to
55 depth distributions and compare the mesophotic fauna to other marginal faunas to further the
56 understanding of factors limiting reef-coral distributions.

57 **2. Methods**

58 Seven dedicated mesophotic expeditions were conducted from 2010 to 2016 (figure 1), the majority
59 as part of the “XL Catlin Seaview Survey” (<http://catlinseaviewsurvey.com>). Twenty-seven sites were
60 assessed (figure 1), many with steep bathymetric profiles so that both SCUBA and ROV operations
61 could be conducted from an anchored vessel. The numerous technical and safety issues associated
62 with working on deep and often exposed sites resulted in wide variation in sampling effort, but for
63 each site 500-1,500 m² was surveyed by divers at 40 m depth and 2,000-6,000 m² by ROV (Seabotix
64 vLBV300 or LBV200) from depths 41 m to below the extent of coral occurrence. An area 500 to
65 3,000 m² at 5-10 m depth was also surveyed by divers for species detected in the mesophotic. As the
66 morphology of deeper specimens was often atypical (consistent with reports [19,30]) and many
67 required microscopic examination for accurate identification, we mainly used specimen-based
68 records. Small (3-15 cm long) samples of coral colonies were taken by divers using a hammer and
69 chisel or by ROV using a grab sampler. The ROVs allowed far longer surveys than SCUBA, but the
70 grab samplers were relatively slow and provided fewer specimens. Macro photographs (DSLR
71 Olympus E410 with 14 to 54 mm lens) or for the ROVs, higher resolution video (1980 x 1024 px),
72 were used to document *in situ* morphology and for corals that were difficult to sample.

73 Samples were processed in bleach solution (4% hypochlorite, 36-72 h), rinsed in freshwater,
74 dried and registered into the Queensland Museum Collection (QMC) and the Invertebrate Zoology
75 collection at the California Academy of Sciences. Specimens were examined by microscope (Wild
76 M5) and identified by comparison with type material, specimens from published works in the QMC
77 and according to the wider taxonomic literature. Additional specimens were sourced from the QMC
78 which includes shallow-reef collections (e.g. [24, 29]) and mesophotic material from the region.

79 Nomenclature was according to the World Register of Marine Species [33]. Because of the need to
80 use mainly specimen-based records and issues with variable sampling between sites, quantitative
81 analyses between sites was not feasible.

82 Phylogenetic analyses were based upon the median tree of Huang and Roy [34] for species
83 occurring in the region [35] according to current nomenclature [33]. To test for a phylogenetic effect
84 in maximum depth of occurrence we used Blomberg's K statistic and Pagel's lambda [36] executed in
85 the package Phytools [37] in R. Additional depth data for shallow-reef species not detected in this
86 study were from [38].

87 Similarities between the mesophotic coral fauna and those documented for shaded [39] and high
88 latitude [38] habitats were tested using Pearson's and Mantel-Haenszel chi-squared (VCD package
89 [40]), analysing the number of shared species, with expected values from 3-way contingency tables.
90 Analyses with and without genus *Acropora* were conducted as this genus has a specialized deep-water
91 fauna restricted to low latitudes [41]. An additional test was used to compare high latitude and
92 mesophotic faunas including genus *Montipora* which was not present in the main analyses due to a
93 lack of data for shaded habitats. The correlation between maximum depth of occurrence and
94 maximum latitude was analysed with Kendall's tau statistic [42] implemented in R. A non-parametric
95 method was used as these data showed strong deviations from a normal distribution.

96 **3. Results**

97 For the northern GBR region, we identified 169 species and 57 genera of scleractinian corals from
98 1,263 specimens collected between 30 and 125 m depth (electronic supplementary material, table S2).
99 A further four species and one genus were recorded from QMC specimens not previously reported
100 and 11 species and one genus from *in situ* macro photographs (electronic supplementary material,
101 table S2 and figure S3). Three species were tentatively recorded as "cf", but were not included in
102 totals or analyses. Species richness decreased rapidly with depth: we found 38 species from 24 genera
103 for ≥ 60 m depth and four species from four genera for ≥ 100 m, although fewer specimens (177)

104 were collected deeper than 40 m depth. Overall, 75 species were detected on only 1-2 occasions
105 below 30 m depth. Only six species (*Zoopilus echinatus*, *Craterastrea levis* and four *Acropora*
106 species) were recorded exclusively below 30 m depth. Overall, 109 species were recorded at depths
107 exceeding previously reported global maxima documented in [22-28,38]. *Zoopilus echinatus* and one
108 tentative identification (*Lithophyllon* cf. *spinifer*) were new records for the region (Electronic
109 Supplementary Material, tables S2,S3, figure S1). Four other species (*Acropora tenella*, *Acropora*
110 *pichoni*, *Acropora kimbeensis* and *Craterastrea levis*) were also new records, but reported previously
111 by our group [31,32].

112 Combined with the 11 species and three additional genera previously reported for the mesophotic
113 in the region [22-28], but not detected in our study, we show substantial overlap between the
114 mesophotic and shallow habitats (< 30 m) (figure 2). Excluding taxa that are apparently restricted to
115 the mesophotic in the region, 45% of species and 78% of genera reported for shallow habitats [33,35]
116 extended deeper than 30 m depth. These proportions declined to 13% and 41% >45 m and 2% and
117 10% >90 m depth (species/genera respectively). Eight genera reported for the region are only
118 recorded for shallow (<30 m) habitats (figure 3).

119 Phylogenetic analyses showed each of the 14 families documented for the region were
120 represented in the mesophotic zone and 64% of these in the lower mesophotic (≥ 60 m, figure 3). Few
121 genera showed a high proportion of deep-occurring species and these were phylogenetically distant:
122 *Leptoseris* and *Galaxea* in the Complex clade and *Oxypora*, *Ctenactis*, *Pleuractis* and *Echinophyllia*
123 in the Robust clade (figure 3). Maximum depth of occurrence showed only a low to moderate
124 phylogenetic signal ($K = 0.006$, $\lambda = 0.780$), with the capacity to extend to deeper depths
125 varying within most genera and present across the scleractinian supertree (figure 4). This analysis
126 showed some additional clades within the large genera *Acropora* and *Montipora* restricted to shallow
127 depths (electronic supplementary material, figure S2).

128 Species recorded in the mesophotic and lower mesophotic from the northern GBR region had a
129 significantly greater than expected probability of also occurring in shaded microhabitats and at higher

130 latitudes (figure 5). High latitude and shaded faunas also showed significant similarity to each other
131 and the number of species occurring in all three habitats was significantly greater than expected
132 (figure 5). Similarities between high latitude and mesophotic faunas were robust to inclusion of genus
133 *Montipora* (chi-squared = 11.32, $p < 0.001$). Including genus *Acropora* reduced but maintained the
134 significant similarities ($p < 0.01$), except between lower mesophotic and high latitude faunas
135 (electronic supplementary material, figure S3). This is consistent with the highly diverse *Acropora*
136 having a specialised deep-water fauna restricted to low latitudes [24,41]. Maximum depth of
137 occurrence and maximum documented latitude were also strongly correlated (Kendall's tau $\tau = 2.60$,
138 $p = 0.009$, see electronic supplementary material, table S2).

139 **4. Discussion**

140 Mesophotic depths are often regarded as marginal for reef-building scleractinian corals [43], but here
141 we document a richness of 195 species, 62 genera and 14 families from 30 to 125 m depth for the
142 northern GBR region. This greatly exceeds richness reported for other regions of the world (table 1),
143 strengthening the case that mesophotic coral ecosystems are worthy of greater consideration in overall
144 coral reef management and ecology [8,19]. Our findings indicate that a much greater proportion of
145 Indo-Pacific reef coral diversity occurs at mesophotic depths than previously recognized, which has
146 implications for deep-reef areas potentially safeguarding some coral biodiversity from climate change
147 impacts. The mesophotic coral fauna also showed surprising similarities with other marginal reef
148 faunas, providing further insight into the factors limiting the bathymetric and latitudinal distribution
149 of reef corals.

150 The northern GBR region supports a relatively high diversity of reef-building scleractinian corals
151 [35] and we found 45% of shallow-reef species and 78% of genera occurring at depths greater than 30
152 m (table 1, figure 2). While the proportions of species and genera are similar to those reported for
153 other well documented regions with the exception of Jamaica (table 1), here we show overlap for a
154 much larger fauna, representing a significant proportion of common Indo-Pacific taxa. The degree to
155 which shallow-reef taxa extend into deep habitats is perhaps critical to the future of reefs since deeper

156 habitats provide one of the few potential refuges for corals during certain climate change impacts.
157 Thermal bleaching and severe storm events have severely damaged reefs across the globe and are
158 predicted to increase in severity and frequency [2,5], but their impacts tend to decrease with depth in
159 some regions [9-11]. Our findings show wide scope in terms of taxon diversity, for deeper habitats to
160 provide refuge and extinction mitigation during these events. Perhaps most significantly, each family
161 is represented in the mesophotic zone with many extending to greater depths, despite their wide
162 phylogenetic diversity (figure 3). Thus, each lineage has some potential for being safeguarded in the
163 event of widespread shallow-reef degradation. These findings are particularly relevant since many
164 species of reef corals are currently considered endangered or vulnerable [15].

165 In addition to lineage preservation, refuged deep populations might contribute to shallow reef
166 recovery by providing a source of larval recruits [9,10]. Such recruitment would be particularly
167 important in accelerating recovery after severe bleaching events, given that the rate of recovery
168 between events is likely to be critical to reef futures [1,3]. However, the capacity of deep and often
169 sparse populations to accelerate or even contribute to shallow reef recovery is currently the subject of
170 much debate [6-8]. Studies of genetic connectivity suggest a low potential for deep-sourced
171 recruitment at shallow depths for some species [44] and decreased light at greater depths (40 - 60 m)
172 is associated with decreased fecundity for several species [45]. Here, we detected a large proportion of
173 species at upper mesophotic depths (figure 2), supporting the concept of an ‘optimum refuge zone’
174 protected from the worst bleaching and storm impacts, but not so deep that diversity, light and genetic
175 isolation become limiting [10,44]. Given the number and range of taxa present at both shallow and
176 mesophotic depths, even limited recruitment from a subset of the deep fauna is likely to be critical in
177 shallow areas where severe mortality has occurred. Clearly, the role that deep populations play
178 following severe impacts requires further study, but we here show much greater scope in terms of
179 systematics than previously acknowledged.

180 The mesophotic taxon richness we found greatly exceeds the 32 species and 20 genera previously
181 reported for this region and that of other documented regions (table 1). This likely reflects the large

182 sampling effort and geographic extent of the study (27 sites over ~150 000 km²), but also the location.
183 This is the first detailed taxonomic report of mesophotic corals across a large reef system with high
184 shallow-reef species richness. The northern GBR region has approximately 427 scleractinian reef
185 corals reported (including six new records from our study), exceeding that of the other regions where
186 mesophotic corals are relatively well documented (table 1). While the relationship between shallow
187 and deep-reef richness has not been fully established, these results provide some evidence that the two
188 are interrelated, at least over regional scales.

189 Specific environmental conditions at our study sites are also likely to have contributed to the
190 species richness. Overall, 109 species showed depths exceeding previously documented maxima
191 (electronic supplementary material, table S2), including many species common across the Indo-
192 Pacific [24, 29, 35]. Many of our study sites were located at relatively low latitudes (figure 1) on
193 atolls or outer barrier reef slopes, far from terrestrial influences and bathed in waters of extremely
194 high clarity [46]. Such conditions are optimal for light transmission to depth, a factor that influences
195 the bathymetric distribution of many reef corals [47]. While low-latitude deep sites with high water
196 clarity are common throughout the Indo-Pacific, this is one of the first taxonomic studies for such
197 habitats. Low temperatures also limit species occurrence for some mesophotic habitats [48], but for
198 several of our deep sites the annual minima [49] were well above those considered limiting for reef
199 corals [47]. The region studied was extremely remote, relatively pristine, well-protected by marine
200 parks and the fieldwork conducted prior to the 2016 thermal bleaching event: thus it provides an
201 important baseline for deep-reef assemblages and the depth distributions of many reef-coral species.

202 The significant similarities shown between coral faunas from mesophotic, high latitude and
203 shaded habitats (figure 5) provide an indication of the factors limiting species occurrence in these
204 marginal habitats. Similarities between mesophotic and shaded faunas are not surprising since light is
205 generally accepted as one of the main limits to species occurrence for these habitats [39,50].
206 However, the significant similarity between both these faunas and higher latitude fauna is a novel
207 finding. The results indicate that species able to tolerate low levels of light were also more likely to

208 extend to deeper depths and higher latitudes. Light availability has long been hypothesised to limit the
209 latitudinal distribution of reef formation and corals [46,51] and recent studies have provided some
210 quantitative evidence for this [41,52]. It is critical to understand the extent to which light is
211 constraining current limits of coral distributions since this will likely determine their scope for
212 latitudinal extension in response to warming oceans. Clearly, the response of individual species to
213 lowered light regimes needs to be assessed, but our results provide further evidence that light
214 limitation plays an important role in the current bathymetric and latitudinal distribution of reef corals.

215 The outlook for coral reefs is currently grim given recent bleaching and severe tropical storm
216 events [1-5]; indeed the region studied underwent high coral mortality across wide areas of shallow
217 reef shortly after we completed our sampling program [2]. However, our findings provide a glimmer
218 of hope. A far greater proportion of Indo-Pacific coral taxa are present in deep-reef habitats than
219 previously acknowledged, potentially providing extinction mitigation and lineage continuity in the
220 face of some climate change impacts. Deep refuged populations may also contribute to shallow reef
221 recovery, although this role is currently debated [6-8]. We also show that a greater than expected
222 subset of species is likely to benefit from a combination of deep-reef, high latitude [53] and shaded
223 [11,54] refuges. Aside from their role as a potential refuge, deep habitats are clearly far more diverse
224 and extensive than previously acknowledged and are therefore much in need of further study,
225 management and protection.

226 **Ethics.** Sampling was conducted under GBRMPA and Australian Government permits and Queensland
227 Museum guidelines.

228 **Data accessibility.** Additional results and references supporting this article have been uploaded as
229 online electronic supplementary material. Datasets used in this study are available from the Dryad
230 Digital Repository [55].

231 **Authors' contributions.** Specimen collection: PM & PB; identification: CW, MP & PM; analysis:
232 PM; expedition & equipment: PB, PM; preparation of manuscript: PM, PB, MP, CW.

233 **Competing interests.** We declare we have no competing interests.

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Figure Legends

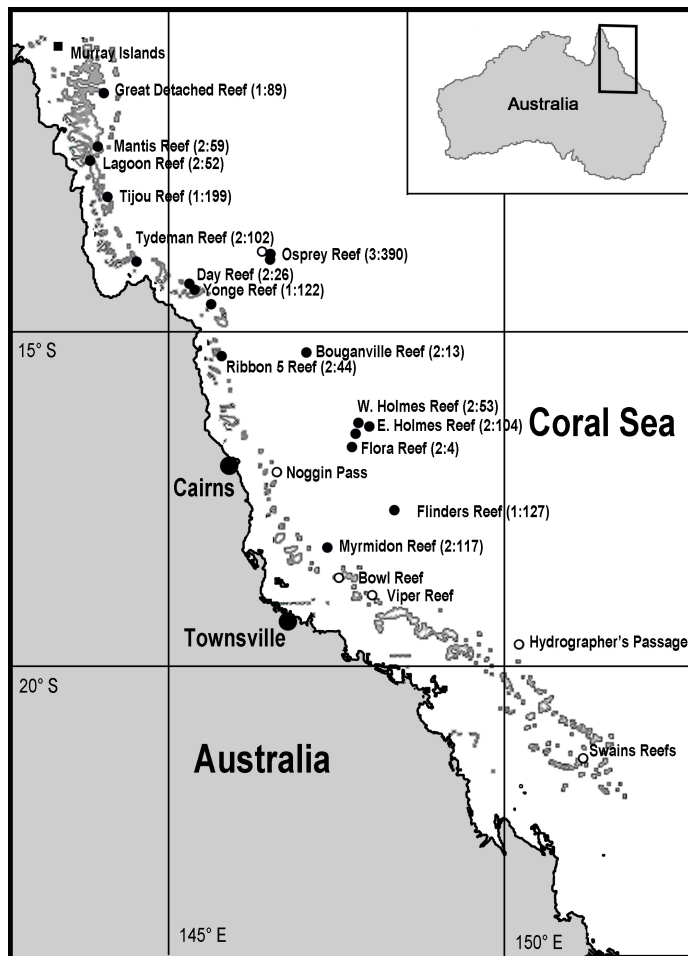


Figure 1. Locations with (sites: number of specimens collected) used in this study (●), from unpublished museum records (■) and previous studies [22-28] (○).

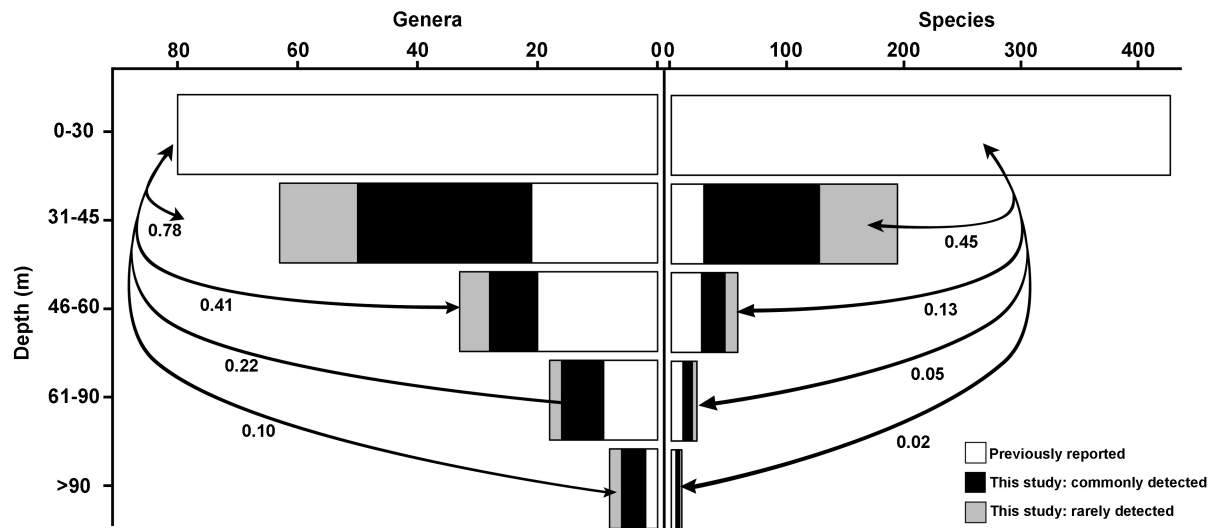


Figure 2. Summary of reef-coral taxa detected at depth and the proportional overlap with shallow-reef fauna [35] for the northern GBR region. According to current nomenclature [33], species detected exclusively in the mesophotic were excluded, ‘this study’ includes preliminary records reported previously by our group [31,32]. Previously reported [22-28], see electronic supplementary material tables S1 and S2 for details.

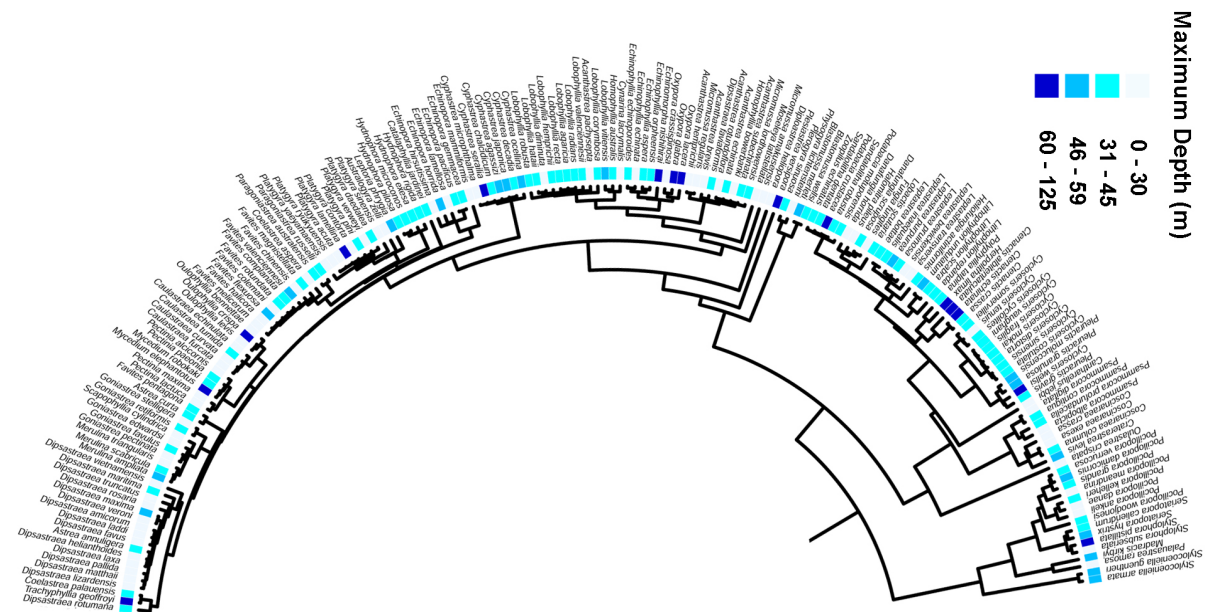


Figure 4. The ability to extend to depth varied widely within genera and was only slightly related to phylogeny (Blomberg’s $K = 0.006$, $P = 0.137$, Pagel’s $\lambda = 0.596$). Here, the “Robust” clade for scleractinian corals reported for the northern GBR region [35] with current nomenclature [33] is shown. The median tree of [34] is used, with additional depth data from [38]. For the complete tree see electronic supplementary material, figure S2.

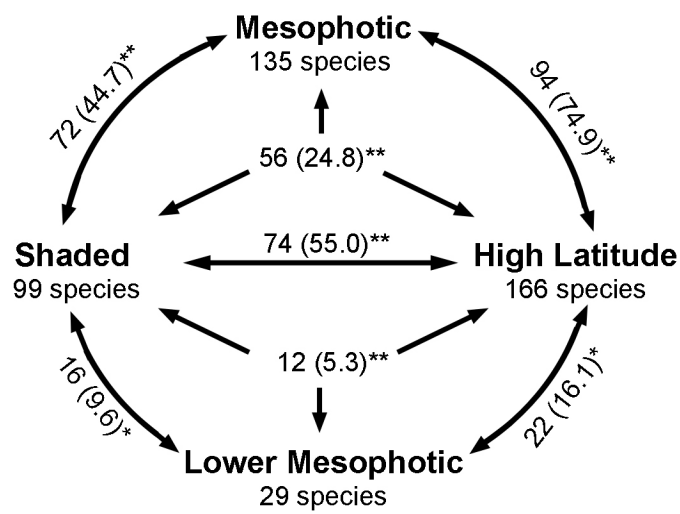


Figure 5. Species that occurred at mesophotic (30-150 m) and lower mesophotic (60-150 m) depths in the northern GBR region were significantly more likely to extend to higher latitudes ($>34^\circ$) and into shaded microhabitats. Numbers indicate species shared with expected values in brackets, ** denotes $p < 0.01$, * denotes $p < 0.05$ from Pearson's and Mantel-Haenszel chi-squared. Species for the region according to [34], shaded habitats [39] and latitudinal extent [38]. Genus *Acropora* excluded here, details and further analyses electronic supplementary material table S2 and figure S3.

Table 1. Previous reports for species richness of scleractinian corals in mesophotic habitats (depth 30 to ~150 m). Total valid species [35] according to current nomenclature [33], literature sources detailed in electronic supplementary material, table S1. * denotes relatively well documented; ¹ six new records from our study not included.

Region	Mesophotic Species	Total Species	Proportion (%)
Red Sea*	93*	310	30.9
Maldives	34	292	8.7
Great Barrier Reef	32	421 ¹	7.6
New Caledonia	72	438	16.6
Japan	17	418	4.1
Micronesia	71	431	16.5
Austral Is., Polynesia	62	153	43.8
Northeast Pacific*	23*	77	29.9
Honduras/Belize*	29*	60	48.3
Jamaica*	38*	59	64.4