

Record Efficiency of Air-Stable Multi-Walled Carbon Nanotube/Silicon Solar Cells

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Abstract

Multi-walled carbon nanotube (MWCNT) films form efficient heterojunction solar cells with n-type crystalline silicon (n-Si), due to their superior optical and electrical properties. Here, we report air-stable photovoltaic devices with record photoconversion efficiency of 10%. We realized thin films consisting of MWCNTs arranged in semitransparent random networks deposited on n-Si substrates by a simple, rapid, reproducible, and inexpensive vacuum filtration process at room temperature. Such heterojunctions favor high and broadband carrier photogeneration, extending the Si spectral response from near infrared to near ultraviolet range; charge dissociation of ultrafast hot carriers [1]; transport of electrons through n-Si and high-mobility [2] holes through the MWCNT percolative network. Furthermore, by varying the MWCNT film thickness, it is possible tailoring its optical and electrical properties, therefore the overall device optoelectronic features. These results not only pave the way for low-cost, efficient, and broadband photovoltaics, but also are promising for the development of MWCNT-based optoelectronic applications.

Keywords: solar cell, photovoltaic, doping, multi-walled carbon nanotube, thin film, silicon, air-stable, record efficiency

1. Introduction

In the last decade, the photoconductivity of carbon nanotubes (CNTs) has attracted great interest due to potential applications of their unique optical and electronic properties for the development of novel photosensitive nanomaterials for light sensing and harvesting [3].

Carbon nanotubes may offer a great deal in the development of high-efficiency third-generation solar cells owing to their strong and tunable optical absorption [4, 21];

ultrafast exciton/charge transfer (1-10 ps) [1, 6–11]; extraordinary high mobility (10^5 cm²/Vs) [2]; simple, rapid, and inexpensive solution-processability [12]. Furthermore, the unique one-dimensional structure of CNTs and the inherent properties of the graphitic sp^2 carbon lattice allow the fabrication of photovoltaic cells that are highly thermally conductive, mechanical, chemical, and radiation resistant [13]. Moreover, high aspect ratio and flexibility enable CNTs to be weaved into two-dimensional networks with tunable electrical and optical properties, which can be easily deposited on the surface of several materials [12].

In general, heterojunctions [14] offer more choice of materials with appropriate band gaps covering a wider range

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of the solar spectrum, and also potential for the development of a variety of nanomaterial/semiconductor solar cells. So far, a number of researchers have investigated the possibility of utilizing CNT films in devices based on crystalline semiconductors such as Si for heterojunction solar cells (we suggest the review in Ref. [15]). In this framework, on one hand Si photogenerates electron-hole pairs and transports electrons to leads. On the other hand, the CNT film acts both as a photogenerating layer and as hole-transporting layer, eventually avoiding metal wiring from the device surface, which shade a portion of incident light, thus replacing the costly indium tin oxide (ITO). In this way, charge generation, separation, transport, and collection can be realized partly by the semi-transparent and conductive CNT thin film itself.

Currently, researcher main efforts have been focused on single-wall carbon nanotube/silicon (SWCNT/Si) heterojunctions, achieving a photoconversion efficiency (PCE) up to $\approx 12\%$ [16] without any post-process treatments, up to $\approx 11\%$ after chemical doping [17], up to $\approx 15\%$ by adopting single-chirality SWCNTs and gold nanoparticles [18] or relying on antireflective coatings [19], and a record $\approx 17\%$ by using metal oxide layers [20]. However, multi-walled carbon nanotubes (MWCNTs) can efficiently and uniformly absorb more light than SWCNTs from near infrared to ultraviolet [21] because of the absence of an electronic band gap [22–24], thus exhibiting a metallic character, likewise graphene [25]. Moreover, MWCNTs are available at low cost, in large quantity, at lower temperature ($< 1000\text{ }^\circ\text{C}$) [5] than SWCNTs, and no time-consuming chirality sorting process is needed [18]. Also, the efficiency of MWCNT/Si solar cells can be optimized by tailoring the MWCNT film processing [26] and device post-treatments [27] as for SWCNT/Si devices. Anyway, the potential of MWCNTs has not been fully exploited in photovoltaic applications, indeed MWCNT/Si solar cell PCE has been reported so far to less than 2% [24, 26, 27]. In particular, one of the primary obstacles in realizing practical appli-

cations are the poor operational life-time of CNT/Si devices. Since CNTs are chemically stable, the device degradation typically comes from the Si detrimental oxidation in air [28, 29]. Although, other authors tried to encapsulate [30] the CNT/Si solar cells or to realize air-stable devices [16, 17, 31, 32], their reported PCE values dramatically drop in few time.

In this article, we report air-stable MWCNT/Si heterojunction solar cell devices realized with the process described in the Experimental section. Differently from other authors, our fabrication protocol of MWCNT films is simple, rapid, room temperature, and scalable. Herein, we investigate the optical and electrical properties of the MWCNT film and the whole MWCNT/Si device, by varying the MWCNT film and Si thickness, independently. Therefore, we show that it is possible tailoring in a controlled fashion the PCE of our solar cells up to $10 \pm 1\%$, which exhibits negligible degradation of PCE up to a month of exposure to air. Hence, our results are 5 times higher than the so far reported PCE values for MWCNT/Si solar cells, and are comparable to the best PCE values of SWCNT/Si devices in the same conditions (i.e. without any post-process treatments and after chemical doping).

2. Experimental

2.1. Fabrication of CNT films

Highly pure MWCNT powder (Nanocyl, NC7000, assay 90%, no amorphous carbon, 10% metal oxide, outer diameter: 9.5 nm) was dispersed in an aqueous solution ($30\text{ }\mu\text{g mL}^{-1}$) with 2% w/v sodium dodecyl sulfate (Sigma-Aldrich, assay $> 98.5\%$) anionic surfactant. Surfactant concentration (well above the critical micelle concentration $\approx 0.2\%$) has been calibrated optimizing the solar cell efficiency. In addition, to better disperse the suspension, MWCNTs were tip-ultrasonicated (Branson S250A, 200 W, 20% power, 20 KHz) in an ice-bath for an hour

and the unbundled supernatant was collected by pipette. The result was a well-dispersed suspension which is stable for several months. Multi-walled carbon nanotube films were fabricated by a vacuum filtration process of volume aliquots of the dispersion with mixed cellulose ester filters (Pall GN6, 1 in diameter, 0.45 μm pore diameter). Subsequently, rinsing in water and in a solution of ethanol, methanol and water (15:15:70) to remove as much surfactant as possible was performed.

2.2. Device fabrication

The substrates, provided by Fondazione Bruno Kessler (FBK), are in a top-down configuration. Au/Cr ohmic ($\text{n}^+\text{-Si}$) back contact (150 nm) was evaporated on n-type crystalline Si (100) wafers ($\rho \approx 3\text{-}12 \Omega\text{cm}$, $N_D \approx 6 \cdot 10^{14} \text{cm}^{-3}$) 4" in diameter, passivated by thermal SiO_2 (300 nm). The SiO_2 layer was patterned in two different ways by a lithographic process with a positive resist followed by a chemical etching in order to obtain a batch of devices with a 2×2 mm bare Si window delimited by SiO_2 . Therefore, the device active area is 0.04cm^2 . The Si wafers were made of different thickness (54-200 μm) by engraving the crystal only underneath the active area. An Au/Cr front contact electrode frame (150 nm) was then evaporated on SiO_2 by masking the Si active area. Wafers were then cut in squares 1×1 cm. Multi-walled carbon nanotube films were cut and deposited by dry-transfer printing as in Ref. [21, 33] both on HF etched Si active windows and on Carlo Erba soda-lime glass slides for the optical and electrical characterization.

2.3. Sample characterization

Optical spectroscopy (Perkin-Elmer Lambda 19 UV/VIS/NIR) was performed to characterize the thickness and the transmittance of MWCNT films. We estimated the film thickness τ through the Beer-Lambert law. For instance, we used the absorption constant value $\alpha(550 \text{ nm}) = (7.4 \pm 0.5) \cdot 10^5 \text{ cm}^{-1}$ [21]. As previously

reported [12], there is a linear dependence between the thickness of the obtained carbon nanotube film and the aliquot volume V of the same dispersion. Since $V = m/c$, where m is the MWCNT mass in the aliquot and c the concentration of the dispersion, the MWCNT film thickness can be controlled suitably varying aliquot volume and/or dispersion concentration. Multi-walled carbon nanotube microstructure was observed by means of field-emission scanning electron microscope (FEG-SEM, Leo Supra 35). The acquired micrographs were analyzed with an image software. The obtained MWCNT films appear as homogeneous and porous (void fraction 60%) random networks, as evident from Figure 1a,b. X-ray photoelectron spectroscopy (XPS) and Energy Dispersive X-ray (EDX) analysis on our CNT films showed no trace of S and Na due to the SDS surfactant nor of any other metal, within the experimental limit $\approx 1\%$ of the two techniques [34]. Therefore, our MWCNT films are highly pure and surfactant-free. Electrical measurements were conducted with a Keithley 2602A digital multimeter interfaced to a PC. Sheet resistance values were determined by van der Pauw method after measuring the electrical resistance at room temperature by standard four probe configuration. The same configuration was used to measure electrical resistance as a function of the temperature in He bath fixing the samples at the tip end of a descendant in thermal contact with a Cernox thermometer. Solar cells were tested using a LOT-Oriel solar simulator under AM 1.5 G spectral illumination of 100 mWcm^{-2} (1 sun). The output power density of the simulator was calibrated using a power meter. External quantum efficiency was measured with a custom optical setup: a LOT-Oriel Xe lamp emits white light passing through an Applied PhotoPhysics 300-1000 nm monochromator controlled by PC. Emerging monochromatic light is focused by a lens and divided by a chopper into two beams refocused by two lenses. One beam is then detected by a Si photodiode and the other impinges on the MWCNT/Si solar cell.

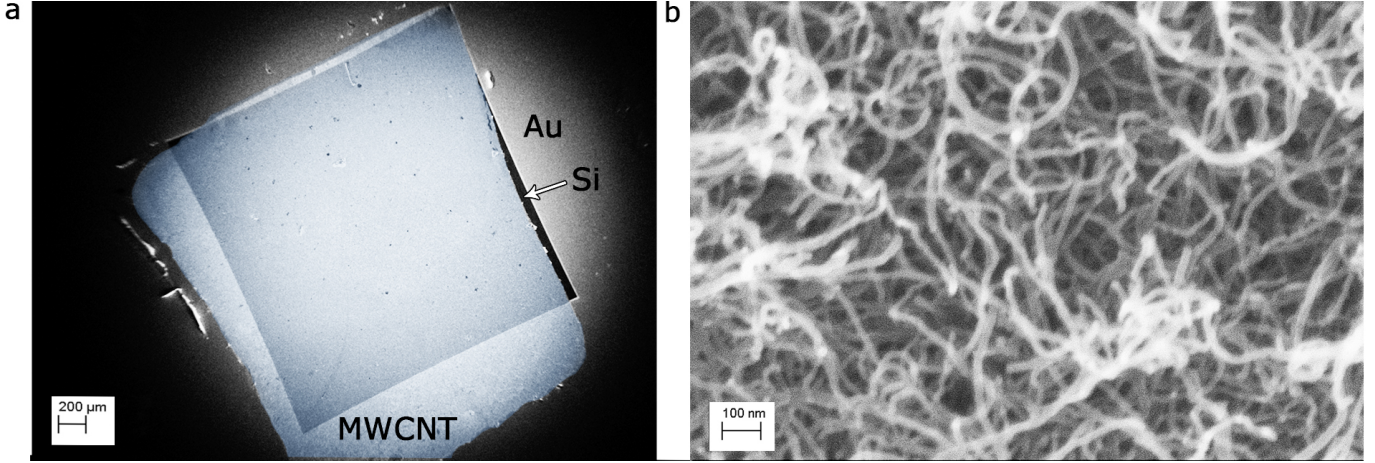


Figure 1: Scanning electron micrographs of a MWCNT film deposited on the solar cell Si substrate magnified at $65\times$ (a) and $200,000\times$ (b). **a**, The MWCNT film (light blue false color) deposited on Si active window (dark square area underneath the film) appears to be homogeneous and defect-free. **b**, At higher magnification the film exhibits a dense MWCNT random network.

Both AC signals are matched by an Acquire 7265 lock-in connected to a PC.

3. Results and discussion

3.1. MWCNT film electrical and optical properties

In the following, we introduce our MWCNT film optical and electrical properties. As fitted in Figure 2a, the variation of the transmittance $T(\lambda)$ calculated for instance at 550 nm of MWCNT films with their sheet resistance R_{sh} follows the relation [35]

$$T(\lambda) = \left(1 + \frac{Z_0 \sigma_{ac}(\lambda)}{2R_{sh} \sigma_{dc}}\right)^{-2}, \quad (1)$$

where $Z_0 = 377 \Omega$ is the free-space impedance and σ_{dc} , $\sigma_{ac}(\lambda)$ are respectively the electrical and optical conductivity. Since the sheet resistance is related to the film thickness τ by $R_{sh} = \rho/\tau$ with ρ the MWCNT resistivity (Figure 2d), the thicker the film, the lower the sheet resistance, as well as the transmittance values. Actually, our MWCNT films may have low sheet resistance values with high transmittance, for instance a film (22.2 ± 0.4) nm thick has a transmittance $T(550 \text{ nm}) = 68\%$ and a sheet resistance $R_{sh} = 7 \text{ k}\Omega\text{sq}^{-1}$. From the fit in Figure 2d, we report for our MWCNT films $\rho = (1.2 \pm 0.1) \cdot 10^{-5} \Omega\text{m}$, in agreement with the resistivity of bulk graphite [36].

Furthermore, it is possible to observe in Figure 2b the absorbance $A(\lambda)$ of our MWCNT films for different film thicknesses, following the Beer-Lambert law $A(\lambda) = \alpha(\lambda)\tau$, where $\alpha(\lambda)$ is the MWCNT random network absorption coefficient [21]. Moreover, the absorption length $\delta(\lambda) = \alpha(\lambda)^{-1}$ for our MWCNT film compared with that of crystalline Si is reported in Figure 2c. The material choice in a photovoltaic device is of paramount importance. Indeed, Si is historically the leader material for photovoltaics, owing to its absorption throughout the solar spectrum range deriving from its electronic band gap (1.12 eV). Typically, a $300 \mu\text{m}$ thick Si wafer is required to absorb all the incident light within 1000 nm [37]. In turn, MWCNT random network films possess an exceptionally high absorption coefficient, which means a very low absorption length. As shown in Figure 2c, just 14 nm thick MWCNT film is required to attenuate the incident light within 1000 nm by a factor $1/e$, a thickness about four orders of magnitude lower than Si. Such a high absorption is due both to their one-dimensional electronic properties and to their random arrangement [21]. On the basis of these results, it is possible fabricating low-cost MWCNT/Si devices by using thin Si wafers, with a huge and broadband absorption.

The MWCNT film electrical conductance G as a function of its thickness τ is reported in Figure 2e. The plot shown in log scale follows the conductance percolation law [38]

$$G = G_0 (\tau_c - \tau)^\mu, \quad (2)$$

where G_0 is the conductance of the unity of the percolation network, the thickness τ_c is the conductance percolation threshold, μ is the universal (i.e. independent of the microscopic details of the system but scale dependent) critical exponent of percolation. Equation 2 is valid when $\tau < \tau_c$ and $\tau_c - \tau$ is small. The plot states that as the MWCNT film thickness increases beyond the percolation threshold $\tau_c \approx 23$ nm, the conductance abruptly increases following the power law in equation 2 as conductive paths form. Conversely, below the percolation threshold

the material is non-conducting. Fitting our data in log-log scale below the percolation threshold (Figure 2c, inset), we obtain $\mu = 1.47 \pm 0.15$, in agreement with the value reported for generic two-dimensional percolative systems ($\mu \approx 1.33$) [38] and CNT films [39, 40]. Therefore, the percolation curve shows how to optimize the two-dimensional MWCNT film making it conductive with the minor material amount (the percolation threshold).

3.2. MWCNT film electronic transport properties

In order to investigate the conduction mechanism for our MWCNT films, we reported in Figure 2f the normalized conductivity $\sigma(T)/\sigma(300 \text{ K})$ as a function of the temperature T for a MWCNT film with a thickness $\tau = 29.3 \pm 0.4$ nm, above the percolation threshold. The observed con-

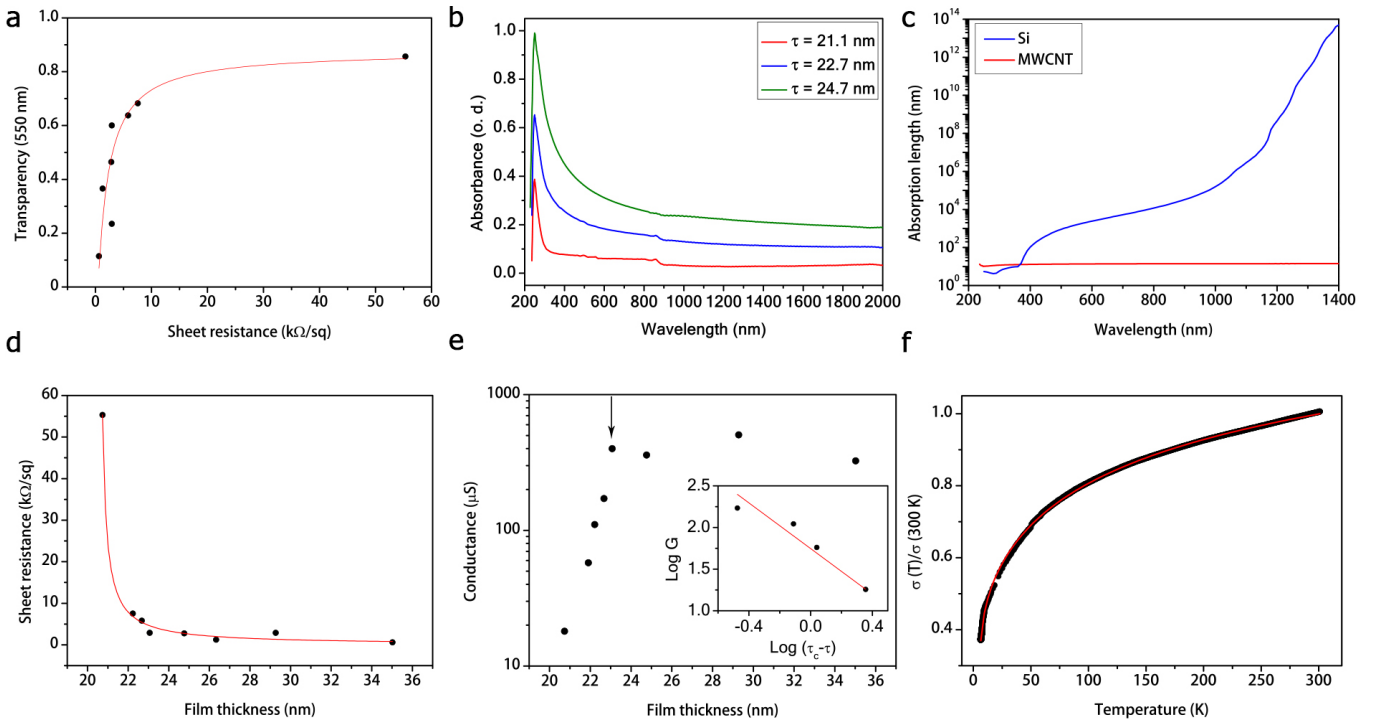


Figure 2: Optical and electrical characterization of the MWCNT film. Transmittance at 550 nm as a function of the MWCNT film sheet resistance (a). b, Absorbance spectra for different film thicknesses 21.1 ± 0.3 nm (red solid curve), 22.7 ± 0.4 nm (blue solid curve), and 24.7 ± 0.4 nm (green solid curve). c, Absorption length as a function of light wavelength of Si [37] (blue solid line) and our MWCNT films (red solid line). d, Sheet resistance as a function of MWCNT film thickness. e, Electrical conductance of the MWCNT films in log scale as a function of the film thickness. The black arrow marks the percolation threshold $\tau_c \approx 23$ nm. The log-log plot of the conductance G as a function of the MWCNT film thickness in the inset is fitted by the conductance percolation law for $\tau < \tau_c$. f, Normalized conductivity of a 28.7 ± 0.6 nm thick MWCNT film as function of temperature. The plot is well fitted by a linear combination of VRH and FIT models.

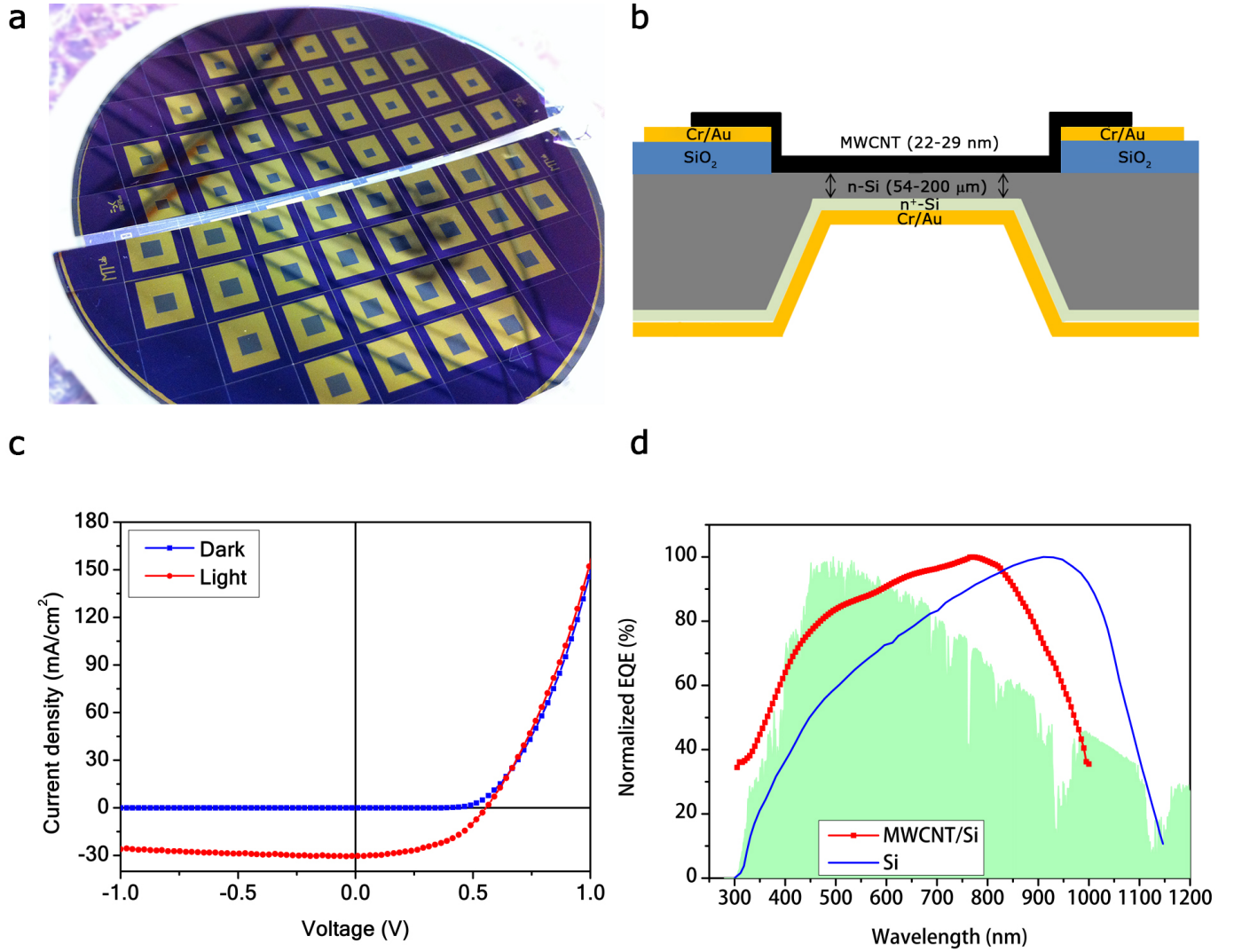


Figure 3: Device characterization. Photographic image of the device before the MWCNT film deposition (a) and sketch in cross section (b) of the MWCNT/Si solar cell. c, Electrical response of our best MWCNT/Si device under dark (blue dotted line) and under illumination (red dotted line). d, External quantum efficiency of our best device (red dotted line) as a comparison with that of a reference p-n Si solar cell (blue solid line) and the AM 1.5 G solar irradiance spectrum (green shaded area). Solar irradiance spectrum and EQEs are normalized to the maximum point.

ductivity tends to zero as the temperature decreases, exhibiting a typical non-metallic behavior. Despite MWCNT films are highly conductive at room temperature, such a trend in conductivity has been reported for individual MWCNTs [41, 42], MWCNT bundles [43], MWCNT films [44], and generally for disordered metals or Fermi glasses, such as conjugated polymer films [45, 46] and amorphous metals [47]. Usually, in those materials disorder and/or Coulomb interactions localize charge carriers at low temperature, inducing a metal/semiconductor tran-

sition. We fitted our data with a function, which accounts of a first term denoting the Mott's variable range hopping (VRH) transport [47], and a second term describing the fluctuation-induced tunneling (FIT) transport [48] (see Supplementary Section SI)

$$\sigma = c_1 \sigma_0 e^{-(T_M/T)^\gamma} + c_2 \sigma_t e^{[T_1/(T_0+T)]}, \quad (3)$$

with σ_0 the temperature-independent hopping conductivity, T_M the Mott's characteristic temperature, $\gamma = 1/(d+1)$ the dimensionality (d) dependent exponent, σ_t

the temperature-independent tunneling conductivity, T_1 the activation temperature required for an electron to tunnel through an energy barrier, and T_0 the minimum temperature required to activate the thermal conduction to overcome the barrier. The fit returns $\gamma = 0.33 \pm 0.01$, which means again that the system is two-dimensional. Furthermore, c_1 , c_2 are the normalized weights of the two transport models, which in our case state that the 63% of transport is by VRH and only 37% by FIT. Therefore, our MWCNT films can be seen as disordered two-dimensional networks with large high-conductivity clusters separated by small low-conductivity clusters. In such a heterogeneous model [38, 46], weakly localized electrons may percolate by thermally induced hopping between conducting regions, and tunnel through non-conducting regions. This description reasonably supports the fact that MWCNTs are randomly arranged with several intra- and inter-tube contacts, and local defects acting as electronic energy barriers, thus limiting the conduction [46]. Moreover, the presence of low-conductivity barrier clusters in the percolative path means that at frequencies above the semiconductor band gap the σ_{ac} will be greater than the σ_{dc} , as the former is determined by on-tube excitations and not by the inter-tube conductance [38, 40, 46]. Indeed, from the fit in Figure 2a $\sigma_{ac}(550 \text{ nm})/\sigma_{dc} = 12$.

3.3. MWCNT/Si solar cells

Images and sketches of our solar cells, described in detail in the Experimental section, are shown in Figure 3a,b. Also, we report in Figure 3c the electrical response of our MWCNT/Si (22.7 nm/54 μm) best device in the dark state and under illumination (light state). We achieved an unprecedented $PCE = 8 \pm 1\%$, with a fill factor $FF = 49 \pm 7\%$. Our systematic error on PCE and FF is estimated by the standard deviation over five samples realized in the same way. This small uncertainty demonstrates the high reproducibility of our MWCNT/Si solar

cells. From the analysis of the light state curve, we extrapolated the short circuit current density $J_{sc} = 30.2 \pm 0.1 \text{ mA/cm}^2$ and the open circuit voltage $V_{oc} = 0.55 \pm 0.01 \text{ V}$, while analyzing the dark state curve (see Supplementary Section SII) we obtained the reverse saturation current density $J_0 = 2.7 \pm 0.2 \cdot 10^{-4} \text{ mA/cm}^2$, the shunt resistance $R_p = 13.0 \pm 0.2 \text{ M}\Omega$ ($325 \pm 5 \text{ M}\Omega/\text{cm}^2$), the series resistance $R_s = 10.9 \pm 0.1 \text{ }\Omega$ ($272 \pm 2 \text{ }\Omega/\text{cm}^2$), and the diode ideality factor $n = 1.80 \pm 0.03$. The extremely high shunt resistance value together with the very low value of reverse saturation current density and series resistance lead to such a high value of PCE, for this kind of devices. Furthermore, the diode ideality factor value close to 2 is symptom of Shockley-Read-Hall (SRH) recombination in the device [37].

In addition, the experimental external quantum efficiency (EQE) spectra for our best MWCNT/Si solar cell in comparison with a p-n Si reference cell (Minolta AK200) and the AM 1.5 G solar spectrum are reported in Figure 3d. Spectra are normalized to the maximum peak in order to highlight differences in the two devices. It is evident from the plot that our MWCNT/Si cell has a higher efficiency in the visible range, within one standard deviation from the solar spectrum peak. Furthermore, the MWCNT/Si heterojunction has a larger spectral interval of efficiency than the p-n Si cell, ranging from near UV to visible. Also, a large EQE contribution in the NIR above Si band gap due to MWCNT absorption is expected, as it has been reported by other authors [49, 50]. We can thus infer that the MWCNT/Si solar cell is a promising device for photovoltaics, with a high and broadband quantum efficiency.

3.4. Device optimization

Moreover, optimizing the material thicknesses of the heterojunction is fundamental to achieve high PCE and EQE. In fact, in order to maximize the photogenerated

carriers collection probability, it is required that the material thicknesses forming the junction are less than the minority carrier diffusion lengths, otherwise carrier recombination is likely to occur inside the material [37]. In the present case, the hole diffusion length for n-Si ($N_D \approx 6 \times 10^{14} \text{ cm}^{-3}$) is about $35 \mu\text{m}$, for relaxation time $1 \mu\text{s}$ [37]. Furthermore, since the thicker the material, the more the light is absorbed, there must be a trade-off between the carrier diffusion lengths and the absorption lengths of the materials. We optimized to this purpose the performance of our devices by independently fine tuning the MWCNT film and Si wafer thickness. We report the experimental solar cell electrical response under illumination, EQE, and transient curves as a function of the MWCNT film thickness for a cell with $104 \mu\text{m}$ thick Si wafer (Figure 4a-c), and as a function of the Si wafer thickness for a cell with $22.7 \pm 0.4 \text{ nm}$ thick MWCNT film (in Figure 4d-f). We can infer from data that the MWCNT/Si ($22.7 \text{ nm}/54 \mu\text{m}$) device configuration is

the best, since has the highest PCE and EQE. It is worth noting the large variation across the full range of EQE as a function of MWCNT film thickness (Figure 4b), in comparison with the small EQE variation as a function of Si wafer thickness (Figure 4e). In particular, the change in Si wafer thickness affects more the EQE at long wavelengths, where recombination effects at the back of the device, expected for wafers thicker than the electron diffusion length, compete with higher absorption efficiency. In addition, transient curves in Figure 4c,f) state that the 22.7 nm thick MWCNT film and the $104 \mu\text{m}$ thick Si wafer lead to the fastest photocurrent response for the solar cell. However, PCE and EQE of the device with $104 \mu\text{m}$ thick Si wafer are significantly lower than those of the device with $54 \mu\text{m}$ thick Si wafer, owing to higher carrier recombination in Si. On the other hand, the thickness change of MWCNT films dramatically affects all the EQE spectrum. We remark that the CNT exciton diffusion length has been reported to be 6-90

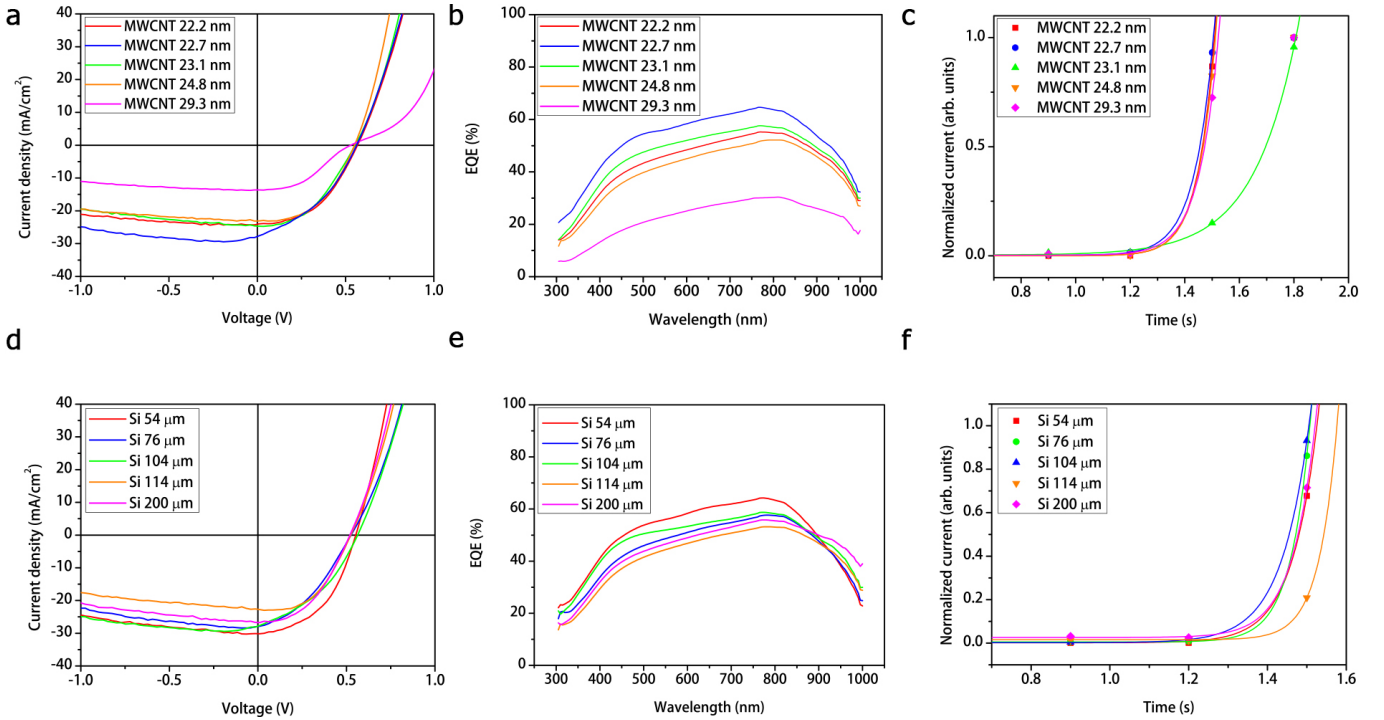


Figure 4: Optimization of the MWCNT/Si device. Electrical response (a), EQE (b), and transient curves (c) of the MWCNT/Si solar cell as a function of the MWCNT film thickness for a cell with $104 \mu\text{m}$ thick Si wafer. Electrical response (d), EQE (e), and transient curves (f) of the MWCNT/Si solar cell as a function of the Si wafer film thickness for a cell with $22.7 \pm 0.4 \text{ nm}$ thick MWCNT film.

nm [51, 52], consistent with our best MWCNT film thickness observed. Moreover, a film thickness of 27.7 nm is beyond the aforementioned conductance percolation threshold and absorption length, therefore the MWCNT film is well conducting and can efficiently absorb light and transport the photogenerated minority carriers through the percolative network to collect them at electrodes.

3.5. Air-stability

Also, we investigated the stability in time of our MWCNT/Si solar cells (see Supplementary Section SIII for the detailed analysis). It can be observed in Figure 5a that the PCE of our devices is fairly stable after a month, although they are not encapsulated. In particular, our solar cells reach a maximum of PCE after approximately three days. This fact is due to the formation of an optimal thin Si oxide layer (1-2 nm) at the CNT/Si interface [28, 29, 31]. Differently by other CNT/Si devices reported [16, 17, 30–32], our solar cell PCE does not degrade with time because of Si oxidation. Actually, the cell is stable after the oxide growth saturates. In particular, it is possible noting in Figure 5b that the device electrical response, therefore the PCE, fluctuates with time due to small solar simulator intensity fluctuations, which

deviate from the fixed value of 100 mW/cm^2 that we used to calculate the PCE. Moreover, also the EQE fluctuates with time but for different reasons, which are still currently under investigation (Figure 5c).

3.6. Influence of the interfacial silicon oxide layer

Typically, the device electrical response of the as-realized cells without the thin Si oxide layer exhibits a kink in direct polarization (Figure 5b). It has been reported [53, 54] for organic photovoltaic devices that such a behavior may be due to interfacial effects occurring when large interfacial energy states are present and/or minority carrier mobilities are low or very different. However, it is known that a thin Si oxide layer in Si-based heterojunction is beneficial, because not only it can passivate dangling bonds on the etched Si surface avoiding trapping by surface states, but can also redistribute the electric field in the junction. Generally, in metal-insulator-semiconductor (MIS) heterojunctions [37] the metal high electron density screens the semiconductor charges trapped in oxide interfacial states, developing an interfacial potential V_{int} that adds up to the built-in potential of the junction. Therefore, in the case of a n-type semiconductor at the metal-insulator interface developing a negative interface charge

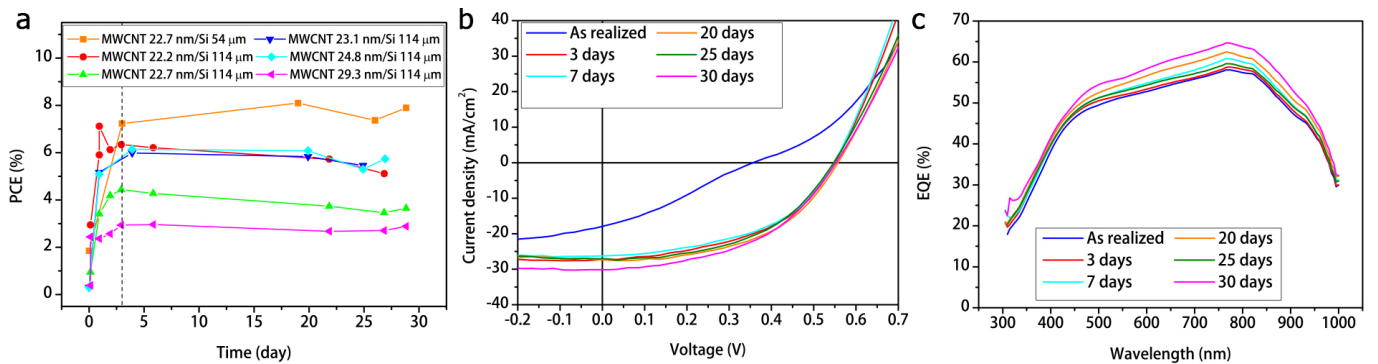


Figure 5: Stability in time of the MWCNT/Si device. **a**, Photoconversion efficiency of several devices with different MWCNT/Si thickness configurations as a function of the elapsed time. The PCE reaches a maximum after approximately three days, due to the formation of a thin Si oxide layer optimal thickness, then it remains well stable. **b**, Electrical response as a function of the elapsed time. An as-realized solar cell without Si oxide layer show a kink in direct polarization. **c** External quantum efficiency of the same solar cell as a function of the elapsed time.

density ($V_{int} < 0$), as in our situation, the built-in potential V_{bi} (i.e. the difference $\Delta\Phi$ between the Si and MWCNT work functions) should increase owing to V_{int} following $eV_{bi} = \Delta\Phi - eV_{int}$ (see Supplementary Section SIV). Since $V_{bi} \approx V_{oc}$, the higher the built-in potential, the higher the open-circuit voltage, as it is clearly shown in Figure 5b by the V_{oc} difference between an as-realized sample without the oxide presence and the same sample after few days with the oxide layer grown.

3.7. Doping

We further investigated the effect of chemical doping the MWCNT/Si solar cell by acid vapors. It has been shown that the PCE of CNT/Si solar cells can be improved by chemical doping with HNO_3 vapors or even by wet doping the cells [17, 27–29, 31]. Figure 6a shows the progressive doping effect on a device after several seconds of exposure of the cell front surface to HNO_3 vapors. It is clear from the plot that just few seconds of exposure remarkably improve the FF, therefore the PCE of the solar cell from 3% up to 5%. However, chemical doping is a temporary effect because acids are volatile and quickly photodesorb [55], thus the associated charge transfer is transitory. In fact, since chemical doping is a reversible process, the cell is recovered to its original PCE after only an hour. Conversely, by doping the device with HF vapors, the PCE drops. In addition, upon doping V_{oc} changes from 0.52 V for the undoped sample to 0.55 V after HNO_3 exposure, down to 0.47 V after HF exposure. The observed behavior suggests that HNO_3 induces a p-type doping in the MWCNT film, while HF a n-type doping (Figure 6c). Therefore, the MWCNT chemical potential ϵ is not pinned but can be easily moved by chemical doping, as it occurs in metallic conjugated polymers [45] and SWCNTs [56]. On the basis of this detailed analysis, we achieved for our best MWCNT/Si device shown in Figure 3c a 25% relative increment of the FF from $48 \pm 7\%$ to

$60 \pm 7\%$, therefore the PCE from $8 \pm 1\%$ to $10 \pm 1\%$, after 60 s of exposure to HNO_3 (Figure 7). Such a high value of PCE is a record for MWCNT/Si solar cells.

In order to offer insight into this doping process of the MWCNT film, we measured the absorption spectrum (Figure 6b) and the sheet resistance of a MWCNT film after several seconds of exposure to HNO_3 and HF vapors. At a first look, the absorption spectrum seems not to vary upon doping. However, by plotting the percent relative absorption (Figure 6b, inset) it is evident that the p-doping effect on the film is to increase the UV-VIS and decrease NIR absorption by few percent, together with a change in sheet resistance R_{sh} up to 30%. The opposite behavior occurs for n-type doping. Our findings suggest a chemical doping of MWCNTs, together with a change in sheet resistance and in absorption (photoconductivity) according to the doping type induced by the oxidizing/reducing agents. We remark that in the absorption spectrum in Figure 6b and in the sheet resistance measurements, we did not wait an hour to recover the MWCNT film to the undoped state before exposing it to HF; thus prior to HF doping the film was HNO_3 doped for 120 s.

Moreover, another effect of HNO_3 (HF) doping is to grow (etch) the thin Si oxide layer at the CNT-Si interface [28, 29, 31]. The induced growth of the Si oxide is shown in Figure 6d. We can distinguish the beneficial effect of the oxide layer from doping, since after a while the PCE does not recover to the undoped state, but it is stable even after several days. In this case, the doping effect is thus given just by the difference between the PCE immediately after doping and after several days, also taking into account the aforementioned PCE fluctuations.

3.8. Band scheme

In light of all our results, we can draw the band scheme of our MWCNT/Si heterojunction as depicted in Figure

8. The band gap E_G and the work function Φ_{Si} of intrinsic Si are about 1.12 eV and 4.62 eV, respectively. In our case, for a dopant concentration of $\approx 6 \cdot 10^{14} \text{ cm}^{-3}$ the quasi-Fermi level is 0.24 eV below the conduction band, therefore our n-Si work function is $\Phi_{n-Si} = 4.38 \text{ eV}$. The conduction band position in energy of n-Si, denoted by its electron affinity $\chi = 4.06 \text{ eV}$, and the valence band

lies at 5.17 eV. On the other hand, MWCNT film bands are linear dispersed in the reciprocal space with the same mass for electrons and holes. Also, MWCNT bands meet at Dirac points ($\Phi_{MWCNT} = 4.8 \div 5 \text{ eV}$) [23] due to the statistically incidence of at least one metallic chirality in each MWCNT [22, 23]. In chemical doping experiments, we reported for our devices a maximum $V_{oc} = 0.55 \text{ V}$. This

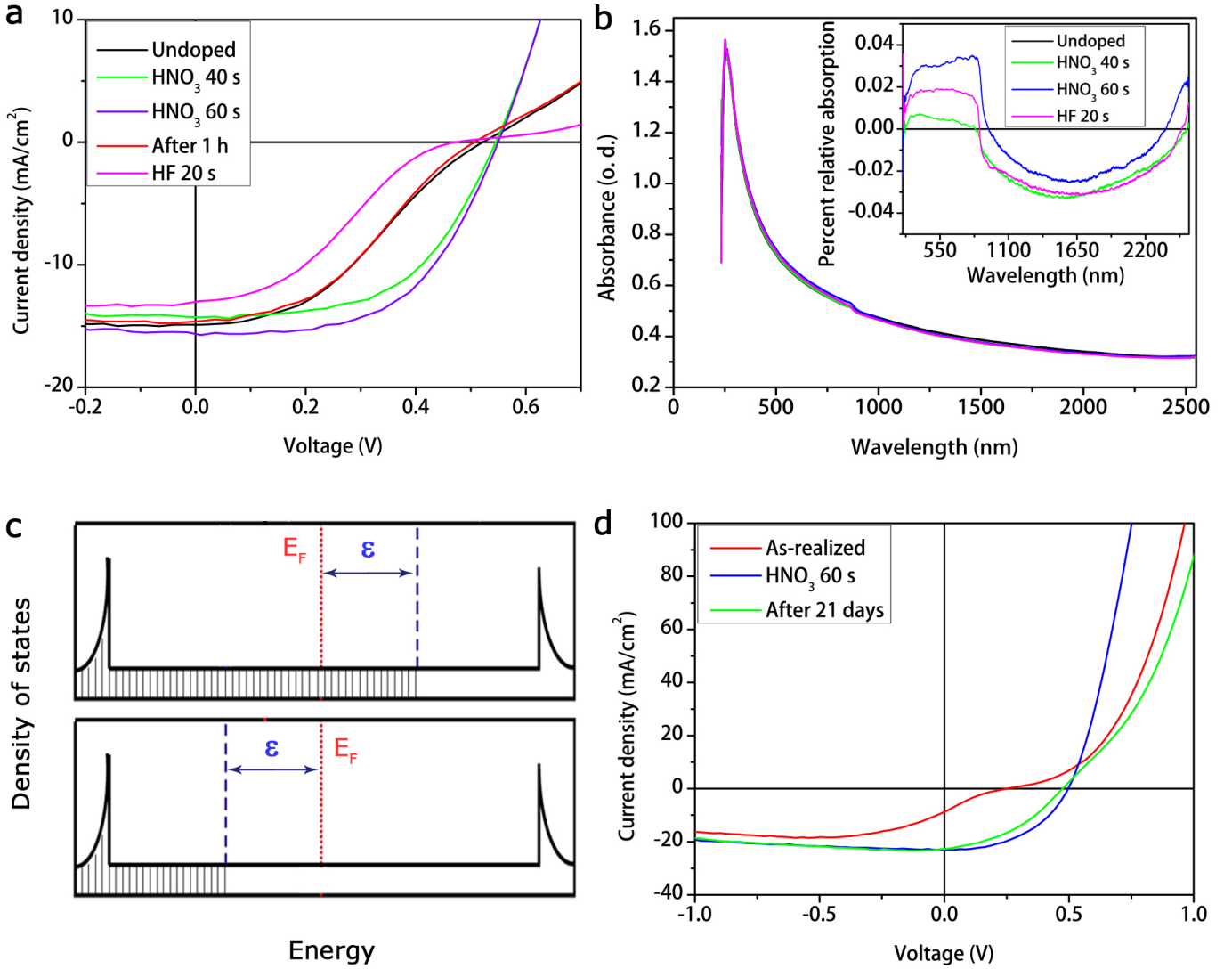


Figure 6: Doping of the MWCNT/Si device. **a**, Electrical response of a solar cell after chemical doping by HNO₃ and HF vapors. **b**, Absorbance of the same MWCNT film deposited on the cell doped by HNO₃ and HF vapors. **inset**, MWCNT film percent relative absorption for the undoped sample with sheet resistance $R_{sh} = 2.60 \text{ k}\Omega\text{sq}^{-1}$ (black solid line), after exposure to HNO₃ for 40 s with $R_{sh} = 1.87 \text{ k}\Omega\text{sq}^{-1}$ (green curve), after exposure to HNO₃ for 60 s with $R_{sh} = 1.82 \text{ k}\Omega\text{sq}^{-1}$ (purple curve), after exposure to HF for 20 s with $R_{sh} = 2.30 \text{ k}\Omega\text{sq}^{-1}$ (green curve). **c**, Scheme of the MWCNT density of state upon chemical doping. Exposure of the film to HNO₃ vapors shifts the chemical potential ϵ from the Fermi level E_F towards the valence band, increasing the hole number (lower panel). Conversely, exposure of the film to HF vapors shifts ϵ from E_F towards the conduction band, increasing the electron number (upper panel). **d**, Induced growth of the thin Si oxide layer at the MWCNT-Si interface by HNO₃ doping.

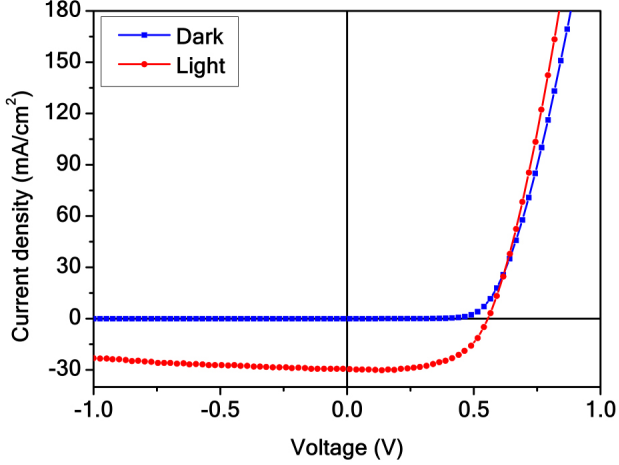


Figure 7: Best MWCNT/Si solar cell in dark (red dotted line) and light (blue dotted line) states after chemical doping by HNO_3 vapor exposition for 60 s.

value is approximately the built-in voltage $V_{bi} = 0.42$ eV established at the heterojunction, without considering the oxide interface potential V_{int} . Charge separation of photo-generated e-h pairs in both the Si and the MWCNT film occurs due to this built-in field at the interface between the two materials. In particular, in reverse polarization ($V < 0$) hot electrons [1] photogenerated in MWCNTs are ultrafast transferred to the n-type Si region, while hot holes are transported with high mobility [2] to the Au/Cr electrode through the MWCNT percolative network (Figure 8). In addition, the thin SiO_2 layer redistributes the electric field in the heterojunction so that less of the potential difference is dropped in the thin silicon oxide layer and more in the n-Si [37]. Since the heterojunction Fermi level can be fine tuned by chemical doping, it is not pinned by MWCNT metallic bands, so this kind of heterojunction is not purely a Schottky junction as it has been assumed to be [26, 27] so far, but a sort of MIS.

4. Conclusion

In summary, our results represent a proof-of-concept for developing solar cells with high efficiency and reduced cost, by integrating MWCNT and Si technologies. For instance,

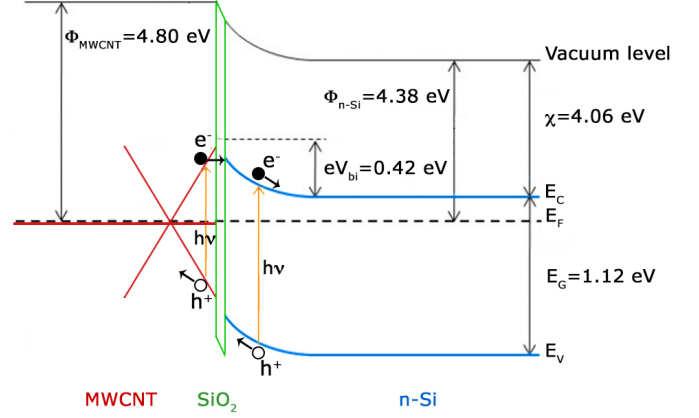


Figure 8: Energetic band scheme of the MWCNT/Si heterojunction in reverse polarization ($V < 0$). The Dirac cone representing MWCNT electronic bands in the reciprocal space has been superimposed on the scheme for clarity.

about 23 nm thick MWCNT film made by 15 μg of MWCNTs, and only 54 μm of crystalline Si are needed to make a heterojunction solar cell with unprecedented $10 \pm 1\%$ of PCE and 65% peak EQE. In this way, a reduction of Si about 6 times with respect to conventional Si p-n solar cells has been obtained for our efficient devices. Finally, our results not only are 5 times higher than the previous reported PCE values of MWCNT/Si devices, but also they are comparable to the best PCE values of SWCNT/Si photovoltaic cells without any post-process treatments and after chemical doping, thus making MWCNT films a valid alternative to SWCNT films.

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