1	Identification of unusual butanetriol dialkyl glycerol tetraether and pentanetriol dialkyl glycerol
2	tetraether lipids in marine sediments
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10	Running head: Butanetriol or pentanetriol-based tetraether lipids

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Abstract

12 **RATIONALE**: Glycerol serves as the principal backbone moiety bound to various acyl/alkyl 13 chains for membrane lipids of *Eukarya*, *Bacteria*, and *Archaea*. In this study, we report a suite of 14 unusual tetraether lipids in which one of the two conventional glycerol backbones is substituted 15 by butanetriol or pentanetriol.

METHODS: Identification of these lipids was achieved via diagnostic fragments and their expected acetylation products using liquid chromatography-mass spectrometry (LC-MS), and their diagnostic ether cleavage products using gas chromatograph-mass spectrometry (GC-MS).

19 **RESULTS**: We observed structural variations in the polyol backbones and alkyl chains and term 20 these core lipid derivatives: isoprenoidal butanetriol dialkyl glycerol tetraethers (iso-BDGTs), 21 isoprenoidal pentanetriol dialkyl glycerol tetraethers (iso-PDGTs), and hybrid 22 isoprenoidal/branched BDGTs and PDGTs (ib-BDGTs, ib-PDGTs). Of these, iso-BDGTs were 23 the most abundant with a methylation at either sn-1 or sn-3 position of glycerol and were also 24 found as part of intact polar lipids, adjoined to mono- or diglycosidic headgroups. Iso-BDGTs 25 and iso-PDGTs are likely produced by Archaea, as indicated by the presence of the characteristic biphytanyl moieties. 26

CONCLUSIONS: Butanetriol and pentanetriol-based tetraether lipids occur in modern estuarine
 and deeply buried subseafloor sediments, suggesting the presence of alternative backbones in
 archaeal lipids.

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31 Key words: glycerol, butanetriol, pentanetriol, tetraether lipids, lipid backbone

32

33 **1. Introduction**

34 Glycerol serves as a backbone moiety bound to acyl/alkyl chains and polar head groups, forming the major membrane lipids found in nature. Archaeal lipids primarily comprise 35 36 isoprenoidal dialkyl glycerol diethers (iso-DGDs) and isoprenoidal glycerol dialkyl glycerol 37 tetraethers (iso-GDGTs). The glycerol backbone of archaeal lipids is derived from sn-glycerol-1-38 phosphate (G1P; Kates, 1978), the enantiomer of sn-glycerol-3-phosphate (G3P) used to form 39 the backbone of bacterial and eukaryotic membrane lipids. The stereochemistry of the glycerol 40 backbone represents a chemotaxonomic hallmark that distinguishes Archaea from the other two 41 life domains (Kates, 1978).

42 Biosynthesis of glycerol ether lipids in archaea involves dihydroxyacetonephosphate 43 (DHAP), which is subsequently reduced by *sn*-glycerol-1-phosphate dehydrogenase (G1PDH) to 44 form G1P (Koga and Morii, 2007; Pereto et al., 2004). However, the detection of 45 tetritoldiphytanyl diether (TDD; de Rosa et al., 1986), in which a tetritol replaces the glycerol 46 backbone bound to two isoprenoidal alkyl chains, implies an alternative initial substrate for 47 archaeal ether lipid biosynthesis. Nevertheless, TDD was only reported in Methanosarcina 48 barkeri and Methanosarcina mazei as a minor archaeal lipid (de Rosa and Gambacorta, 1988; de 49 Rosa et al., 1986), such that one might view TDD as a metabolic byproduct during lipid 50 biosynthesis. Recently, Knappy (2013) reported unusual tetraether lipids bearing one or two 51 extra carbons relative to the archaeal GDGTs; these were tentatively assigned as homoglycerol 52 tetraether lipids with an additional saturated C-C bond(s). However, the position of the additional 53 C-C bond remains unknown.

54 Here, we provide three lines of evidence to confirm the existence of unusual tetraether lipids 55 with 1,2,3-butanetriol as one of the two termini bound to dibiphytanyl alkyl chains, which we

56 termed isoprenoidal butanetriol dialkyl glycerol tetraethers (iso-BDGTs). Moreover, several ions 57 exhibited similar retention time and fragmentation patterns after liquid chromatography-tandem mass spectrometry (LC-MS²), revealing a series of iso-BDGT homologues, which we tentatively 58 59 assigned as isoprenoidal pentanetriol dialkyl glycerol tetraethers (iso-PDGTs) as well as their 60 corresponding glycolipids and hybrid isoprenoidal/branched (cf. Liu et al., 2012) BDGTs and 61 PDGTs (ib-BDGTs and ib-PDGTs). These unusual ether lipids are detected in shallow estuarine 62 deeply buried subsurface deep-sea sediments, indicating and the existence of a 63 butanetriol/pentanetriol-based lipid biosynthesis pathway.

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65 **2. Experimental**

66 2.1. Materials

A shallow estuarine sediment sample was collected from the White Oak River Estuary (WORE, Lloyd et al., 2009), North Carolina, USA, in October 2010; a deep subsurface sediment sample with approximate age of 1 Ma was obtained from the Peru Margin during Ocean Drilling Program Leg 201 (D'Hondt et al., 2003). This initial sample set spans geographically and temporally distinct sediment regimes. Standards of glycerol, 1,2,3-butanetriol, and 1,2,4butanetriol were purchased from Sigma-Aldrich GmbH, Munich, Germany.

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74 2.2. Sample preparation

The sample preparation procedure is summarized in Scheme 1. Total lipid extracts (TLEs) were obtained from freeze-dried and homogenized sediments using a modified Bligh and Dyer protocol (Sturt et al., 2004). TLEs were separated into fractions of core lipids (CLs, without head groups) and intact polar lipids (IPLs, with head groups) after preparative chromatography, using 79 an Intersil Diol column (150 × 10 mm, 5 µm particle size; GL Sciences Inc., Tokyo, Japan) 80 installed in an Agilent 1200 series HPLC equipped with an Agilent 1200 series fraction collector. 81 TLEs were dissolved in *n*-hexane:2-propanol (7:3, v/v) prior to injection and were eluted using a 82 binary solvent system consisting of *n*-hexane: 2-propanol (85:15, v/v; eluent A) and 2-propanol: 83 water (90:10, v/v; eluent B). The gradient ramped from 0% B to 10% B at 5 min, and then to 100% B at 6 min, subsequently holding for 10 min; the flow rate was 3 mL min⁻¹. The column 84 85 was maintained at 30°C and re-equilibrated with 100% A for 10 min before the next injection. 86 CL and IPL fractions were collected in the time windows of 1.0-5.1 min and 5.1-12 min, 87 respectively. Carryover of CLs into the IPL fraction was minor (typically <1%) as determined by 88 liquid chromatography-mass spectrometry (LC-MS). Aliquots of the IPL fractions were 89 hydrolyzed in a mixture of 6M HCl/methanol/dichloromethane (1:9:1, v/v) at 70°C for 24 h 90 (Lipp and Hinrichs, 2009), which converts IPLs to CLs by cleaving the polar head groups.

91 Target iso-BDGTs and iso-GDGTs were further isolated from the CL fraction after 92 preparative HPLC using a PerfectSil 100 CN-3 column (250×10 mm, 5 µm particle size, MZ 93 Analysentechnik, Germany). CL mixtures were dissolved in *n*-hexane/2-propanol (99:1, v/v) and 94 eluted isocratically with 100% A for 1 min, followed by a slow gradient to 10% B over 14 min, 95 and then increased to 60% B over another 15 min at a flow rate of 3 mL/min. Eluent A was n-96 hexane/2-propanol (99:1, v/v) and B was n-hexane/2-propanol (90:10, v/v). The column 97 temperature was 25°C. The column was washed for 15 min with 100% B and then another 15 98 min with 100% A before each injection. Iso-BDGTs and iso-GDGTs were collected in the time 99 intervals of 11.0-13.0 min and 13.1-18.0 min, respectively. Purity was monitored by LC-MS, 100 which confirmed that iso-BDGTs dominated the iso-BDGT fraction and were not detected in the 101 iso-GDGT fraction. An aliquot of each fraction was then subjected to ether cleavage (after Lin et al., 2012) to obtain tetraether-derived triols and biphytanes. Alcohols were derivatized by N,O bis(trimethylsilyl)trifluoroacetamide (BSTFA) prior to gas chromatography-mass spectrometry
 (GC-MS) analysis (Lin et al., 2012).

105 Aliquots of the CL fraction were acetylated by adding 100 µL acetic anhydride (Sigma-106 Aldrich, Steinheim, Germany) and 20 µL 1-methylimidalzole (Sigma-Aldrich, Steinheim, 107 Germany) to the dry sample. The headspace was replaced with N₂ before tightening caps and the 108 reaction vials were kept at room temperature for 15 min, after which excess acetic anhydride was 109 quenched by adding 0.5 mL water. After 10 min, 0.5 mL methylene chloride was added to 110 extract the acetylated CLs. This was repeated 3 times and the extracts were combined and dried 111 under N₂. Samples were then resuspended in 0.5 mL hexane and dried under N₂; this was 112 repeated 3 times before samples were finally suspended in the injection solvent and analyzed by 113 LC-MS as above.

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115 2.3. Instrumental analysis

116 CL analysis was performed using a Dionex Ultimate 3000 ultra-high pressure LC (UHPLC) 117 coupled to a Bruker maXis Ultra-High Resolution quadrupole time-of-flight mass spectrometer 118 (qTOF-MS) via an APCI II ion source according to Becker et al. (2013). IPLs were analyzed with the same qTOF-MS instrument using the method by Zhu et al. (2013). MS² spectra for both 119 120 CL and IPL analysis were recorded using automated data-dependent fragmentation of the three 121 most abundant ions that were selected for collision induced dissociation (CID). Acetylated CLs 122 were analyzed according to Liu et al. (2012), using an Agilent 6130 MSD single quadrupole 123 mass spectrometer coupled to an Agilent 1200 series HPLC via an APCI ion source. GC-MS 124 analyses of tetraether lipid-derived triols and biphytanes were performed on an Agilent 5973

inert MSD system equipped with a Restek Rxi-5ms column ($30 \text{ m} \times 250 \mu \text{m} \times 0.25 \mu \text{m}$, Restek,

126 Bad Homburg, Germany) as described by Lin et al. (2012).

127

128 **3. Results and discussion**

129 3.1. LC-MS identification

LC-MS² analysis of modern and ancient sediments revealed iso-GDGT lipids containing 0-4 130 131 cyclopentyl and up to one cyclohexyl moiety in the isoprenoidal chains (m/z 1302.3 - 1292.3). 132 Notably, we observed three isobaric ions of m/z 1316.3401, 1316.3398, and 1316.3381 (Fig. 1A; 133 peaks I,III, and IV, respectively) and one of m/z 1330.3356 (Fig. 1A; peak II), whose protonated 134 formulae correspond to $[C_{87}H_{175}O_6]^+$ (<1 ppm) for peaks I, III, IV and $[C_{88}H_{177}O_6]^+$ (<1 ppm) 135 for II, indicating the presence of one or two additional methylene moieties compared to the 136 acyclic iso-GDGT (*m*/z 1302.3), respectively. Methoxy acyclic iso-GDGT (Knappy et al., 2009) 137 and methylated acyclic iso-GDGT, which has a methyl group substitution at the C-13 position 138 (i.e., homocaldarchaeol; Galliker et al., 1998), have been previously reported and share the same 139 protonated chemical formulae as peaks I, III, and IV. These compounds can be identified by the differences in their polarities and MS² spectra (e.g., Knappy et al., 2009). After fragmentation, 140 141 peak I displayed product ions of m/z 1284.3 and 757.7, consistent with neutral losses of methanol 142 (32.0 Da) and one of the two constituent biphytanyl chains (557.6 Da), respectively. The m/z143 743.7 ion was absent (Fig. 1D), indicating that the additional methyl group was etherified at one 144 of two terminal hydroxyl groups of acyclic iso-GDGT (Knappy et al., 2009). In contrast, 145 fragmentation of peak IV (Fig. 1E) is characterized by product ions of m/z 743.7 and 1242.3, 146 derived from cleavage of a methylated biphytadiene and a $C_3H_6O_2$ unit, respectively, indicating

incorporation of the additional methyl group into one of the two alkyl chains. Therefore, peaks I
and IV are assigned as acyclic methoxy acyclic iso-GDGT and homocaldarchaeol, respectively.
The MS^2 spectrum of peak III (<i>m/z</i> 1316.3398) displays two clusters of product ions (Fig.
1B). The higher mass products result from losses of water and other small molecules from the
precursor ions and the lower mass products are formed after dissociation of one alkyl chain
$(C_{40}H_{80}, m/z 557.6)$ and concomitant/sequential elimination of 1-4 molecules of water and other
small molecules during CID (Fig. 1B), consistent with the typical fragmentation of archaeal
GDGTs (Knappy et al., 2009; Liu et al., 2012; Becker et al., 2013). However, there are subtle,
informative differences. After CID, peak III generates a strong product ion of m/z 1228.3,
derived from a loss of $C_4H_8O_2$ (88.1 Da), rather than the typical $C_3H_6O_2$ (74.0 Da) of
conventional GDGTs. Furthermore, the product ion of m/z 1284.3 that is generated from
methoxy acyclic iso-GDGT (m/z 1316.3401) via cleavage of a terminal methanol (cf. Fig. 1D) is
absent. Such fragments likely indicate that the additional carbon atom bound directly to the triol
backbone moiety but not as a methoxy group. This is evidence for the possible presence of a
butanetriol rather than methoxy glycerol as one terminus for peak III. Likewise, the MS ²
spectrum of peak II (m/z 1330.3356) shows a strong ion of m/z 1228.3 formed by the neutral loss
of $C_5H_{10}O_2$ (102.1 Da), indicating the presence of a pentanetriol terminus (Fig. 1B). Consistent
with this interpretation, the polarities of peaks II and III are intermediate, eluting between the
relatively more apolar methoxy acyclic iso-GDGT (I) and more polar homocaldarchaeol (IV)
after normal phase chromatography (Fig. 1A).

167 After an acetylation reaction, only the di-acetylated product of peak III (m/z 1400.2) was 168 detected (data not shown), further confirming that the additional methyl group located within the 169 backbone-triol moiety was part of a C₄ carbon chain rather than a methoxy derivative; the latter molecule would have received only one acetyl group on the opposite glycerol terminus. Parallel analysis of an acyclic iso-GDGT standard (m/z 1302.3) indicated a high yield of the di-acetylated product (i.e., m/z 1386.3) while the m/z 1302.3 ion and the mono-acetylated product were not detectable after acetylation. We thus assign peak **III** as isoprenoidal acyclic butanetriol dialkyl glycerol tetraether (iso-BDGT₀) and, by inference, peak **II** as isoprenoidal acyclic pentanetriol dialkyl glycerol tetraether (iso-PDGT₀). We note that iso-PDGT₀ was below the detection limit in the TLE from the White Oak River Estuary analyzed for the acetylation experiment.

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178 3.2. GC-MS identification

179 The proposed butanetriol backbone of compound **III** may have constitutional isomers such 180 as 1,2,3-butanetriol and 1,2,4-butanetriol, and the $C_{40}H_{80}$ alkyl moieties may also have isomers 181 alternative to the typical biphytanyl chains. Both the alternative backbones and the associated alkyl moieties cannot be confirmed by LC-MS² alone. We thus analyzed the alcohol and 182 183 hydrocarbon products after ether cleavage of a concentrated BDGT fraction by GC-MS. As 184 expected, glycerol (detected as a TMS derivative) and biphytanes with 0-2 cyclopentyl moieties 185 were detected, consistent with the products observed for GDGTs (suppl. Figure). An additional 186 product, identified as 1,2,3-butanetriol, was only present in the BDGT fraction but not in the GDGT fraction (Fig. 2), corroborating the structural assignment based on the LC-MS² and the 187 188 acetylation experiments. We thus conclude that the backbone corresponds to a glycerol 189 methylated at either the sn-1 or sn-3 position to form the 1,2,3-butanetriol backbone (Fig. 3). 190 These compounds differ from 1,2,4-butanetriol backbone-based lipids found in some eukaryotes 191 (Vaver et al., 1964; 1967). Although the exact stereochemistry of the butanetriol backbone 192 remains to be determined, the iso-BDGTs containing isoprenoidal tetraether moieties are 193 structurally related analogues of archaeal iso-GDGTs, strongly suggesting that they are 194 biosynthetic products of certain members of *Archaea*. We did not detect pentanetriol as an ether 195 cleavage product, likely due to poor recovery combined with the low concentration of iso-196 PDGTs in the sample from White Oak River Estuary used for this experiment. However, as 197 homologues of iso-BDGTs, an archaeal origin of iso-PDGTs is suggested.

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199 3.3. IPL counterparts and analogues

200 Iso-BDGTs bearing 0-3 cyclopentaryl rings (iso-BDGT $_{0-3}$) and their IPL counterparts, 201 present as mono- and di-glycosidic iso-BDGTs (1G-, 2G-iso-BDGTs; Fig. 3), were also detected in estuarine sediments with LC-MS² (Fig. 1F and G). These head groups are common in archaeal 202 203 IPLs found in marine sediments and were assigned according to diagnostic neutral losses (e.g., 204 Sturt et al., 2004). Analysis of acid hydrolysis products of IPLs confirmed the core structures as 205 iso-BDGTs rather than homocaldarchaeol and revealed that IPL-iso-BDGTs accounted for 206 significant proportions (~10% and ~30% for subseafloor Peru margin and modern estuarine 207 sediments, respectively, based on the relative MS responses) of total archaeal IPLs.

208 Based on differences in the alkyl chains, Liu et al. (2012) broadly designated GDGT lipids 209 into five groups, including isoprenoidal GDGTs (iso-GDGTs; C_{86}), hybrid isoprenoidal/branched 210 GDGTs (ib-GDGTs; C₇₄₋₈₀), overly branched GDGTs (ob-GDGTs; C₆₉₋₇₄), conventional 211 branched GDGTs (b-GDGTs; C₆₆₋₆₈), and sparsely branched GDGTs (sb-GDGTs; C₆₂₋₆₅). We 212 observed BDGTs and PDGTs bearing hybrid isoprenoidal/branched alkyl moieties, termed ib-213 BDGTs (C₇₆₋₈₁) and ib-PDGTs (C₇₇₋₈₂). These compounds were identified based on their 214 retention pattern as well as diagnostic neutral losses of $C_4H_8O_2$ (88.1 Da) and $C_5H_{10}O_2$ (102.1 215 Da), respectively (Fig. 3 and 4). However, BDGTs or PDGTs with other alkyl moieties (i.e., b-,

ob-, or sb-GDGTs) were not detected, possibly due to their low concentrations or the lack ofbiosynthetic pathways producing these lipids.

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219 3.4. Implications

220 There are multiple proposed pathways of archaeal polar lipid biosynthesis, however 221 dihydroxyacetone phosphate (DHAP) has been accepted as an initial substrate (Koga and Morii, 222 2007; Nishihara et al., 1999). The spatially and temporally widespread BDGT and PDGT lipids 223 likely indicate the use of alternative substrates by Archaea during the initiation of lipid 224 biosynthesis. The enzyme involved in DHAP reduction is stereo-specific, which leads to the 225 formation of G1P (Kates, 1978). Enzymes catalyzing the formation of $C_{4.5}$ polyol backbone for 226 iso-BDGTs and iso-PDGTs may also be stereo-specific. Because the detected 1,2,3-butanetriol 227 lipid backbone has two stereogenic centers, any of the possible four isomers (enantiomers and 228 diastereoisomers) could serve as a novel backbone for these lipids. The occurrence of BDGT and 229 PDGT lipids in environmental samples, as well as tetritoldiphytanyl diether lipids in archaeal 230 cultures (de Rosa et al., 1986), suggests that in addition to glycerol and calditol, archaea are able 231 to use 1,2,3-butanetriol, pentanetriol, and tetritol as backbones for their membrane lipids. 232 Because chemotaxonomic and functional diversity of membrane lipids is largely reflected by 233 lipid structural variation (Fahy et al., 2011), future studies should determine the properties of 234 butanetriol and pentanetriol-based ether lipids, including their stereochemistry, biological 235 functions, and biosynthetic pathways.

236

237 Conclusions

238 We report a suite of unusual tetraether lipids, characterized by the replacement of one 239 terminal glycerol moiety with a butanetriol or pentanetriol that is bound to either isoprenoidal or 240 hybrid isoprenoidal/branched alkyl chains. We term these lipids as iso-BDGTs, iso-PDGTs, ib-241 BDGTs, and ib-PDGTs. IPL-iso-BDGTs (i.e., 1G- and 2G-iso-BDGTs) were also detected and 242 accounted for significant proportions of total sedimentary archaeal IPLs in our studied samples. 243 Although the stereochemistry of such C_{4-5} backbones remains to be determined, the iso-BDGTs 244 and iso-PDGTs are structurally related analogues of archaeal iso-GDGTs, consistent with an 245 archaeal source, whereas the sources of ib-BDGTs and ib-PDGTs remain unknown. These 246 butanetriol and pentanetriol-based tetraether lipids were detected in estuarine and deep-sea 247 subseafloor sediments, suggesting polyol backbone diversity in tetraether lipids and the 248 flexibility of prokaryotes to utilize additional substrates during membrane lipid biosynthesis.

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314 Scheme



316 Scheme 1. Flowchart of the sample preparation procedure. CLs = core lipids, IPLs = intact polar

- 317 lipids. The details of preparative HPLC are provided in the text.
- 318

315

320 Figure captions

Fig. 1. LC-MS² analysis of tetraether CLs (Panels A-E) in Peru margin sediments (Leg 201, 321 322 1229A-12H3, 102.75 meter below the seafloor) and IPLs (Panels F-G) in WORE sediments (0-323 20 cm). Panel A shows an extracted ion chromatogram (EIC) of protonated ions (peaks I-IV) 324 that are 14.0 or 28.0 Da higher than protonated caldarchaeol (m/z 1302.3). Panels B-E show MS² 325 spectra of II (B), III (C), I (D), IV (E), 1G-iso-BDGT₀ (F), and 2G-iso-BDGT₀ (G). Note, 326 accurate masses (four digits) are used in panel A for constraint of the protonated lipid formulae, while masses with one digit are used in MS^2 spectra for simplicity, where protonated precursor 327 328 ions are marked with blue diamonds (panels B-E). CL and IPL analysis was based on Becker et 329 al. (2013) and Zhu et al, (2013), respectively.

330

Fig. 2. GC-MS analysis of TMS derivatives of 1,2,3-butanetriol standard and butanetriol products liberated from the ether cleavage of iso-BGDG and iso-GDGT fractions, which were purified from the total lipid extract of WORE sediments (0-20 cm).

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Fig. 3. Constrained structures of iso-BDGTs, ib-BDGTs and ib-PDGTs detected in Peru Margin sediments (Leg 201, 1229A-12H3, 102.75 meter below the seafloor), and mono- and diglycosidic-iso-BDGTs (1G-, 2G-iso-BDGTs) detected in WORE sediments (0-20 cm).

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Fig. 4. A composite chromatogram (A) showing methoxy-iso-GDGTs, iso-PDGTs, iso-BDGTs,
 iso-GDGTs, and methylated-iso-GDGTs (homo-caldarchaeol), and a schematic density map (B)
 of all determined tetraethers by LC-APCI-MS² analysis of TLE extracted from Peru Margin

- 342 sediments (ODP Leg 201, 1229A-12H3, 102.75 meter below the seafloor). The insert shows a
- 343 representative MS^2 spectrum of an ib-PDGT (*m/z* 1232.2; C₈₁).

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