Concordance and Diagnostic Accuracy of [¹¹C]PIB PET and Cerebrospinal Fluid Biomarkers in a Sample of Patients with Mild Cognitive Impairment and Alzheimer's

- **Disease**
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15 Abstract.

- **Background:** Alzheimer's disease (AD) pathology can be quantified *in vivo* using cerebrospinal fluid (CSF) levels of amyloid- β_{1-42} (A β_{1-42}), total-tau (t-tau), and phosphorylated tau (p-tau_{181p}), as well as with positron emission tomography (PET) using
- 11 C]Pittsburgh compound-B ([11 C]PIB). Studies assessing concordance between these measures, however, have provided con-
- flicting results. Moreover, it has been proposed that $[^{11}C]$ PIB PET may be of great clinical utility in terms of identifying patients
- with mild cognitive impairment (MCI) who will progress to the dementia phase of AD.
- **Objective:** To determine concordance and classification accuracy of CSF biomarkers and [¹¹C]PIB PET in a cohort of patients with MCI and AD.
- Methods: 68 patients (MCI, n = 33; AD, n = 35) underwent [¹¹C]PIB PET and CSF sampling. Cutoffs of >1.41 ([¹¹C]PIB),
- 24 <450 pg/mL—and a more lenient cutoff of 550 pg/mL— $(A\beta_{1-42})$, <6.5 $(A\beta_{1-42}/p-tau_{181p})$, and 1.14 $(A\beta_{1-42}/t-tau)$, were used
- to determine concordance. Logistic regression was used to determine classification accuracy with respect to stable MCI (sMCI)
 versus MCI who progressed to AD (pMCI).
- **Results:** Concordance between [¹¹C]PIB and A β_{1-42} was highest for sMCI (67%), followed by AD (60%) and pMCI (33%).
- Agreement was increased across groups using $A\beta_{1-42} < 550$ pg/mL, or $A\beta_{1-42}$ to tau ratios. Logistic regression showed that
- classification accuracy of [¹¹C]PIB, between sMCI and pMCI, was superior to A β_{1-42} (73% versus 58%), A β_{1-42} /t-tau (63%), and A β_{1-42} /p-tau_{181p} (65%).

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- **Conclusion:** In the present study, [¹¹C]PIB proved a better predictor of progression to AD in patients with MCI, relative to
- ³² CSF measures of $A\beta_{1-42}$ or $A\beta_{1-42}$ /tau. Discordance between PET and CSF markers for $A\beta_{1-42}$ suggests they cannot be used
- ³³ interchangeably, as is currently the case.

Keywords: [¹¹C]PIB, Alzheimer's disease, amyloid, cerebrospinal fluid, mild cognitive impairment, positron emission tomography, tau

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31 INTRODUCTION

While at present incurable, intensive efforts to 32 develop disease-modifying drugs to counteract the pro-33 gression of Alzheimer's disease (AD) are ongoing. 34 Given the increasing consensus that such drugs must be 35 administered early on in the disease course if they are to 36 prove effective, the further development and validation 37 of diagnostic tools capable of accurately identifying 38 AD pathophysiology at an early stage has become 39 an important area of research. In addition to aiding 40 in the selection of patients appropriate for inclusion 41 in clinical trials of disease modifying drugs, effective 42 diagnostic tools will also be required in routine clin-43 ical practice to identify patients with mild cognitive 44 impairment (MCI) due to AD so as to provide treat-45 ment should these drugs be approved for widespread 46 use. 47

On the basis of the current hypothetical model 48 of dynamic biomarkers for AD [1], the aggrega-49 tion and deposition of the 42 amino acid variant 50 of amyloid- β (A β_{1-42}) is thought to set in motion 51 various neurodegenerative processes that result in cog-52 nitive impairment, and, ultimately, dementia. Indeed, 53 biomarker studies in sporadic AD have shown that 54 decreased cerebrospinal fluid (CSF) levels of AB1-42 55 is a very early change [2, 3], with studies in familial 56 AD suggesting that these reductions precede expected 57 symptom onset by 25 years [4]. In addition to low 58 A β_{1-42} , increased CSF levels of total tau (t-tau) and 59 tau phosphorylated at threonine 181 (p-tau_{181p}) are 60 typically seen in AD [5-7]. In this respect, a previ-61 ous study reported that ratios of CSF A β_{1-42} to t-tau 62 $(A\beta_{1-42}/t-tau)$ and p-tau_{181p} $(A\beta_{1-42}/p-tau_{181p})$ out-63 performed any single analyte in discriminating patients 64 with and without cortical amyloid deposition [8], sug-65 gesting that a combinatorial approach is best when 66 evaluating CSF biomarkers. 67

In addition to CSF measurements, cerebral amyloid deposition can be determined using positron emission tomography (PET) and amyloid-binding radiotracers, such as [¹¹C]-labelled Pittsburgh compound B ([¹¹C]PIB). The most widely studied PET amyloid ligand to date, [¹¹C]PIB uptake has been shown to be significantly increased in familial AD mutation carriers 15 years prior to expected symptom onset [4], and strongly associated with region-matched, quantitative analyses of A β in postmortem AD tissue [9, 10]. In MCI, [¹¹C]PIB uptake follows a bimodal distribution, with patients showing uptake values that overlap with those seen in cognitively normal controls (i.e., below the threshold required for [¹¹C]PIB positivity) or that correspond to those seen in AD [11].

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Previous studies using both continuous and dichotomous correlations have shown the information obtained using $[^{11}C]PIB$ PET and CSF A β_{1-42} to be in strong agreement [12-14], pointing to convergent validity of both types of biomarkers [15, 16]. A recent study, however, suggests that $[^{11}C]PIB$ may be more sensitive than the concentration of CSF A β_{1-42} with respect to the detection of cerebral amyloidosis in MCI [17]. In line with this report, a study addressing the diagnostic performance of CSF A β_{1-42} and PET [¹⁸F]florbetapir—a radiofluorinated amyloid tracer exhibiting high agreement with ^{[11}C]PIB [18]—showed that while the diagnostic accuracy of both measures were similar, PET had greater specificity owing to discordance between measures [19]. Importantly, PET and CSF amyloid biomarkers are considered clinically equivalent on the basis of the recently revised diagnostic criteria for MCI and dementia due to AD [20, 21].

Using a memory clinic sample of patients with MCI and AD, the present study investigated 1) concordance between CSF $A\beta_{1-42}$ (both alone, and combined with tau) and [¹¹C]PIB PET; and 2) classification accuracy of [¹¹C]PIB PET and CSF $A\beta_{1-42}$ (both alone, and combined with tau) with respect to stable MCI (sMCI) versus MCI who progressed to AD dementia (pMCI). It was hypothesized that [¹¹C]PIB PET and CSF measures of $A\beta_{1-42}$, $A\beta_{1-42}$ /t-tau, and $A\beta_{1-42}$ /ptau_{181p} would exhibit high concordance, and that PET would prove superior to CSF measures in the case of discerning sMCI from pMCI.

METHODS 114

Patients 115

Thirty-three MCI and 35 AD patients were 116 recruited from the Department of Geriatric Medicine, 117 Karolinska University Hospital Huddinge, Stockholm, 118 Sweden. All patients underwent a comprehensive 119 routine assessment procedure, including a physical 120 examination, evaluation of neurological and psy-121 chiatric status, blood (including apolipoprotein E 122 (APOE) genotyping), serum and urine analysis, struc-123 tural imaging, CSF sampling, and neuropsychological 124 assessment. MCI patients met the Petersen criteria 125 [22, 23], with the diagnosis of probable AD based 126 on the National Institute of Neurological and Commu-127 nication Disorders, Alzheimer's Disease and Related 128 Disorders Association (NINCDS-ADRDA) criteria 129 [24]. In all cases, diagnosis was issued via a con-130 sensus based committee approach, which included 131 neurologists, clinical neuropsychologists, and special-132 ist nurses. 133

MCI patients underwent regular clinical follow-ups, 134 with 12 progressing to AD dementia (pMCI). Clas-135 sification of MCI patients into sMCI and pMCI took 136 into account findings from CSF, but not [¹¹C]PIB-PET. 137 8 out of 31 MCI received treatment with acetyl-138 cholinesterase (AChE) inhibitors. In the AD group, 30 139 received treatment with AChE inhibitors-including 140 14 with phenserine-with 5 subjects receiving no phar-141 macological treatment. All patients and their caregivers 142 provided written informed consent to participate in the 143 investigation, which was conducted according to the 144 declaration of Helsinki and subsequent revisions. Eth-145 ical approval was obtained from the regional human 146 ethics committee of Stockholm and the Faculty of 147 Medicine and Radiation, Hazard Ethics Committee of 148 Uppsala University Hospital, Sweden. 149

Neuropsychological assessment 150

A routine clinical neuropsychological assessment 151 was performed on all participants. Global cognition 152 was assessed using the Mini-Mental State Examina-153 tion (MMSE) and a composite measure including the 154 Information and Similarities subscales of the Wech-155 sler Adult Intelligence Scale (language), Block design 156 and Rey Osterrieth copy (visuospatial), Digit Span 157 and Corsi Span (working memory), the Digit Symbol 158 and Trail Making Tests A and B (attention/executive 159 domains). In addition, episodic memory was assessed 160 using the Rey Auditory Verbal Learning Test learning 161

and retention, as well as the Rey Osterrieth retention. Patients' raw neuropsychological test scores were transformed into z-scores with respect to a reference group of healthy elderly controls from Karolinska University Hospital, Huddinge, Sweden [25]. Applying a cutoff of -1.5 SD to this composite episodic memory score, 12 out of 21 MCI patients were considered as amnestic MCI.

PET imaging

PET investigations were performed at Upp-171 sala PET Centre on ECAT EXACT HR+scanners 172 (Siemens/CTI) or a Discovery ST PET/CT scanner (GE). The orbito-meatal line was used to center the 174 head of the participants. PET data was acquired in 175 3D mode yielding a 155-157 mm field of view. The ^{[11}C]PIB-PET data acquisitions consisted of 24 frames 177 $(4 \times 30, 9 \times 60, 3 \times 180, \text{ and } 8 \times 300 \text{ s})$, acquired over 178 60 min. A late 40–60 min [¹¹C]PIB summation image was created and used for subsequent image analysis. The mean injected dose was 295 ± 69.5 MBq.

All emission data were reconstructed with filtered back projection (FBP) using a 4 mm Hanning filter, resulting in a transaxial spatial resolution of 5 mm in the FOV. The matrix included 128×128 pixels, with a zoom factor of 2.5. All reconstructed frames were re-aligned to correct for between frame patient motion.

PET data analysis

Individual 40–60 min integral [¹¹C]PIB images 190 were nonlinearly spatially normalized to a population-191 based [¹¹C]PIB template (see Nordberg et al. [26] for 192 a detailed description of the $[^{11}C]$ PIB template) using 193 the normalize function in SPM5 (Functional Imaging 194 Laboratory, Wellcome Department of Imaging Neu-195 roscience, UCL, London, UK). Spatially normalized 196 images were then resampled using a 23-region grey 197 matter atlas, created in parallel to the [11C]PIB tem-198 plate [26]. Subsequently, standardized uptake value 199 ratio (SUV_R) images were calculated by normal-200 izing the activity within frontal, temporal, parietal, 201 occipital, parahippocampal, anterior, and posterior 202 cingulate regions to mean cerebellar grey matter 203 (vermis excluded) activity. Using the upper 95% con-204 fidence limit of 1.41 from a previously characterized 205 population of normally distributed healthy controls 206 [26], patients were subdivided into [¹¹C]PIB 'pos-207 itive' ([¹¹C]PIB+), and [¹¹C]PIB 'negative' ([¹¹C] 208 PIB-).

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209 CSF measurements

CSF samples were obtained via lumbar puncture 210 (LP) from 67 patients (all MCI and 34 of 35 AD). LP 211 was performed under non-fasting conditions, between 212 8 and 11 a.m., with a total of 10 mL of CSF col-213 lected. After discarding the first 0.5 mL, samples 214 were centrifuged at $1500 \times g (3000-4000 \text{ rpm})$ for 215 10 min at $+4^{\circ}$ C. Samples were then stored at -80° C 216 in 1 ml portions pending biochemical analysis, with-217 out being thawed or refrozen. Levels of A β_{1-42} , t-tau, 218 and p-tau_{181p} were determined using commercially 219 available sandwich ELISAs (Innogenetics, Ghent, Bel-220 gium) [27–29]. For the MCI group, levels of $A\beta_{1-42}$ 221 and t-tau were obtained for all subjects, with p-222 tau_{181p} available for 26 of 33 subjects. For the AD 223 group, tau levels (total and phosphorylated) were 224 unavailable for 2 and 10 subjects, respectively. Cutoff 225 values of <450 pg/mL (A β_{1-42}), >400 pg/mL (t-tau), 226 and >80 pg/mL (p-tau_{181p}) were adopted based on 227 receiver operating characteristic analyses previously 228 conducted by the department of Clinical Chemistry, 229 Karolinska University Hospital Huddinge [30, 31]. In 230 addition, we applied a more lenient cutoff of <550 231 pg/mL for A β_{1-42} since preliminary findings from the 232 Biomarkers for Alzheimer's and Parkinson's disease 233 (BIOMARKAPD) initiative [32] suggest this to be an 234 optimal cutoff (unpublished data). Cutoffs of 1.14 for 235 $A\beta_{1-42}$ /t-tau and 6.5 for $A\beta_{1-42}$ /p-tau_{181p} were taken 236 from the literature [17, 33]. 237

238 Statistics

Statistical analyses were conducted using SPSS version 20.0 (IBM Corp, Armonk, NY), with a $p \le 0.05$ used to indicate statistical significance. Patient characteristics were compared using analysis of variance (ANOVA), Kruskal-Wallis ANOVA, and χ^2 . *Post-hoc t*-tests and were performed where appropriate.

Concordance between [¹¹C]PIB PET and CSF 246 A β_{1-42} was defined as the proportion of subjects pos-247 itive or negative for both (i.e., [¹¹C]PIB+ and CSF 248 $A\beta_{1-42}$ <450 pg/mL or [¹¹C]PIB- and CSF $A\beta_{1-42}$ 249 >450 pg/mL). Concordance between [11C]PIB and 250 CSF t-tau and p-tau_{181p} was determined in the same 251 fashion, using the cutoffs outlined above. Discor-252 dance between [11C]PIB PET and CSF biomarkers was 253 defined as the proportion of cases exhibiting only one 254 abnormal biomarker, with the other being above its 255 respective cutoff. Logistic regression among MCI was 256 used to assess the classification accuracy of [11C]PIB 257

PET and CSF biomarkers, with progression to dementia due to AD as the dependent variable.

RESULTS

Patient characteristics according to diagnostic group are shown in Tables 1 and 2. Groups did not differ with respect to age, education, gender, or frequency of the APOE ε 4 allele. As expected, AD patients had lower MMSE relative to sMCI and pMCI (p < 0.001), with pMCI patients showing lower scores relative to sMCI (p < 0.05). Using the composite neuropsychological score for global cognition, performance of pMCI patients was below that of sMCI (p < 0.05)—as was the case with AD (p < 0.001)—with no differences found between pMCI and AD. Using the composite score for episodic memory, performance of pMCI patients was worse than that of sMCI patients (p < 0.01), with AD patients performing worse than both sMCI (p < 0.001) and pMCI patients (0.01). Regarding use of AChE inhibitors, prescription rates were higher in the AD group (relative to sMCI, p < 0.001, pMCI p < 0.01). No group differences were found for the period of time between PET and CSF assessments. With respect to the classification of sMCI versus pMCI, clinical follow-up times were longer in sMCI (p < 0.001).

Global [¹¹C]PIB PET SUV_R was higher in AD, relative to both sMCI (p < 0.001) and pMCI (p < 0.05), with levels in pMCI greater than sMCI (p < 0.01). In addition, regional analyses showed significant differences in [¹¹C]PIB between sMCI and pMCI in the temporal lobe (p = 0.05), the anterior cingulate (p < 0.001), the posterior cingulate (p < 0.001), the frontal cortex (p < 0.01), the parietal cortex (p < 0.01), as well as the parahippocampus and insula (p < 0.01) Relative to AD, [¹¹C]PIB retention in pMCI differed only in the frontal cortex (p < 0.05; see Supplementary Table 1). CSF levels of A β_{1-42} were lower in AD and pMCI,

Table 1 Subject demographics

Subject demographics					
	sMCI	pMCI	AD		
n	21	12	35		
Age, years	63.52 ± 8.23	62.33 ± 6.96	67.12 ± 8.82		
Education, years	13.10 ± 3.24	13.58 ± 3.40	11.79 ± 3.79		
Gender, f	10 (48%)	9 (75%)	21 (60%)		

Data are presented as mean \pm standard deviation, or as n (%). sMCI, stable mild cognitive impairment (i.e., those who had not progressed to dementia at clinical follow-up); pMCI, progressive mild cognitive impairment (i.e., those who had developed to dementia of the Alzheimer's type at clinical follow-up); AD, dementia due to Alzheimer's disease; f, female. Differences between groups were assessed using ANOVA (age, education) and χ^2 (gender). 260

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	sMCI	pMCI	AD
n	21	12	35
MMSE	28.43 ± 1.32	27.08 ± 1.62 ^{a*}	23.51 ± 3.72 a,b***
Global cognition	-0.43 (1.26)	$-1.22(1.84)^{a***}$	-1.72 (2.89) ^{a,b,***}
Episodic memory	-0.59 (1.07)	-1.46 (0.66) a***	-2.01 (2.50) a,b,***
Amnestic subtype	8 (38%)	4 (33%)	-
APOE ε4 frequency	12 (57%)	10 (83%)	28 (80%)
AChE inhibitors	4 (19%)	4 (33%)	30 (86%) a***,b**
Global [¹¹ C]PIB PET SUV _R	1.38 ± 0.3	1.68 ± 0.16 ^{a**}	1.82 ± 0.24 a***,b*
CSF Aβ ₁₋₄₂	656.20 ± 275.59	490.92 ± 100.37 ^{a*}	439.83 ± 157.02 a**
CSF t-tau	383.67 ± 196.78	459.67 ± 157.14	563.06 ± 251.03 ^{†,a**}
CSF p-tau	65.26 ± 25.26 ‡	68.72 ± 18.54 †	88.31 ± 32.88 ^{¶,a*}
CSF A β_{1-42} /t-tau	2.26 ± 1.49	1.17 ± 0.39 a**	0.92 ± 0.54 ^{†,a**}
CSF A β_{1-42} /p-tau _{181p}	12.52 ± 8.07	7.49 ± 2.09 ^{a*}	5.90 ± 2.87 ^{a**}
Time PET to CSF, months	12.49 ± 21.44	5.22 ± 2.99	9.01 ± 9.30
Time PET to FU, months	$44.46 \pm 22.61^{b***}$	11.92 ± 12.77	
Time CSF to FU, months	$49.85 \pm 27.70^{\text{ b***}}$	15.95 ± 11.81	_
% positive [¹¹ C]PIB PET	6 (29%)	12 (100%) a***	35 (100%) a***
% positive $A\beta_{1-42}$	5 (24%)	4 (33%) ^a *	21 (60%) a*
% positive CSF t-tau	7 (33%)	8 (67%) ^{a*}	23 (68%) ^{†,a*}
% positive CSF p-tau _{181p}	4 (27%) ‡	3 (27%) †	14 (54%) ^{¶,a*}
% positive $A\beta_{1-42}/t$ -tau	6 (29%)	6 (50%) ^{a**}	24 (67%) ^{†,a**}
% positive $A\beta_{1-42}/p$ -tau _{181p}	3 (20%) ‡	5 (45%) ^{†,a*}	19 (73%) ^{¶,a**}

 Table 2

 Neuropsychological, clinical, and imaging data according to diagnostic group

Data are presented as mean ± standard deviation, or as n (%). sMCI, stable mild cognitive impairment (i.e., those who had not progressed to dementia at clinical follow-up); pMCI, progressive mild cognitive impairment (i.e., those who had developed to dementia of the Alzheimer's type at clinical follow-up); AD, dementia due to Alzheimer's disease; MMSE, Mini-Mental State Examination; Global cognition, composite of the Information and Similarities subscales of the Wechsler Adult Intelligence Scale (language), Block design and Rey Osterrieth copy (visuospatial), Digit Span and Corsi Span (working memory), the Digit Symbol and Trail Making Tests A and B (attention/executive domains); Episodic memory, composite of the Rey Auditory Verbal Learning Test learning and retention, and the Rey Osterrieth retention. Amnestic subtype, amnestic MCI; - Does not apply; AChE inhibitors, acetylcholinesterase inhibitors; Global [¹¹C]PIB PET SUV_R, cutoff >1.41; CSF A_{β1-42}, cutoff <450 pg/mL; CSF t-tau, cutoff >400 pg/mL; CSF p-tau, cutoff >80 pg/mL; CSF $A\beta_{1-42}/t$ -tau, cutoff <1.14; CSF $A\beta_{1-42}/p$ -tau, cutoff <6.5; Time PET to FU, time between [11C]PIB PET and clinical follow-up, in months; Time CSF to FU, time between CSF sampling and clinical follow-up, in months. This period can also be considered representative of the total follow-up time since CSF sampling preceded baseline diagnosis by a short, but variable, time interval; - Since the focus of clinical follow-ups in the present study was to determine whether patients with MCI had progressed to dementia due to AD. follow-up times for AD are not reported. Differences between groups were assessed using ANOVA (MMSE, Global cognition, Episodic memory, Global [¹¹C]PIB PET SUV_R, CSF A β_{1-42} , t-tau, p-tau, A β_{1-42} /t-tau, A \beta_{1-42}/t-tau, Time PET to CSF, Time PET to FU, Time CSF to FU, months) and χ^2 (Amnestic subtype, APOE ε 4 frequency, % positive [¹¹C]PIB PET, CSF Aβ₁₋₄₂, t-tau, p-tau, Aβ₁₋₄₂/t-tau, Aβ₁₋₄₂/p-tau). ^arelative to sMCI, ^brelative to pMCI, ^crelative to AD. $p < 0.05^{**} p < 0.01^{***} p < 0.001$.

relative to sMCI (p < 0.001 and 0.05, respectively). 294 Relative to sMCI, CSF levels of total and phospho-295 rylated tau were higher in AD (p < 0.01 and 0.05, 296 respectively). Using the ratio of $A\beta_{1-42}$ to t-tau, pMCI 297 and AD patients showed lower ratio values compared 298 to sMCI (p < 0.01), with the same pattern observed 299 for A β_{1-42} /p-tau_{181p} (p < 0.05 and 0.01, respectively). 300 Compared to sMCI, [¹¹C]PIB PET was more often 301 found to be abnormal in pMCI and AD (p < 0.001), as 302 was the case for CSF A β_{1-42} (*p* < 0.05), t-tau (*p* < 0.05), 303 A β_{1-42} /t-tau (p < 0.01), and CSF A β_{1-42} /p-tau_{181p} 304 (p < 0.01). Stable and pMCI did not differ in levels of 305 p-tau_{181p}. Relative to sMCI, levels of p-tau_{181p} were 306 greater in AD (p = 0.05). 307

Across groups, concordance between $[^{11}C]$ PIB PET 308 and CSF A β_{1-42} was 57% (see Table 3, Fig. 1). 309 Using a higher, more lenient cutoff of <550 pg/mL 310 for CSF A β_{1-42} —or a combination of A β_{1-42} and 311 tau (both total and phosphorylated)-concordance 312 reached 79%, 69%, and 70%, respectively. Within 313 groups, concordance between [¹¹C]PIB PET and CSF 314 A β_{1-42} was highest for sMCI (67%), followed by AD 315 (60%), and pMCI (33%). Though the use of this more 316 lenient cutoff did not increase concordance within the 317 sMCI group, agreement increased to 83% and 86% in 318 pMCI and AD, respectively. Using $A\beta_{1-42}/t$ -tau and 319 $A\beta_{1-42}/p$ -tau_{181p}, concordance was highest for sMCI 320 (81% and 80%), followed by AD (71% and 77%), and 321

Table 3
Concordance between $[^{11}C]PIB PET SUV_R$ and CSF biomarkers

[¹¹ C]PIB PET SUV _R	All	sMCI	pMCI	AD
CSF Aβ ₁₋₄₂ <450	57%	67%	33%	60%
CSF Aβ ₁₋₄₂ <550	79%	67%	83%	86%
CSF t-tau	70% †	76%	67%	68% †
CSF p-tau _{181p}	58% [§]	87% [‡]	$27\%^\dagger$	54% ¶
CSF Aβ ₁₋₄₂ /t-tau	69% [†]	81%	50%	71% †
CSF Aβ ₁₋₄₂ /p-tau _{181p}	70% §	80% ‡	$45\%^{\dagger}$	77% ¶

All, all groups. sMCI, stable mild cognitive impairment (i.e. those who had not progressed to dementia at clinical follow-up); pMCI, progressive mild cognitive impairment (i.e. those who had developed to dementia of the Alzheimer's type at clinical follow-up). AD, dementia due to Alzheimer's disease. [¹¹C]PIB PET SUV_R, based on a global cutoff of >1.41. CSF A β_{1-42} <450, CSF cut-off of <450 pg/mL. CSF A β_{1-42} <550, CSF cut-off of <550 pg/mL. CSF t-tau, CSF cut-off of >400 pg/mL. CSF p-tau181p, CSF cut-off based on >80 pg/mL. CSF AB1-42/t-tau, CSF cut-off based on <1.14. CSF $A\beta_{1-42}/p$ -tau_{181p}, CSF cut-off based on <6.5.[†] data for 1 subject missing.[‡] data for 6 subjects missing.[¶] data for 9 subject missing. [§] data for 16 subjects missing.

pMCI (50% and 45%). In terms of discordance, PET 322 was more often positive than CSF A β_{1-42} , using both 323

450 pg/mL and 550 pg/mL cutoffs (see Figs. 2 and 3).

Logistic regression among MCI subjects showed

that the classification accuracy of [¹¹C]PIB-PET was

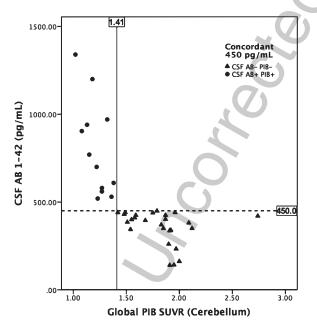


Fig. 1. Concordance between $[^{11}]$ PIB PET and CSF A β_{1-42} , using a CSF cutoff of 450 pg/mL. Scatterplot showing concordance between concordance between [¹¹]PIB PET and CSF A β_{1-42} (cut-off <450 pg/mL). Triangles indicate [¹¹]PIB PET-, CSF A β_{1-42} - subjects; circles indicate [¹¹]PIB PET+, CSF A β_{1-42} + subjects. Horizontal solid line indicates cut-off for abnormal [11]PIB PET (SUVR >1.41); horizontal dashed line indicates cut-off for abnormal CSF A β_{1-42} (<450 pg/mL).

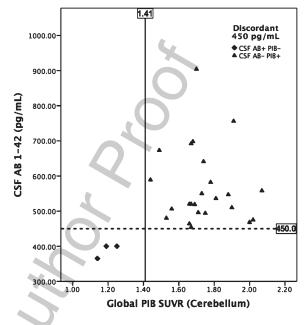


Fig. 2. Concordance between [¹¹]PIB PET and CSF A β_{1-42} , using a CSF cutoff of 450 pg/mL. Scatterplot showing discordance between [¹¹]PIB PET and CSF Aβ₁₋₄₂ (cut-off <450 pg/mL). Diamonds indicate [¹¹]PIB PET-, CSF Aβ₁₋₄₂+ subjects; triangles indicate [¹¹]PIB PET+, CSF AB1-42- subjects. Horizontal solid line indicates cut-off for abnormal [11]PIB PET (SUVR >1.41); horizontal dashed line indicates cut-off for abnormal CSF A β_{1-42} (<450 pg/mL).

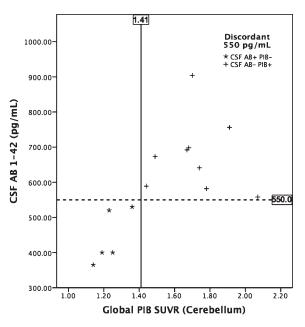


Fig. 3. Concordance between $[^{11}]\mbox{PIB}$ PET and CSF $A\beta_{1\text{-}42},$ using a CSF cutoff of 550 pg/mL. Scatterplot showing discordance between [¹¹]PIB PET and CSF Aβ₁₋₄₂ (cut-off <550 pg/mL). Stars indicate [11]PIB PET-, CSF AB1-42+ subjects; crosses indicate [11]PIB PET+, CSF AB1-42- subjects. Horizontal solid line indicates cut-off for abnormal ^{[11}]PIB PET (SUVR >1.41); horizontal dashed line indicates cut-off for abnormal CSF AB1-42 (<550 pg/mL).

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Table 4 Logistic regression derived classification accuracies for [¹¹C]PIB PET and CSF biomarkers, both alone and with use of demographic and clinical covariates

[¹¹ C]PIB PET SUV _R	Accuracy (alone)	р	Accuracy (covariates)	Model ^a	p ^b
Global	73%	**	79%	**	NS
Frontal	73%	*	82%	*	**
Anterior cingulate	73%	**	82%	***	*
Posterior cingulate	79%	**	82%	**	*
Insula	67%	**	79%	**	NS
Parietal	67%	**	70%	**	NS
Temporal	58%	*	82%	*	NS
Caudate nucleus	64%	*	85%	**	*
Putamen	64%	**	88%	**	*
Nucleus accumbens	70%	**	85%	**	*
Hippocampus	64%	NS	79%	*	NS
Parahippocampal	75%	*	72%	*	NS
CSF					
Αβ ₁₋₄₂	58%	NS	82%	**	NS
t-tau	58%	*	82%	*	NS
p-tau _{181p}	54%	NS	70%	NS	NS
$A\beta_{1-42}/t$ -tau	63%	NS	83%	**	NS
$A\beta_{1-42}/p-tau_{181p}$	64%	NS	77%	*	NS

NS. not significant. sMCI, stable mild cognitive impairment (i.e., those who had not progressed to dementia at clinical follow-up); pMCI, progressive mild cognitive impairment (i.e., those who had developed to dementia of the Alzheimer's type at clinical follow-up); AD, dementia due to Alzheimer's disease. ^asignificance level of the model. ^bsignificance level of the variable within the model. Covariates: age, gender, education, MMSE, and APOE ε 4 genotype. ^{*}p < 0.05, ^{***}p < 0.01, ^{***}p < 0.001.

superior to A β_{1-42} (73% versus 58%), t-tau (58%), 327 p-tau_{181p} (54%), A β_{1-42} /t-tau (63%), and A β_{1-42} /p-328 tau_{181p} (65%) (see Table 4). Using regional [¹¹C]PIB 329 uptake, classification accuracy was highest using the 330 posterior cingulate (79%) and parahippocampal gyrus 331 (75%), with additional regions proving similar to or 332 inferior to global [¹¹C]PIB. Inclusion of age, gen-333 der, education, MMSE, and APOE ɛ4 genotype as 334 covariates generally increased classification accuracy 335 of PET (using both global and regional values) and 336 CSF. While the regression models were considered 337 statistically significant when taken together-save for 338 p-tau_{181p}—only [¹¹C]PIB values within the frontal 339 cortex, the cingulate gyrus, the caudate, and the nucleus 340 accumbens within the models themselves cross the 341 threshold for significance (p < 0.05). 342

Characteristics of patients showing discordance 343 between amyloid biomarkers are presented in Table 5. 344 Though raising the cutoff to 550 pg/mL within the 345 group discordant at 450 pg/mL increased agreement, 346 21% (14 out of 68) of subjects remained discor-347 dant. Among discordant subjects, 80% had a positive 348 $[^{11}C]PIB$ scan and normal CSF A β_{1-42} . Concor-349 dant and disconcordant subjects differed only with 350

respect to age (concordant 450 pg/mL > discordant 450 pg/mL, p < 0.05) and global cognition (concordant 450 pg/mL > discordant 550 pg/mL, p < 0.01). As a whole, discordant subjects were within one standard deviation (SD) of the 450 pg/mL cut-off (mean \pm SD: 563.54 \pm 129.54). While the predominant diagnosis in the group discordant at 450 pg/mL was AD, in those discordant at 550 pg/mL it was sMCI.

DISCUSSION

The present study showed that global and regional 360 [¹¹C]PIB PET proved superior to CSF A β_{1-42} —both 361 alone, and combined with tau-in terms of dif-362 ferentiating cases of stable MCI from those who 363 progressed to dementia due to AD. Though the clas-364 sification accuracies of [11C]PIB and CSF measures 365 were comparable following the addition of clinical 366 and demographic covariates, only frontal, cingulate 367 gyrus, caudate nucleus, and putaminal [¹¹C]PIB values 368 retained statistical significance. In this respect, pre-369 vious work has shown that MCI who progressed to 370 AD had higher [¹¹C]PIB retention in these regions, 371 when compared with MCI who did not progress [34]. 372 We reproduced this finding in the present study, with 373 pMCI subjects showing higher [¹¹C]PIB values within 374 these regions, relative to sMCI subjects. This finding 375 suggests that regional analysis-including, in partic-376 ular, striatal components-may prove superior to the 377 use of a global [¹¹C]PIB with respect to predicting 378 progression toward AD dementia in patients with MCI. 379

As expected, the proportion of subjects showing 380 abnormal [¹¹C]PIB and CSF A β_{1-42} was greater in 381 pMCI and AD. Using 450 pg/mL as a cutoff, agreement 382 between CSF A β_{1-42} and [¹¹C]PIB PET was inferior to 383 that using A β_{1-42} to tau ratio values across all groups, 384 with the reverse being true in pMCI and AD when 385 using the more lenient cutoff of 550 pg/mL. In sMCI, 386 agreement between $A\beta_{1-42}$ and [¹¹C]PIB PET was 387 unchanged by cutoff levels, with agreement between 388 $[^{11}C]$ PIB and A β_{1-42} /tau ratios higher relative to pMCI 389 and AD. These concordance findings reflect the fact 390 that nearly half of the sMCI subjects were amyloid 391 negative, with the syndrome likely the result of non-392 AD pathology. Comparisons between concordant and 393 discordant subjects proved unremarkable, with only 394 subjects concordant and discordant at 450 pg/mL dif-395 fering in age. 396

Our findings using the more lenient $A\beta_{1-42}$ cutoff are in line with previous studies showing high concordance between CSF $A\beta_{1-42}$ and [¹¹C]PIB PET [13, 15, 31, 35–37]. Moreover, our results lend support to

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	Concordant 450 pg/mL	Discordant 450pg/mL	Discordant 550 pg/mL
Total	39 (57%)	27 (40%)	14 (21%)
sMCI	14 (36%)	7 (26%)	7 (50%)
pMCI	4 (10%)	8 (30%)	2 (14%)
Amnestic subtype	8 (44%)	6 (40%)	3 (33%)
AD	21 (54%)	12 (44%)	5 (36%)
Age, years	$66.82 \pm 8.90^{a*}$	62.59 ± 7.57	65.00 ± 7.16
Education	12.10 ± 3.78	13.14 ± 3.17	12.71 ± 3.14
Gender, f	20 (51%)	18 (67%)	6 (60%)
MMSE	25.58 ± 3.50	25.34 ± 3.83	26.21 ± 3.95
Global cognition	1.15 ± 2.71	-0.94 ± 2.10	-0.64 ± 1.72 b*
Episodic memory	-1.09 ± 1.70	-1.23 ± 1.49	-1.06 ± 1.49
APOE ɛ4 frequency	27 (69%)	21 (78%)	11 (79%)
AChE inhibitors	22 (54%)	17 (63%)	8 (57%)
Global [¹¹ C]PIB PET SUV _R	1.64 ± 0.37	1.68 ± 0.23	1.55 ± 0.29
CSF Aβ ₁₋₄₂	494.10 ± 265.56	548.03 ± 117.89	593.42 ± 149.35
CSF t-tau	463.82 ± 237.76	525.93 ± 241.70	488.07 ± 277.15
CSF p-tau _{181p}	$69.72 \pm 25.62^{\$}$	84.23 ± 27.18 [‡]	76.78 ± 33.67 [†]
$CSF A\beta_{1-42}/t$ -tau	1.45 ± 1.25	1.31 ± 0.87	1.67 ± 1.17
CSF A β_{1-42} /p-tau _{181p}	$8.89 \pm 7.01^{\$}$	7.38 ± 2.73 [‡]	10.10 ± 4.31 $^{+}$
Time PET to CSF, months	10.36 ± 15.16	8.63 ± 12.19	15.17 ± 24.14

Table 5	
Characteristics of patients showing discordance between $[^{11}C]PIB PET$ and CSF AB ₁₋₄₂	

Data are presented as mean \pm standard deviation, mean \pm standard deviation (z-scores), or as n (%). sMCI, stable mild cognitive impairment (i.e. those who had not progressed to dementia at clinical follow-up); pMCI, progressive mild cognitive impairment (i.e., those who had developed to dementia of the Alzheimer's type at clinical follow-up); AD, dementia due to Alzheimer's disease; f, female; MMSE, mini-mental state examination; Global cognition, composite of the Information and Similarities subscales of the Wechsler Adult Intelligence Scale (language), Block design and Rey Osterrieth copy (visuospatial), Digit Span and Corsi Span (working memory), the Digit Symbol and Trail Making Tests A and B (attention/executive domains); Episodic memory, composite of the Rey Auditory Verbal Learning Test learning and retention, and the Rey Osterrieth retention. APOE £4, apolipoprotein £4 allele; AChE inhibitors, acetylcholinesterase inhibitor. Global [11 C]PIB PET SUV_R, cutoff >1.41; CSF A β_{1-42} , cutoff <450 pg/mL; CSF t-tau, cutoff >400 pg/mL; CSF p-tau, cutoff >80 pg/mL; CSF A_{β1-42}/t-tau, cutoff <1.14; CSF Aβ1-42/p-tau, cutoff <6.5. Differences between groups were assessed using ANOVA (MMSE, Global cognition, Episodic memory, Global [¹¹C]PIB PET SUV_R, CSF A β_{1-42} , t-tau, p-tau, A β_{1-42} /t-tau, A β_{1-42} /p-tau, Time PET to CSF, months) and χ^2 (Amnestic subtype, APOE ϵ 4 frequency, % positive [¹¹C]PIB PET, CSF A β_{1-42} , t-tau, p-tau, A β_{1-42} /t-tau, A β_{1-42} /p-tau).[†] data for 5 subjects missing.[‡] data for 6 subjects missing. data for 10 subjects missing. ^arelative to subjects discordant at 450 pg/mL. ^brelative to subjects concordant at 450 pg/mL. *p < 0.05**p < 0.01.

previous work showing that Aβ₁₋₄₂/tau ratios are supe-401 rior to these CSF markers individually with respect to 402 predicting progression to AD dementia in patients with 403 MCI [37]. While the majority of subjects discordant 404 for $A\beta_{1-42}$ were within one SD of the threshold for 405 abnormality, the fact that discordant cases were primar-406 ily [¹¹C]PIB+ conflicts with the current hypothetical 407 model of AD biomarkers [1], in which concentrations 408 of A β_{1-42} are thought to cross the threshold for abnor-409 mality prior to positive findings using amyloid PET. 410

Given the sizeable body of evidence showing decreased levels of CSF $A\beta_{1-42}$ in MCI and AD [5–8, 14, 38–41], our finding of high levels of $A\beta_{1-42}$ (i.e., those discordant at 550 pg/mL) in [¹¹C]PIB+ subjects may represent a methodological artifact tied to preanalytical or assay-related factors. Specifically, increased concentrations of CSF $A\beta_{1-42}$ have been shown to

relate to the time interval between CSF collection and centrifugation, as well as to dilution and buffer factors [39]. The effects of differing calibrator peptides and antibodies within immunoassay kits-as well as batchto-batch variation-[42] likewise cannot be ruled out since the CSF samples utilized in this study were collected over a relatively long period of time. Indeed, this explanatory stance seems reasonable since similar factors were purported to be at play in the only study to date reporting increased levels of CSF A β_{1-42} in MCI and AD [43]. Finally, insufficient quality and quantity of sleep have been shown to augment CSF levels of A β_{1-42} [44], an important consideration given the high prevalence of sleep disorders in AD [45]. As such, sleep insufficiency may have contributed to the discordance between $[^{11}C]$ PIB and CSF A β_{1-42} , though this was not assessed.

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Though [¹¹C]PIB possesses high affinity for fibril-435 lary AB found in cored plaques and cerebral amyloid 436 angiopathy, it binds only weakly to amorphous AB 437 plaques [46, 47]. As such, [¹¹C]PIB may prove unable 438 to detect variants of AD characterized by the pre-439 dominance of diffuse (nonfibrillar) plaques [48]. In 440 support of this hypothesis, low levels of CSF A β_{1-42} 441 have been reported in a case of sporadic AD with 442 negative [¹¹C]PIB PET and an abundance of diffuse, 443 but not neuritic (fibrillary), plaques at postmortem, 444 two years following the CSF and [¹¹C]PIB assess-445 ments [48]. The low CSF $A\beta_{1-42}$ in the absence 446 of [¹¹C]PIB positivity observed in a subset of MCI 447 patients in the present study may therefore reflect 448 the aggregation of A β in diffuse ([¹¹C]PIB-negative) 449 plaques, or the accumulation of oligomeric forms 450 prior to substantial fibrillary ([¹¹C]PIB-positive) Aβ 451 deposition [49]. Though recent work suggests that 452 ^{[11}C]PIB uptake increases over time in some patients 453 with MCI [50], PET studies were conducted after 454 CSF assessment in the present study, suggesting that 455 ^{[11}C]PIB-negativity in this subgroup is not simply a 456 reflection of lower disease burden. Finally, though four 457 out of five of the $[^{11}C]PIB$ -/CSF A β_{1-42+} subjects 458 were classified as nonamnestic-a subtype typically 459 thought to represent the prodromal phase of non-AD 460 dementias-longitudinal studies highlight a significant 461 rate of progression to AD dementia within this pop-462 ulation [51-54]. Further clinical follow-up of these 463 patients will be required to address these possibilities. 464

Certain methodological aspects, however, limit 465 interpretation of the present findings. In addition to 466 a relatively small sample size, we were unable to 467 obtain measurements for p-tau_{181p} in a number of sub-468 jects as this measurement was not routinely done in 469 older CSF samples, with repeat analysis of the orig-470 inal samples an impossibility. Another limitation of 471 this study was the use of literature-derived cutoffs 472 for A β to tau ratios, given the large between center 473 variability reported for CSF measurements [42]. The 474 temporal dissociation between CSF and PET assess-475 ments stands as another potential limitation, as ideally 476 the two procedures would have been separated by 477 as short an interval as possible. Several studies have 478 shown, however, that changes in CSF levels of $A\beta_{1-42}$. 479 t-tau, and p-tau_{181p} are minimal or absent during both 480 the progression from MCI to AD dementia [28], and 481 during the course of dementia due to AD [54-56]. 482 Taken together, these results suggest that pathologic 483 CSF levels are most likely reached during the preclin-484 ical, asymptomatic phase of the disease [28, 55-57]. 485 Even assuming increased levels of fibrillary ([¹¹C]PIB-486

positive) amyloid in our MCI subjects [50]—in line with the recent findings mentioned above—between CSF and PET assessments, the fact that CSF sampling was conducted before PET suggests that the discordance observed between CSF $A\beta_{1-42}$ and [¹¹C]PIB PET is unlikely to be due the delay between the two assessments.

Despite these caveats, our results suggest that rel-494 ative to CSF measures of $A\beta_{1-42}$ or $A\beta_{1-42}/tau$, 495 ^{[11}C]PIB PET may prove a better predictor of pro-496 gression to AD in patients with MCI. Moreover, our 497 findings suggest that evaluation of $[^{11}C]PIB$ -binding in 498 AD signature regions may provide additional diagnos-499 tic information relative to global cortical to cerebellar 500 binding. Finally, though the use of a more lenient cut-501 off greatly increased agreement between CSF AB1-42 502 and [¹¹C]PIB PET, continued discordance in a sub-503 set of MCI patients suggests that these two biomarkers 504 cannot be used interchangeably, as is currently the case. 505

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SUPPLEMENTARY MATERIAL

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