

A human oncogene formed by the fusion of truncated tropomyosin and protein tyrosine kinase sequences

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A biologically active complementary DNA clone of a transforming gene present in a human colon carcinoma contains gene sequences of both tropomyosin and a previously unknown protein tyrosine kinase. The predicted protein (641 amino acids) encoded by this oncogene seems to have been formed by a somatic rearrangement that replaced the extracellular domain of a putative transmembrane receptor by the first 221 amino acids of a non-muscle tropomyosin molecule.

TRANSFECTION of NIH 3T3 cells with genomic DNAs has allowed the identification of transforming genes in human neoplasms and in carcinogen-induced animal tumours (for recent reviews, see refs 1-3). Most of these oncogenes are members of the *ras* gene family. However, other transforming genes without apparent sequence homology to *ras* genes have been identified: those of human origin include *oncD* (carcinoma of the ascending colon⁴), *met* (MNNG-HOS cells⁵), *mel* (NK14 melanoma cells⁶), *dbl* (diffuse B-cell lymphoma⁷), and a transforming allele of *c-raf-1* (carcinoma of the stomach⁸). Two additional oncogenes, designated *mcf-3* (ref. 9) and *ret*¹⁰, became activated during transfection of NIH 3T3 cells with human DNA. Finally, a series of non-*ras* transforming genes which exhibit differentiation-stage specificity have been consistently identified by Cooper *et al.*¹¹ in a variety of human tumours.

During gene transfer assays aimed at detecting transforming genes in human tumours, our laboratory identified four different transforming genes (designated *oncA* to *oncD*) based on their distinct pattern of *Alu*-positive restriction endonuclease fragments⁴. Three of these oncogenes (*oncA*, B and C) were identified as transforming alleles of the cellular *Ha-ras-1*, *Ki-ras-2* and *N-ras* loci; however, *oncD* did not show detectable sequence homology with members of the *ras* gene family even under relaxed hybridization conditions^{4,12}. *oncD* exhibits a genetic complexity of at least 30 kilobases (kb), as all tertiary NIH 3T3 transformants analysed contain two *Alu*-positive *EcoRI* DNA fragments of 25 and 4 kb (see Fig. 2 of ref. 4). Here, we describe the isolation of a biologically active complementary DNA clone of *oncD* and its complete nucleotide sequence analysis. Information derived from these studies has allowed us to determine that *oncD* was generated by a somatic rearrangement that resulted in the juxtaposition of two truncated loci, one of which codes for a non-muscle tropomyosin and the other for a putative tyrosine-specific protein kinase.

Molecular cloning of *oncD* cDNA

Three overlapping λ recombinant clones representing about 20 kb of *oncD* were isolated from a genomic library prepared from 106-63 cells, a third-cycle NIH 3T3 transformant derived from *oncD* (D.M.-Z., B. Cabrer and M.B., unpublished). Several *Alu*-negative DNA fragments were subcloned in vector pUC18 and used as probes to identify *oncD*-specific transcripts in NIH 3T3 transformants. Two of these subclones, pDM6, which contained a 1.4-kb *BamHI*-*EcoRI* insert, and pDM8, which carried a 2.7-kb *KpnI* insert, identified a 2.5-kb poly(A)-containing messenger RNA in *oncD*-derived transformants. Significant levels of mRNA of this size were also found in normal NIH 3T3 cells as well as in *ras*-derived transformants (data not shown).

A cDNA library consisting of 10⁶ clones was prepared as described by Helfman *et al.*¹³. DH5 *Escherichia coli* cells were transformed with 25 ng of cDNA prepared by reverse transcription of polyadenylated mRNA isolated from 106-63 cells and ligated to pUC18 and pUC19 vectors using *SalI* and *EcoRI* linkers. Nitrocellulose filters containing these colonies were hybridized with the 1.4-kb *BamHI*-*EcoRI* insert of pDM6 and with the 2.7-kb *KpnI* insert of pDM8 as described previously¹⁴. About 200 hybridizing colonies were selected for further analysis.

Presence of non-muscle tropomyosin sequences

The existence of *oncD*-related polyadenylated mRNA in untransformed NIH 3T3 cells suggested that a significant fraction of the *oncD*-positive cDNA clones might represent normal mouse cellular transcripts. Partial nucleotide sequence analysis of the pDM6 and pDM8 inserts was used to identify a domain that did not hybridize with NIH 3T3 mRNAs. Comparison of pDM6 and pDM8 *oncD* sequences with those stored in gene databanks revealed that a stretch of 204 base pairs (bp) of pDM6 and 66 bp of pDM8 were almost identical (>96% homology) to a clone (hTM_{NM-1}) of a human non-muscle tropomyosin pseudogene characterized previously¹⁵ (Fig. 1). Moreover, these regions had open reading frames whose deduced amino-acid sequences also exhibited striking homology (over 90%, taking into account conserved amino-acid substitutions) to the amino terminus (residues 1-43 in the case of pDM6) and to an internal domain (residues 177-197 in the case of pDM8) of a tropomyosin molecule isolated from horse platelets¹⁶ (Fig. 1). Finally, pDM6 and pDM8 sequences located at the 3' boundaries of their respective regions of homology to hTM_{NM-1}, closely matched those of consensus donor splicing sites (not shown). These results suggested strongly that pDM6 and pDM8 contained the first coding exon and an internal exon, respectively, of a non-muscle tropomyosin gene. Tropomyosins are known to be expressed at high levels in most cell types, explaining the existence of abundant *oncD*-related transcripts in untransformed NIH 3T3 cells.

The 204-bp region of pDM6 that is homologous to hTM_{NM-1} includes 70 bp of 5'-untranslated sequence⁵ (Fig. 1). Noncoding sequences evolve faster than their translated counterparts. Thus, we reasoned that this 70-bp segment of pDM6 might have diverged sufficiently from its murine counterpart to allow identification of cDNA clones carrying *oncD* sequences from those containing mouse tropomyosin transcripts. The 70-bp 5'-untranslated sequences were isolated as part of a *BamHI*-*NcoI* DNA fragment of pDM6 which extended from the 5' *BamHI* boundary of the insert to the convenient *NcoI* site which contains the putative initiator codon (ATG) of the tropomyosin gene

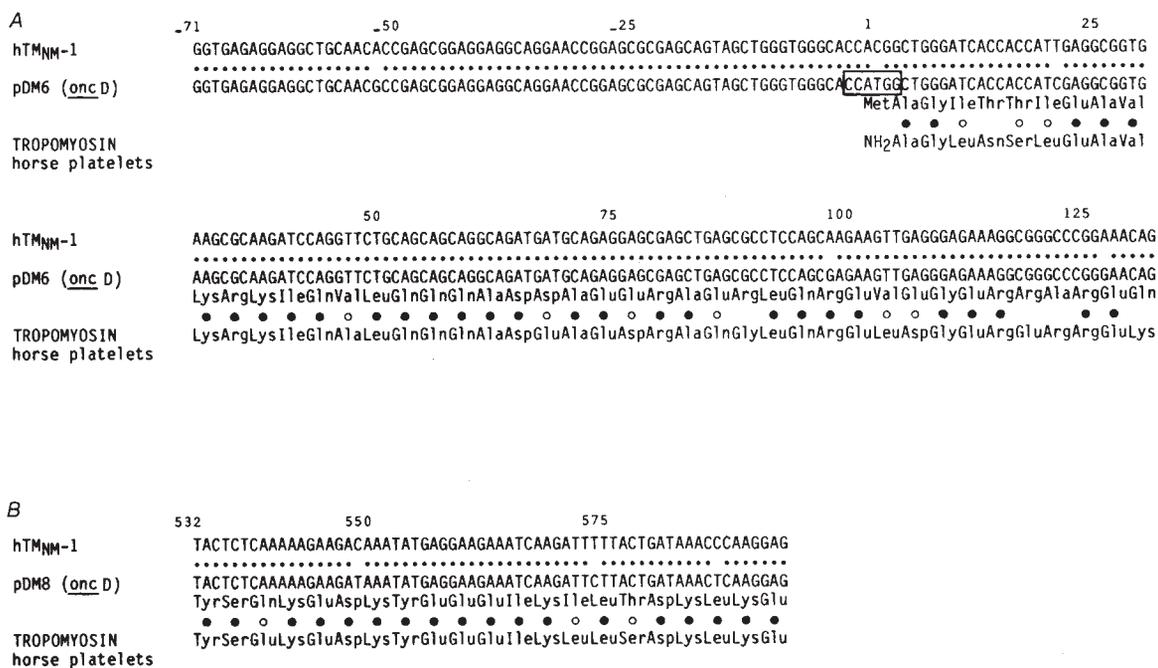


Fig. 1 Nucleotide sequence homology between pDM6 (A) and pDM8 (B) subclones of *oncD* and hTM_{NM}-1, a clone of a human non-muscle tropomyosin pseudogene¹⁵. Dots indicate base pairs that are identical in the two sequences. Numbers correspond to numbering of nucleotides in the hTM_{NM}-1 sequence, with +1 as the deoxyadenosine residue of the putative initiator codon (ATG) which has been replaced by a non-functional ACG triplet in hTM_{NM}-1. The box indicates the convenient *Nco*I site used in generating the 209-bp *Bam*HI-*Nco*I probe used to identify *oncD*-specific cDNA clones (see text). The amino-acid sequences deduced from the open reading frames of pDM6 (nucleotides 1-132) and pDM8 are compared with the sequence of a tropomyosin molecule isolated from horse platelets¹⁶. ●, ○, Identical and conserved amino-acid residues, respectively.

(Fig. 1). Of the 200 positive cDNA clones, only 11 specifically hybridized with this probe; these colonies were picked, grown up and their plasmids isolated by standard techniques¹⁷. One of the plasmids, pDM10-1, contained a 2.3-kb *Sal*I-*Eco*RI DNA insert which is approximately the size of the mRNAs identified in 106-63 cells by *oncD* specific probes.

Nucleotide sequence of *oncD* cDNA

The complete nucleotide sequence of the 2,301-bp *Sal*I-*Eco*RI insert of pDM10-1 was established by the method of Maxam and Gilbert¹⁸ (see Fig. 2). pDM10-1 contains a 1,923-bp open reading frame capable of directing the synthesis of a polypeptide of 641 amino acids. The open reading frame is flanked by 232 bp of 5'-untranslated sequence and 143 bp of 3'-noncoding sequence in which no putative polyadenylation signal could be identified. It is possible that pDM10-1 does not contain all of the untranslated sequences of *oncD*. However, based on the size of the mRNA, only 100-200 bp are absent.

The most relevant features of this sequence are: (1) nucleotides -232 to -64 (position +1 corresponds to the deoxyadenosine of the initiator ATG codon) represent a stretch of G+A-rich sequences in which the sequence GGAGGAGCA is repeated 12 times (with one imperfection in two cases). This repeat is interrupted by the hexanucleotide GGA^QCA on three occasions and by repeated GGA triplets on two occasions. A similar arrangement of repetitive sequences (a GGGCAGGA sequence interrupted by the hexanucleotide GCAGGA) exists in the simple IR3 (internal repeat 3) repeat array of Epstein-Barr virus¹⁹. The biological significance of this domain of the *onc* cDNA is being investigated. (2) Sequences located between positions -63 and +664 correspond to the first seven coding exons of a non-muscle tropomyosin gene, as determined by comparison of these sequences with those of genomic clones of the tropomyosin gene (A. MacLeod, personal communication)

and of *oncD* (our unpublished observations). The boundaries between each of the exons are indicated by vertical arrowheads in Fig. 2. Nucleotides -63 to -1 correspond to the sequences used to identify pDM10-1 and represent untranslated sequences of the first coding exon of the tropomyosin locus present in *oncD*. (3) The homology between pDM10-1 and genomic tropomyosin sequences ends abruptly at nucleotide +664, which corresponds to the last base of the tropomyosin exon 7. This result suggests that *oncD* is a hybrid gene generated by a genetic rearrangement that disrupted a non-muscle tropomyosin gene between coding exons 7 and 8. (4) Sequences located between nucleotides +1,015 and +1,773 share extensive homology with retroviral oncogenes coding for tyrosine-specific protein kinases such as *v-abl*, *v-erb-B*, *v-fes/fps*, *v-fgr*, *v-fms*, *v-ros*, *v-src* and *v-yes*²⁰. By introducing appropriate gaps, the homology between the sequences coding for the catalytic domains of these retroviral protein tyrosine kinases and the *oncD* sequence was as high as 51%. Similar results have been obtained with cellular genes known to possess tyrosine-specific protein kinase activity, for example those encoding the insulin²¹ and epidermal growth factor (EGF)²² receptors. Finally, a lower degree of homology was observed when these *oncD* sequences were compared with retroviral oncogenes whose products exhibit serine/threonine-specific protein kinase activity, for example, *v-mos* and *v-raf*²⁰. Taken together, these results strongly suggest that the carboxy-terminal moiety of *oncD* was derived from a locus that codes for a tyrosine-specific protein kinase.

Protein tyrosine kinase gene

Figure 3 illustrates the striking homology between the carboxy-terminal moiety of the putative product of *oncD* and the common catalytic domain of retroviral and cellular tyrosine-specific protein kinases. The putative *oncD* product has 63 of the 70 amino-acid residues common to all known protein tyrosine kinases,

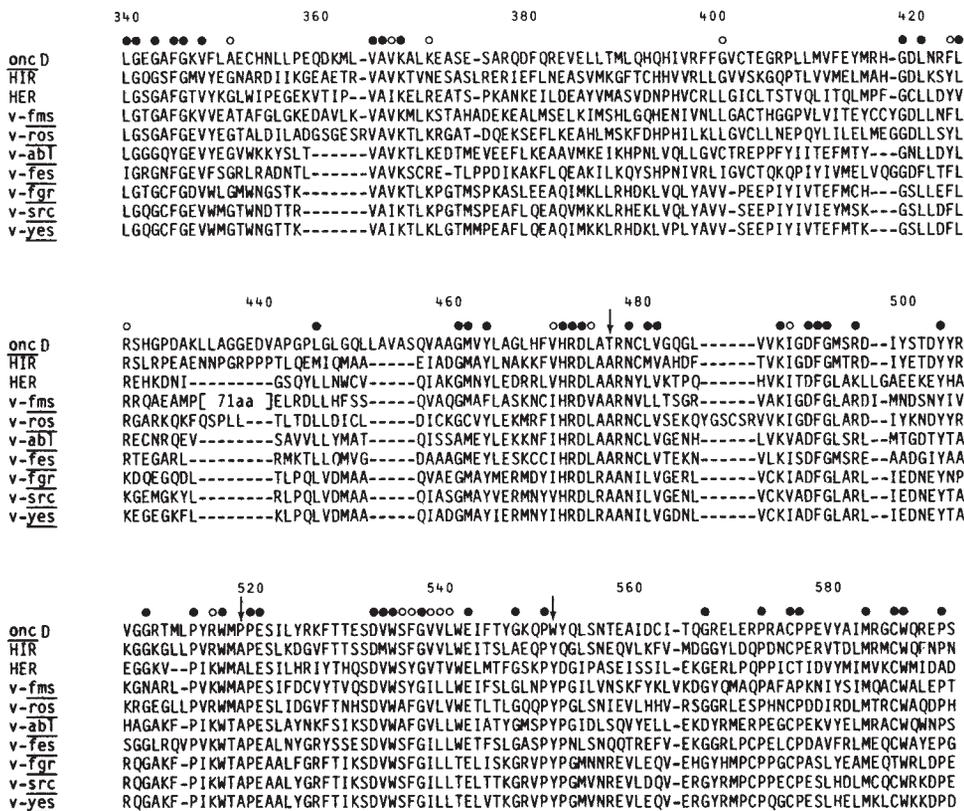


Fig. 3 Amino-acid homology of the putative *oncD* protein with the catalytic domain of tyrosine-specific protein kinases. Amino-acid residues 339-592 of the *oncD* protein were aligned with those corresponding to the homologous regions of the human insulin receptor (HIR), the human EGF receptor (HER) and the retroviral oncogenes *v-fms*, *v-ros*, *v-abl*, *v-fes*, *v-fgr*, *v-src* and *v-yes*. ●, *oncD* residues shared by at least eight of the nine protein tyrosine kinases listed; ○, conserved amino-acid substitutions. Vertical arrows indicate those residues shared by all other protein tyrosine kinases, but not by *oncD*.

Transforming activity of *oncD* cDNA

To establish whether pDM10-1 contains a functional cDNA clone of *oncD*, we investigated its potential transforming activity in gene transfer assays. For this purpose we constructed two plasmids, designated pDM14 and pDM16, in which the coding sequences of pDM10-1 were linked to a human metallothionein (IIA) promoter (derived from p84H²⁶, given by Michael Karin) and to a Moloney murine sarcoma virus (M-MSV) long terminal repeat (LTR) (derived from pm1SP²⁷, given by G. Vande Woude) (Fig. 5). In addition, pDM14 and pDM16 contained a polyadenylation signal derived from simian virus 40 (SV40)²⁸. As shown in Fig. 5, pDM16 induced the appearance of 2×10^4 foci per μg of insert DNA when added to NIH 3T3 cells in gene transfer assays²⁹, a transforming activity comparable to that of DNA clones of acute transforming retroviruses and cellular *ras* oncogenes; the transforming activity of pDM14 (6×10^3 focus-forming units (FFU) per μg) was somewhat lower. Whether this result reflects the more limited promoting activity of the metallothionein IIA regulatory sequences or is due to the fact that this construct does not contain any of the 232 bp of 5'-untranslated sequence of pDM10-1, remains to be determined. In this regard, it is interesting to note that pDM10-1 is capable of inducing low levels of NIH 3T3 transformation without the addition of a eukaryotic promoter (Fig. 5). We are presently investigating whether the G/A-rich domain of pDM10-1 has low levels of promoter activity. In any case, the results summarized in Fig. 5 establish that pDM10-1 contains a functional cDNA clone of *oncD*.

A somatic rearrangement generated *oncD*

As *oncD* was identified by transfecting human tumour DNA into NIH 3T3 cells, it was important to establish whether the genetic rearrangement responsible for its malignant activation occurred during experimental manipulations or was already present in the original colon carcinoma (tumour 2033). Two *oncD* probes capable of recognizing DNA fragments encompassing the breakpoints of the two loci present in *oncD* were

generated. As shown in Fig. 6A, the tropomyosin-specific probe (2.7-kb *KpnI* insert of pDM8) should detect an 11-kb *EcoRI* DNA fragment in normal human DNA and a 23-kb *EcoRI* fragment in DNAs containing *oncD*. Similarly, a probe derived from the kinase domain of *oncD* cDNA (1.2-kb *BalI-EcoRI* insert of pDM10-1) should recognize a normal 14-kb *BamHI* DNA fragment of human DNA and a rearranged 7-kb *BamHI* DNA fragment of *oncD* DNA (Fig. 6B). Southern transfer analysis of DNAs isolated from colon carcinoma 2033 and from first- and third-cycle NIH 3T3 transformants derived from *oncD*⁴, revealed the presence of the rearranged 23-kb *EcoRI* and 7-kb *BamHI* DNA fragments diagnostic of *oncD*. These results established that *oncD* was not activated during gene transfer but was present in the original human tumour DNA. The normal 11-kb *EcoRI* and 14-kb *BamHI* DNA fragments were also present in the original tumour DNA, demonstrating its heterozygous nature.

Finally, to test whether the rearrangement responsible for the activation of *oncD* was an inherited genetic abnormality or occurred during tumour development, DNA was isolated from normal colonic tissue adjacent to tumour 2033 (provided by S. A. Aaronson). This DNA exclusively exhibited the normal 11-kb *EcoRI* and 14-kb *BamHI* DNA fragments characteristic of normal human DNAs (Fig. 6). These results establish unequivocally that *oncD* was generated by a somatic rearrangement that brought together two independent loci during development of a colon carcinoma.

Discussion

Here we have described the generation of a human transforming gene by a genetic rearrangement that resulted in the juxtaposition of two unrelated loci. One of the two genes involved in the generation of *oncD* appears to be a novel receptor gene with associated protein tyrosine kinase activity. That a protein tyrosine kinase receptor gene could be activated as an oncogene was first illustrated by Downward *et al.*, who demonstrated that the *v-erb-B* oncogene was derived from the EGF receptor locus³⁰. It has been proposed that truncation of the amino-

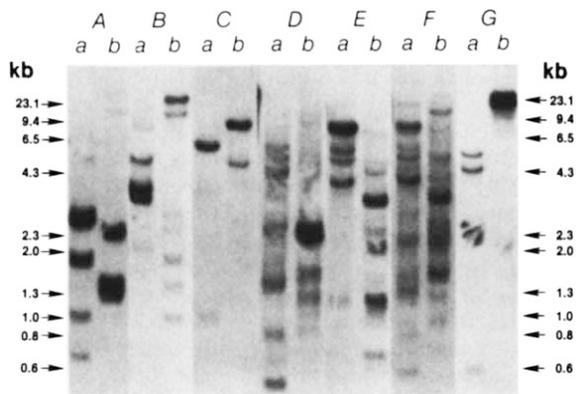


Fig. 4 A novel human locus in *oncD*. The figure shows a Southern transfer analysis of normal human DNA digested with *Pst*I (a) and *Pvu*II (b) restriction endonucleases and hybridized with probes specific for: A, *oncD* (1.2-kb *Bal*I-*Eco*RI insert of pDM10-1); B, *v-fms* (409-bp *Pst*I insert of pSM7C, ATCC no. 41016); C, *v-ros* (844-bp *Eco*RI-*Pvu*II insert of *p-ros*, ATCC no. 41051); D, *v-fes* (459-bp *Pst*I insert of pST4, ATCC no. 41014); E, *v-fgr* (952-bp *Pvu*II-*Kpn*I insert of GR-FeSV; ref. 31); F, *v-src* (2,950-bp *Eco*RI insert of pEcoRIB, ATCC no. 41005); and G, *v-yes* (550-bp *Pst*I-*Eco*RI insert of pY-73; ref. 39).

Methods. Restricted DNAs (20 μ g) were applied to 1.2% (w/v) agarose gels, electrophoresed and transferred to nitrocellulose paper as described elsewhere⁴⁰. Filters were hybridized for 48 h to 2×10^7 c.p.m. of the corresponding nick-translated probe under stringent conditions (50% (v/v) formamide, 42 °C) for the *oncD* probe and under relaxed conditions (30% (v/v) formamide, 42 °C) for the rest of the probes. Filters were exposed to Kodak XAR-5 film at -70 °C for 20 h with the help of intensifier screens. Co-electrophoresed λ *Hind*III DNA fragments served as relative molecular mass (M_r) markers.

terminal (extracellular) domain may lock the EGF receptor in its active state, thus mimicking constitutive activation by EGF²². However, it appears that an additional deletion in the carboxy-terminal end of the EGF receptor molecule is necessary for full neoplastic potential (ref. 22 and A. Ullrich, personal communication). In the case of *oncD*, it seems that a putative receptor gene has been decapitated while maintaining the transmembrane and catalytic domains without any other detectable rearrangements. However, the homology between other protein tyrosine kinases and *oncD* ends at residue 592 (Fig. 3), about 15 residues from the consensus carboxy-terminal boundary²². Identification of additional genetic alterations in the protein tyrosine kinase domain of *oncD* must await the molecular cloning of its normal allele.

v-fgr, the oncogene of the Gardner-Rasheed strain of feline sarcoma virus (GR-FeSV), also encodes a protein tyrosine kinase that carries a domain (128 amino acids) of γ -actin, another cytoskeletal protein³¹. Normal *c-fgr*, unlike the predicted *oncD* product, apparently is not a receptor^{32,33}. Moreover, the 118 amino-terminal residues of the *v-fgr* protein are contributed by viral *gag* gene sequences³¹. However, it is possible that the respective cytoskeletal domains of the *v-fgr* and *oncD* products play a part in redirecting their subcellular localization, preventing them from interacting with their normal substrates. In this regard, it is worthwhile noting that tropomyosins do not contain leader signal sequences that would be expected to direct transport through lipid bilayers. Thus, it is possible that the *oncD* protein cannot be anchored to the cellular membrane as predicted by its receptor-like structure. Generation of specific antibodies as well as appropriate deletion mutants should help to resolve the role of the cytoskeletal domains in the transforming properties of the *v-fgr* and *oncD* proteins.

Molecular characterization of genomic and cDNA clones of tropomyosin genes has indicated that some tropomyosin isoforms may be encoded by a single structural gene that used

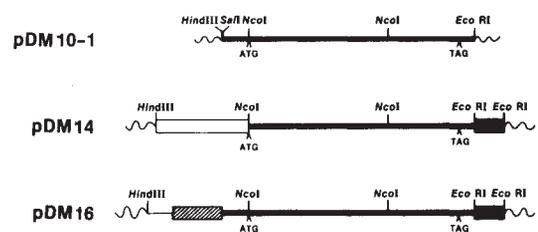


Fig. 5 Transforming activity of *oncD* cDNA. The figure shows a schematic diagram of clones pDM10-1, pDM14 and pDM16. (~), pUC18 vector sequences; —, *oncD* cDNA sequences; □, promoter sequences of the human metallothionein IIA gene; ▨, M-MSV LTR; ■, SV40 polyadenylation sequences. The restriction endonuclease sites used to construct pDM14 and pDM16 from pDM10-1 as well as the locations of the beginning (ATG) and end (TAG) of the *oncD* cDNA coding sequences are indicated. The transforming activities of pDM10-1, pDM14 and pDM16 (as supercoiled plasmids) in gene transfer assays using NIH 3T3 mouse fibroblasts as recipient cells²⁹ were, respectively, 5×10^3 and 2×10^4 FFU per μ g of transfected plasmid DNA. pUC18 alone did not elicit focus formation.

Methods. pDM14 was generated by replacing the 249-bp *Hind*III-*Nco*I fragment of pDM10-1 with an 840-bp *Hind*III-*Nco*I fragment of p84H containing the human metallothionein IIA promoter²⁷. pDM16 was constructed by inserting a 750-bp *Eco*RI-*Sma*I fragment of pm1SP²⁶, containing the U3 and R regions of M-MSV and 275-bp of mink DNA 5'-flanking sequences (thin line), into the *Sal*I site of pDM10-1. The *Eco*RI and *Sal*I sites were blunt-ended before ligation. pDM14 and pDM16 also contained a 237-bp *Bcl*I-*Bam*HI DNA fragment carrying the SV40 polyadenylation signal. The *Bcl*I and *Bam*HI cleavage sites were replaced by *Eco*RI sites.

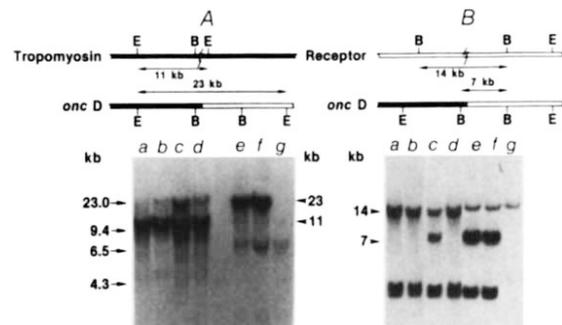


Fig. 6 *oncD* was generated by a somatic rearrangement in a human colon carcinoma. *Top*, schematic representation of DNA fragments derived from: A, *Eco*RI and B, *Bam*HI digestion of normal human DNAs and DNAs containing *oncD*. Tropomyosin sequences are represented by a solid black line whereas those of the protein tyrosine kinase gene are represented by an open line. The zig-zag line indicates the approximate location of the breakpoint that led to the generation of *oncD*. Only those *Eco*RI (E) and *Bam*HI (B) cleavage sites needed to define the diagnostic DNA restriction fragments are indicated. *Bottom*, Southern transfer analysis of DNAs isolated from: a, normal human lymphocytes; b, colon carcinoma 1853; c, colon carcinoma 2033, the tumour in which *oncD* was identified; d, normal colonic tissue adjacent to tumour 2033; e, first-cycle NIH 3T3 transformant derived from *oncD*; f, third-cycle NIH 3T3 transformant derived from *oncD*; g, NIH 3T3 cells. Analysis was carried out as described in Fig. 5 legend, except that 0.7% (w/v) agarose gels were used. Nitrocellulose filters were hybridized under stringent conditions to 6×10^7 c.p.m. of nick-translated probes specific for a, tropomyosin sequences (2.7-kb *Kpn*I insert of pDM8) or B, protein tyrosine kinase sequences (1.2-kb *Bal*I-*Eco*RI fragment of pDM10-1). Filters were exposed to Kodak XAR-5 film at -70 °C for 20 h with the help of intensifier screens. Co-electrophoresed λ *Hind*III DNA fragments serves as M_r markers. DNA fragments which defined the genetic rearrangement that generated *oncD* are indicated by arrowheads; these include the 11-kb *Eco*RI and 7-kb *Bam*HI normal human DNA fragments and the 23-kb *Eco*RI and 14-kb *Bam*HI *oncD*-specific DNA fragments.

alternative RNA splicing mechanisms. In *Drosophila*, embryonic and thoracic tropomyosins are encoded by the same gene via alternative splicing of the last exon, which codes for the different 27 carboxy-terminal residues that distinguish the two tropomyosin isoforms³⁴. A similar mechanism appears to be responsible for the synthesis of α -tropomyosin isoforms of smooth and striated muscle of rats³⁵. Finally, alternative splicing of both internal and carboxy-terminal exons is also responsible for the synthesis of a smooth muscle-like tropomyosin expressed in human non-muscle cells and of the human skeletal muscle β -tropomyosin³⁶. Interestingly, *oncD* contains the entire tropomyosin coding sequences except for the carboxy-terminal 27 amino-acid residues presumably coded for by the missing coding exon 8 (ref. 15 and our unpublished observations). *c-abl*, the other protein tyrosine kinase oncogene implicated in human neoplasia³⁷, becomes activated by a chromosomal translocation that causes the replacement of its first coding exon with the 5' domain of another locus³⁸. Recently, Y. Ben-Neriah and D. Baltimore have shown that the normal *c-abl* locus codes for at least four different proteins by alternative splicing of the first coding exon (personal communication). It is possible that complex transcriptional processes required for the synthesis of multiple proteins by a single gene may facilitate the occurrence of

genetic rearrangements and the formation of fusion products capable of inducing malignant transformation.

Molecular characterization of novel transforming genes such as *oncD* will not only expand our current understanding of the molecular biology of neoplasia, but will prove to be a fruitful source of 'mutant' genes that should help us to elucidate the pathways involved in the control of cell growth and proliferation.

oncD to be designated *trk*

Most oncogenes have been designated according to their origin (that is, *src* for sarcoma, *ras* for rat sarcoma, *abl* for Abelson murine leukaemia virus, etc.). Although *oncD* was initially identified in a colon carcinoma⁴, apparently this oncogene is not frequently activated in this type of tumour (D.M.-Z. and M.B., unpublished observations). Therefore, we suggest that this rearranged oncogene be designated by a conventional three-letter abbreviation, *trk* (pronounced 'track'), that reflects its molecular structure: tropomyosin(t)-receptor(r)-kinase(k).

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LETTERS TO NATURE

Inflation and shadow matter

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Recent work on superstring theories has prompted interest in 'shadow matter', exotic matter which interacts only gravitationally with normal matter. Green and Schwarz^{1,2} have shown that the only anomaly-free type 1 superstring theories are those with gauge group SO_{32} . Gross *et al.*³ have constructed a superstring theory resulting in a gauge group $E_8 \times E_8$. Such a theory could result, at low energies, in the existence of two sectors: an 'observed' sector associated with all familiar particles and interactions, and another

'hidden' sector, previously discussed in various low-energy supersymmetry models (see, for example, ref. 4 and references therein). The particles of the hidden E_8 sector couple only through gravitational interactions with ordinary matter. Kolb, Seckel and Turner⁵ have explored some of the astrophysical and cosmological implications of the existence of such shadow matter. We demonstrate here that if, in the early Universe, an inflationary phase⁶⁻⁸ is associated with the breaking of one of the symmetries in the $E_8 \times E_8$ theory, this strongly constrains the physics of both sectors if shadow matter is to be the missing mass in the Universe.

We begin by assuming that inflation occurs because of symmetry breaking in the observed E_8 sector. After inflation has ended, the abundance of all observed particles is determined by particle production and reheating as the scalar field responsible for inflation oscillates and relaxes to its true minimum by particle production^{9,10}. As is well known for the case of gravitinos in low-energy supergravity models, the production of particles which are only gravitationally coupled to ordinary matter will be suppressed during reheating compared with ordinary matter, by powers of the reheating temperature over the Planck mass. This allows one to place upper bounds on the