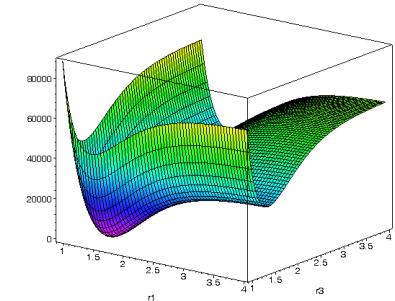
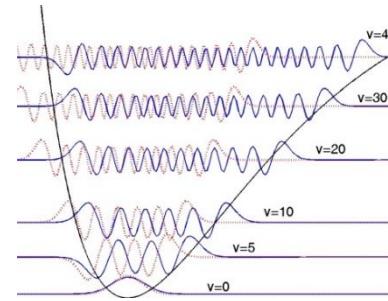




PMT : Physique Moléculaire Théorique, Reims, France  
IOA Acad.Sci. & Tomsk State University, Russia



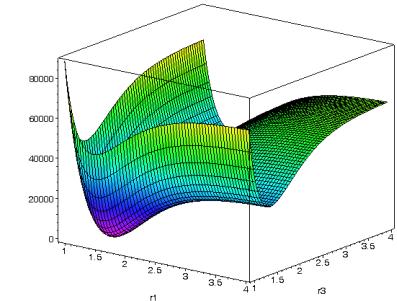
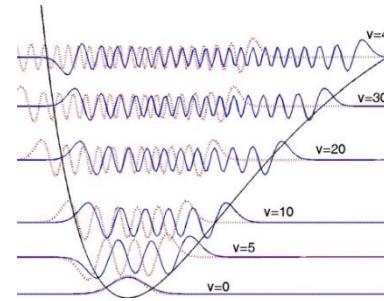
## New approach for spectroscopic data reduction using *ab initio* calculations and experimental lines: application to methane

Vladimir TYUTEREV, Sergei TASHKUN, Michael REY, Andrei NIKITIN,

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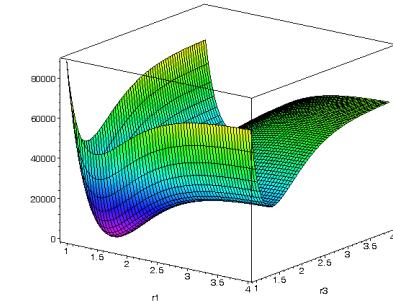
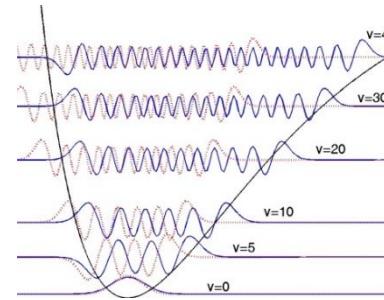
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First-principle variational spectra predictions for astro / planeto

M.Rey, A.Nikitin, and V.Tyuterev



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*Data base accuracy issue*

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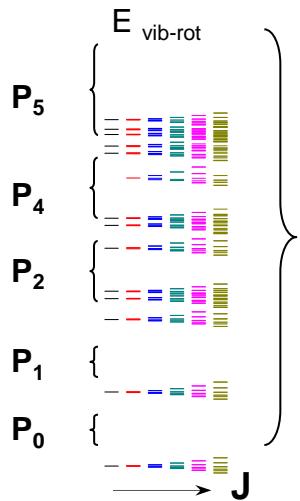
*Data base completeness issue*

## Main theoretical methods for vib-rot data reduction in spectroscopy

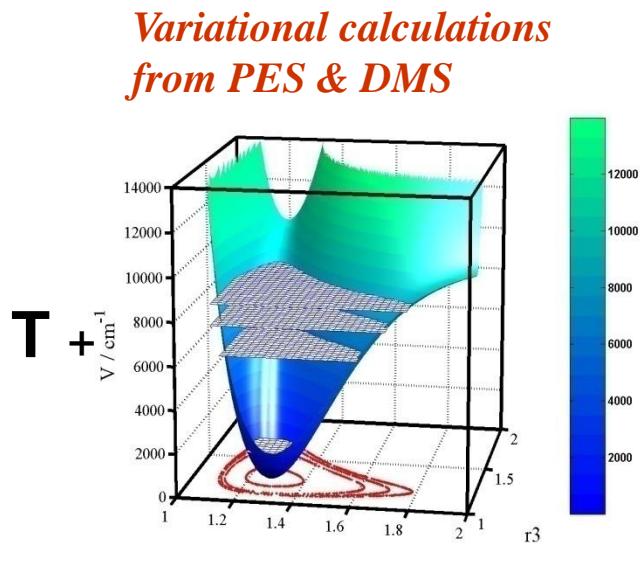
## *Effective models: polyad Hamiltonians & trans. moments*

$$H_{\text{eff}} = \left( \begin{array}{c} \begin{array}{|ccc|} \hline & \nearrow & \uparrow \\ \nearrow & \begin{array}{|cc|} \hline & \\ \hline \end{array} & \begin{array}{|cc|} \hline & \\ \hline \end{array} \\ \hline \end{array} \right) \quad \begin{array}{c} 0 \\ 0 \end{array}$$

## *essentially empirical*



## ***Complementary approaches***



## *using ab initio*

## Main theoretical methods for vib-rot data reduction in spectroscopy

## *Effective models: polyad Hamiltonians & trans. moments*

$$H_{\text{eff}} = \left( \begin{array}{c} \begin{array}{|ccc|} \hline & \nearrow & \uparrow \\ \hline \textcolor{blue}{\square} & \textcolor{blue}{\square} & \textcolor{blue}{\square} \\ \hline \end{array} & \begin{array}{|cc|} \hline & \nearrow \\ \hline \textcolor{blue}{\square} & \textcolor{blue}{\square} \\ \hline \end{array} & \begin{array}{|c|} \hline \textcolor{blue}{\square} \\ \hline \end{array} \\ \hline \end{array} \right) O$$

# *essentially empirical*

## Databases that aim at approaching exp. accuracy

HITRAN / GEISA

## S&MPO (ozone) : GSMA / IOA

TDS, STDS, MeCaSDa (methane): Dijon

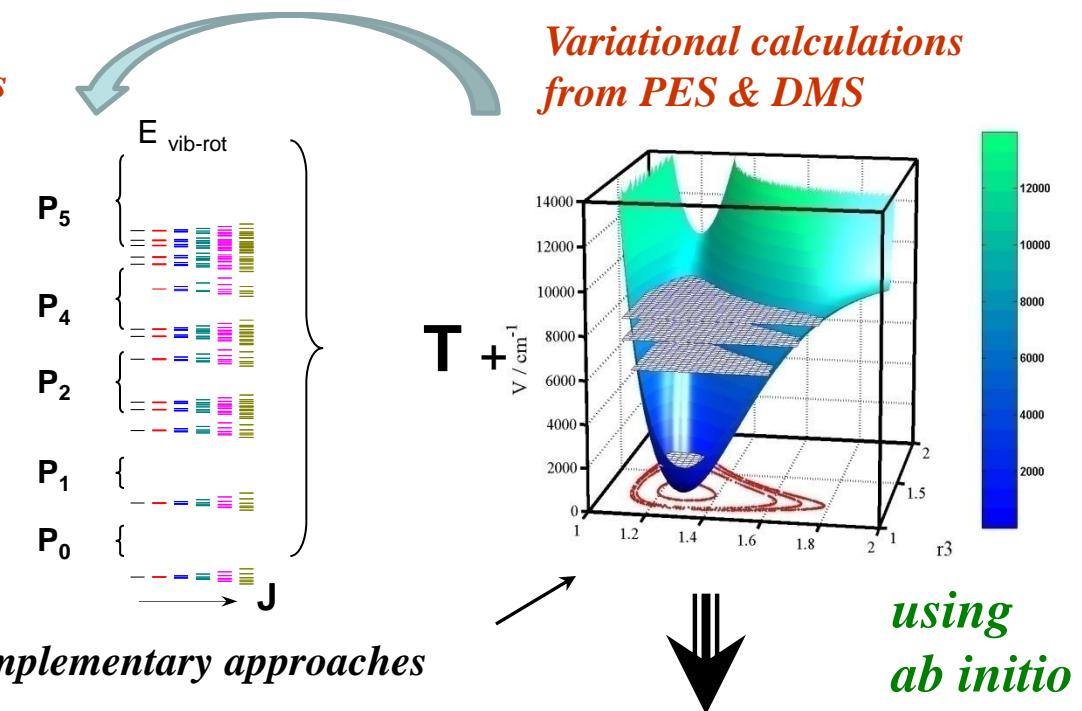
CDSD (CO<sub>2</sub>)

# Problems

## Non complete

## Extrapolations, isotopic effects

## Poorly determined parameters



### **Databases providing a complete set of lines:**

(« bird's eye view »)

Partridge&Schwenke, HITEMP , IUPAC (water)

ExoMol (Tennyson, Yurchenko).

Lee, Huang-Schwenke ( $\text{NH}_2\text{CO}_2$ ), Csazar et al.

Reims-Tomsk lists ( $\text{CH}_4$ : ApJ 789, 1 (2014))....

## Problems

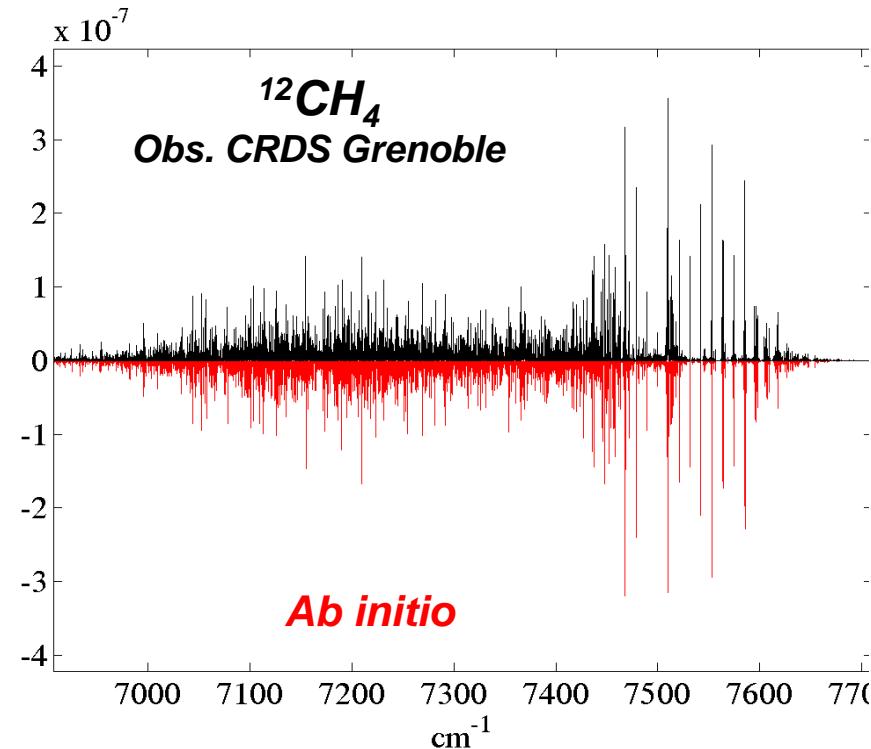
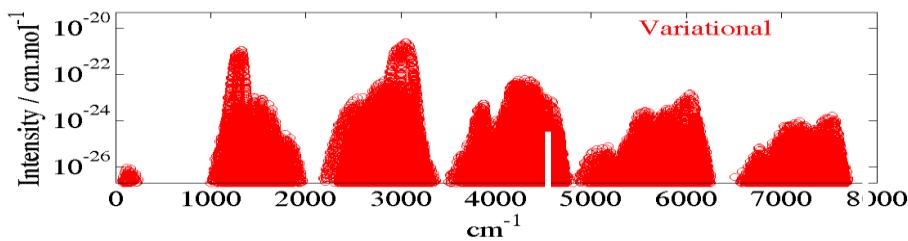
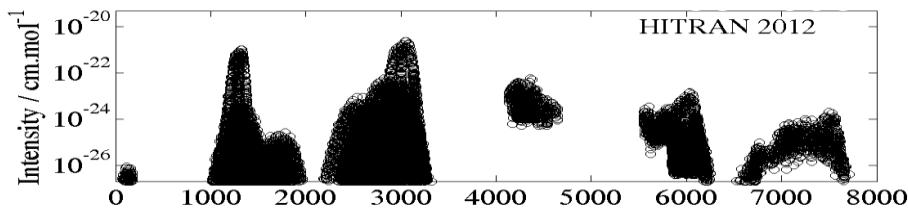
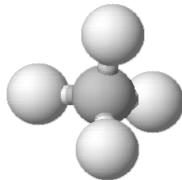
## Accuracy

## Spectroscopic assignments

## Scaling with N (dimension pb)

*Example log scale : example  $^{13}\text{CH}_4$*

# methane isotopic spectra



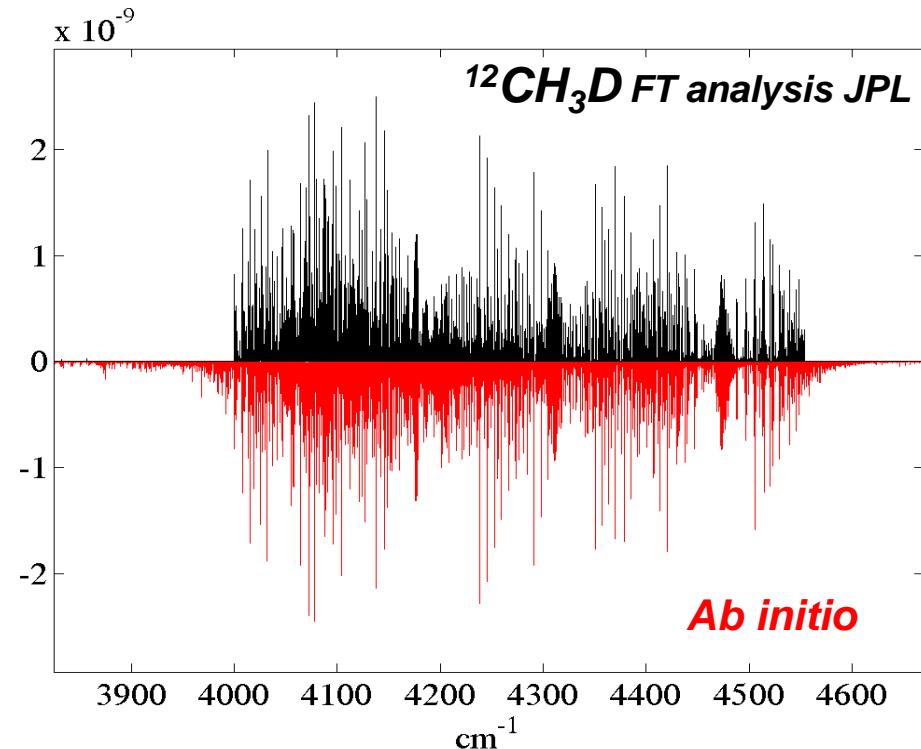
*Ab initio PES & DMS :*

Nikitin, Rey, Tyuterev, CPL 2011, 565, 5 (2013)

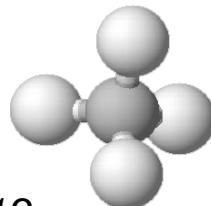
*variational preds:* Rey, Nikitin, Tyuterev, PCCP 15, 10049 (2013), JCP (2014)

$T=300\text{ K}$  : *2 million lines*

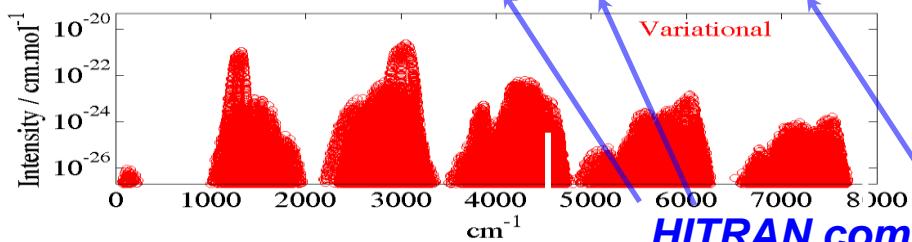
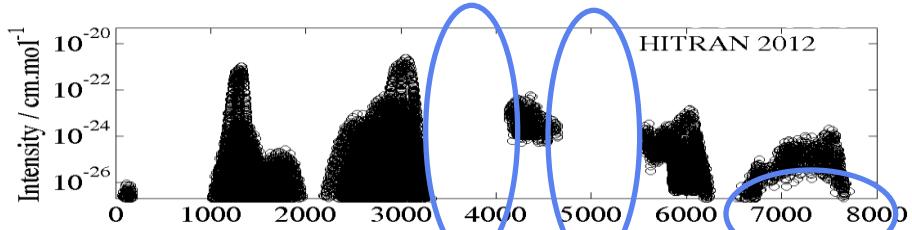
$T=2000\text{ K}$  : *20 billion lines* : ApJ 789, 1 (2014).



# methane isotopic spectra



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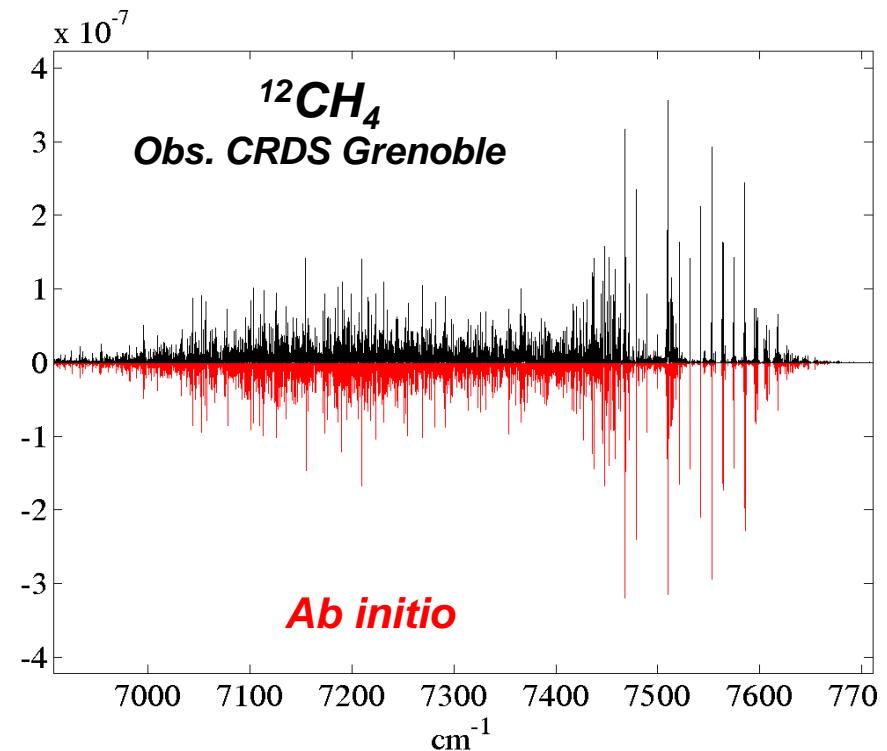
**HITRAN completeness issue**

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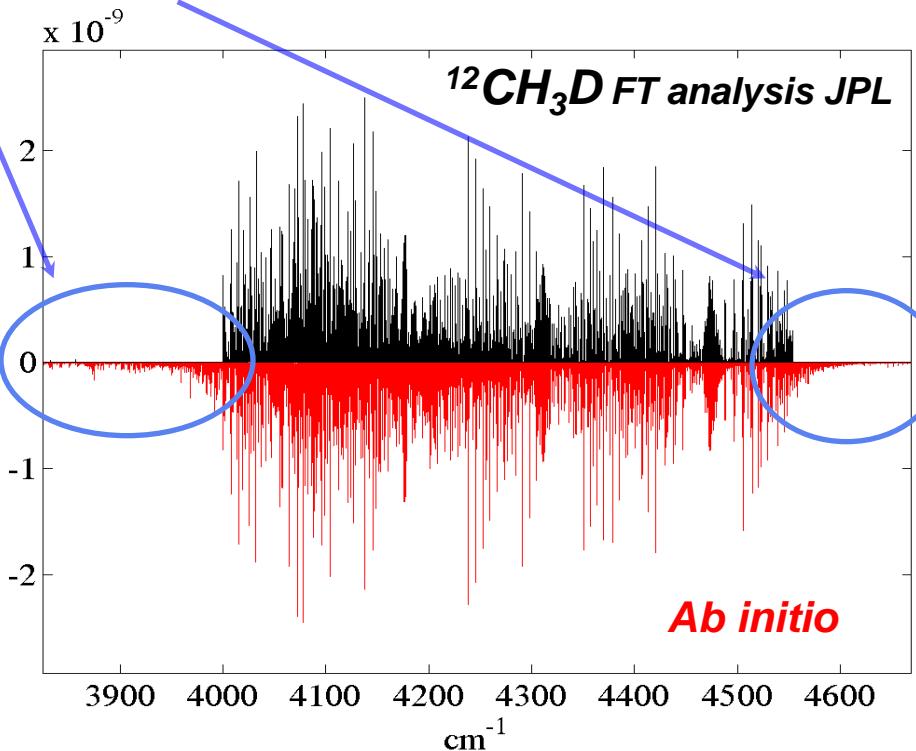
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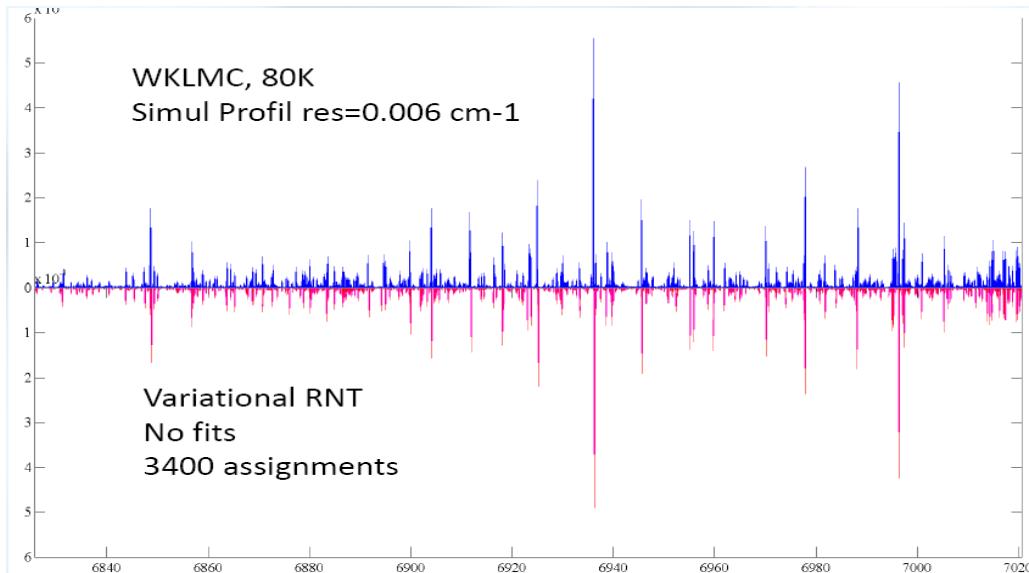
*Ab initio*



*Ab initio*

## ***Ab initio data base accuracy issues***

$I_{\text{cutoff}}$	CB/HB	#Lines	RMS ( $\text{cm}^{-1}$ )	RMS (%)
			Positions <sup>†</sup>	Intensities
cm/molecules				
$10^{-23}$	CB	7912	0.057	4.1
	HB	863	0.016	1.0
$10^{-24}$	CB	14810	0.070	4.8
	HB	2335	0.026	1.3
$10^{-25}$	CB	21556	0.087	4.8
	HB	5585	0.039	2.2
$10^{-26}$	CB	27493	0.10	5.1
	HB	10119	0.06	3.1



Accuracy of our room-temperature predictions  
(T=296 K) in the range [0 - 5000]  $\text{cm}^{-1}$  compared to  
HITRAN for the rotational dependence of line  
positions and for intensities.

*Ab initio variational Rey, Nikitin, Tyuterev,  
PCCP (2013)*

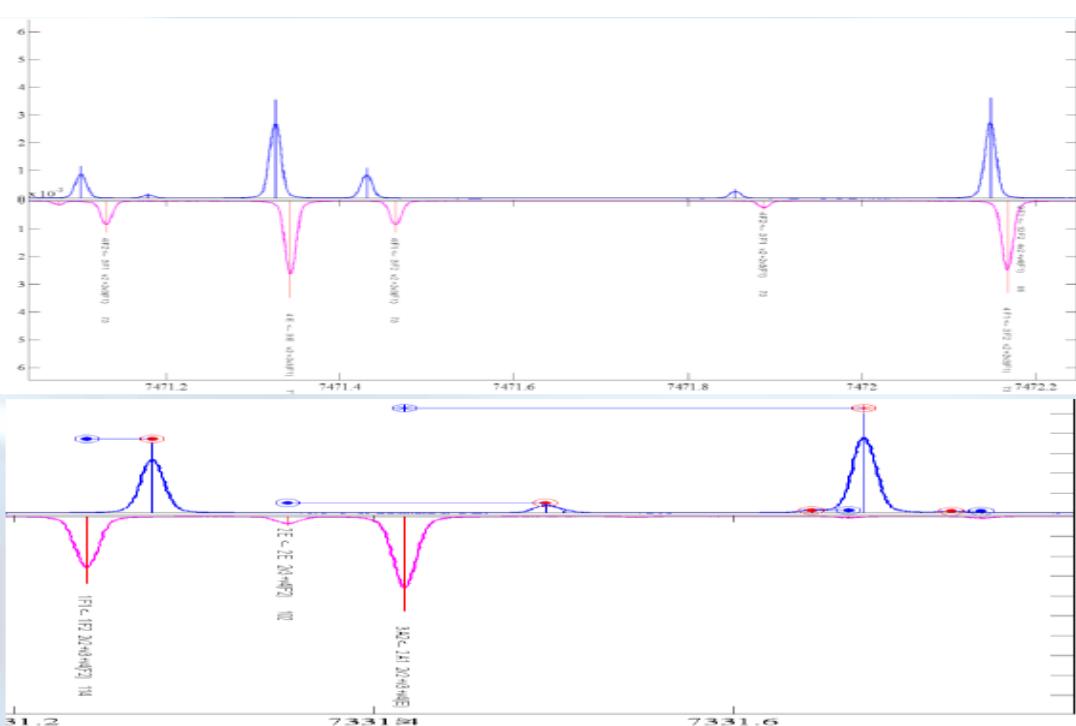
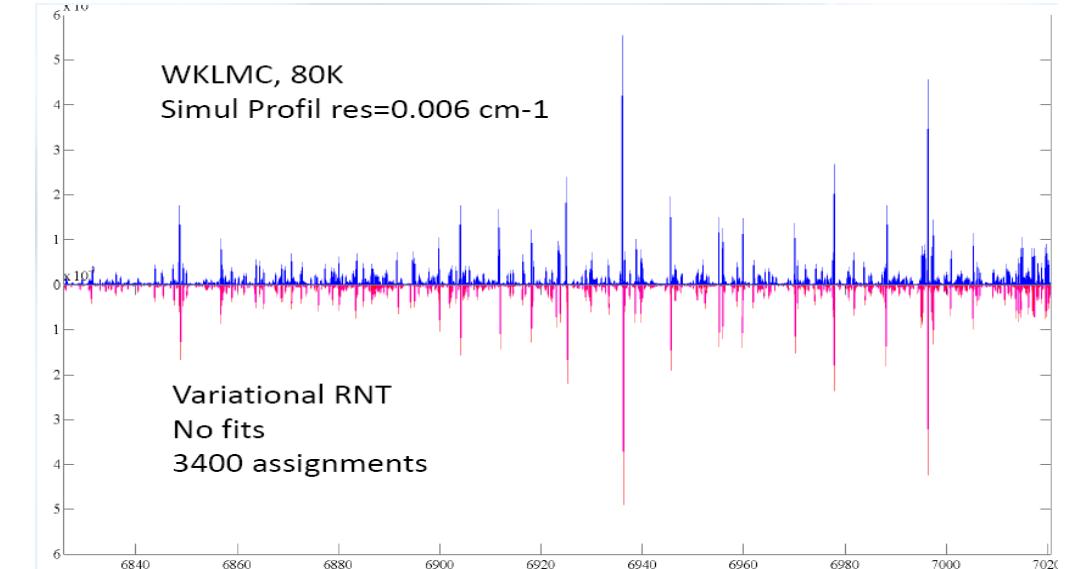
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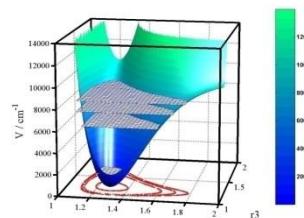
*Line position accuracy need to be improved by one or two orders of magnitude !*



*Empirical  
corrections:*

*« unstable lines »  
issue*

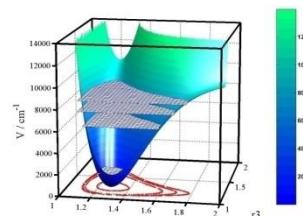
Potential  $U(r_i)$



*Empirical  
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Potential  $U(r_i)$



*Empirical  
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$\Psi_1$

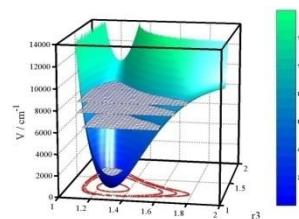
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$\Psi_2$

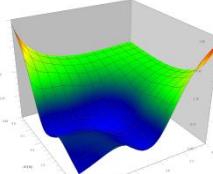
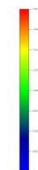
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Potential  $U(r_i)$



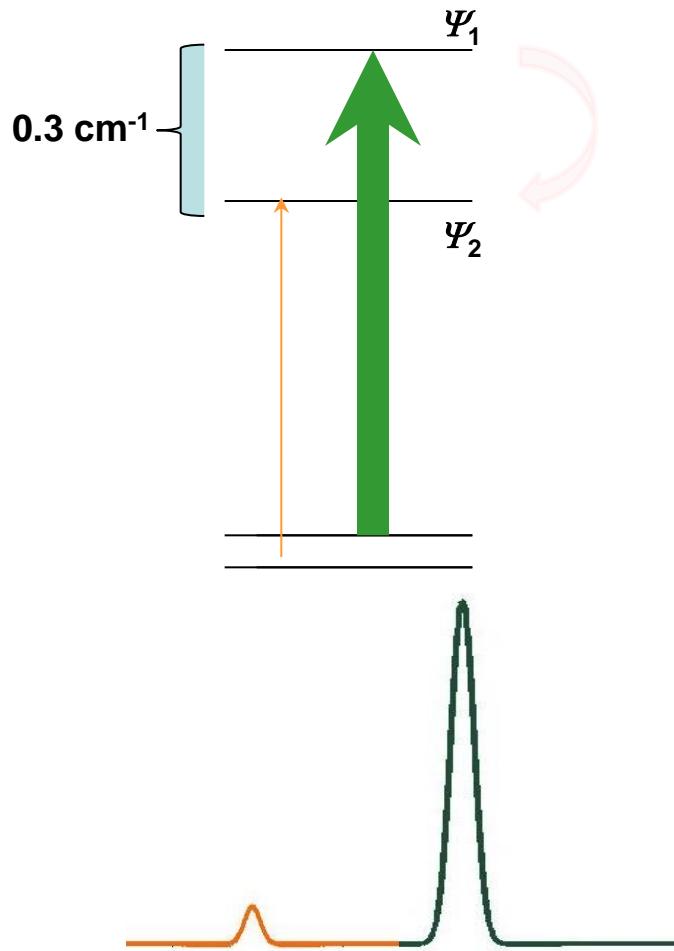
Dipole  $D(r_i)$



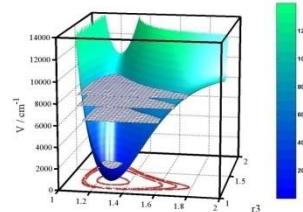
*Empirical  
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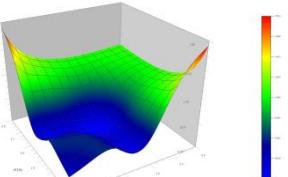
$$\text{Transition probabilities } \sim |\langle \Psi_{\text{low}} | D | \Psi_{\text{up}} \rangle|^2$$



Potential  $U(r_i)$



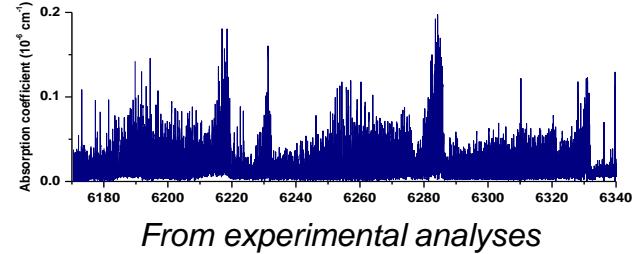
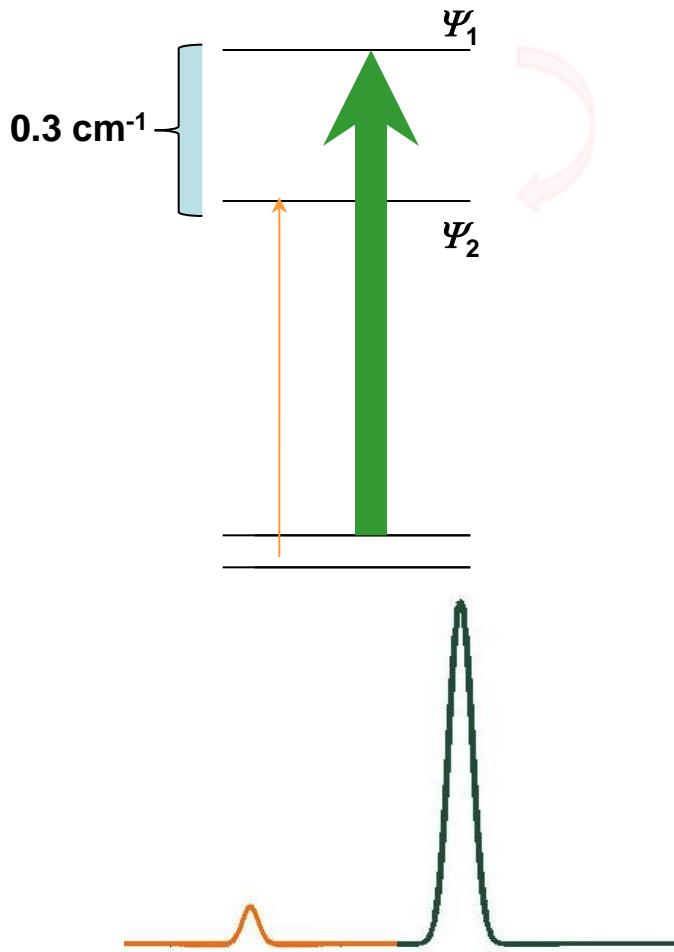
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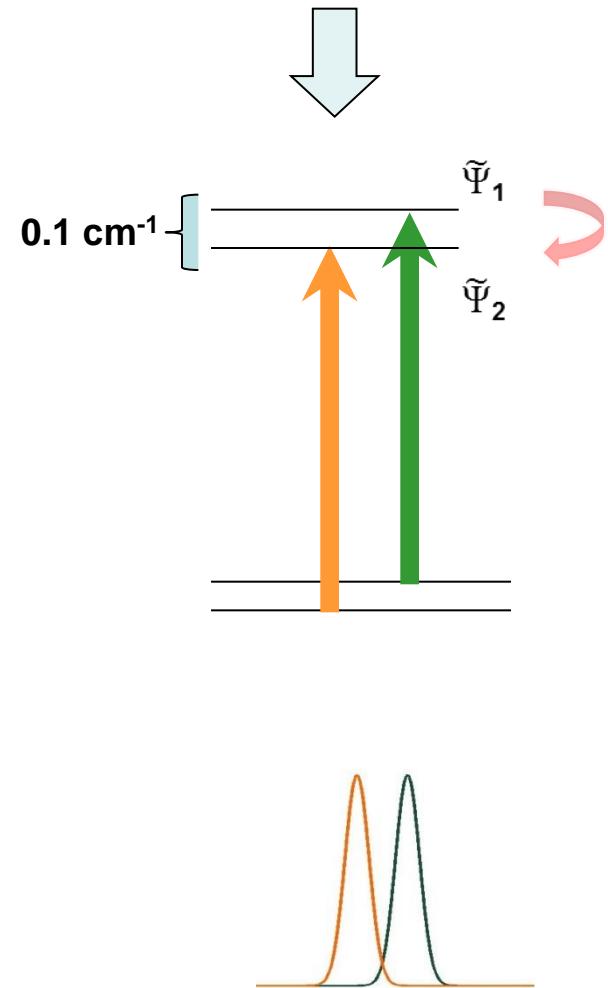
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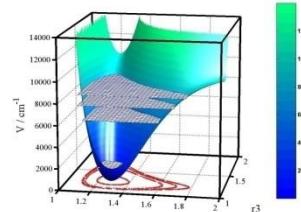
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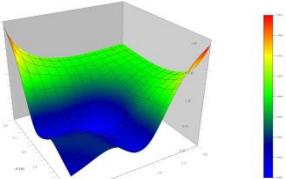
From experimental analyses



Potential  $U(r_i)$



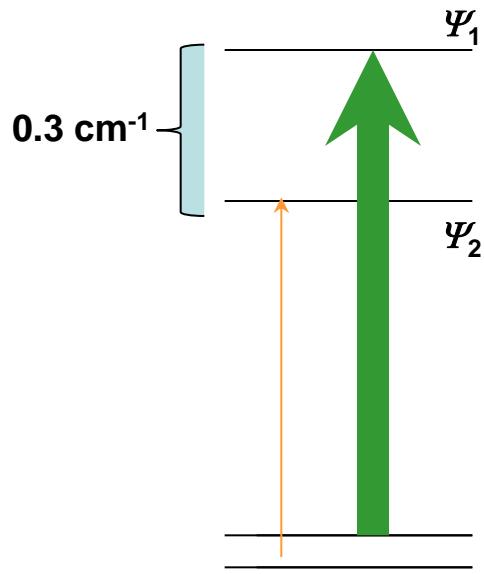
Dipole  $D(r_i)$



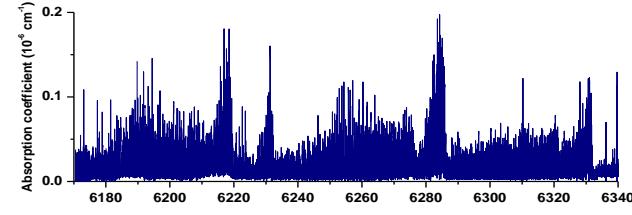
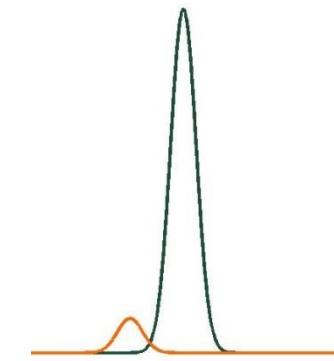
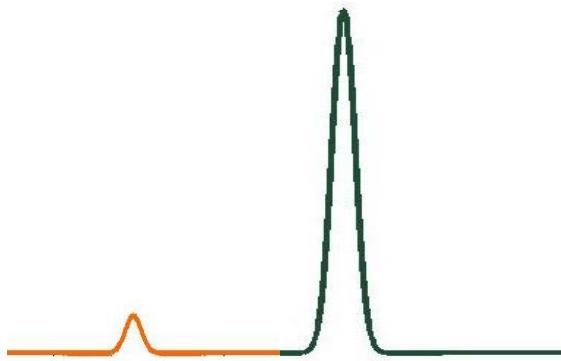
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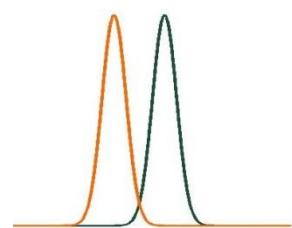
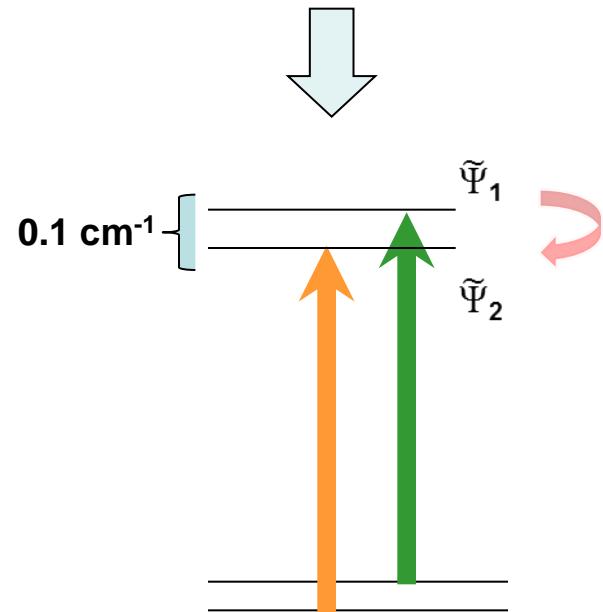
Transition probabilities  $\sim |<\Psi_{low}|D|\Psi_{up}>|^2$



=>  
empirical  
corrections for  
energy level and  
line positions



From experimental analyses



***Does this work with effective  
empirical models ?***

$$\tilde{\Psi}_2 = \Psi_2 + \frac{\langle \Psi_1 | H | \Psi_2 \rangle}{E_1^0 - E_2^0} \Psi_1 + \dots$$

$$\tilde{\Psi}_2 = \Psi_2 + \frac{H_{12}}{H_{11} - H_{22}} \Psi_1 + \dots$$

**Does this work with effective  
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**Not always !**

*« Blind » fit : mathematically ill-defined  
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« Blind » fit : mathematically ill-defined inverse problem !!!

Inverse problem for a  $2 \times 2$  matrix:

determine parameters of a matrix  $H$  from experimental energies

$$H = \begin{pmatrix} H_{11} & H_{12} \\ H_{21} & H_{22} \end{pmatrix}$$

- // -

← Recover matrix elements ? {  
 $E_2$   
=  
=  
 $E_1$

Poorly defined problem:

3 parameters  
 $H_{11}, H_{22}, H_{12}$

no unique solution

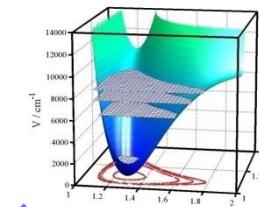
$N_{\text{data}} = 2$

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**Key of the new data reduction approach: accurately compute the coupling from ab initio PES**



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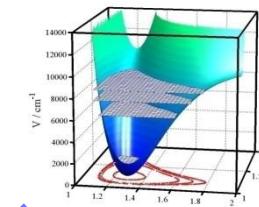
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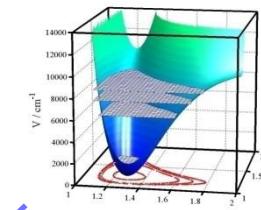
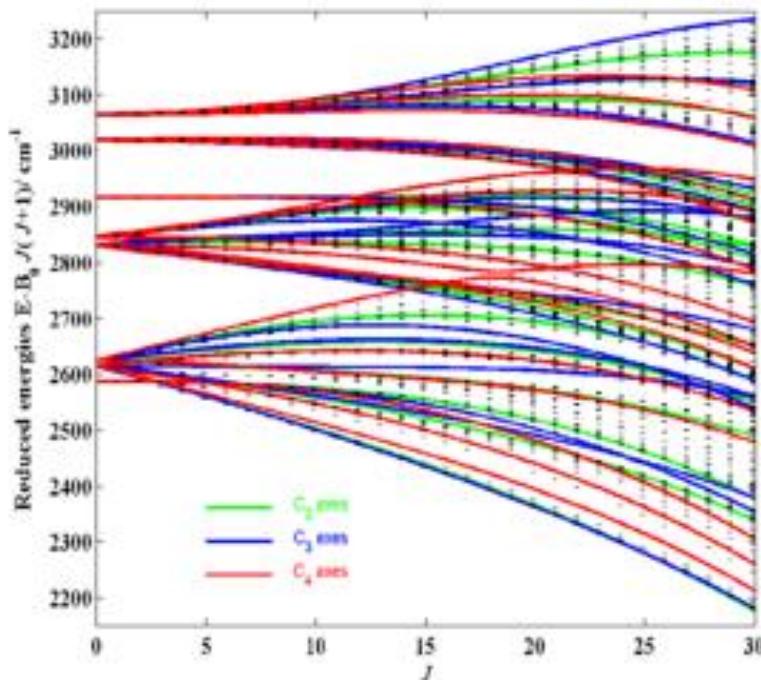
**Ab initio constraints =>  
Regularization of ill-defined inverse problem**

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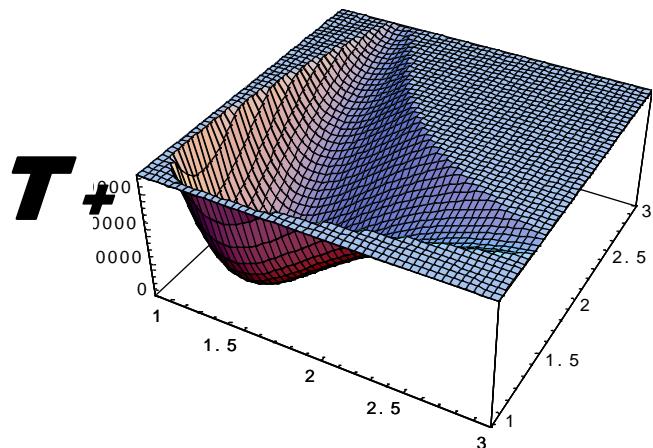
$N_{\text{data}} = 2$

**Ab initio constraints =>  
Regularization of ill-defined inverse problem**

### **“Global” (variational) and “local”(effective) calculation in spectroscopy**

**PES = *ab initio* potential energy surface**

$$E_n^{ob}$$



## *Direct global calculations*

*Infinite dimension ,  
 « integro-differential » technique  
 (methodes : variationnal, DVR,...)*

## *« Locale » methods: Finite dimension, algebraic techniques*

$$\left\{ \begin{array}{c} \text{Hatched box} \\ H_2^{eff} \end{array} \right.$$

$$\left[ \begin{array}{c} \\ \end{array} \right] \quad H_1^{eff}$$

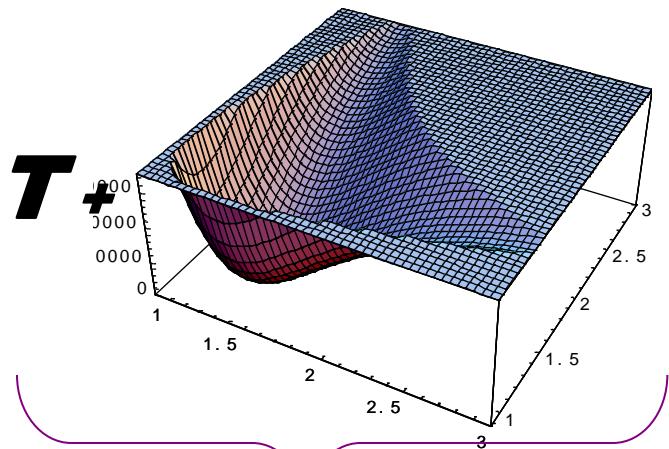
## *Effective Hamiltonians*

## *polyades of closely lying states*

# “Global” (variational) and “local”(effective) calculation in spectroscopy

PES = *ab initio* potential energy surface

$E_n^{\text{ob}}$



*Direct global calculations*

Infinite dimension ,

« integro-differential » technique  
(methodes : variationnal, DVR,...)



Globale-to-local « bridge »:  
Contact Transformations (CT)

MOL\_CT code

$$\tilde{H} = \dots e^{iS_2} e^{iS_1} H e^{-iS_1} e^{-iS_2} \dots$$

⋮

$$\left[ \begin{array}{c} \vdots \\ H_2^{\text{eff}} \end{array} \right]$$

$$\left[ \begin{array}{c} \vdots \\ H_1^{\text{eff}} \end{array} \right]$$

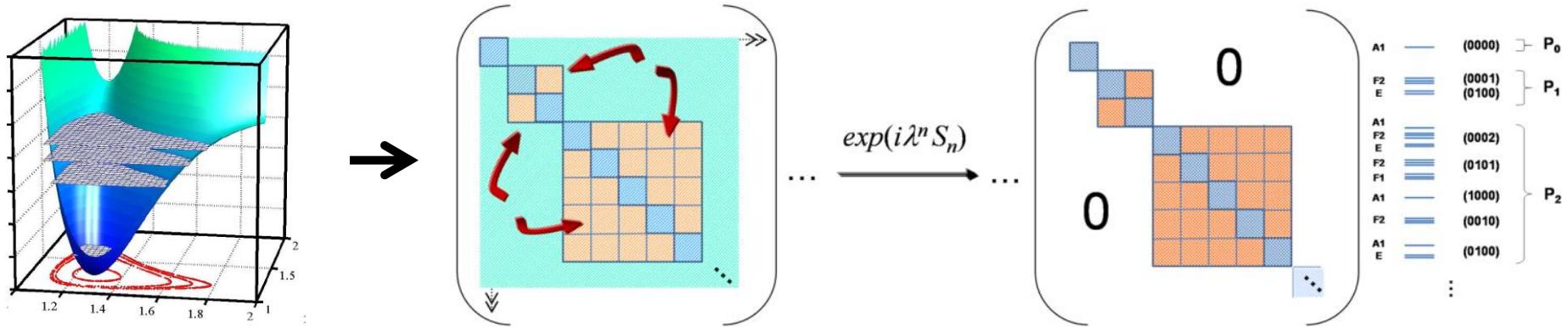
« Locale » methods:  
Finite dimension ,  
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*Effective Hamiltonians*  
*Ajustable parameters = Spectroscopic Constants*

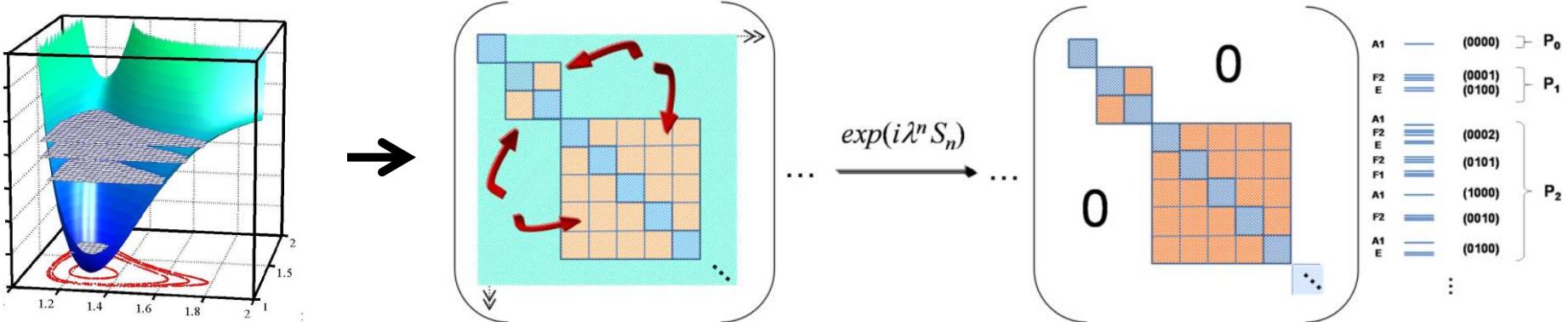
*polyades*  
*of closely*  
*lying states*

# New combined model: «CT-polyads / ab initio » (Tyuterev et al JCPA 139, 134307 (2013))



*Direct MOL\_CT calculations for methane:*

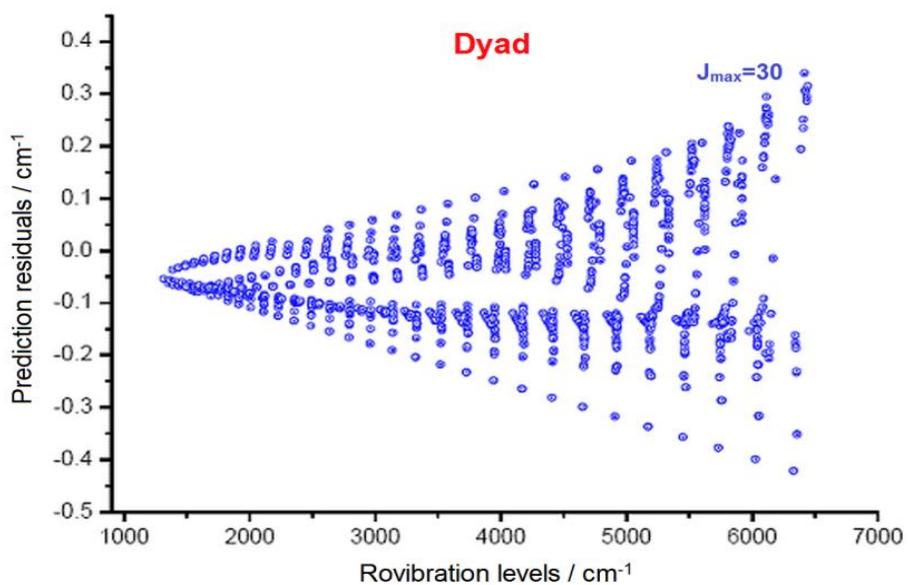
# New combined model: «CT-polyads / ab initio » (Tyuterev et al JCPA 139, 134307 (2013))



P	vib	S	E	Emp - CT
	Dyad	cm <sup>-1</sup>		cm <sup>-1</sup>
1	0001	F2	1310.81	-0.05
1	0100	E	1533.41	-0.08
<b>Pentad</b>				
2	0002	A1	2587.28	-0.24
2	0002	F2	2614.31	-0.05
2	0002	E	2624.81	-0.20
2	0101	F2	2830.64	-0.32
2	0101	F1	2846.20	-0.13
2	1000	A1	2916.38	0.11
2	0010	F2	3019.47	0.03
2	0200	A1	3064.00	-0.35
2	0200	E	3065.35	-0.20
...				
<b>Icosad</b>				
5	0005	E	6507.77	-0.38
5	0005	F2	6508.02	-0.47
5	0005	F1	6530.34	-0.56
5	0005	F2	6539.91	-0.73
5	1011	F2	7158.22	-1.49
5	0120	F2	7511.10	-0.13
<b>Triacontad</b>				
6	1012	F2	8421.60	-0.60
6	0030	F2	8907.77	-0.47
6	0030	F2	9046.67	-0.71
<b>RMS / cm<sup>-1</sup></b>		<b>0.74</b>		

For all  
experimentally  
assigned bands  
centres up to  
9050 cm<sup>-1</sup>

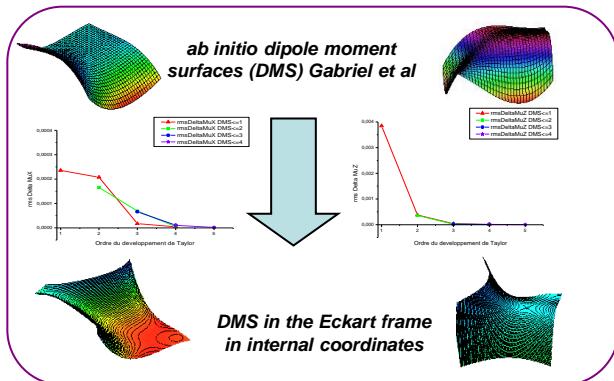
Direct MOL\_CT calculations for methane:



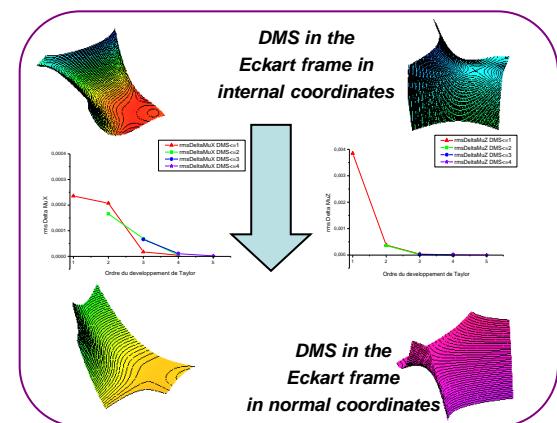
$$\text{RMS vib-rot (Dyad)} = 0.06 \text{ cm}^{-1}$$

# *Ab initio dipole moment surface (DMS) transformations:*

(a) axes rotation:  
from Bisector frame  
to Eckart frame



(b) from internal to  
normal coordinates



Intensity CT calculations for band  
dipole transition parameters

$$vv' \mu = \langle v | \tilde{\mu} | v' \rangle = \sum_{nml} {}^\kappa d_{nml}^\theta {}^\kappa \mathcal{R}_{nml}^\theta$$

Julien Lamouroux:

PhD thesis (Reims)

$$\begin{aligned} \widetilde{\mu}_n^{(n)} &= \widetilde{\mu}_n^{(n-1)} + [S_n, \mu_0] \\ \widetilde{\mu}_n^{(k)} &= \sum_{m=0}^{[[n/k]]} \frac{1}{m!} [S_k, \dots [S_m, \widetilde{\mu}_{n-km}^{(k-1)}] \dots] \end{aligned}$$

*Non-empirical predictions*

$$W_{ab} = \left| \sum_{nmlk\theta} \sum_{vv'} \sum_{KK} {}^\kappa d_{nml}^\theta (C_{JK\gamma}^v)^* C_{J'K'\gamma'}^{v'} \langle JK\gamma | {}^\kappa \mathcal{R}_{nml}^\theta | J'K'\gamma' \rangle \right|^2$$

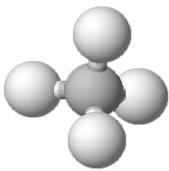
V.Tyuterev, S.Tashkun, H.Seghir SPIE Proc. 5311, 165 (2004)

J.Lamouroux, S.Tashkun, V.Tyuterev, CPL, 452, 225 (2008)

T.Delahaye, M.Rey, A.Nikitin, V.Tyuterev, in progress (2014)

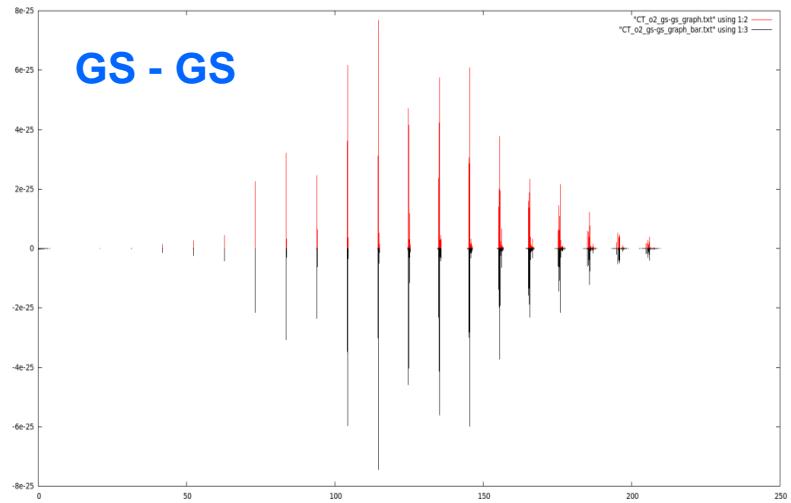
} triatomics

} 5 and 6 atomics ; CH<sub>4</sub>, C<sub>2</sub>H<sub>4</sub>

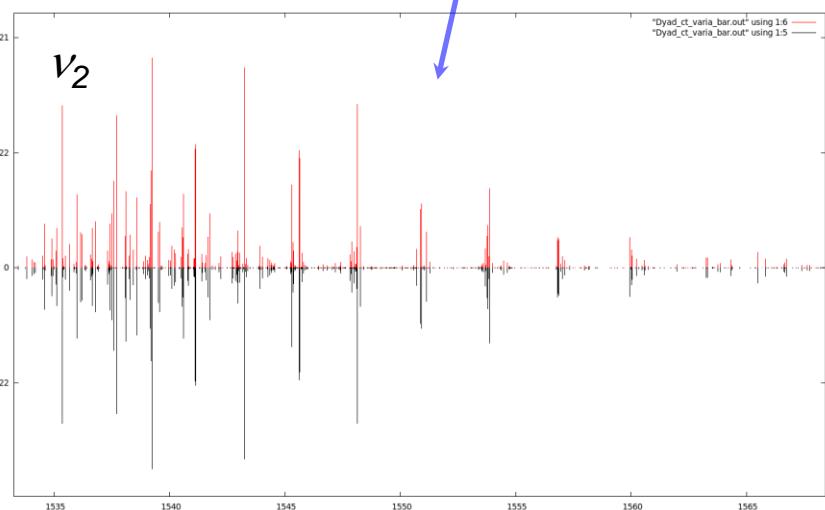
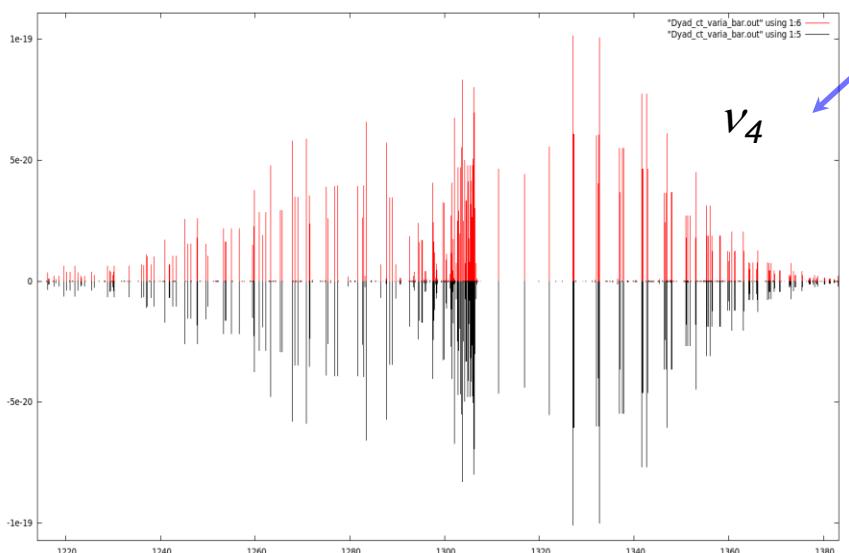
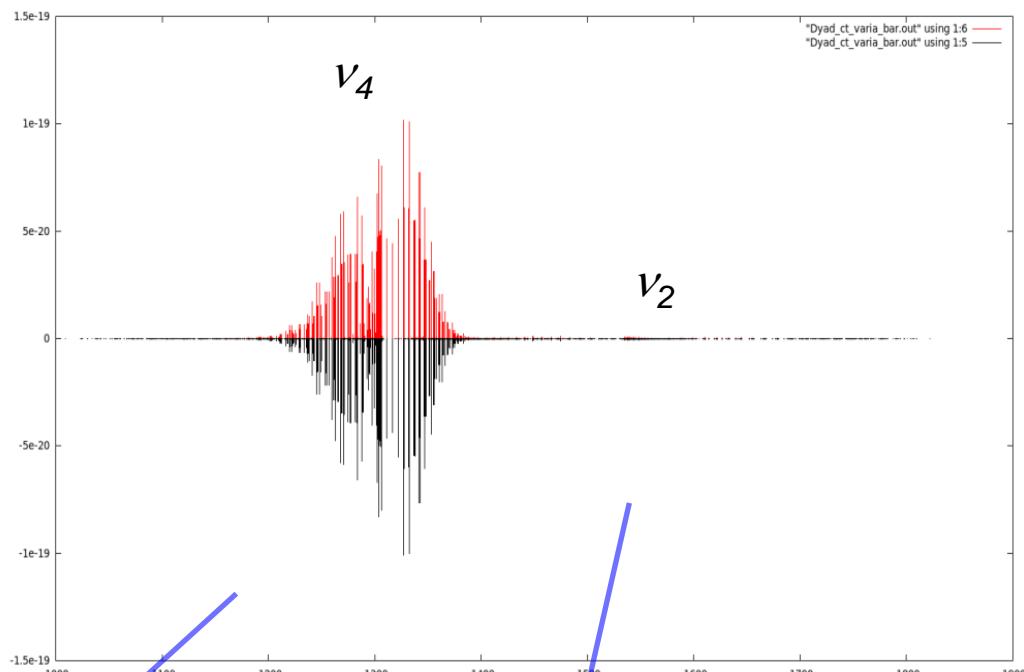


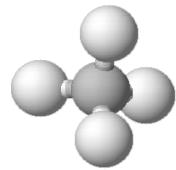
# Methane

*Ab initio => CT / Hitran*



Dyad range (1000-1800 cm<sup>-1</sup>)

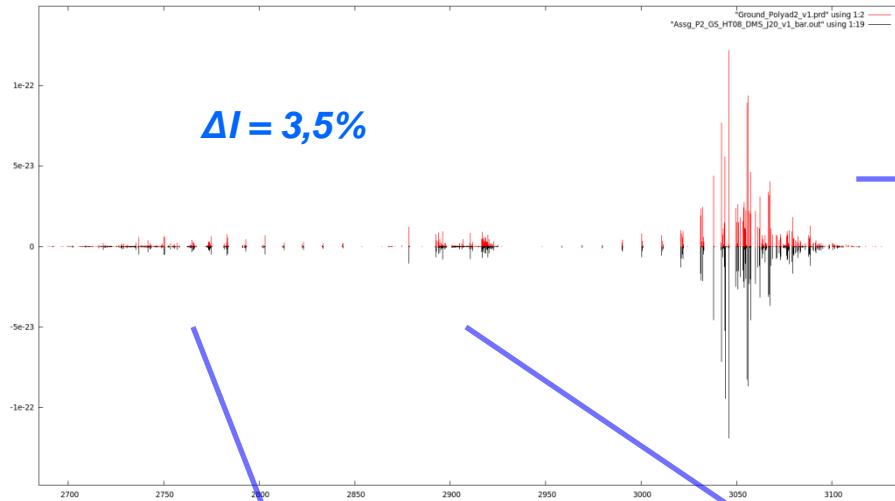




# Methane

Pentad range (2300-3200 cm<sup>-1</sup>)

$$\Delta I = 3,5\%$$



*Ab initio => CT*

**Hitran 08**

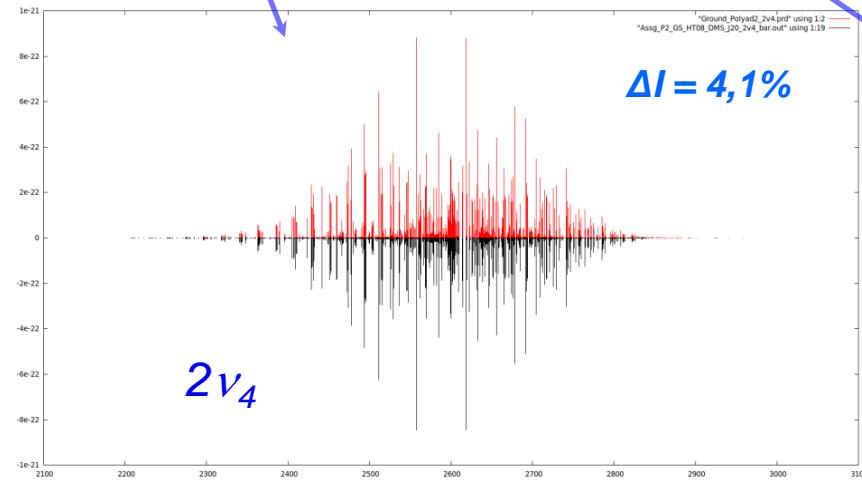
$$I_{CT} = 1,103 \text{ e}^{-17}$$

$$I_{\text{Hitran}} = 1,077 \text{ e}^{-17}$$

$\nu_3$

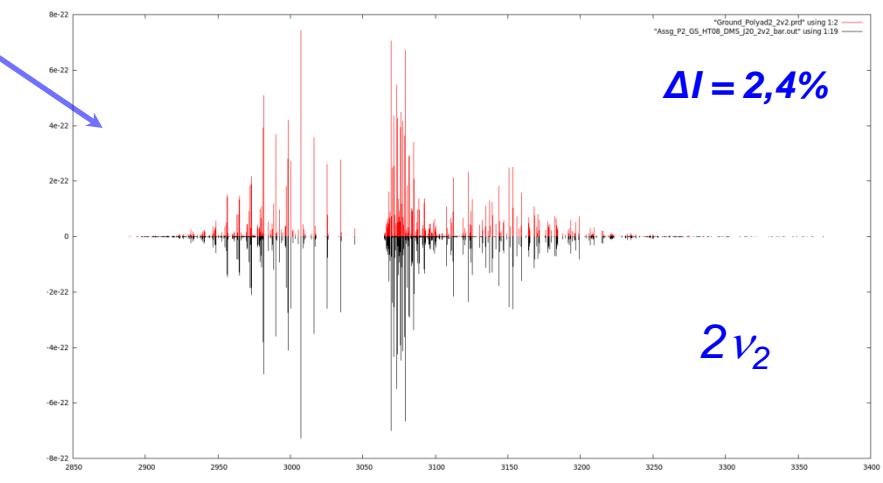
$$\Delta I = 2,4\%$$

$$\Delta I = 4,1\%$$

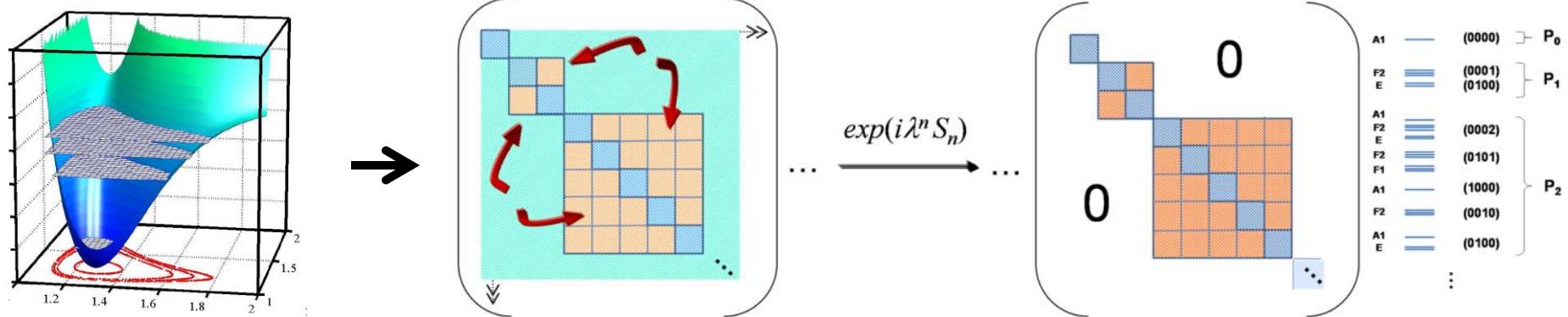


$2\nu_2$

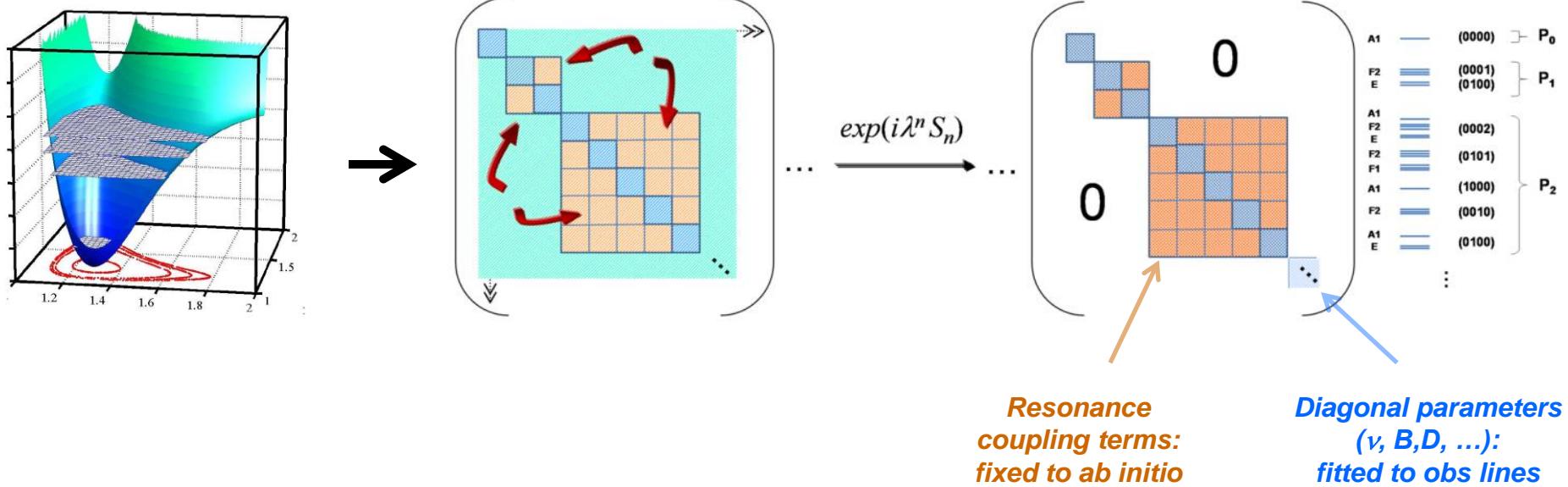
$$\Delta I = 2,4\%$$



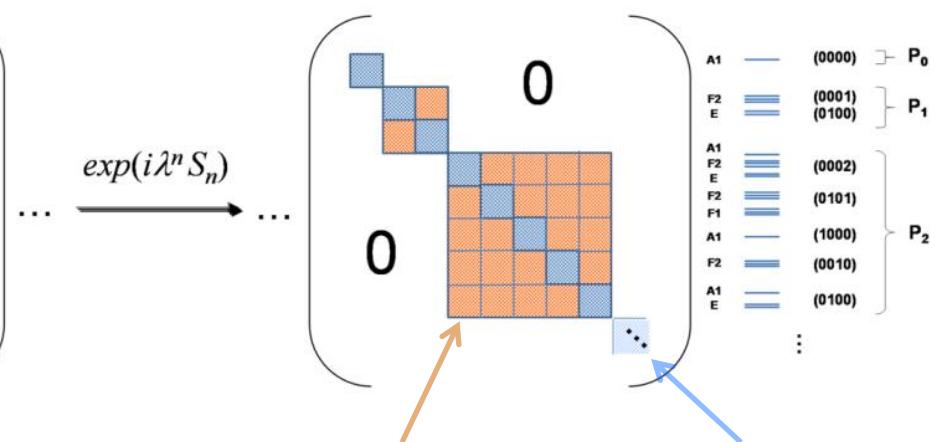
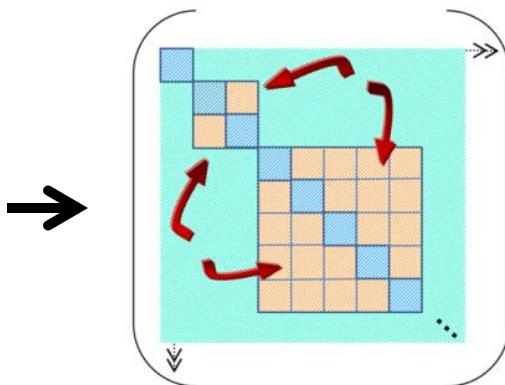
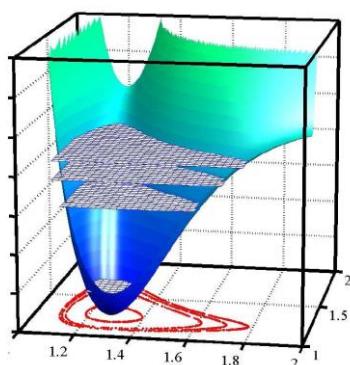
# New combined model: «CT-polyads / ab initio » (Tyuterev et al JCPA 139, 134307 (2013))



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# New combined model: «CT-polyads / ab initio » (Tyuterev et al JCPA 139, 134307 (2013))



	vib	$\Gamma$	N obs lines a	$J_{\max}$	$d_{\text{RMS}} / \text{cm}^{-1}$	$\sigma$
DYAD						
1	0100	E	354	19	0.00011	0.67
2	0001	F2	1109	23	0.00016	0.77
<b>Total</b>			1463	23	<b>0.00015</b>	<b>0.74</b>
PENTAD						
1	1000	A1	186	18	0.00112	1.04
2	0200	E	215	16	0.00061	0.71
3	0200	A1	170	17	0.00063	0.68
4	0101	F1	617	18	0.00056	0.64
5	0101	F2	720	19	0.00052	0.61
6	0010	F2	597	23	0.00059	0.62
7	0002	A1	244	19	0.00041	0.53
8	0002	E	630	20	0.00048	0.57
9	0002	F2	785	21	0.00046	0.58
<b>Total</b>			4164	23	<b>0.00058</b>	<b>0.63</b>

Resonance coupling terms:  
fixed to ab initio

Diagonal parameters  
( $\nu, B, D, \dots$ ):  
fitted to obs lines

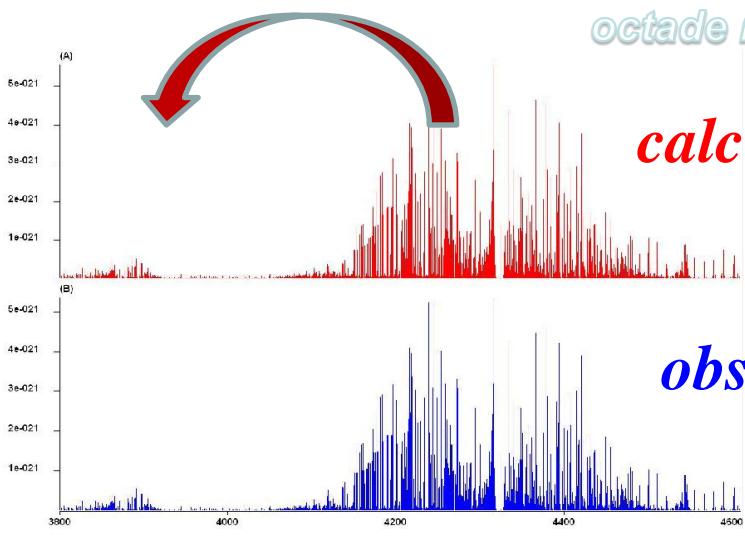
Statistics for  
the line  
positions fit  
with resonance  
coupling  
parameters held  
fixed to ab initio  
CT-values

**Line position  
error in new  
model:**

**Dyad:**  
**~0.00015 cm<sup>-1</sup>**

**Pentad:**  
**~0.0005 cm<sup>-1</sup>**

*Fixed: all resonance coupling parameters computed by CT from PES*  
*Fitted: 128 diagonal polyad parameters up to Octad + tr. mom parameters*



- Octad ( $2\text{-}3\text{ }\mu\text{m}$ ) : 8 vibrational levels, 24 vibrational sublevels

$$\nu_1 + \nu_2/\nu_1 + \nu_4/\nu_2 + \nu_3/\nu_3 + \nu_4/3\nu_2/3\nu_4/2\nu_2 + \nu_4/\nu_2 + 2\nu_4,$$

**Criteria of improvement of resonance couplings and wave-functions:**

**RMS fit of Octade intensities, 3500 lines :**

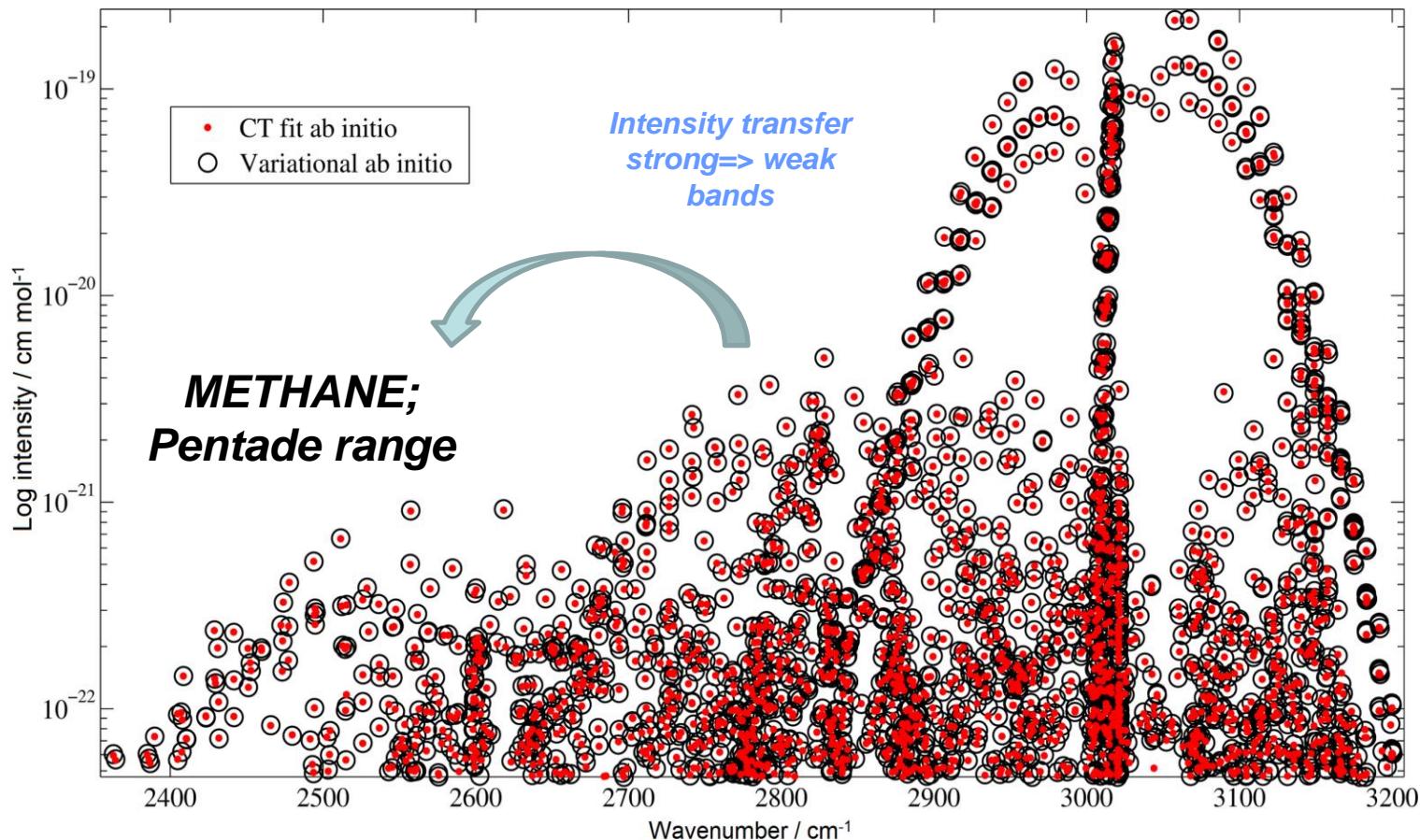
**11.2 % (with pure empirical  $H^{\text{eff}}$ ) => 7.5% (with *ab initio* couplings)**

$\sim 600$  res parameters fitted

No res parameters fitted

**Better wave functions => better model for intensity borrowing**

*Another option: fit with this model variational ab initio intensities*



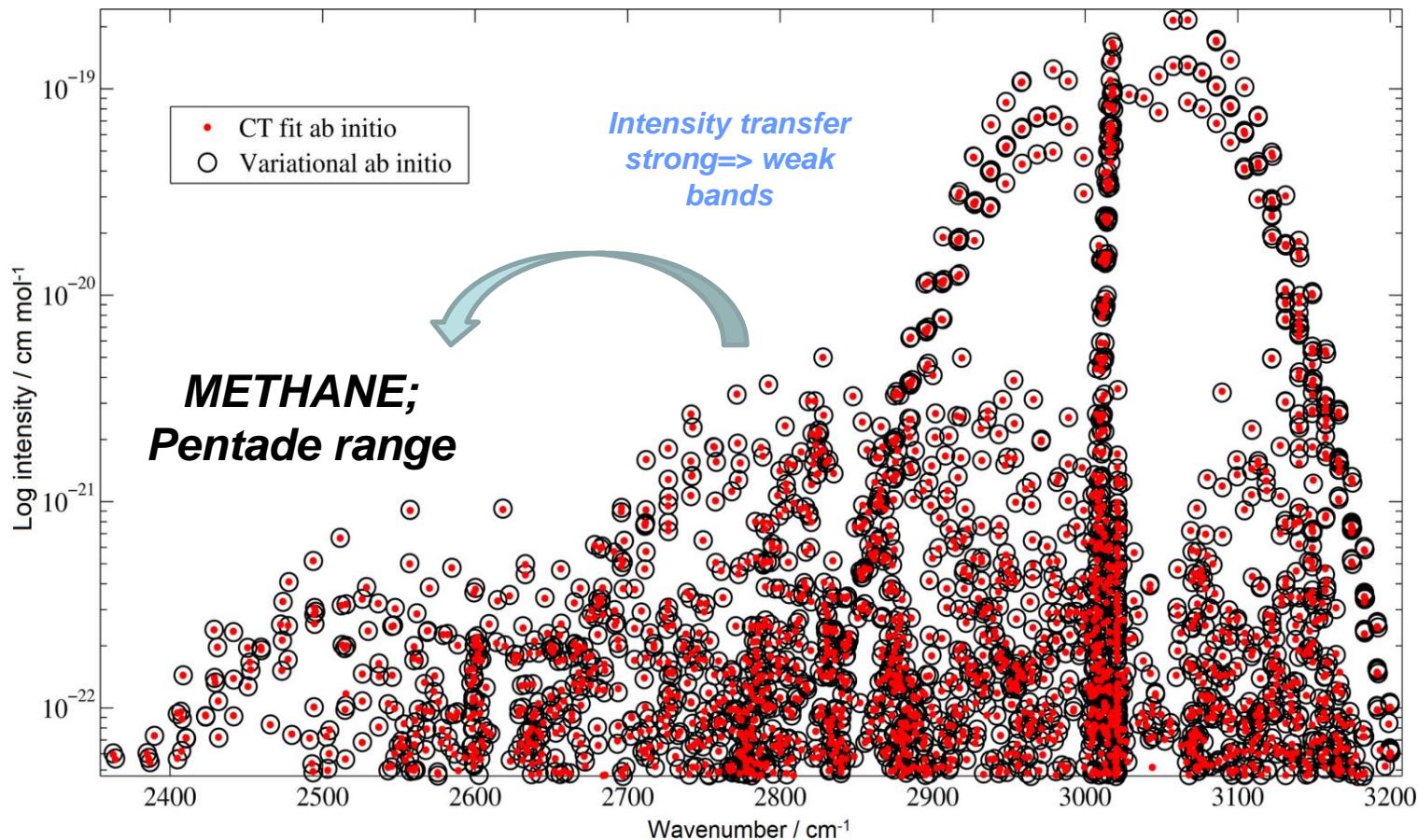
**Line position  
error in new  
model:**

**Dyad:**  
 $\sim 0.00015 \text{ cm}^{-1}$

**Pentad:**  
 $\sim 0.0005 \text{ cm}^{-1}$

**Output: line lists with line positions to experimental precision**  
**With ab initio intensities sitting on them**

Another option: fit with this model variational ab initio intensities



Line position error in new model:

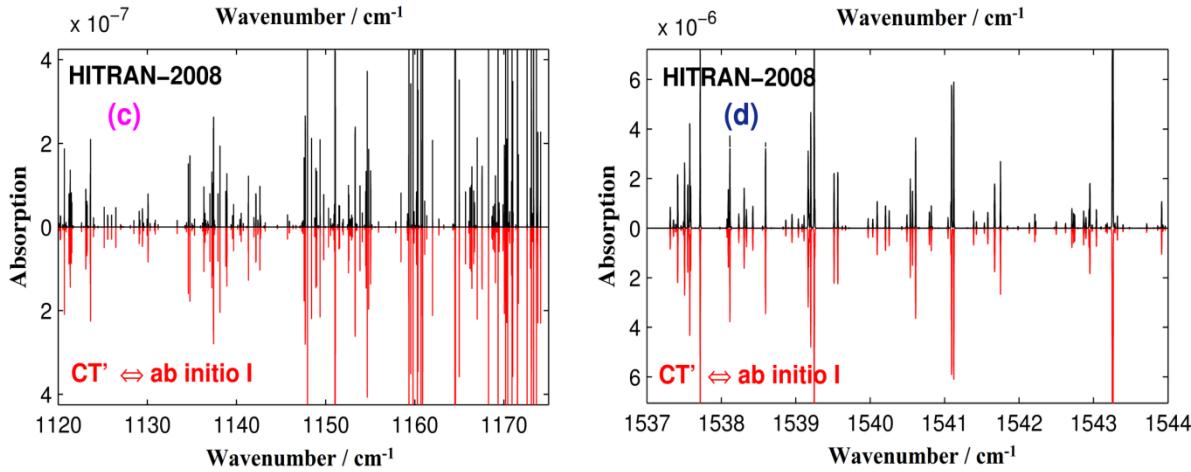
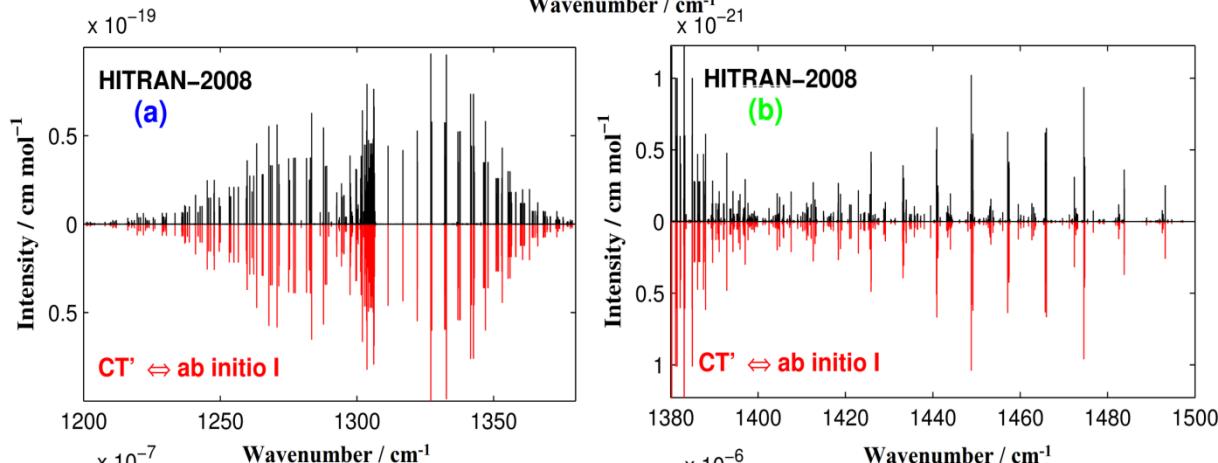
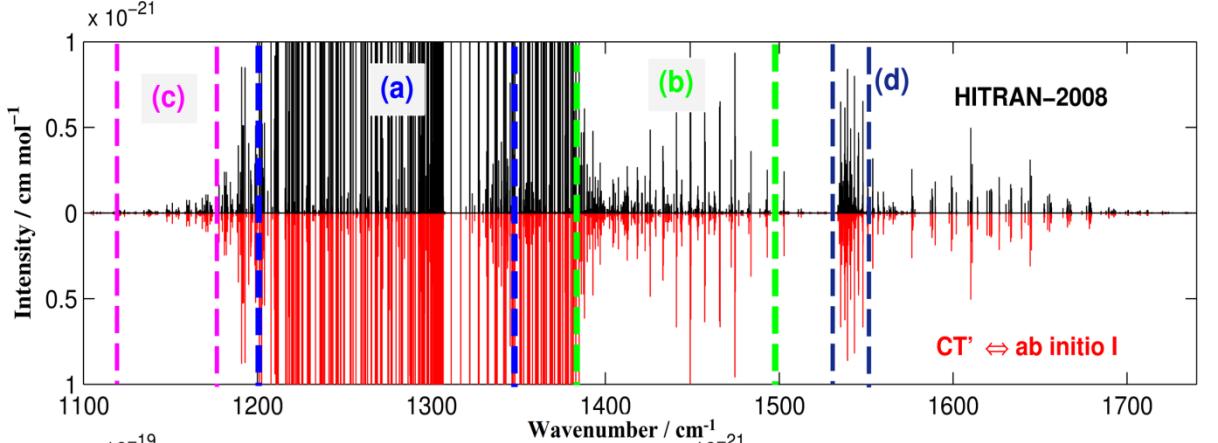
Dyad:  
~0.00015 cm<sup>-1</sup>

Pentad:  
~0.0005 cm<sup>-1</sup>

Output: line lists with line positions to experimental precision  
With ab initio intensities sitting on them

Do we want databases with such lists ?

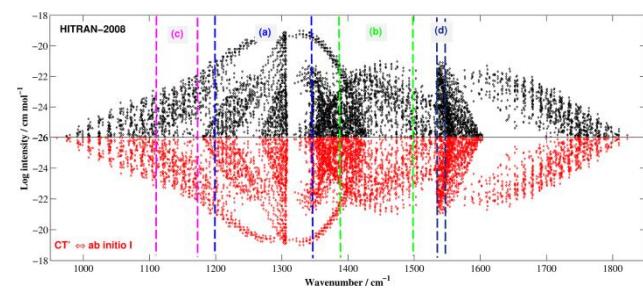
# Combined «CT-polyads / ab initio » model , Dyad range



Methane:

HITRAN /  $\text{CT}' \Leftrightarrow \text{ab initio}$

Log scale

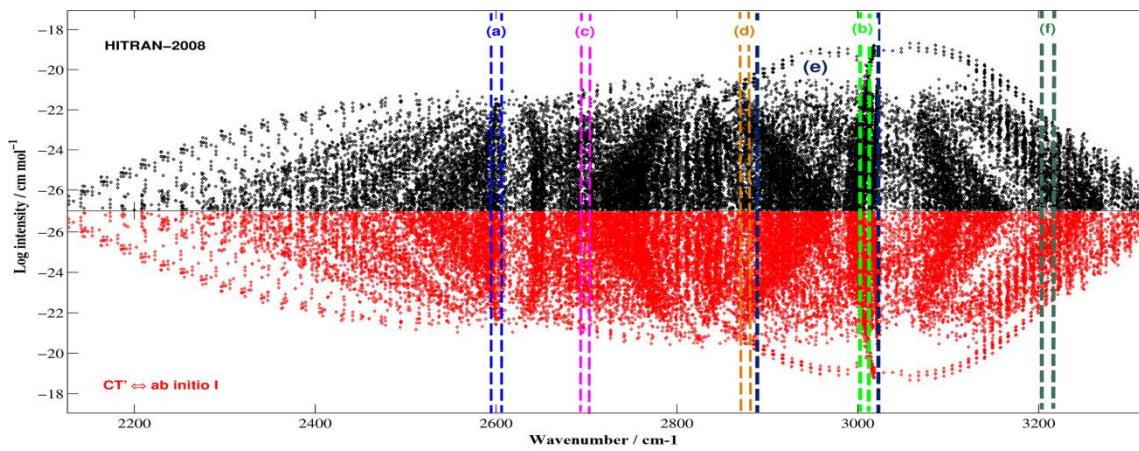


RMS line Positions =  
0.0001  $\text{cm}^{-1}$

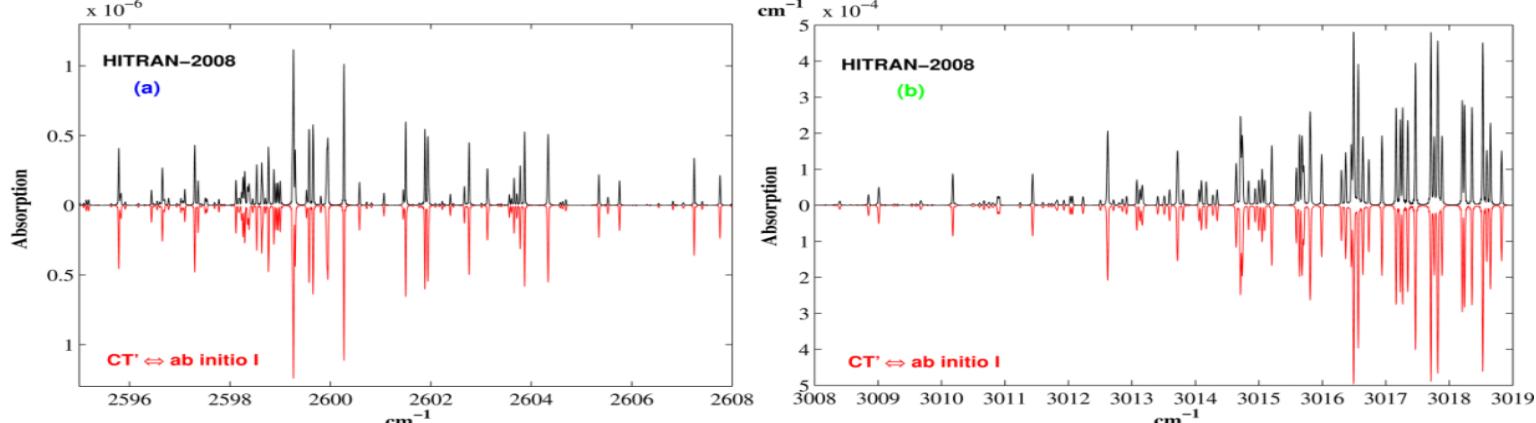
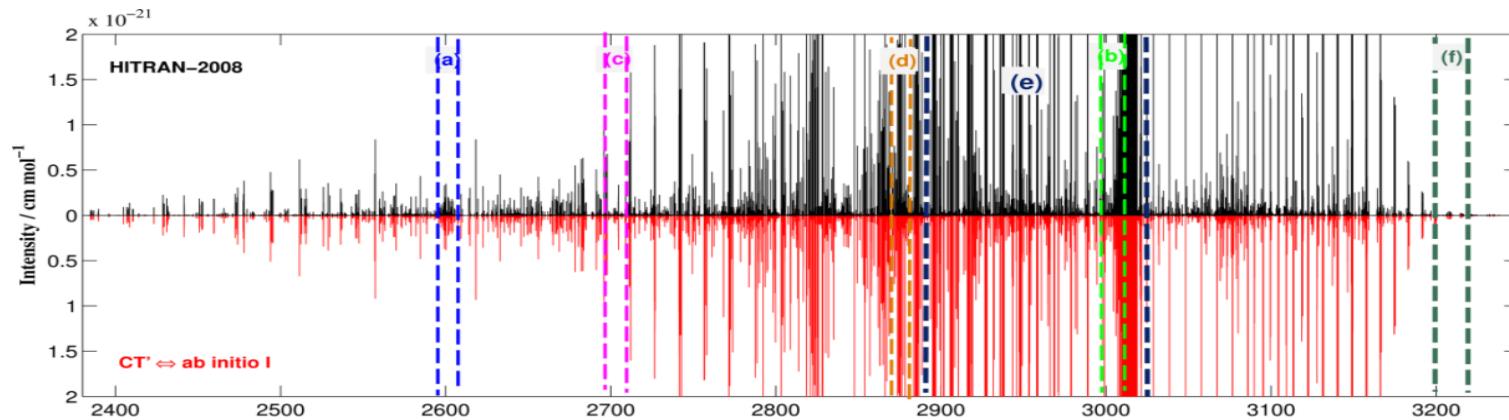
# Combined «CT-polyads / ab initio » model , Pentad range

Methane:  
HITRAN / CT  
↔ ab initio

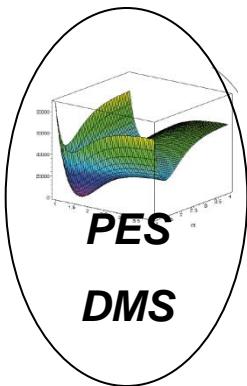
Log scale



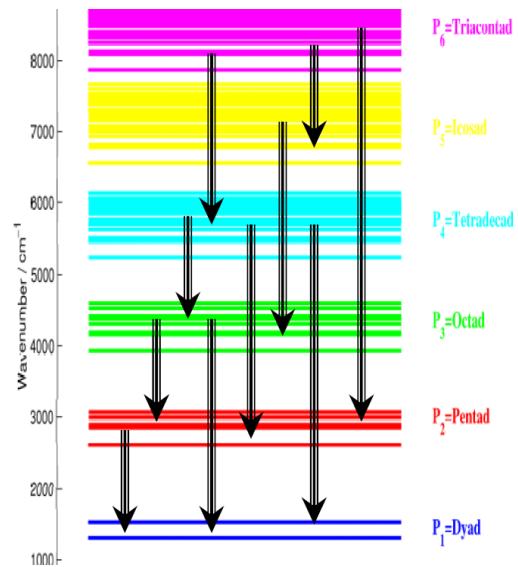
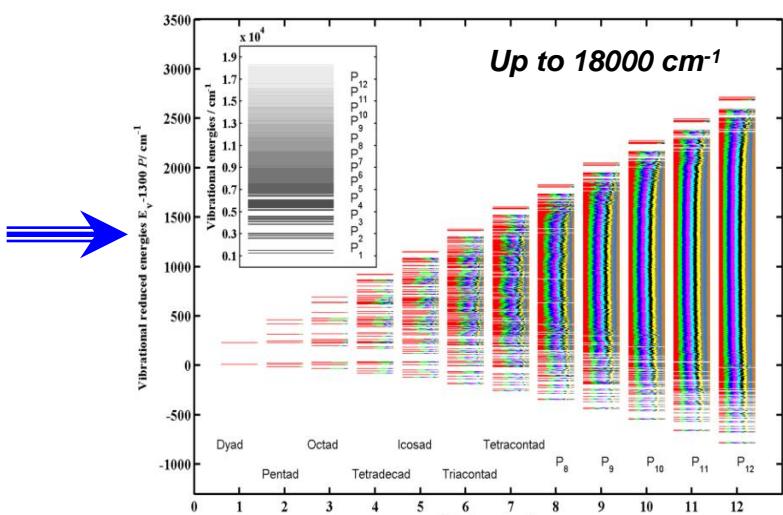
RMS line  
Positions =  
 $0.0005 \text{ cm}^{-1}$



Further work, applications  $\Leftrightarrow$  astro  $\Leftrightarrow$  planeto



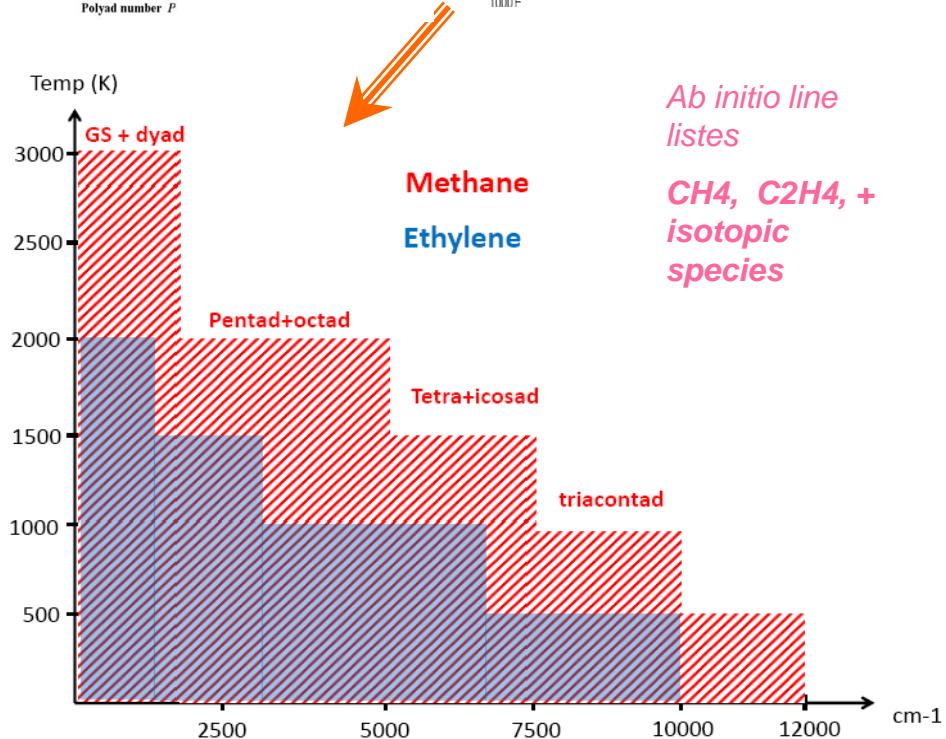
New CT:  
Spectr.  
Models



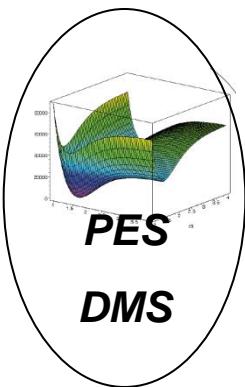
## Conclusions / Prospectives:

- Line positions corrected to experimental precision via *ab initio*  $\Leftrightarrow$  Heff optimisation
- Some inconsistency found in HTIRAN and the empirical databases
- Empirical line position corrections to complete DB up to T=2000 K ( 11.5 billion lines, ApJ 789, 1 (2014))

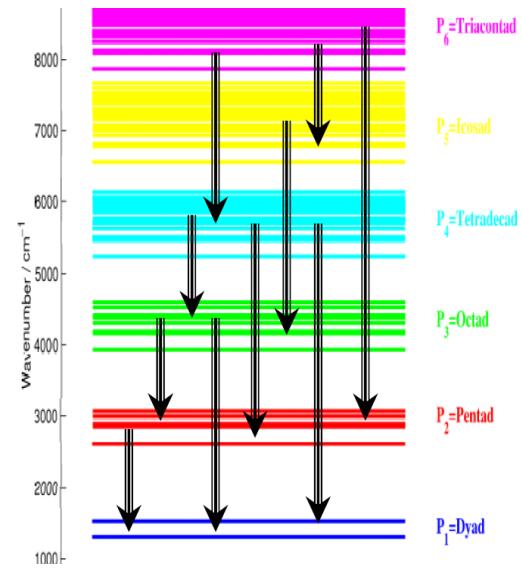
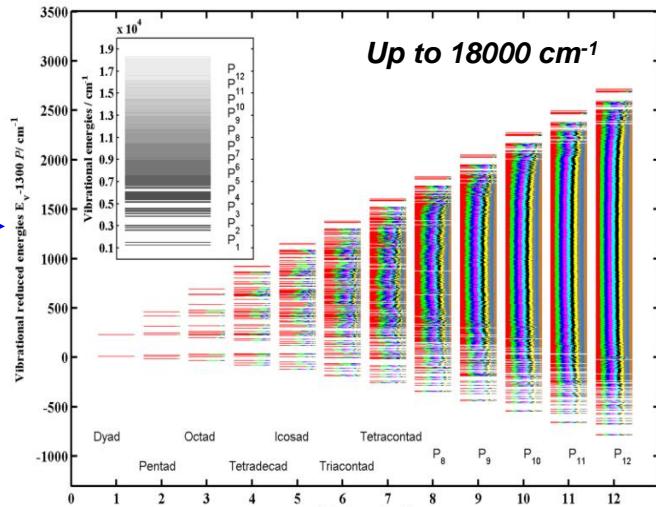
???



# *Do we need such lists for higher E, T and other molecules?*



New CT:  
Spectr.  
Models

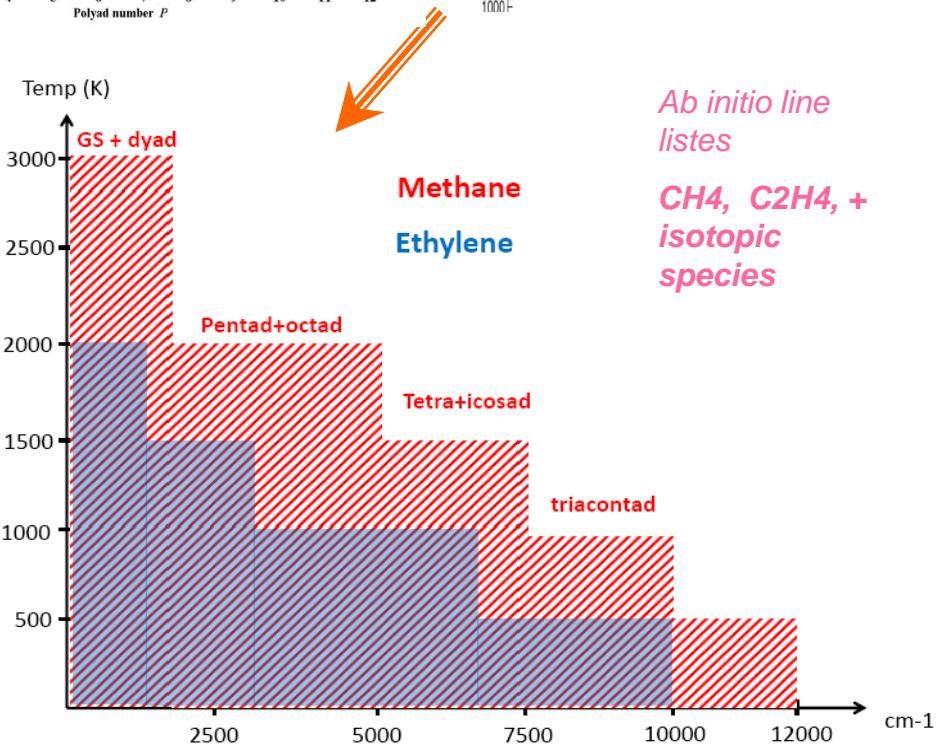


**Networking project !**

## **Conclusions / Prospectives:**

- Line positions corrected to experimental precision via *ab initio* ⇔ Heff optimisation
- Some inconsistency found in HTIRAN and the empirical databases
- Empirical line position corrections to complete DB up to  $T=2000 \text{ K}$  ( 11.5 billion lines, ApJ 789, 1 (2014))

???



## **Collaborations:**

*ab initio:*

*P.Szalay (Budapest)*

*Methane analyses / databases:*

*V.Boudon, C.Wenger, J.P.Champion (Dijon)*

*CRDS spectroscopic experiments:*

*A.Campargue, S.Kassi, D.Mondelain, Grenoble University*

*FT spectroscopic experiments for methane:*

*L.Brown (NASA) , X.Thomas, L.Daumont, L.Regalia, Reims University*

*High-T methane spectra:*

*R.Georges, Rennes University*

*Applications planeto / astro:*

*B.Bezard, A.Coustenis (Obs Meudon), P.Rannou (Reims), A.Kutepov (Washington)*

**Acknowledgements:** « IDEO » ANR, PNP CNRS, « SAMIA » GDRI projects

Computer centers: «Romeo/ Clovis» Reims, IDRIS/CINES France