## Academic Audit and Quality Assurance in Higher Education

### P. M. Suresh Kumar

Srinivas Institute of Management Studies, Srinivas University Pandeshwar, Mangalore – 01, India E-mail: <u>sureshpmsk@rediffmail.com</u>

Type of the Paper: Research Paper. Type of Review: Peer Reviewed. Indexed In: OpenAIRE. DOI: http://dx.doi.org/ Citation : Google Scholar.

How to Cite this Paper:

Suresh Kumar, P. M. (2017). Academic Audit and Quality Assurance in Higher Education. *International Journal of Management, Technology, and Social Sciences (IJMTS)*, 2(2), 61-68. DOI: http://dx.doi.org/

DOI: http://dx.doi.org/.

International Journal of Management, Technology, and Social Sciences (IJMTS)

A Refereed International Journal

© Srinivas Publication, India & USA.



This work is licensed under a Creative Commons Attribution-Non Commercial 4.0 International License subject to proper citation to the publication source of the work. **Disclaimer:** The scholarly papers as reviewed and published by the Srinivas Publications (S.P.), India are the views and opinions of their respective authors and are not the views or opinions of the SP. The SP disclaims of any harm or loss caused due to the published content to any party.

### Academic Audit and Quality Assurance in Higher Education

### P. M. Suresh Kumar

Srinivas Institute of Management Studies, Srinivas University Pandeshwar, Mangalore – 01, India E-mail: <u>sureshpmsk@rediffmail.com</u>

#### ABSTRACT

The role of higher education institutions is reflected in its learning outcomes. The learning outcomes contribute to develop quality professionals by enhancing competency in subject knowledge and intellectual capability, grooming professionalism and employability skills. Still further it contributes to emotional and social maturity, sound character, sharp business acumen, strong scientific temper and strategic thinking among the learners. This could be materialized only through imparting comprehensive, continually enhanced and global quality professional education supported by a sound quality management system. Quality policy contributes to institutionalizing the quality assurance processes. Commitment to providing quality teaching and learning through well designed and systematic curriculum delivery using multitude of learning experiences is at the core of this policy. A variety of quality assurance processes are institutionalized focusing around teacher quality, curriculum delivery and pedagogy, research and training, skill development of students, orientation programmes for overall personality development and broad range of activities which equip the students to face challenges and take up risks with courage. Academic Audit gives feed-back on its efficiency. The observations from the audit are utilised for institutional improvement.

Keywords: Academic audit, Quality assurance, Higher education.

#### 1. INTRODUCTION

Institutions of higher education ought to be centres of excellence which impart quality education to students. Society at large looks up to these institutions to address their needs through creating a pool of human resources with increased employability, manning institutions, solving community based problems and maintaining harmony with outer environment. This is not achieved through a mere proliferation of institutions. It is here that quality becomes Quality is "that intangible but important. omnipresent element which distinguishes a product from another or one service from another ". Quality does not come in one go, but attained through a continuous pursuit for perfection. Higher education devoid of quality is at the cost of its meaning and purpose [1-15].

# 2. INSTITUTIONAL POLICY FOR QUALITY ASSURANCE

Institutions of higher education strive to deliver comprehensive, continually enhanced and global quality professional education through an management established quality system complimented by the synergistic interaction of the stakeholders concerned. This is spelt out in the form of a policy and communicated at all levels, so that this policy contributes to institutionalizing the quality assurance processes in all the three areas namely academic, administrative and infrastructural. The following quality assurance processes are institutionalized.

(1) Teacher Quality : To enhance the quality of teaching, Faculty Development Programmes are organized regularly. Collaborative programmes with other institutions are also organized to enhance teacher quality. Faculty members are encouraged to acquire additional qualification, research degrees and certification programmes that foster their skills.

(2) Delivery of the Curriculum : In order to ensure effective delivery of the curriculum, the faculty members prepare work dairy, lesson plan and course material for the subjects taught by them.

(3) Strengthening of Research Activities :In order to strengthen research activities, Research centres in priority areas are constituted. The faculty members are encouraged to write articles for publication in journals and present National and International papers in Conferences. This opens up the possibility for preparing and publishing research papers both in conceptual and empirical areas. The scope of such conferences are widened to include all disciplines offered by the institute under a common thematic umbrella.

(4) Personality Development Programmes : Student Development Programmes are important to equip the students to meet the challenges in their career. Value Addition programmes like certificate courses are offered to the students to bridge the gap between the university syllabus and industry requirements. Regular industrial visits and industryacademia interactions are organised so as to get practical exposure about the functioning of the organisation.

(5) Orientation Programmes: Apart from career building, programmes are conducted to develop right orientation and positive attitude.

(6) Additional Academic Support in order to Holistic Development: Teaching ensure management principles could be made interesting through examples from the great Ramayana, Mahabharatha, epics like Bhaghavad Gita. Vedas, Upanishads etc. Spiritual lectures, celebration of regional festivals, discourses during observance of important days such as World Elderly day, Mothers day, Environment day etc. can be significant in serving as additional academic support.

(7) Mental Maturity and Skill Development Courses :Corporate Yoga and mind control programmeoffered to the students could enhance the power of concentration, overcome stress, maintain good physical and mental health and ensure mental maturity.

(8) *Placement* : A broad range of vocational education, entrepreneurial training and employability skills to facilitate faster placement and better adjustment in the work situations could be undertaken.

(9) Preparedness for challenges : The students are encouraged to define their own training and development needs and based on the needs of students and the corporate, the institute imparts employability skills. As the business world is filled with challenges and risks, the purpose of education is to prepare the students to face these challenges and take up the risk with courage.

(10) IQAC : Internal Quality Assurance Cell is a permanent and effective mechanism to address all aspects of quality on a day-to-day basis. It is drawn from representative of teaching, administration and management as well as external members who are conversant and competent with the activity of the institution. Through periodic meetings the IQAC air their views and corrective measures. The IQAC is founded on the premise that quality is perfection and perfection can be achieved slowly but steadily.

The administrative system support the institution in the development and enhancement of the quality of education. The different committees set up by the institution always respond to the administrative needs. The Advisory Board and the Governing Council body are set up the members of management and with academia. The various course co-ordinators facilitate internal administration of their departments and link it with the overall administration of the institute supervised by the head of the institute. Infrastructure supports the requirements of the quality policy conducive to the academic and administrative processes.

#### **3. QUALITY ASSURANCE FRAMEWORK:**

The institution has an integrated framework for Quality assurance of the academic and administrative activities. The integration of academic and administrative activities can be witnessed at three levels.

Strategic Level: Staff members (both teaching and non-teaching staff) are involved in framing the policies and procedures, and regulations guidelines, rules and effectively implementing the same to ensure smooth and systematic functioning of the institute. Staff members are also involved in framing the procedures for admission of students for the course and examinations [Internal & University] to be conducted by the institute.

**Functional Level:** All the Teaching Staff participate in sharing the knowledge by discussing on the latest trends in their respective area of specialization. The co-ordinators and the members of different departments meet together and plan the programmes to be conducted. Office staff are also involved in preparation of annual budget of the institute, taking into consideration the approved fee structure. They correspond with regulatory bodies to fulfill the requirements for smooth functioning of the institute's activities.

**Operational level:** All the staff members are involved in implementing the policies, procedures, and framework designed by the top management in order to maintain and achieve the quality standards.

Training to its staff is essential for effective implementation of the Quality assurance procedures. Faculty Development Programmes, Lectures and workshops give more thrust on pedagogy. As a result, the innovations across the field are practiced in the teaching methodology. Many industry experts and senior academicians from other institutions interact with the faculty members. This results in enhancement of performance. Attending the outreach programmes by faculty members also enriches them in bench marking services of the The administrative staff remain institution. service conscious in dealing with student matters.

The training in office management software has improves efficiency and time saving. The lower staff are maintaining efficiency in the upkeep of the infrastructure. The Head of the institute interacts with faculty members through faculty meetings and shares his ideas and explains how quality initiatives of the institute have to be implemented.

#### 4. AUDIT OUTCOME FOR IMPROVEMENTS IN INSTITUTIONAL ACTIVITIES

Regular Academic Audit gives feed-back about each faculty member in the form of self and appraisal from head of the appraisal institution to know their teaching and learning performance. The details of the subjects handled, percentage of pass and students' performance in the tests and examinations, participation in faculty development programme, participation in the external conferences and seminars, books or papers published and programmes organized in the college. The observations from the audit are passed on to the of the institute for institutional head improvement.

The following are some of the improvements in institutional activities initiated due to the outcomes of academic audit.

Table 1 : The improvements in institutional activities initiated due to the outcomes of academic audit.

Sl.	Audit	Improvements in
No	outcome	institutional activities
•		
		1. Information
1	Need for	dissemination
	increase in	2. Website up-dating
	Admission	3. Value addition
		4. Employability
		relevance for courses
		5. Concessional fee for
		female students
	Need of	1. Counseling
2	improvement	2. Tutorials
	s in Result	3. More assignments
		4. Close supervision of
		weak students
	Need of	1. Motivation
3	enhancement	2. Organizing more FDP's
	in Faculty	3. Retaining experienced
	performance	faculty

# International Journal of Management, Technology, and Social Sciences (IJMTS), ISSN : Applied, Vol. 2, No. 2, October 2017

### SRINIVAS PUBLICATION

-		r
4	Need of improvement in Research publication	<ol> <li>Opportunities such as projects and consultancies</li> <li>Activation of Research centres</li> <li>Organizing workshop on Research methodology</li> <li>Papers in conferences</li> </ol>
5	Need for further strengthening Co-curricular activities	<ol> <li>Introduction of certificate programmes</li> <li>Compulsory projects</li> <li>More programmes and events.</li> </ol>
6	Need of improving the Placement	<ol> <li>Exclusive placement cell</li> <li>Soft skill training</li> <li>More collaborations with industries</li> <li>Conduct of Job Fests.</li> </ol>

#### 5. INSTITUTIONAL MECHANISM FOR ENSURING QUALITY SERVICE

The institution has structured mechanisms to continuously review the teaching learning process as given below :

Table 2 : The structured mechanisms to continuously review the teaching learning process.

SI.	Mechanis	Structure,	Outcome
No	ms	methodologies	
		of operation	
1	Teachers Diary	Date wise, time wise, classes according to the time table is recorded in teachers dairy. This gives a clear picture that the classes are conducted	Faculty realize importanc e of adhering to the schedules.
2	Attendance Register	systematically The number of classes taken versus the number of working days gives a direct measure of	Faculty realize the importanc e of the classes.

teaching input.	
Appraisal	
3 Student forms are	Faculty
Feedback distributed to	identify
the students on	need for
the last	improvem
working day	ent.
of the semester.	
This is	
confidently	
collected and	
passed on to	
the head of the	
institute for	
review.	
Performance	
4 Performanc self appraisal is	Faculty
e appraisal done by the	identify
faculty.	weakness
Against each of	
the appraisal	
items, the head	
of the institute	
marks his	
assessment in	
the form of	
grade point.	
5 Result analysis	Faculty
analysis finding out the	realize
percentage of	need for
marks scored	improvem
by the students	ent.
in each of the	ent.
subjects. This	
together is	
treated as a	
measure of the	
concerned	
faculties	
teaching	
efficiency.	
Meetings with	
6 Manageme faculty are	Faculty
nt meetings conducted by	develops
with the Management	increased
faculty representatives	accountab
and Head of	ility.
the institute.	-

P. M. Suresh Kumar et.al. (2017); www.srinivaspublication.com

	Poor performance like low pass percentage and poor marks are sort	
	sort explanation.	

# 6. STAKEHOLDER INVOLVEMENT IN QUALITY ASSURANCE

The institute organises interactive meetings with all its stakeholders in order to communicate its quality assurance policies, mechanisms and outcomes. The following are the stakeholders of the institute.

◆Management : Management representatives interact with the faculty through meetings. These meetings are aimed towards reaffirming the quality conducive of the institution and its compliances.

◆**Parents** : Parent-Teacher Meetings are conducted to inform them the initiatives taken by the institution to attain quality resulting in progress of their wards.

• **Students :** The institute conducts Orientation Programme at the beginning of every semester to make the students understand the quality concerns and to reinforce the culture of excellence in all aspects.

◆Alumni : In meetings with alumni quality concerns and their improvements are discussed.

◆Industry : Suggestions on revision of curriculum to include newer areas of knowledge and skill development as per industry requirement are incorporated to convince the employers of the commitment of the institution towards quality.

•University : The local inspection committee which comes to inspect the quality standards maintained by the institute are convinced to obtain renewal of affiliation.

◆ **Community :** Propaganda materials which are part of admission campaign, information posted in the website, notifications of rank holders and pass percentage in various courses in news papers and social service activities convey the quality policy, mechanisms and outcomes to the community.

# 7. ALUMNI AND CONTRIBUTION TO QUALITY

The alumni effectively contribute to the enrichment and enhancement of the quality of education by associating and involving in professional, academic and social fostering links with the institution. The alumni as a stakeholder are significant during different stages of Quality decisions. They are instrumental in gaining valuable insights industries, employers various and about society. They assist in identifying the skills required by the students to obtain specific positions in the companies. They regularly provide feedback to the faculty members through which the institution up-dates academic programmes and value added programmes most relevant to the current requirements. With the registration of the alumni association, networking becomes more effective and permanent.

#### 8. CONCLUSION

Commitment to providing quality teaching and learning through well designed and systematic curriculum delivery using multitude of learning experiences is at the core of quality assurance Quality policy contributes to policy. institutionalizing the quality assurance processes. A variety of quality assurance processes are institutionalized focusing around teacher quality, curriculum delivery and pedagogy, research and training, skill development of students. orientation programmes for overall personality development and broad range of activities which equip the students to face challenges and take up risks The triad of curricular, cowith courage. curricular and extra-curricular activities that expand their horizons of knowledge and contribute to development of mind is necessary for overall development of the students. In the increasing world of competition, ever educational institutions can survive only if quality is added in all walks of their service [16-301.

### **REFERENCES :**

[1] Suresh Kumar, P. M. (2016). Curriculum

Enrichment for Learning Outcomes in Higher Education. *International Journal of Scientific Research and modern Education* (IJSRME). 1(2). 175-183.

[2] Suresh Kumar, P. M., (2016). Leadership Development and Quality Enhancement in Higher Education. *International Journal of Advanced Trends in Engineering and Technology (IJATET)*. 1(1). 49-53. DOI : https://zenodo.org/record/225699.

[3] Suresh Kumar, P. M. (2016). Stakeholder Perception and Academic Improvements in Higher Education. *International Journal of Advanced Trends in Engineering and Technology (IJATET)*. 1(1), 10-14.

[4] Suresh Kumar, P. M. (2017). Community Engagement Initiatives in Higher Education,. *International Journal of Engineering Research and Modern Education (IJERME)*, 2(1), 80-85.

[5] Aithal, P. S. & Suresh Kumar, P. M. and Deekshitha, (2015). Societal Expectation and Institutional Accountability In Higher Education. *International Journal of Management, IT and Engineering (IJMIE)*, 5(7), 361-373, DOI : http://doi.org/10.5281/zenodo.267021.

[6] Aithal, P. S., Suresh Kumar, P. M. and Pavithra Kumari, (2015). Methods and Approaches for Employability Skill Generation In Higher Educational Institutions. *International Journal of Management, IT and Engineering (IJMIE)*, 5(7), 390-410. DOI: http://doi.org/10.5281/zenodo.267044.

[7] Srinivas Rao, A., Suresh Kumar, P. M. & Aithal, P. S. (2015). Strategic Planning in Higher Education Institutions : A Case Study of SIMS - Vision 2025. *International Journal of Educational Science and Research*, 5(2) 29-42. DOI: http://doi.org/10.5281/zenodo.61589.

[8] Aithal, P. S., Srinivas Rao, A. & Suresh Kumar, P. M. (2015). How Innovations and Best Practices can Transform Higher Education Institutions : A case study of SIMS. *International Journal of Management (IJM)*, 6(2), 83 - 98. DOI: http://doi.org/10.5281/zenodo.61594.

[9] Aithal, P. S. and Suresh Kumar, P. M., (2015). Enhancement of Graduate attributes in Higher Education Institutions through Stage Models. *IMPACT: International Journal of Research in Business Management*, 3(3), 121 – 130. DOI: http://doi.org/10.5281/zenodo.61640,

[10\ Aithal, P. S. and Suresh Kumar, P. M., (2015). Quality Enhancement in Higher Education Institutions : A case study of SIMS. *International Journal of Multidisciplinary Research and Development*, 2(5), 18-31. DOI: http://doi.org/10.5281/zenodo.266940.

[11] Aithal, P. S. and Suresh Kumar, P. M. (2015). Applying SWOC Analysis to An Institution Of Higher Education. *International Journal of Management, IT and Engineering (IJMIE)*, 5(7), 231-247. DOI : http://doi.org/10.5281/zenodo.163425.

[12] Aithal, P. S. and Suresh Kumar, P. M., (2016). Student Performance and Learning Outcomes in Higher Education Institutions. *International Journal of Scientific Research and Modern Education (IJSRMA)*. 1(1), 674 – 684, DOI: <u>http://doi.org/10.5281/zenodo.160944</u>.

[13] Aithal, P. S. and Suresh Kumar, P. M., (2016). Catering Stduent Enrollment and Retaining Diversity in Higher Eduction Institutions. International Journal of Engineering Research and Modern Education 565 DOI (IJERME), 1(1), \_ 577. http://doi.org/10.5281/zenodo.160939.

[14] Aithal, P. S. and Suresh Kumar, P. M. (2016). Academic Support through Information System : Srinivas Integrated Model. *International Journal of Scientific Research and Modern Education (IJSRME)*. 1(1), 376-384. DOI: <u>http://doi.org/10.5281/zenodo.160976</u>.

[15] Aithal, P. S. and Suresh Kumar, P. M., (2016). Maintaining Teacher Quality in Higher Education Institutions. *International Journal of Current Research and Modern Education (IJCRME)*. 1(1), 701-711. DOI : http://doi.org/10.5281/zenodo.160946.

[16] Aithal, P. S. and Suresh Kumar, P. M., (2016). Teaching-Learning Process in Higher Education Institutions. *International Journal of*  Multidisciplinary Research and Modern Education (IJMRME). 2(1), 662-676. DOI : http://doi.org/10.5281/zenodo.160956.

[17] Aithal, P. S. & Suresh Kumar, P. M. (2016). <u>Analysis of Choice Based Credit System</u> in Higher Education. International Journal of Engineering Research and Modern Education (IJERME), 1(1), 278-284. DOI : http://doi.org/10.5281/zenodo.161046.

[18] Aithal, P. S. and Suresh Kumar, P. M., (2016). <u>Student Evaluation and Reforms in</u> <u>Higher Education Institutions</u>. *International Journal of Multidisciplinary Research and Modern Education (IJMRME)*, 2(1), 652-661. DOI: <u>http://doi.org/10.5281/zenodo.160932</u>.

[19] Prithi Rao, and Aithal, P. S. (2016). <u>Green</u> Education Concepts & Strategies in Higher Education Model. International Journal of Scientific Research and Modern Education (IJSRME), 1(1), 793-802. DOI : http://doi.org/10.5281/zenodo.160877.

[20] Shubrajyotsna Aithal & Aithal, P. S., (2016). <u>Student Centric Learning through</u> <u>Planned Hardwork - An Innovative Model</u>. *International Journal of Scientific Research and Modern Education (IJSRME)*, 1(1), 886-898. DOI: <u>http://doi.org/10.5281/zenodo.61830</u>.

[21] Aithal, P. S. (2015). Teaching through Entry Test & Summarization - An Effective Classroom Teaching Model in Higher Education Training. *International Journal of Scientific Research and Education*, 3(3), 3022 – 3027. DOI: <u>http://doi.org/10.5281/zenodo.61637</u>.

[22] Aithal, P. S. (2015). Internal Quality Assurance Cell and its Contribution to Quality Improvement in Higher Education Institutions : A Case of SIMS. *GE International Journal of Management Research (IJMR)*, 3(5), 70-83, DOI: http://doi.org/10.5281/zenodo.266808.

[23] Aithal, P. S. (2015). Strategy Development and Deployment in Higher Education Institutions. *Elixir International Journal*, 84, 33594 - 33597, DOI: http://doi.org/10.5281/zenodo.266779.

[24] Aithal, P. S. (2015). Strategies to be adopted in Higher Education Institutions to Enhance Admission Demand. *International Journal of Extensive Research*, 5, 9-25. DOI: http://doi.org/10.5281/zenodo.268530.

[25] Aithal, P. S. (2015). Faculty Empowerment Strategies in Higher Education Institutions. *International Journal of Management, IT and Engineering (IJMIE)*, 5(7), 108-115. DOI: http://doi.org/10.5281/zenodo.266967.

[26] Aithal, P. S. (2015). Teaching through Entry Test & Summarization - An Effective Classroom Teaching Model in Higher Education Training. *International Journal of Scientific Research And Education*, 3(3), 3022 - 3027, DOI: <u>http://doi.org/10.5281/zenodo.61637</u>.

[27] Reshma & P. S. Aithal, (2015). Quality Enhancement in Office Management of Higher Education Institutions through Innovations & Best Practices. *International Research Journal of Business & Management*, 8(5), 16 - 27, DOI: http://doi.org/10.5281/zenodo.61638.

[28] Aithal, P. S. & Shubhrajyotsna Aithal, (2015). An Innovative Education Model to realize Ideal Education System. *International Journal of Scientific Research and Management* (*IJSRM*), 3(3), 2464 - 2469, DOI: http://doi.org/10.5281/zenodo.61654.

[29] Aithal, P. S. & Suresh Kumar, P. M. (2017). Challenges and Opportunities for Research & Publications in Higher Education. *International Journal of Scientific Research and Modern Education (IJSRME)*, 2(1), 42-49. DOI: <u>http://dx.doi.org/10.5281/ZENODO.40061</u> <u>9</u>.

[30] Suresh Kumar, P. M. (2017). Adoption of Technology and Augmentation of Resources for Teaching-Learning in Higher Education. *International Journal of Management, Technology, and Social Sciences (IJMTS),* 1(1), 63-69. DOI : <u>http://dx.doi.org/10.5281</u> /ZENODO.821352.