



**Welcome to the *ETHNA Validation Survey on Drivers and Barriers of Responsible Research & Innovation (RRI)*!**

**In this survey, we are also exploring potential good RRI practices and possible measures to gauge the RRI institutionalisation progress at research-performing organisations.**

**Participating in this survey will take a few minutes only - you will be given the option to directly choose which RRI key area (ethics, governance, etc.) you wish to review.**

**Your answers will help validate the results of the ETHNA multi-stakeholder consultation which consisted of a series of expert interviews and workshops throughout 2021.**

**The results of this survey will only be shared and published in an aggregated form; your answers will remain private.**

**Click the *next* button below to start.**



# Section A: Opening

In this section, we would like to get to know you a little.

## A1. What is your current country of residence?

Andorra ☐

Albania ☐

Austria ☐

Belgium ☐

Bosnia and Herzegovina ☐

Bulgaria ☐

Croatia ☐

Czech Republic ☐

Denmark ☐

Spain ☐

Germany ☐

Greece ☐

Estonia ☐

Finland ☐

France ☐

Iceland ☐

Israel ☐

Italy ☐

Kosovo ☐

Latvia ☐

Liechtenstein ☐

Lithuania ☐

Luxembourg ☐

Republic of North Macedonia ☐

Malta ☐

Moldova ☐

Monaco ☐

Montenegro ☐

Netherlands ☐



**A2. What type of organisation(s) are you primarily affiliated with?**

- Higher education organisation (incl. research) ☐
- Higher education organisation (teaching, no research) ☐
- Public research organisation ☐
- Private research organisation ☐
- Public administration/government ☐
- Civil society organisation or NGO ☐
- Private sector / business ☐
- Other ☐

Other

**A3. How many years of professional experience have you got?**

- 1-2 years ☐
- 3-5 years ☐
- 6-10 years ☐
- 11-15 years ☐
- 16-25 years ☐
- > 25 years ☐

**A4. Which age group do you belong to?**

- below 30 ☐
- 30-39 ☐
- 40-49 ☐
- 50-59 ☐
- 60-69 ☐
- 70 and above ☐



**A5. Which gender do you correspond to?**

female ☐

non-binary ☐

male ☐

other: ☐

other:

## Section B: Responsible Research & Innovation

**B1. To get started, we would be interested in learning how familiar you are with each of the *key areas of RRI (Responsible Research & Innovation)*?**

Please note that neither Science Education nor Governance is listed here as individual key area. The former is not within the scope of the ETHNA project but the latter is very much regarded as horizontal dimension permeating the other key areas, so you may encounter it later in this questionnaire.

	0..not familiar at all	1	2	3	4	5	6	7	8	9	10..highly familiar
Ethics including Integrity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Open Science including Open Access	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Public Engagement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gender Equality	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**B2. How familiar are you with the overall concept of RRI (*Responsible Research & Innovation*)?**

	0..not familiar at all	1	2	3	4	5	6	7	8	9	10..highly familiar
Please rate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**B3. For which of following *key areas of RRI* would you like to review and rate the results of our multi-stakeholder consultation?**

For each key area that you chose above, you will see the "top 5" incentives, barriers, good practices, and potential progress measures in terms of institutionalising the respective key area.

Since the "top 5" items multiply with each key area that you pick, you might end up with (too) many items to rate. Please pick only those you feel most experienced in; towards the end of the survey, you will have a chance to indicate your interest in the other key areas - we will be happy to share our overall results as soon as they are ready.

Ethics including Integrity ☐

Open Science including Open Access ☐

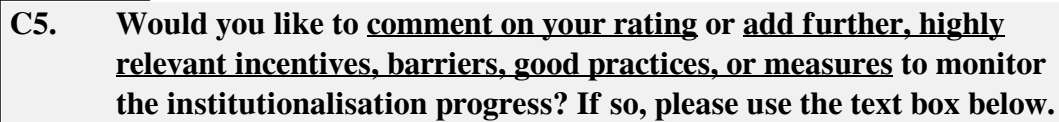
Public Engagement ☐





**C4. How relevant do you regard the following measures for monitoring the institutionalisation progress of research ethics (incl. integrity) at your organisation?**

[illegible]



## Section D: Open Science including Open Access

**D1. How relevant do you regard the following incentives for driving the institutionalisation of open science (incl. open access) at your organisation?**

[illegible]

[illegible]

**D3. How relevant do you regard the following good practices for supporting the institutionalisation of open science (incl. open access) at your organisation?**

[illegible]





**D4. How relevant do you regard the following measures for monitoring the institutionalisation progress of open science (incl. open access) at your organisation?**

0..not relevant at all      1      2      3      4      5      6      7      8      9      10..very highly relevant

Organisation is maintaining its own repository or using a designated open access platform (publications, data, code, models, etc.) ☐ ..... ☐

Organisational training of employees/staff members regarding the practical incorporation of open science and/or open access in their work ☐ ..... ☐

Organisational strategies & mission statements incorporate open science principles and mandates ☐ ..... ☐

Open science principles as integral part of research projects ☐ ..... ☐

Institutional mechanisms to learn from open science experiences ☐ ..... ☐

**D5. Would you like to comment on your rating or add further, highly relevant incentives, barriers, good practices, or measures to monitor the institutionalisation progress? If so, please use the text box below.**

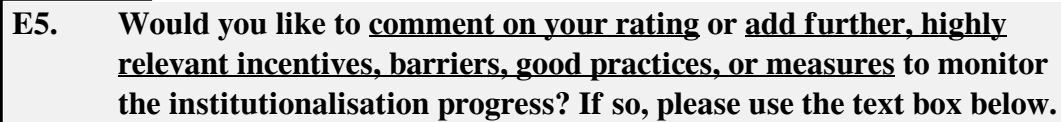


**E1. How relevant do you regard the following incentives for driving the institutionalisation of public engagement at your organisation?**

**E2. How relevant do you regard the following barriers to institutionalising public engagement at your organisation?**

[illegible]



[illegible]





**F4. How relevant do you regard the following measures for monitoring the institutionalisation progress of gender equality at your organisation?**

	0..not relevant at all	1	2	3	4	5	6	7	8	9	10..very highly relevant
Closing of institutional gender pay gap	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Females and non-binary people in senior positions within the organisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Female and non-binary principle investigators in research projects of the organisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Women and non-binary people in institutional advisory boards or expert committees	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Institutional training on gender equality provided to employees/research staff (incl. senior staff)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**F5. Would you like to comment on your rating or add further, highly relevant incentives, barriers, good practices, or measures to monitor the institutionalisation progress? If so, please use the text box below.**

## Section G: Closing

Thank you for taking the time to participate in our survey! Please make sure to press the SUBMIT button below to save your responses in our database.

**G1. Is there anything else you would like to add or think we should know?**

**G2. Would you like to learn about the results of this survey?**

Yes ☐

No ☐



**G3. Would you like to hear about the results generated by ETHNA, the EU-funded project behind this survey that will further explore institutionalisation options in their upcoming *living labs*?**

Yes ☐

No ☐