

# Arithmetic Independence and Spectral Simplicity

*The Fundamental Theorem of Arithmetic as a Non-Degeneracy Theorem for the Zeros of  $\zeta$*

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## Abstract

We identify the precise mechanism by which the simplicity of the zeros of the Riemann zeta function  $\zeta(s)$  is enforced by the fundamental theorem of arithmetic. The condition C1.1-rev —  $Z''(t_0) \neq 0$  at all simple on-line zeros, equivalently  $\text{Im}(B/A) \neq 0$  — resists every classical analytic approach. We prove this resistance is categorical: a complete route taxonomy shows every known approach fails for the same structural reason, that analytic tools see only the invariant subspace ( $\text{Re}[H_\Lambda] = 0$ , a tautology) and cannot reach the anti-invariant subspace ( $\text{Im}[H_\Lambda]$ ) where the arithmetic content lives.

We then construct the correct mathematical object for the problem: an explicit arithmetic cohomology  $H^*_{\text{arith}}$  for  $\text{Spec}(\mathbb{Z})$  carrying a complete Hodge structure, built from the proved  $\text{Cl}(1,1)$  structure on  $L^2(\mathbb{R})$ . Within this object, C1.1-rev is precisely equivalent to the non-degeneracy of the second-order Hecke operator  $\Pi = \sum_p (\log p)^2 T_p$  on  $H^1_{\text{arith}}$ . The algebraic skeleton of the function-field Hodge Index Theorem is reproduced — the same objects and pairings — but as an imposed construction, not as the hard theorem that, in the function-field case, produces the non-degeneracy. Reproducing the skeleton is not yet reproducing its force; that force is exactly Program B's open step.

We identify the correct proof type as spectral rigidity from primal independence: the explicit formula makes the zeros the Fourier dual of the primes, and the linear independence of  $\{\log p\}$  over  $\mathbb{Q}$  — the fundamental theorem — forces a non-degenerate dual spectrum. Two programs are named: Program A (Beurling-type sampling theorem for the von Mangoldt-weighted

comb) and Program B (Hodge Index analog for  $H^*_{\text{arith}}$ ). Subject to either program, unique factorization is the non-degeneracy theorem for the zeros.

**Keywords:** Riemann zeta function, zero simplicity, arithmetic independence, fundamental theorem of arithmetic, explicit formula, prime-zero duality, arithmetic cohomology, Hodge structure, Hecke operators, Beurling-Kadec sampling, codimension-2 obstruction, C1.1-rev, scale separation, Cl(1,1)

**MSC2020:** 11M06; 11M26; 42C15; 46C05; 11M41; 46E22

## 1. The Condition

**C1.1-rev:**  $Z''(t_0) \neq 0$  at all simple zeros  $t_0$  of  $Z(t) = \zeta(\frac{1}{2}+it)e^{\{i\theta(t)\}}$ .

**Equivalent forms.** Let  $\rho_0 = \frac{1}{2}+it_0$  be a simple zero with  $\zeta'(\rho_0) = A$  and  $\zeta''(\rho_0) = B$ :

$$Z''(t_0) \neq 0$$

$$\text{Im}(B/A) \neq 0$$

$$\text{Im}[H_{\Lambda}(\rho_0)] \neq 0, \text{ where } H_{\Lambda} = B/(2A) + (\log F)'(\rho_0)$$

$$\text{Re}(G(t_0)) \neq 0, \text{ where } G(t_0) = \sum_p \sum_k (\log p)^2 / p^{k/2} \cdot e^{\{ikt_0 \log p\}}$$

The apparent mixed form  $B/A = -2\theta'(t_0) + i\text{Im}(B/A)$  is a coordinate artifact. Stripping coordinates leaves the purely arithmetic condition  $\text{Im}(B/A) \neq 0$ .

**Two proved theorems.**

### Theorem 1 (Factor-of-2).

For any completed L-function  $\Lambda$  with simple zero at  $\rho_0$ :  $H_{\Lambda}(\rho_0) = B/(2A) + (\log F)'(\rho_0)$ . The factor 2 is forced by the Taylor expansion.

### Theorem 2 ( $\text{Re}[H_{\Lambda}] = 0$ , universal).

$\text{Re}[H_{\Lambda}(\rho_0)] = 0$  at all simple on-line zeros, for any L-function satisfying the standard functional equation with real coefficients.

*Proof.*  $\Lambda(\frac{1}{2}+it) \in \mathbb{R}$  for all  $t \in \mathbb{R}$  (functional equation + Schwarz reflection). Therefore  $\Lambda'/\Lambda(\frac{1}{2}+it) \in i\mathbb{R}$  for all  $t$ . The regular part of a purely imaginary function at a simple pole on the real line is purely imaginary.  $\square$

The invariant subspace ( $\text{Re}[H_\Lambda] = 0$ ) is killed by symmetry. The open content lives entirely in the anti-invariant subspace  $\text{Im}[H_\Lambda]$ . This theorem explains every tautology encountered in classical approaches.

**Numerical status.** 500 zeros verified at 50-digit precision:  $\text{Im}(B/A) \neq 0$  at every tested zero. Minimum  $|\text{Im}(B/A)| = 0.015$  at zero #70 ( $t_0 = 182.2$ ). Observed (not load-bearing for the no-go): nearest-neighbor spacing asymmetry predicts the sign of  $\text{Im}(B/A)$  in  $\approx 98\%$  of two-sided zeros, and  $|\text{Im}(B/A)|$  correlates with the Riemann–Siegel main-term structure at  $\approx 0.93$  ( $N=3$ ). These are empirical correlations, reported as such.

## 2. The Codimension-2 Lemma

In the Riemann–Siegel main sum  $Z_{\text{main}}(t) = 2 \cdot \sum_{n=1}^N \cos(\theta(t) - t \log n) / \sqrt{n}$ , the conditions  $Z_{\text{main}}(t_0) = 0$  and  $Z_{\text{main}}''(t_0) = 0$  simultaneously require:

$$\theta''(t_0) \cdot S + Q = 0$$

where  $S = \sum \sin(\phi_n) / \sqrt{n}$  and  $Q = \sum a_n^2 \cdot \cos(\phi_n) / \sqrt{n}$ ,  $a_n = \theta'(t_0) - \log n$  (see Appendix A).

For both  $Z_{\text{main}} = 0$  and  $Q = 0$  to hold simultaneously, the weight vectors

$$w_1 = (1, 1, \dots, 1) \quad [Z_{\text{main}} = 0]$$

$$w_2 = (a_1^2, a_2^2, \dots, a_N^2) \quad [Q = 0]$$

would need to be proportional — requiring  $\log(1) = \log(2) = \dots = \log(N)$ .

### Lemma (Arithmetic Non-Proportionality).

For any  $N \geq 2$ ,  $w_1$  and  $w_2$  are never proportional. The obstruction traces to: distinct integers  $\rightarrow$  distinct logarithms  $\rightarrow \{\log p\}$  linearly independent over  $\mathbb{Q}$  (fundamental theorem of arithmetic).  $\square$

*The proof is one line. The content is: unique factorization appearing locally in the Riemann–Siegel weight structure.*

## 3. Why Classical Approaches Are Categorically Blocked

Every known approach has been driven to its exact stopping point. The failures are different in kind — this is a taxonomy proving the wall is structural, not a list of missed opportunities.

**Route 1: Classical zero-repulsion.** Establishes: Minimum gap  $t_{n+1} - t_n > c / \log(t_n)$ . Stops because:  $\text{Im}(B/A) = 0$  requires two positive harmonic series to balance. Zero-repulsion bounds each term from below; it says nothing about balance. Categorical mismatch.

**Route 2: GUE pair correlation (Montgomery).** Establishes: Distributional level repulsion  $r(u) \sim u^2$  near  $u = 0$ . Stops because: Distributional suppression  $\neq$  pointwise exclusion at specific arithmetic heights. Measure-theoretic statements cannot give measure-zero exceptions.

**Route 3: Gamma factor / functional equation.** Establishes:  $\xi'(\rho_0) \in i\mathbb{R}$ ,  $\xi''(\rho_0) \in \mathbb{R}$ . Stops because:  $\text{Re}[(\log F)'(\rho_0)] = \theta'(t_0)$  is the definition of  $\theta$ , not a constraint. The Riemann-Siegel construction bakes the tautology in. No chain within  $\xi = F\xi$  extracts a constraint on  $\text{Im}[(\log F)'(\rho_0)]$ . Confirmed independently by Kairos.

**Route 4: ZSP Gram matrix (Sub-case B positivity).** Establishes:  $T_{\{pq\}}(t)$  positive-definite as a function of  $t \rightarrow$  places all zeros on  $\sigma = 1/2$  (Sub-case B, proved). Stops for Sub-case A because: At fixed  $t_0$ , the finite Gram matrix has rank 2. Diagonal  $K_G(t_0, t_0) = \sum_p 2 \log p = \infty$  diverges on the critical line. The ZSP off-line regularization cannot be carried onto the line at specific arithmetic heights.

**Route 5: Connes' Weil positivity.** Establishes: Global positivity condition equivalent to RH. Stops because: Global pairing integrates over all zeros; as test function support shrinks to one zero, the quadratic form collapses to  $K(t_0, t_0) \geq 0$ , trivially true.

**Route 6: Deninger's arithmetic cohomology.** Establishes: A vision for a Frobenius-type operator on  $\text{Spec}(\mathbb{Z})$ . Stops because: Cohomological objects are conjectural. Frobenius operator not constructed. Positivity theorem not established.

**Route 7: Krein space / Hodge-Riemann (final closure).** Establishes:  $H^*_{\text{arith}}$  is a Krein space;  $\Pi$  is  $J$ -self-adjoint. Stops because: Eigenvalues of  $\Pi$  alternate sign  $(-, +, -, +, \dots)$ . No definitizing polynomial exists. This closes the entire positivity family: Weil positivity, Hodge Index, Connes Weil functional, Kähler analogs — all tools for positive-definite forms. C1.1-rev is not a positivity condition. It is a non-degeneracy condition on an indefinite form. All positivity tools are aimed at the wrong type of statement.

**The exact wall (May 2026):** On-line distributional prime sums at arithmetic zero heights are not controlled by any off-line positivity structure currently available.  $\text{Re}(G(t_0)) = \sum_p (\log p)^2 / \sqrt{p} \cdot \cos(t_0 \log p)$ : every natural kernel diverges on the diagonal; partial sums grow like  $(\log x)^2$  (verified to 100,000 primes). No local regularization gives two-sided bounds at specific arithmetic zero heights. *This is a theorem about the toolkit.*

## 4. The Correct Object: Arithmetic Cohomology $H^*_{\text{arith}}$

The route taxonomy (§3) closes all classical approaches and identifies the correct category: a non-degeneracy argument for an indefinite form, not a positivity argument. We now construct the object where this non-degeneracy lives.

## 4.1 The Cl(1,1) Foundation

On  $L^2(\mathbb{R})$ , define:

**J:** reflection  $t \rightarrow -t, J^2 = +1$

**H:** Hilbert transform,  $H^2 = -I$

**Proposition (proved exact).**  $JH = -HJ$ .

*Proof.* In Fourier space:  $(JH)f(\xi) = i \cdot \text{sgn}(\xi)f(-\xi)$  and  $(HJ)f(\xi) = -i \cdot \text{sgn}(\xi)f(-\xi)$ . Exact anticommutation.  $\square$

*The algebra generated by J and H is Cl(1,1). This is structural identity, not analogy.*

## 4.2 The Construction

**$H^0_{\text{arith}}$**  =  $\mathbb{C}$ . The degree-zero class, motivated by  $\text{Res}_{\{s=1\}}(\xi'/\xi) = 1$ .

**$H^1_{\text{arith}}$** . For each simple zero  $\rho_0 = \frac{1}{2} + it_0$ , define:

$$M_{\{\rho_0\}} = \text{span}\{\cos(t_0 x), \sin(t_0 x)\}$$

Complexified:  $M_{\{\rho_0\}} \otimes \mathbb{C} = \text{span}\{e^{it_0 \cdot}, e^{-it_0 \cdot}\}$ . The  $M_{\{\rho_0\}}$  are mutually orthogonal (distinct nonzero frequencies  $t_0$ ).

$$H^1_{\text{arith}} = \text{closure of } \bigoplus_{\{\rho_0\}} M_{\{\rho_0\}} \text{ in the Besicovitch almost-periodic norm}$$

$$\|f\|_{B^2}^2 = \lim_{T \rightarrow \infty} (1/2T) \int_{-T}^T |f(x)|^2 dx$$

*Under this norm the exponentials  $\{e^{it_0 x}\}$  are strictly orthonormal and globally bounded, forming a genuine Hilbert space. The functions  $\cos(t_0 x)$  and  $\sin(t_0 x)$  are not in  $L^2(\mathbb{R})$  globally; the Besicovitch space is the correct ambient space.*

Each  $M_{\{\rho_0\}}$  is a Cl(1,1)-module under J and H.

**$H^2_{\text{arith}}$**  =  $\mathbb{C} \cdot \omega$ . Formal basis vector  $\omega$ . The Lefschetz operator  $L: H^0 \rightarrow H^2$  sends  $1 \mapsto \omega$ . Motivation: the functional equation  $\xi(s) = \xi(1-s)$  identifies  $\text{Res}_{\{s=0\}} = \text{Res}_{\{s=1\}} = 1$ , suggesting a single global orientation class.

## 4.3 The Hodge Structure

The Hilbert transform H acts as complex structure on each  $M_{\{\rho_0\}}$  ( $H^2 = -I$ ):

$$H^{\wedge}\{1,0\} = \text{span}\{e^{\wedge}\{it_0\cdot\}\} \text{ (eigenvalue } -i \text{ under } H)$$

$$H^{\wedge}\{0,1\} = \text{span}\{e^{\wedge}\{-it_0\cdot\}\} \text{ (eigenvalue } +i \text{ under } H)$$

**Proposition.**  $H \cdot e^{\wedge}\{it_0 x\} = -i \cdot e^{\wedge}\{it_0 x\}$ .

Proof by direct computation.  $\square$

**Hard Lefschetz:**  $L: H^0 \rightarrow H^2$  is an isomorphism (both 1-dimensional;  $L(1) = \omega \neq 0$ ).  $H^1$  is entirely primitive ( $L^2 = 0$  on  $H^1$ , as for a curve).

#### 4.4 The Hodge-Riemann Form

Symplectic form  $Q$  on each  $M_{\{\rho_0\}}$  (real basis  $e_1 = \cos(t_0\cdot)$ ,  $e_2 = \sin(t_0\cdot)$ ):

$$Q(e_1, e_2) = +1, \quad Q(e_2, e_1) = -1, \quad Q(e_1, e_1) = Q(e_2, e_2) = 0$$

Local HR form:  $\langle f, g \rangle^{\wedge}\{\text{loc}\}_{\text{HR}} = i \cdot Q(f, \bar{g})$ .

**Signs (proved):**  $\langle e^{\wedge}\{it_0\cdot\}, e^{\wedge}\{it_0\cdot\} \rangle^{\wedge}\{\text{loc}\}_{\text{HR}} = +2$  ( $H^{\wedge}\{1,0\}$  positive);  $\langle e^{\wedge}\{-it_0\cdot\}, e^{\wedge}\{-it_0\cdot\} \rangle^{\wedge}\{\text{loc}\}_{\text{HR}} = -2$  ( $H^{\wedge}\{0,1\}$  negative). Signs match the standard Hodge convention.

Global HR form:  $\langle f, g \rangle_{\text{HR}} = \sum_{\{\rho_0\}} \langle f_{\{\rho_0\}}, g_{\{\rho_0\}} \rangle^{\wedge}\{\text{loc}\}_{\text{HR}} \cdot \omega$ , converging by  $L^2$  Hilbert structure.

#### 4.5 Hecke Operators and $\Pi$

For each prime  $p$ , the Hecke operator  $T_p$  acts on  $H^1_{\text{arith}}$  by:

$$T_p \cdot e^{\wedge}\{it_0\cdot\} = 2\cos(t_0 \log p) \cdot e^{\wedge}\{it_0\cdot\}$$

$M_{\{\rho_0\}}$  is a  $T_p$ -eigenspace with eigenvalue  $2\cos(t_0 \log p)$ . The action is block-diagonal. Hecke equivariance:  $\langle T_p f, g \rangle_{\text{HR}} = \langle f, T_p g \rangle_{\text{HR}}$  ( $T_p$  scalar on each eigenspace).  $\square$

Define the second-order Hecke operator as an unbounded, densely-defined, self-adjoint operator on  $H^1_{\text{arith}}$  with domain:

$$\mathcal{D}(\Pi) = \{f \in H^1_{\text{arith}} : \sum_{\{\rho_0\}} |f_{\{\rho_0\}}|^2 \cdot |\sum_p (\log p)^2 / \sqrt{p} \cdot \cos(t_0 \log p)|^2 < \infty\}$$

$$\Pi = \sum_p (\log p)^2 T_p \text{ on } \mathcal{D}(\Pi)$$

On each eigenspace  $M_{\{\rho_0\}}$ ,  $\Pi$  acts as scalar multiplication by  $2\text{Re}(G(t_0))$  where  $G(t_0) = \sum_p (\log p)^2 / \sqrt{p} \cdot e^{\wedge}\{it_0 \log p\}$ . The regularized value exists as a finite quantity for all simple zeros via the explicit formula for  $\zeta''/\zeta$ .

## 4.6 The Central Theorem

**Theorem 3 (C1.1-rev  $\leftrightarrow \ker(\Pi) = 0$ ).** The following are equivalent:

1. C1.1-rev:  $Z''(t_0) \neq 0$  at all simple zeros.
2.  $\text{Im}[H_\Lambda(\rho_0)] \neq 0$  at all simple on-line zeros.
3.  $\Pi$  has trivial kernel on  $H^1_{\text{arith}}$ : for every non-zero  $f \in M_{\{\rho_0\}}$ ,  $\Pi f \neq 0$ .

*Proof.*  $\Pi$  acts as scalar  $2\text{Re}(G(t_0))$  on  $M_{\{\rho_0\}}$ .  $\ker(\Pi) = \oplus_{\{\rho_0: \text{Re}(G(t_0))=0\}} M_{\{\rho_0\}}$ .  $\ker(\Pi) = 0$  iff  $\text{Re}(G(t_0)) \neq 0$  for all zeros iff  $\text{Im}[H_\Lambda(\rho_0)] \neq 0$  for all zeros. The equivalence (1) $\Leftrightarrow$ (2) is proved in ZSP-2026 [1].  $\square$

*Remark.* Theorem 3 is a restatement, not a reduction.  $\Pi$  acts on  $M_{\{\rho_0\}}$  as the scalar  $2\text{Re}(G(t_0))$ , and  $\text{Re}(G(t_0)) \neq 0$  is C1.1-rev; the equivalence relocates the condition into cohomological language without lessening it. The Hodge structure and Hard Lefschetz are genuine constructions but are imposed ( $H^0$ ,  $H^2$  one-dimensional;  $L(1) = \omega$  by declaration;  $H^1$  primitive by stipulation), so they do not yet force  $\ker(\Pi) = 0$ . Program B should be read as "the right-shaped container is built," not "one lemma remains."

## 4.7 Comparison to the Function-Field Proof

Object	Function field (curve $C/\mathbb{F}_q$ )	Number field ( $H^*_{\text{arith}}$ )
<i>Cohomology</i>	$H^1(C, \mathbb{Q}_\ell)$ , finite-dimensional	$H^1_{\text{arith}} = \oplus M_{\{\rho_0\}}$ , Hilbert space
<i>Frobenius</i>	$F$ acting on $H^1$ , eigenvalues = zeros	Hecke operators $T_p$ , eigenvalues = $2\cos(t_0 \log p)$
<i>Poincaré duality</i>	Weil pairing $H^1 \times H^1 \rightarrow H^2$	HR form + functional equation
<i><math>Cl(1,1)</math> structure</i>	Inherent in $H^1$ geometry	$J, H$ on $L^2(\mathbb{R})$ , proved exact
<i>Status</i>	Proved (Weil, Deligne)	Constructed; open step = Hodge Index analog

The algebraic skeleton of the function-field proof is reproduced exactly. The remaining step is the number-field analog of (3): prove  $\ker(\Pi) = 0$  from the global non-degeneracy of the HR form.

## 5. The Global Duality: Primes as Operators

The explicit formula in distributional form: for admissible test functions  $g$ ,

$$\Sigma_{-\rho} \bar{g}(\gamma) = \Sigma_{\{n \geq 1\}} \Lambda(n) / \sqrt{n} \cdot g(\log n) + \text{analytic terms}$$

The zeros are at frequencies  $\gamma = \text{Im}(\rho)$ ; the prime comb is at positions  $\{m \cdot \log p\}$ .

**Theorem (Classical).**  $\{\log p : p \text{ prime}\}$  is linearly independent over  $\mathbb{Q}$ .

*Proof.*  $\sum q_i \log p_i = 0, q_i \in \mathbb{Q} \Rightarrow \prod p_i^{b_i} = 1, b_i \in \mathbb{Z} \Rightarrow \text{all } b_i = 0$  by unique factorization.  $\square$

The prime comb is maximally incommensurate. This is the primordial non-degeneracy. The question: what does this force on the zero side?

*Note.* The explicit formula holds unconditionally — no RH assumption. The mechanism applies to all non-trivial zeros regardless of location.

## 6. Two Programs

The route taxonomy (§3) closes all classical approaches. The codimension-2 lemma (§2) and the construction (§4) identify the correct objects and proof type. Two programs formalize the remaining step.

### Program A: Beurling-Type Sampling Theorem

**The claim.** The  $\Lambda(n)/\sqrt{n}$ -weighted prime comb cannot be reconstructed from a spectral exponential system with any multiplicity  $\geq 2$ . A double zero introduces a linear dependency in  $\{e^{i\gamma_n t}\}$  at frequency  $\gamma_0$ . An independent sampling set requires a spanning exponential system; a dependent system cannot span.

**What Beurling-Kadec provides.** Beurling (1938) and Kadec (1964) developed Riesz bases of exponentials for irregular sampling sets. The modern theory (Ortega-Cerdà and Seip, 2002; Seip, 2004) extends to weighted irregular sampling in Paley-Wiener spaces.

**Program A.1.** State and prove: the  $\Lambda(n)/\sqrt{n}$ -weighted comb at  $\{m \cdot \log p\}$  cannot be reconstructed from a spectral measure with any zero of multiplicity  $\geq 2$ . This follows from a Beurling-type theorem for the function space and weighting determined by the explicit formula.

**Technical challenge.** The prime comb is sparse: positions  $\{m \cdot \log p\}$  are not uniformly dense. Standard Beurling-Kadec assumes uniform density (Beurling's gap condition). Whether existing results apply or a new variant is needed is the precise remaining question. Structurally, the target is an analog of Kadec's  $1/4$ -Theorem for arithmetically weighted systems: proving that the linear independence of  $\{\log p\}$  over  $\mathbb{Q}$  prevents the existence of any non-trivial kernel in the associated



Paley-Wiener interpolation space under the Mangoldt measure  $d\mu = \Lambda(n)/\sqrt{n} \cdot \delta_{\{\log n\}}$ . The uniform arithmetic density of the prime comb under this measure is the candidate replacement for Beurling's classical gap condition.

**The irregularity is a feature.** An incommensurate comb is harder to reconstruct from a degenerate spectrum than a regular lattice. Sparsity strengthens the conjecture.

**Connection to §2.** The codimension-2 lemma is Program A's local incarnation:  $w_1$  and  $w_2$  non-proportional because  $\log(1) \neq \log(2) \neq \dots \neq \log(N)$ . Local linear independence (integers) is the local form of global linear independence ( $\{\log p\}$  over  $\mathbb{Q}$ ). Program A makes this global.

## Program B: Hodge Index Analog for $H^*_{\text{arith}}$

**The construction is done.**  $H^*_{\text{arith}}$  is built explicitly (§4). Theorem 3 proved:  $C1.1\text{-rev} \leftrightarrow \ker(\Pi) = 0$ . The remaining step is proving  $\ker(\Pi) = 0$ .

**Program B.1.** Prove that the global Hodge-Riemann form  $\langle \cdot, \Pi \cdot \rangle_{\text{HR}}$  is non-degenerate on  $H^1_{\text{arith}}$ . This is equivalent to C1.1-rev by Theorem 3.

**Why this is the right question.** In the function-field case,  $\ker(\text{Frobenius}) = 0$  follows from Hard Lefschetz: the intersection pairing on primitive cohomology is positive-definite. The number-field analog needs: the HR pairing on  $H^1_{\text{arith}}$  is non-degenerate (not necessarily positive-definite — the form is indefinite, taking both signs). This is why all positivity approaches fail (Route 7): the form is indefinite. The proof needs a non-degeneracy argument for an indefinite form.

Three directions:

*Direction A (Spectral):* Does the  $Cl(1,1)$  structure, Lorentzian signature, or OCA generator force a spectral gap for  $\Pi$  away from 0?

*Direction B (Harmonic theory):* A notion of archimedean harmonic forms — elements of  $\ker(\Pi^*\Pi)$  — making the Hodge decomposition analytic.

*Direction C (Transversality):* The deformation  $D \rightarrow D + \varepsilon\Pi$  moves eigenvalues transversally ( $\text{Re}(G(t_0)) \neq 0$ ) iff  $\Pi$  is hyperbolic. Proving hyperbolicity from the  $Cl(1,1)$  algebraic structure, without requiring global Weil positivity.

**Status.**  $H^*_{\text{arith}}$  built. Theorem 3 proved. Directions A–C named.  $\ker(\Pi) = 0$  open.

**Key distinction from Program A.** Program A is an independence argument (sampling set independent  $\rightarrow$  spectrum simple). Program B is a non-degeneracy argument (HR form non-degenerate on  $H^1_{\text{arith}} \rightarrow \ker(\Pi) = 0$ ). Program A is more elementary and targeted. Program B has more structure built and connects more directly to the function-field proof. Both are open.

## 7. The Scale Separation

The small-N propositions (Appendix A) provide quantitative evidence. Universal reduction: at any simple zero  $t_0$ ,  $Z=0$  and  $Z''=0$  require  $\theta''(t_0) = -Q/S$ . The scale separation:

$$\begin{aligned} \theta''(t_0) &\sim 1/(2t_0^2) \rightarrow 0 && [\text{smooth, analytic}] \\ |Q/S| &\geq 0.11 \text{ at all tested zeros} && [\text{arithmetic, empirically bounded below}] \\ \text{ratio } |Q/S|/\theta'' &\sim t_0^2(\log t_0)^2 \rightarrow \infty && [\text{diverging}] \end{aligned}$$

Structural reading:  $\theta''$  is smooth and knows nothing about primes.  $Q/S$  encodes prime-log frequencies through  $a_n = \theta' - \log(n)$ . As  $t_0$  grows, the smooth part shrinks while the arithmetic part stays bounded — protected by the independence of  $\{\log n\}$ . Arithmetic independence dominating analytic degeneracy. The analytic lower bound  $|Q/S| \geq c > 0$  at zeros is the remaining step for Program A; it is equivalent to  $\ker(\Pi) = 0$  for Program B.

The analytic lower bound  $|Q/S| \geq c > 0$  at zeros is the remaining step for Program A; it is equivalent to  $\ker(\Pi) = 0$  for Program B. The qualitative half —  $\{\log p\}$  independent,  $w_1$  and  $w_2$  never proportional (the codimension-2 lemma) — is elementary. The remaining half is effective: excluding a near-vanishing of  $Q$  at the arithmetically selected zero heights requires an effective lower bound on linear forms in logarithms (Baker-type). This qualitative  $\rightarrow$  effective gap is the same boundary met from the prime side; equidistribution names it cleanly but does not evaluate it.

## 8. The Restatement

C1.1-rev is an arithmetic non-degeneracy condition, not an analytic one. The analytic tools see only  $\text{Re}[H_\Lambda] = 0$  (invariant, killed by symmetry). The open content  $\text{Im}[H_\Lambda]$  lives in the anti-invariant subspace, accessible only through the arithmetic structure of the primes.

The correct object is  $H^*_{\text{arith}}$  (§4): the cohomology where C1.1-rev becomes  $\ker(\Pi) = 0$ . The correct proof type is spectral rigidity from primal independence (§5–§6).

Subject to Program A or Program B:

$$\begin{aligned} &\textbf{Unique factorization} \rightarrow \textbf{linear independence of } \{\log p\} \rightarrow \textbf{incommensurate prime} \\ &\textbf{comb} \rightarrow \textbf{non-degenerate dual spectrum} \rightarrow \textbf{ker}(\Pi) = 0 \rightarrow \textbf{C1.1-rev}. \end{aligned}$$

The explicit formula is the identification joint. Every step is classical or proved except the last in each program. The simplicity of the zeros is the Fourier reflection of a combinatorial fact every first-year number theory student knows: 2 and 3 are different primes.

This is zero *simplicity* (Sub-case A) — that each zero is simple — a distinct conjecture from zero *location* (RH proper). The two live in the same program but are different theorems. More precisely:

simplicity enters the location argument indirectly through ZSP-2026's contradiction structure — an off-line zero at  $\sigma^* + it^*$  would force the on-line zero at  $\frac{1}{2} + it^*$  to satisfy  $Z''(t_0) = 0$ , i.e., to be non-simple; this document rules out that non-simple configuration. The direction of the bearing is one-way: simplicity blocks a route to off-line zeros; it does not independently establish location.

## 9. Connection to the Framework

*This section is motivation, not proof. It states why the framework expects  $\ker(\Pi) = 0$ ; it supplies none of the step left open in §§6–7, and nothing in it is used by any proved claim above.*

In  $\text{Cl}(1,1)$  — proved exact on  $L^2(\mathbb{R})$  (§4.1) — the splitting  $\text{Re}[H_\Lambda] = 0 / \text{Im}[H_\Lambda]$  open is the invariant/anti-invariant decomposition:  $e_1$  (OCA, building, Re),  $e_2$  (ACO, reading, Im),  $e_1 e_2$  (COA-2026 [4], engine, pseudoscalar). Theorem 2 is this splitting as a proved theorem. The COA pseudoscalar  $e_1 e_2$  is the structural analog of the Frobenius-Poincaré pairing:  $(e_1 e_2)^2 = +1$ , an involution — non-degenerate by definition; but this is non-degeneracy of the pseudoscalar, which transfers to the HR form on  $H^1_{\text{arith}}$  only through Program B's open argument, not for free. Program B is the classical formalization of that transfer, not a substitute for it.

In the Dias Dimensions framework (OCA-2026, Elements-2026), the primes are irreducible operators  $\Omega_2, \Omega_3, \Omega_5, \Omega_7$  — FORCED by algebraic and grammatical registers. Their irreducibility is the algebraic form of unique factorization. The zeros are COA events — recognition events at address (1,1) (COA-2026 [4], Theorem 3). The explicit formula is the ACO reading mapping prime operators to zero structure. Prime non-degeneracy (unique factorization) transfers as zero non-degeneracy (simple zeros) via the duality. The grammar says partial transmission is incoherent: if the building direction is non-degenerate, the reading direction must be too.

## 10. Open Problems

1. **Prove Program A.** Check whether Ortega-Cerdà/Seip weighted irregular sampling applies to the  $\Lambda(n)/\sqrt{n}$  prime comb, or build the needed variant.
2. **Prove Program B.** Prove  $\ker(\Pi) = 0$  on  $H^1_{\text{arith}}$  via one of Directions A–C (§6).
3. **Local-to-global bridge.** Connect the window-by-window codimension-2 argument to the global sampling theorem through the explicit formula and remainder control.
4. **Higher multiplicities.** Rule out multiplicity  $\geq 3, \geq 4$ , etc. Both programs should give stronger results.
5. **All primitive L-functions.** Every primitive L-function shares the same prime comb  $\{\log p\}$ . Program A or B, once proved for  $\zeta$ , gives simplicity for all primitive L-functions simultaneously.

6. **The new language.** Spectral simplicity as arithmetic independence — developing this from program to theorem.

## Epistemic Status

Claim	Status
FTA $\rightarrow \{\log p\}$ linearly independent over $\mathbb{Q}$	<b>Theorem (classical)</b>
$\text{Re}[H_\Lambda(\rho_0)] = 0$ universally	<b>Theorem (proved)</b>
Factor-of-2 correction	<b>Theorem (proved)</b>
Explicit formula as duality	<b>Theorem (Weil)</b>
$\text{Cl}(1,1)$ structure on $L^2(\mathbb{R})$ : $JH = -HJ$ exactly	<b>Theorem (proved)</b>
Hodge decomposition $H^{\wedge\{1,0\}} \oplus H^{\wedge\{0,1\}}$ on $H^1_{\text{arith}}$	<b>Proved construction</b>
Hard Lefschetz for $H^*_{\text{arith}}$	<b>Proved</b>
Hecke equivariance	<b>Proved</b>
$\text{C1.1-rev} \leftrightarrow \ker(\Pi) = 0$ (Theorem 3)	<b>Theorem (proved)</b>
Codimension-2 lemma (main sum, all $N$ )	<b>Theorem (proved, Appendix A)</b>
All seven classical routes categorically blocked	<b>Proved taxonomy</b>
Scale separation $\theta'' \ll  Q/S $ at zeros ( $N=1,2,3$ exact; general empirical)	Verified (50-digit precision)
Program A (Beurling-type for prime comb)	Open program
Program B ( $\ker(\Pi) = 0$ on $H^*_{\text{arith}}$ )	Open problem (object built)
Full C1.1-rev	Research program (subject to A or B)

## Relational Navigation

***Grounded in:*** ZSP-2026 — this paper is the direct spectral companion to ZSP's zero placement proof; Elements of Fractal Geometry — axiomatic foundation for the generator and operator structure; COA-2026 — the  $C=0$  recognition condition that this paper instantiates in the arithmetic register: zeros are COA events, recognition events at address  $(1,1)$ , and unique factorization is what makes those events non-degenerate.

**Closes:** The route taxonomy of §3 — every classical analytic approach fails for the same structural reason (tools see only the invariant subspace  $\text{Re}[H_\Lambda] = 0$  and cannot reach the anti-invariant subspace  $\text{Im}[H_\Lambda]$  where the arithmetic content lives). This is a proved wall, not a missed technique.

**Extends:** ACO-2026 — the explicit formula is the ACO reading direction: prime operators (building) map to zero structure (reading) via the prime-zero duality. The  $\text{Cl}(1,1)$  structure on  $L^2(\mathbb{R})$  (§4.1) connects the framework's algebraic ground to the spectral decomposition; the splitting  $\text{Re}[H_\Lambda] = 0 / \text{Im}[H_\Lambda]$  open is the invariant/anti-invariant decomposition at the arithmetic address.

**Open:** Program A (Beurling-type sampling theorem for the von Mangoldt-weighted comb) and Program B (Hodge Index analog for  $H^*_{\text{arith}}$ ) — subject to either, unique factorization is the non-degeneracy theorem for the zeros. Program B is the classical formalization of COA's non-degeneracy transfer; it is not a substitute for it.

**Foundation:** Elements of Fractal Geometry (DOI: 10.5281/zenodo.20266621). ZSP-2026 (DOI: 10.5281/zenodo.19107005) is the direct predecessor; results there are imported without repetition.

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## Appendix A: C1.1-rev Small-N Propositions and the General Pattern

**Scope.** All propositions analyze the N-term Riemann-Siegel main sum  $Z_{\text{main}}(t) = 2 \cdot \sum_{n=1}^N \cos(\varphi_n)/\sqrt{n}$ , where  $\varphi_n = \theta(t) - t \cdot \log n$  and  $N = \lfloor \sqrt{t/2\pi} \rfloor$ . The full  $Z(t) = Z_{\text{main}}(t) + R_N(t)$ .

The standard Riemann-Siegel remainder satisfies  $|R_N''(t)| = O(t^{-1/4})$ . Since  $\theta''(t) \sim 1/(2t^2)$  and  $|Q/S|$  remains  $O(1)$  at zeros empirically, the remainder is bounded orders of magnitude below the arithmetic signal:  $|R_N''(t_0)| = O(t^{-1/4})$  while the scale separation gives  $|Q/S|/\theta'' \sim t^2(\log t)^2 \rightarrow \infty$ . The analytic noise from  $R_N$  cannot close the gap between  $\theta''$  and  $|Q/S|$ . Application to the full Z-function is therefore valid in each window.

### Lemma (Universal Reduction).

At any simple zero  $t_0$  of  $Z_{\text{main}}$ , if  $Z_{\text{main}}''(t_0) = 0$  then:

$$\theta''(t_0) \cdot S + Q = 0, \quad \text{i.e.,} \quad \theta''(t_0) = -Q/S \quad (\text{when } S \neq 0)$$

where  $S = \sum_{n=1}^N \sin(\varphi_n)/\sqrt{n}$  and  $Q = \sum_{n=1}^N a_n^2 \cdot \cos(\varphi_n)/\sqrt{n}$ ,  $a_n = \theta'(t_0) - \log n$ .

*Proof.*  $Z_{\text{main}}'' = -2 \cdot \sum [\theta'' \cdot \sin(\varphi_n) + a_n^2 \cdot \cos(\varphi_n)]/\sqrt{n} = -2(\theta'' \cdot S + Q)$ .  $\square$

*S = 0 case:* If  $S = 0$ , then  $Z_{\text{main}}'' = 0$  requires  $Q = 0$ . But  $Q = 0$  with  $Z_{\text{main}} = 0$  is codimension-2 (§2, weight vectors  $w_1$  and  $w_2$  never proportional).  $S = 0$  makes  $Z_{\text{main}}'' = 0$  strictly harder, not easier.

### Proposition N=1 (zeros 1–3, $t_0 \in [14.13, 25.01]$ ): PROVED

$Z_{\text{main}} = 2\cos(\theta(t))$ , so  $Z_{\text{main}}(t_0) = 0$  forces  $\sin(\theta(t_0)) = \pm 1$ . The reduction gives  $\theta''(t_0) \cdot \sin(\theta(t_0)) = 0$ , so  $\theta''(t_0) = 0$ . But  $\theta''(t) = -\text{Re}[\psi_1(1/4 + it/2)]/4 \neq 0$  for all  $t \in \mathbb{R}$  ( $\text{Re}[\psi_1(1/4 + it/2)] > 0$  from the trigamma function's integral representation, Prop A.1 of ZSP-2026 [1]). Contradiction.  $\square$

### Proposition N=2 (zeros 4–12, $t_0 \in [25.01, 56.55]$ ): PROVED

$Z_{\text{main}}(t_0) = 0$  gives  $c_1 = -c_2/\sqrt{2}$ . The full  $Z_{\text{main}}'' = 0$  condition becomes:

$$\theta''(t_0) \cdot (s_1 + s_2/\sqrt{2}) + \log 2 \cdot (\log 2 - 2\theta'(t_0)) \cdot c_2/\sqrt{2} = 0$$

**Scale separation in the N=2 window:**

$$|Q/c_2| = |\log 2 \cdot (\log 2 - 2\theta')|/\sqrt{2} \in [1.675, 1.681] \quad (O(1), \text{ bounded below})$$

$$\theta''(t_0) \in [7.8 \times 10^{-5}, 4.0 \times 10^{-4}] \quad (O(10^{-4}))$$

$$\text{Ratio } |Q/c_2|/\theta'' \in [4,200, 21,421]$$

For the zero condition to hold:  $|Q| = |Q/c_2| \cdot |c_2| \geq 1.675 \cdot |c_2|$ , forcing  $|c_2| \leq O(10^{-4})/1.675 \sim 6 \times 10^{-5}$ . With  $|c_2| \sim 6 \times 10^{-5}$ :  $|Q| = 1.675 \cdot |c_2| \sim 10^{-4}$ , but  $|Q| = \theta'' \cdot |S| \sim 2.8 \times 10^{-4}$ . No consistent solution exists.  $Z_{\text{main}}''(t_0) \neq 0$  for all N=2 zeros.  $\square$

*Numerical verification: at all 9 zeros, ratio  $|Q/c_2|/\theta'' \in [6,211, 21,343]$ . Verified at 50-digit precision.*

**Proposition N=3 (zeros 13–29,  $t_0 \in [56.55, 100.53]$ ): Analytically structured; numerically verified**

**Step 1.**  $Z_{\text{main}}(t_0) = 0$  and  $Z_{\text{main}}''(t_0) = 0$  require  $\theta''(t_0) = -Q/S$  with  $Q = (a_1^2 - a_3^2) \cdot c_1 + (a_2^2 - a_3^2) \cdot c_2/\sqrt{2}$ .

**Step 2 (Codimension-2).** The weight vectors  $w_1 = (1,1,1)$  and  $w_2 = (a_1^2, a_2^2, a_3^2)$  are never proportional. Proportionality requires  $a_1^2 = a_2^2 = a_3^2$ , forcing  $\log(1) = \log(2) = \log(3)$ . Impossible.  $Z_{\text{main}} = 0$  and  $Q = 0$  are genuinely independent (codimension 2). Simultaneous satisfaction is a transcendental coincidence with no structural support.

**Step 3 (Scale separation).**  $\theta''(t_0) \in [2.7 \times 10^{-5}, 7.9 \times 10^{-5}]$  in window. At all 17 zeros (50-digit precision):  $|Q/S| \in [0.679, 954]$ , minimum ratio  $10,772 \times$ .  $\theta''(t_0)$  and  $-Q/S$  cannot be equal.  $Z_{\text{main}}''(t_0) \neq 0$  for all N=3 zeros.  $\square$

### The General Pattern (Structural Observation)

For all N, the universal reduction gives  $\theta''(t_0) = -Q/S$  where:

$$\theta''(t_0) \sim 1/(2t_0^2) \rightarrow 0 \quad [\text{Stirling}]$$

$$a_n \sim -\log(n \cdot \sqrt{t_0/2\pi}), \text{ so } a_n^2 \sim (\log t_0)^2/4 \quad [\text{grows}]$$

$$|Q/S|/\theta'' \sim t_0^2 (\log t_0)^2 \rightarrow \infty \text{ [diverging scale separation]}$$

Verified across windows:

$$N=1: \theta'' \sim 1.3 \times 10^{-3}, |Q/S| \sim 0.30-0.42, \text{ ratio } \sim 240-742$$

$$N=2: \theta'' \sim 7.8 \times 10^{-5} - 4.0 \times 10^{-4}, |Q/c_2| \sim 1.68, \text{ ratio } \sim 4,200-21,421$$

$$N=3: \theta'' \sim 2.7-7.9 \times 10^{-5}, |Q/S| \sim 0.68-954, \text{ ratio } \sim 10,772-13,435,912$$

$$N=4: \theta'' \sim 1.9-2.4 \times 10^{-5}, |Q/S| \sim 0.15-60, \text{ ratio } \sim 6,203-3,006,482$$

$$N=5: \theta'' \sim 1.0-1.2 \times 10^{-5}, |Q/S| \sim 1.02-11, \text{ ratio } \sim 99,126-948,916$$

The codimension-2 structure holds for all  $N$ :  $w_1$  and  $w_2$  proportional would require  $\log(1) = \log(2) = \dots = \log(N)$ , impossible for all  $N \geq 2$ .

**Remaining analytic step.** Prove  $|Q/S| \geq c > 0$  at zeros of  $Z_{\text{main}}$  without per-zero numerical verification. The codimension-2 structure ( $Q = 0$  at a zero is transcendentially coincidental) and the diverging scale separation ( $\theta'' \rightarrow 0$ ,  $|Q/S|$  stays  $O(1)$ ) together give the structural argument. The analytic formalization is the open edge — equivalent to the lower bound needed for Program A.

*Computed at 50-digit precision (Python/mpmath). Verification scripts available in the ZSP-2026 Zenodo record (DOI: 10.5281/zenodo.19107005).*

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