

3D+3D Laboratory — Paper XCIII, Addendum

Diagonalization of the Friedmann Hessian:

Synchronized Attractor, Block Structure, and the Origin of the Numerator 19

Three CAS-verified results: (i) $\text{Hess}(G_{00})$ is block-diagonal in the (H, S, D) basis; (ii) the antisymmetric mode $D = P - Q$ decouples with eigenvalue -1 ; (iii) $\text{Hess}(G_{00}) \cdot (6, 1, 1)^T = (42, 19, 19)^T$

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Red Team: Vega (OpenAI) — identified the (H, S, D) basis, the block-diagonal structure, and

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Abstract

We prove three results that complete the structural analysis of $\text{Hess}(G_{00}) = -G_{\text{DeWitt}}$ (Paper XCIII). **(i) Block-diagonal structure:** in the basis $(H, S = \frac{P+Q}{\sqrt{2}}, D = \frac{P-Q}{\sqrt{2}})$, the Friedmann Hessian is exactly block-diagonal:

$$\text{Hess}(G_{00}) \rightarrow \begin{pmatrix} 6 & 3\sqrt{2} & 0 \\ 3\sqrt{2} & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}.$$

(ii) Eigenvalues and synchronization: the characteristic polynomial is $(\lambda + 1)(\lambda^2 - 7\lambda - 12) = 0$, giving eigenvalues $\lambda_D = -1$ (antisymmetric mode) and $\lambda_{\pm} = (7 \pm \sqrt{97})/2$ (symmetric block). The negative eigenvalue $\lambda_D = -1$ explains, geometrically, why the physical attrac-

tor lies on the synchronized submanifold $P = Q$ ($v_* = D/H = 0$): the antisymmetric direction is a saddle point. **(iii) Attractor maps to 19:** the attractor direction $(6, 1, 1) \propto (H_0, P_0, Q_0)$ satisfies

$$\text{Hess}(G_{00}) \cdot (6, 1, 1)^T = (42, 19, 19)^T.$$

The compact components equal exactly $19 = 2W + d$, the cosmological numerator. Synchronization, the attractor $u^* = 1/3$, and the numerator 19 are three projections of the same Hessian structure. All results are CAS-verified with SymPy (exact arithmetic).

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1 The Natural Basis: Symmetric and Antisymmetric Modes

Paper XCIII established $\text{Hess}(G_{00}) = -G_{\text{DeWitt}}$ in the original velocity variables (H, P, Q) . The natural basis for understanding the physics of this result is:

$$\begin{aligned} H \text{ (isotropic), } \quad S = \frac{P+Q}{\sqrt{2}} \text{ (symmetric),} \\ D = \frac{P-Q}{\sqrt{2}} \text{ (antisymmetric).} \end{aligned} \tag{1}$$

This change of basis is orthonormal and corresponds to the decomposition of the two compact temporal dimensions into their symmetric (in-phase) and antisymmetric (out-of-phase) combinations.

Theorem 1.1 (Block-diagonal structure (CAS)). *In the basis (H, S, D) defined by (1), the Friedmann Hessian is exactly block-diagonal:*

$$\text{Hess}(G_{00}) \xrightarrow{(H,P,Q) \rightarrow (H,S,D)} \begin{pmatrix} 6 & 3\sqrt{2} & 0 \\ 3\sqrt{2} & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix} =: \begin{pmatrix} B_{\text{sym}} & 0 \\ 0 & \lambda_D \end{pmatrix}, \quad (2)$$

where $B_{\text{sym}} = \begin{bmatrix} 6 & 3\sqrt{2} \\ 3\sqrt{2} & 1 \end{bmatrix}$ is the symmetric block and $\lambda_D = -1$ is the antisymmetric eigenvalue.

Proof. The change-of-basis matrix is $T = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1/\sqrt{2} & 1/\sqrt{2} \\ 0 & 1/\sqrt{2} & -1/\sqrt{2} \end{bmatrix}$ (orthonormal). Direct CAS computation: $T^{-1} \text{Hess}(G_{00}) T = \begin{bmatrix} 6 & 3\sqrt{2} & 0 \\ 3\sqrt{2} & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}$. \square \square

Remark 1.2. The exact vanishing of the off-diagonal entries involving D means the antisymmetric mode $D = P - Q$ is a true eigenvector of $\text{Hess}(G_{00})$ with eigenvalue -1 . This is an exact algebraic identity, not an approximation.

2 Eigenvalues and the Synchronization Mechanism

Theorem 2.1 (Eigenvalues of $\text{Hess}(G_{00})$, CAS-verified). *The characteristic polynomial of $\text{Hess}(G_{00})$ is:*

$$p(\lambda) = (\lambda + 1)(\lambda^2 - 7\lambda - 12) = 0, \quad (3)$$

with eigenvalues:

$$\lambda_D = -1, \quad \lambda_{\pm} = \frac{7 \pm \sqrt{97}}{2}. \quad (4)$$

Numerically: $\lambda_D = -1$, $\lambda_+ \approx 8.424$, $\lambda_- \approx -1.424$.

Proof. By Theorem 1.1, the eigenvalues are: $\lambda_D = -1$ from the D -block, and the eigenvalues of B_{sym} from the symmetric block. $\det(B_{\text{sym}} - \lambda I) = \lambda^2 - 7\lambda - 12 = 0$ gives $\lambda_{\pm} = (7 \pm \sqrt{97})/2$. The full characteristic polynomial is therefore $(\lambda + 1)(\lambda^2 - 7\lambda - 12)$. CAS-verified. \square \square

Remark 2.2 (Connection to Paper XC). The characteristic polynomial of $G_{\text{DeWitt}} = -\text{Hess}(G_{00})$ is $(-\lambda + 1)(-\lambda^2 - 7\lambda - 12) \cdot (-1)^3 = (\lambda - 1)(\lambda^2 + 7\lambda + 12) \dots$

but more importantly, the coefficient of λ in $p(\lambda)$ is -19 :

$$p(\lambda) = \lambda^3 - 6\lambda^2 - 19\lambda - 12. \quad (5)$$

The λ coefficient is $-19 = -I_2(\text{Hess}(G_{00}))$, yet another derivation of the numerator 19: it appears in the characteristic polynomial of $\text{Hess}(G_{00})$.

2.1 Physical interpretation: why synchronization occurs

The eigenvalue $\lambda_D = -1 < 0$ has direct physical meaning:

Proposition 2.3 (Geometric origin of synchronization). *The antisymmetric mode $D = P - Q$ experiences a saddle-point curvature $\lambda_D = -1$ in the Friedmann Hessian. This geometric instability drives the dynamics toward $D \rightarrow 0$, i.e. $P \rightarrow Q$, which is the synchronized submanifold. The fixed point $v_* = 0$ (equivalently $P = Q$) of the DynSys is therefore determined by the sign of λ_D , not merely by the dynamical equations.*

Proof. In the reduced DynSys, the antisymmetric rate $v = D/H = (P - Q)/H$ obeys (Paper III):

$$v' = v \left(\frac{u^2}{4} - u - \frac{3}{2} \right).$$

The fixed point $v_* = 0$ is exactly the synchronized submanifold. By Theorem 1.1, the D -direction corresponds to a negative curvature $\lambda_D = -1$ in $\text{Hess}(G_{00})$, meaning the Friedmann constraint is a local maximum in the antisymmetric direction. The DynSys thus flows away from $D \neq 0$ configurations. \square \square

3 The Attractor Direction and the Numerator 19

Theorem 3.1 (Attractor maps to 19, CAS-verified). *The physical attractor $u^* = 1/3$ with $v^* = 0$ (Paper III) corresponds to the direction $(H_0, P_0, Q_0) \propto (6, 1, 1)$ in the velocity space. The Friedmann Hessian maps this direction to:*

$$\text{Hess}(G_{00}) \cdot \begin{pmatrix} 6 \\ 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 42 \\ 19 \\ 19 \end{pmatrix}. \quad (6)$$

The compact components of the response equal exactly $19 = -I_2(G_{\text{DeWitt}}) = 2W + d$, the cosmological numerator.

Proof. Why (6, 1, 1): At the attractor $u^* = 1/3$, $v^* = 0$: $u^* = (P + Q)/H = 1/3$ and $P = Q$, so $P = Q = H/6$. The velocity vector is $(H, H/6, H/6) \propto (6, 1, 1)$.

Matrix-vector product (CAS): $\begin{bmatrix} 6 & 3 & 3 \\ 3 & 0 & 1 \\ 3 & 1 & 0 \end{bmatrix} \begin{bmatrix} 6 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 36+3+3 \\ 18+0+1 \\ 18+1+0 \end{bmatrix} = \begin{bmatrix} 42 \\ 19 \\ 19 \end{bmatrix}$.

The compact entries $19 = 19$ equal $-I_2(G_{\text{DeWitt}}) = 2W + d = 19$. \square \square

Remark 3.2 (Structural interpretation). The identity (6) reveals a non-trivial link between three objects that were previously derived independently: the DynSys attractor ($u^* = 1/3$, Paper III), the cosmological numerator (19, Paper XC), and the Friedmann Hessian structure (this paper). All three are consequences of the same geometric object $\text{Hess}(G_{00})$.

4 Three Faces of the Same Structure

Three facts, one structure: $\text{Hess}(G_{00})$		
Fact	Content	Consequence
Synchronization	$\lambda_D = -1 < 0$ in D direction	$P - Q \rightarrow 0$ is geometrically forced
Attractor	$u^* = 1/3 \Leftrightarrow (6, 1, 1)$	Physical cosmology on symmetric branch
Numerator 19	$\text{Hess} \cdot (6, 1, 1) = (42, 19, 19)$	19 encoded in attractor response
All three derive from $\text{Hess}(G_{00}) = -G_{\text{DeWitt}}$.		

5 Epistemic Status

Conclusions

The diagonalization of $\text{Hess}(G_{00})$ in the natural (H, S, D) basis reveals that the three key features of the 3D+3D cosmological sector — the synchronization of compact temporal dimensions, the physical attractor $u^* = 1/3$, and the cosmological numerator 19 — are not independent. They are all

Table 1: Status of results in this Addendum.

Result	Status
Hess(G_{00}) block-diagonal in (H, S, D) basis	Theorem (CAS)
Char. poly. = $(\lambda + 1)(\lambda^2 - 7\lambda - 12)$	Theorem (CAS)
$\lambda_D = -1$ (antisymmetric eigenvalue)	Theorem
$\lambda_{\pm} = (7 \pm \sqrt{97})/2$ (symmetric block)	Theorem
Coeff. of λ in char. poly. = -19	Theorem
$\lambda_D < 0$ drives synchronization $P \rightarrow Q$	Proposition
Attractor $(6, 1, 1)$: geometric derivation	Proposition
Hess $\cdot (6, 1, 1) = (42, 19, 19)$	Theorem (CAS)
Compact components = 19 = numerator	Theorem
Synchronization, attractor, 19: three projections	Structural result

encoded in the structure of a single matrix: the Hessian of the Friedmann constraint, which by Paper XCIII equals $-G_{\text{DeWitt}}$.

In particular:

1. The negative eigenvalue $\lambda_D = -1$ forces $P - Q \rightarrow 0$ geometrically.
2. The attractor direction $(6, 1, 1)$ lies in the symmetric block of the Hessian.
3. The Hessian maps $(6, 1, 1)$ to $(42, 19, 19)$, producing the cosmological numerator 19 in the compact components.

As Vega formulates it: “synchronization, the attractor $u^* = 1/3$, and the numerator 19 are three faces of the same structure of the 6D minisuperspace.”

Acknowledgements. Vega (OpenAI) identified the natural (H, S, D) basis, established the block-diagonal form of Hess(G_{00}), computed the characteristic polynomial, and discovered the key identity Hess(G_{00}) $\cdot(6, 1, 1)^T = (42, 19, 19)^T$.

References

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