

# NEUTRINOS FROM CORE COLLAPSE SUPERNOVAE (SNe)

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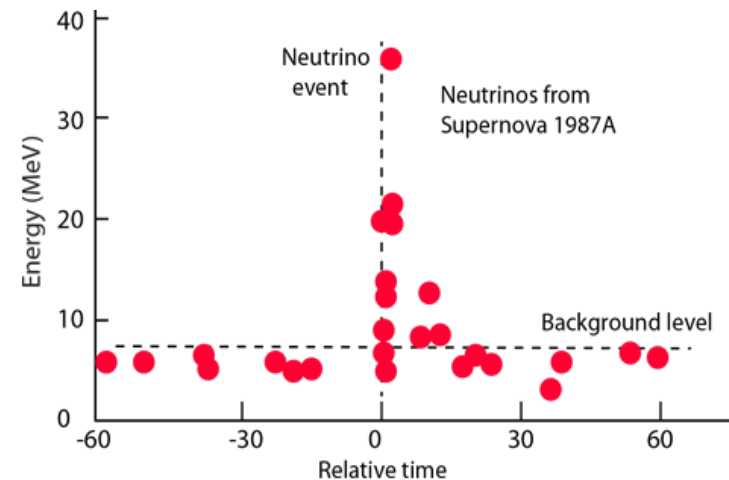
Cecilia Lunardini

Arizona State University

# Topics

- Introduction
- overview and highlights\*
  - Theory
  - Experiment
- Synergies, new directions
  - Connections with HEP, astro
- Discussion

First and *only* SN nu detection: SN1987A



\* Focus on recent advancements, apologies for omissions

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# Introduction

# Stellar death

- $M \gtrsim 8 M_{sun}$ : iron core forms
- Loss of pressure  $\rightarrow$  core collapses into proto-neutron star (PNS)
- $O(10)$  s neutrino burst cools the PNS
  - $L_\nu \sim G M_f^2/R_f - G M_i^2/R_i \sim 3 \cdot 10^{53}$  ergs ( $R_f \sim 10$  Km)
- (revived) shockwave drives explosion of star

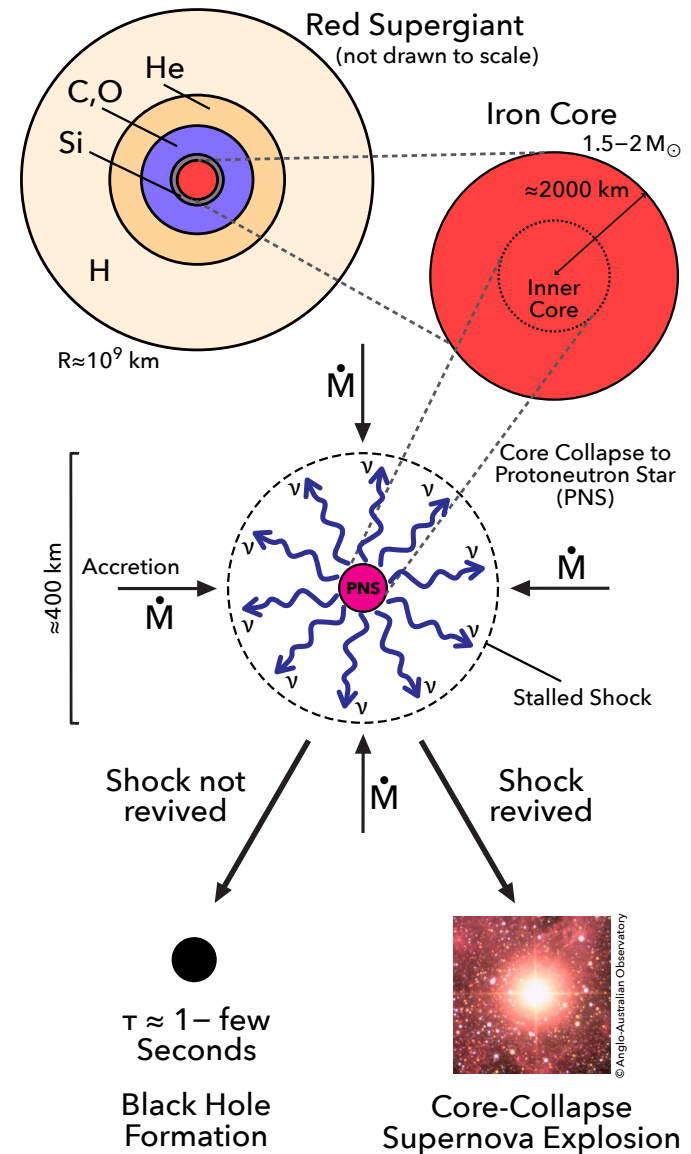


Fig. from C. Ott, *Comput.Sci.Eng.* 18 (2016) 5, 78-92



# Neutrino cooling and heating

- $\nu$  thermalize in ultra-dense matter
  - Surface emission of  $\nu_e, \bar{\nu}_e, \nu_x, \bar{\nu}_x$  ( $x=\mu, \tau$ )
  - Approx. thermal spectrum,  $E \sim 10\text{-}20$  MeV
  - ordering of spectra due to different decoupling radii:

$$\langle E_e \rangle \lesssim \langle E_{\bar{e}} \rangle \lesssim \langle E_x \rangle$$

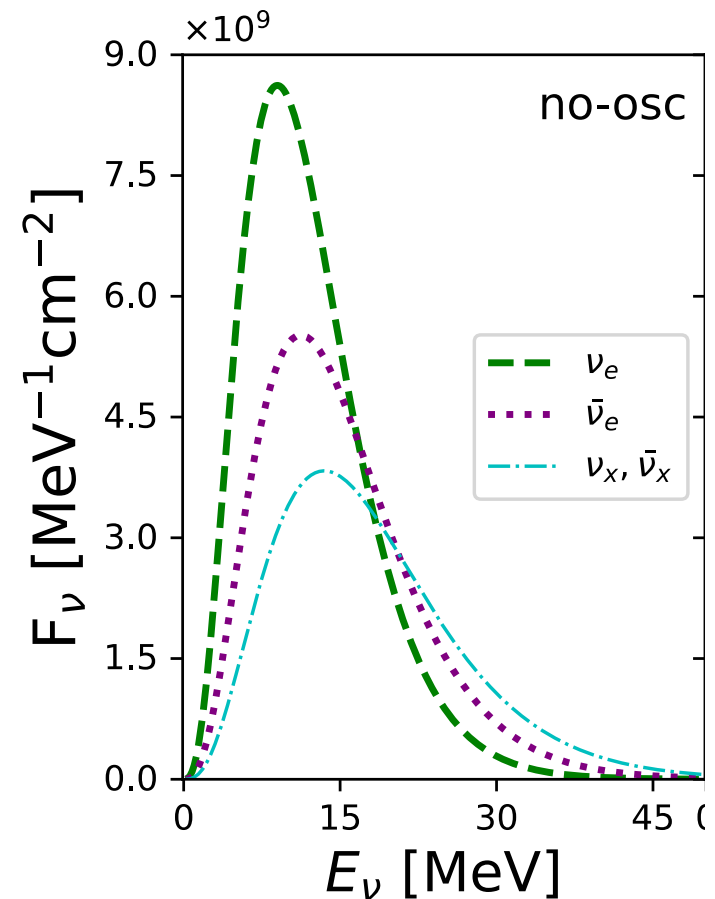


Fig: Maria M. Saez, Universe **2023**, 9(11)

- $\nu$  heating helps launch stalled shock
  - Competition with mass accretion

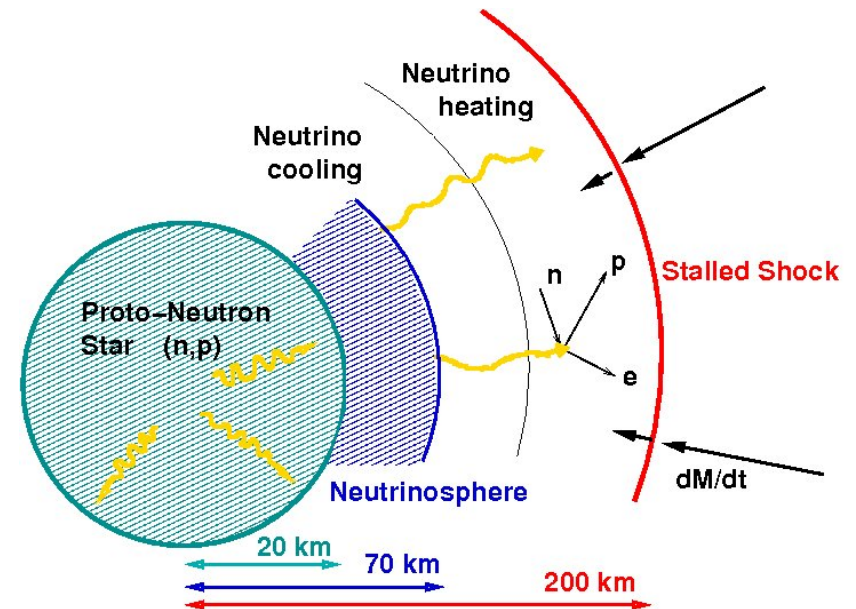


Figure: Amol Dighe, talk at WHEPP XV, 2017

# Direct narrative of near-core physics

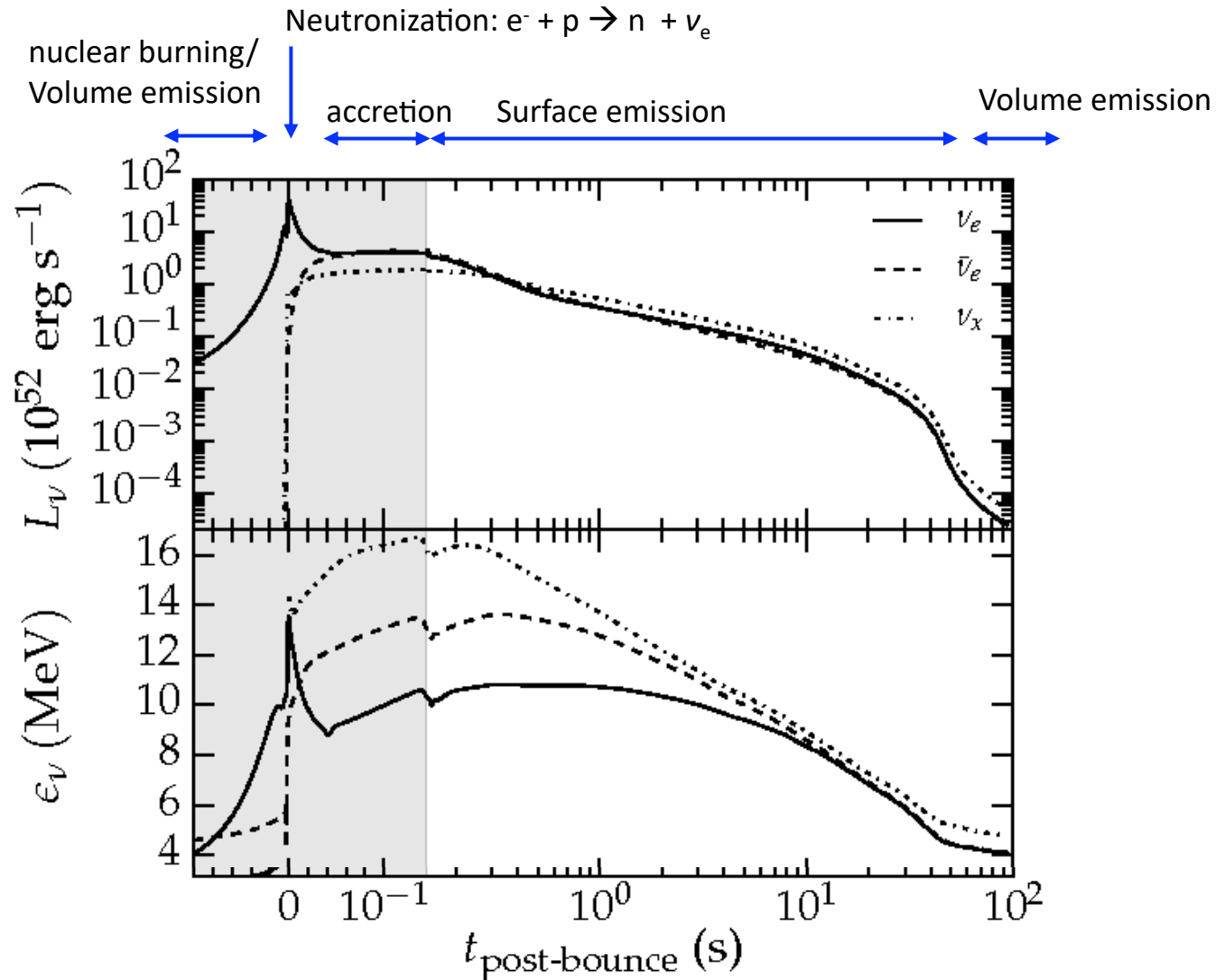


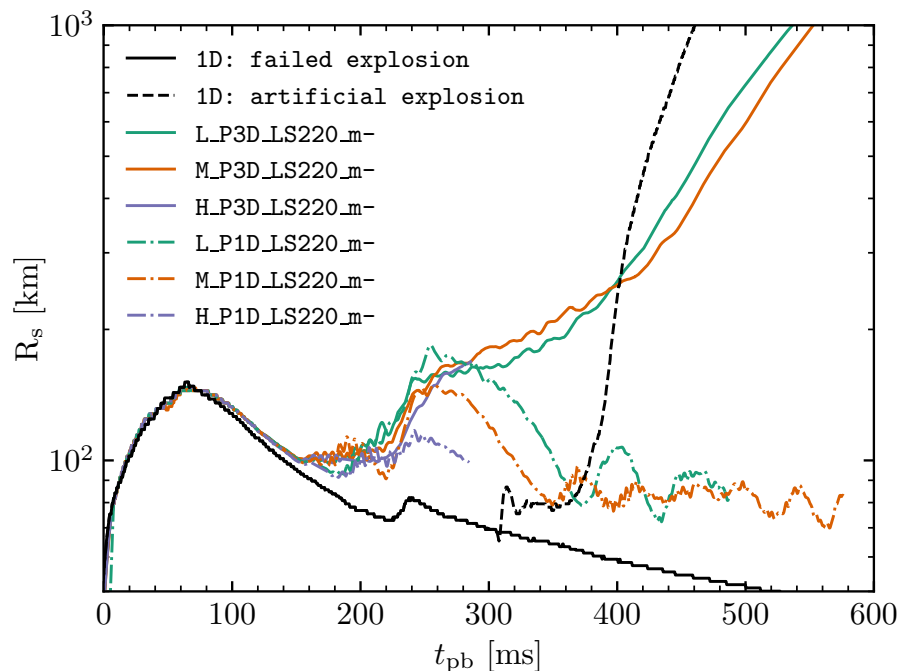
Figure from Roberts and Reddy, Handbook of Supernovae, Springer Intl., 2017

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Status and highlights: theory

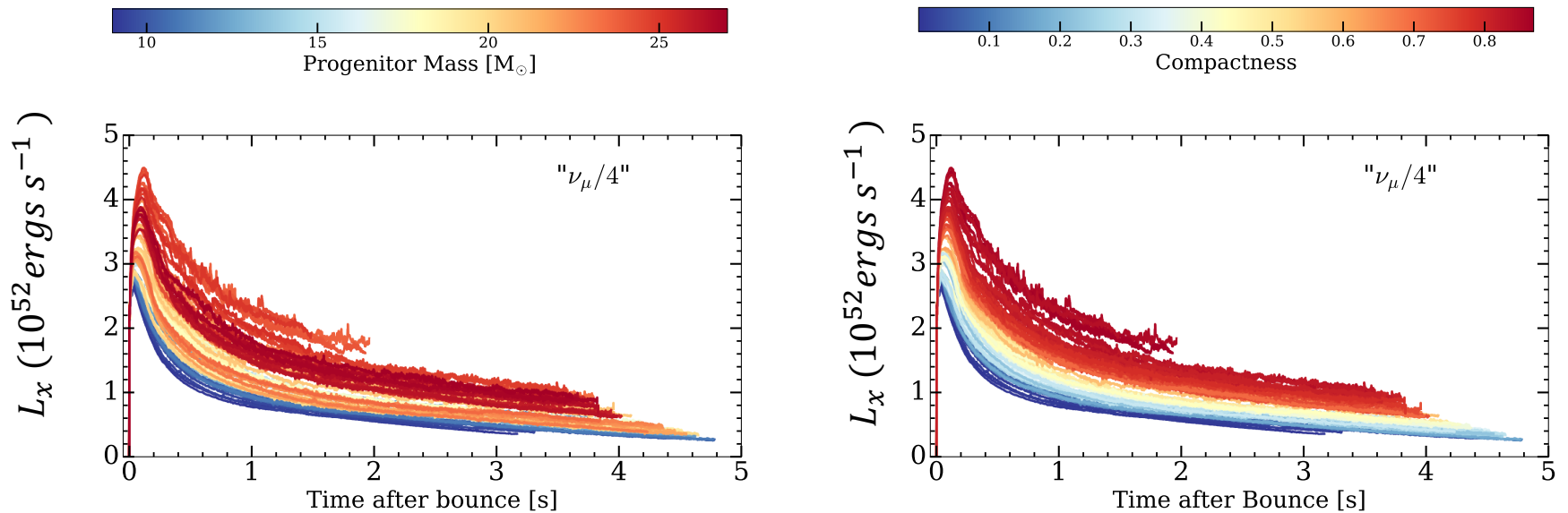
# Numerical simulations: progress toward 3D

- Understanding neutrino-driven shock-revival: from 2D to 3D
  - neutrino heating efficiency increased by multi-D effects: convection, Standing Accretion Shock Instability (SASI)
  - Characterize failed revival (black hole formation)



Bollig et al.,  
*Astrophys.J.* 915 (2021) 1, 28

- Neutrino spectra and luminosities: mapping the parameter space
  - Comprehensive catalogues spanning stellar population
  - Multi-D *and multi-second* simulations
  - Identify key dependences (e.g., compactness:  $\xi_{2.5} = \frac{M/M_{\odot}}{R(M=2.5 M_{\odot})/1000 \text{ km}}$  )



FORNAX 2D multi-second simulations,  $M=9 - 27 M_{\text{sun}}$

Vartanyan and Burrows, MNRAS 526 (4) (2023) 5900–5910 ; Plot from public data: <https://dvardany.github.io/data/>

**References** (representative papers only):

**3DnSNe** : Nakamura, Takiwaki, Kotake, MNRAS 514 (2022) 3, 3941-3952;  
Matsumoto, Takiwaki, Kotake, MNRAS 528 (2024) L96

**3DGRMHD** : Shibagaki, Kuroda, Kotake, Takiwaki and Fischer, *MNRAS*, (2024)  
stae1361

**CHIMERA** : Bruenn, Blondin, Lentz, Messer et al., ApJ., Suppl. 248 (1) (2020)

**FLASH-M1** : O'Connor, *ApJ.Suppl.* 219 (2015) 2, 24 ; O'Connor and Couch,  
*Astrophys.J.* 865 (2018) 2, 81

**FORNAX** : Vartanyan and Burrows, MNRAS 526 (4) (2023) 5900–5910

**VERTEX** : Bollig, Yadav, Kresse, Janka, Mueller and Heger, ApJ. 915 (1) (2021) 28.

# Flavor conversion

- Flux permutation:

$$F_e = p F_e^0 + (1 - p) F_x^0$$

- $p = p(E, t)$ , Cumulative effect of conversion in star, vacuum, Earth
- Hardening of  $\nu_e$  and  $\bar{\nu}_e$  spectra due to  $\nu_x \rightarrow \nu_e$ ,  $\nu_x \rightarrow \bar{\nu}_e$

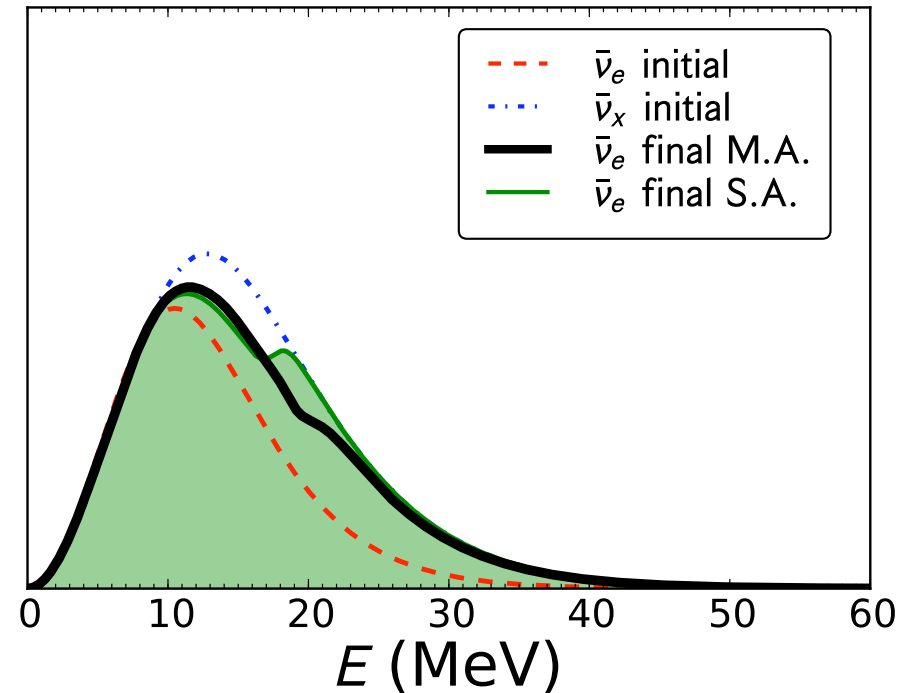


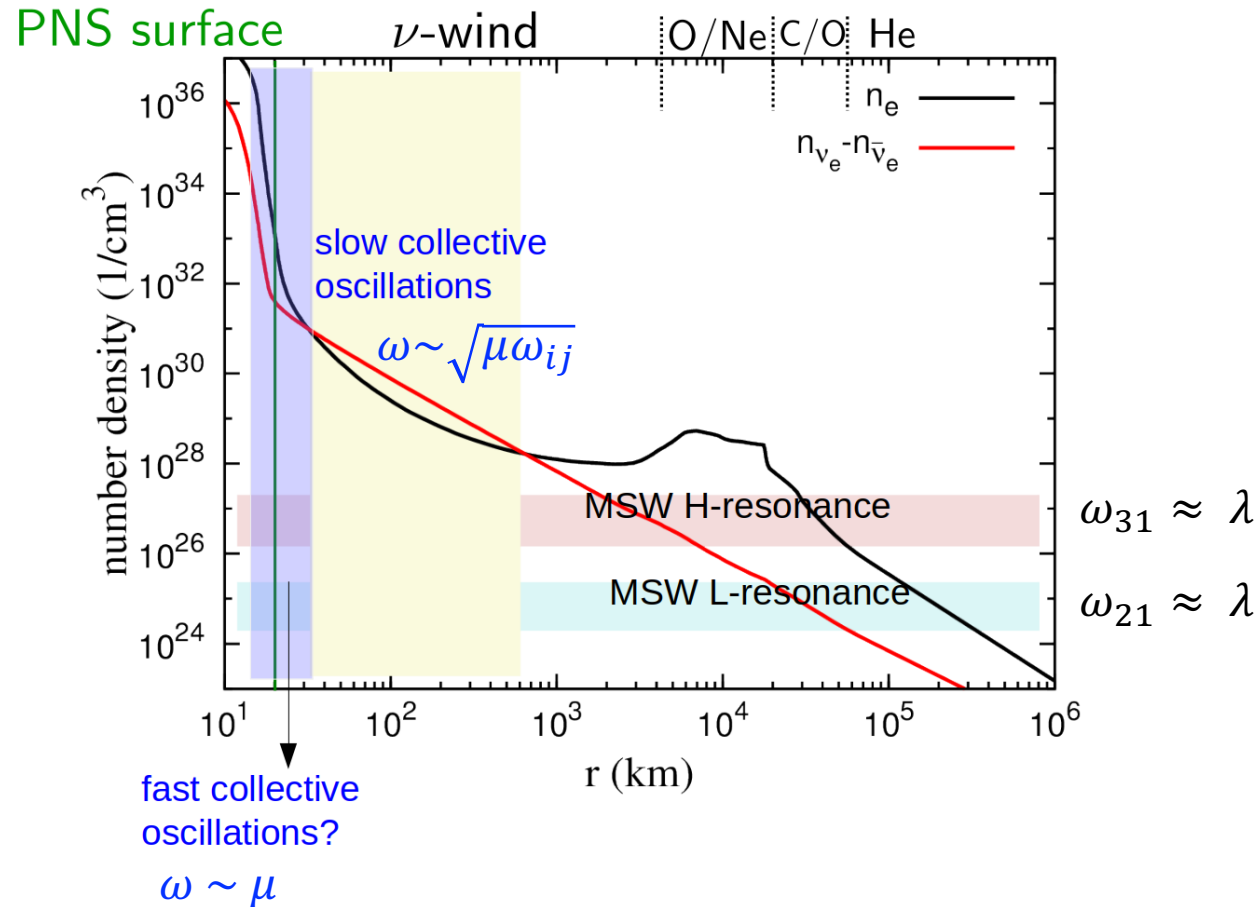
Fig. from Duan and Friedland, PRL 106:091101,2011



# Interplay of frequencies

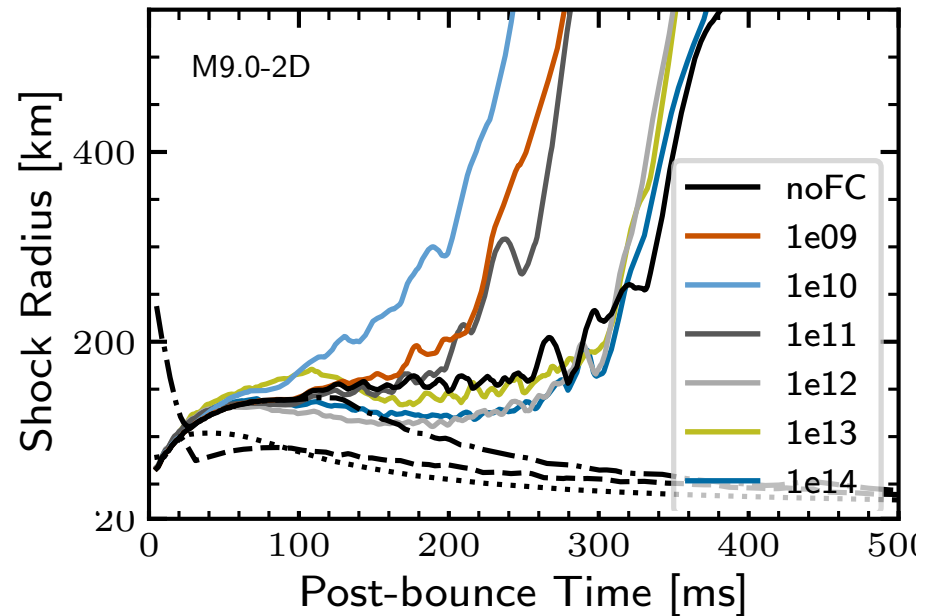
- Vacuum:  $\omega_{ij} = \Delta m_{ij}^2 / 2E$
- $\nu$ -matter scattering: MSW effect  $\lambda = \sqrt{2}G_F n_e$
- $\nu - \nu$  scattering :  

$$\mu \simeq \sqrt{2}G_F n_\nu^{\text{eff}}$$
collective oscillations, *no general solution*, work in progress



# Collective oscillations: $r < 1000$ Km

- Impact on r-process nucleosynthesis
- *Fast mode* ( $r < 100$  Km) : impact on shock-revival
  - Increased or de-creased neutrino heating behind shock (depending on progenitor star)



**References** (representative papers only):

Zaizen and Nagakura, *PRD* 107 (2023) 12, 123021 ; Nagakura, *PRD* 108 (2023) 10, 103014 ; Akaho, Liu, Nagakura, Zaizen and Yamada, *PRD*109, no.2, 023012 (2024)

Xiong, Wu, Abbar, Bhattacharyya, George, et al. *PRD* 108 (2023) 6, 063003

Cornelius, Shalgar and Tamborra, *JCAP*02, 038 (2024)

Grohs, Richers, Couch, Foucart, Froustey, Kneller and McLaughlin, *ApJ* 963, no.1, 11 (2024) ; Froustey, Richers, Grohs, Flynn, Foucart, Kneller McLaughlin, *PRD*109, no.4, 043046 (2024)

# Matter-driven conversion

- *adiabatic* resonant conversion
  - For Fe-core SNe, before shockwave effects
- *Unique*: H-resonance driven by  $\theta_{13}$ 
  - Requires  $\rho \sim 10^3 \text{ g cm}^{-3}$
  - $\nu_e \rightarrow \nu_3$  (normal ordering)



$p \sim \sin^2 \theta_{13} \sim 2 \cdot 10^{-2} \rightarrow \text{complete } \nu_e \text{ conversion!}$

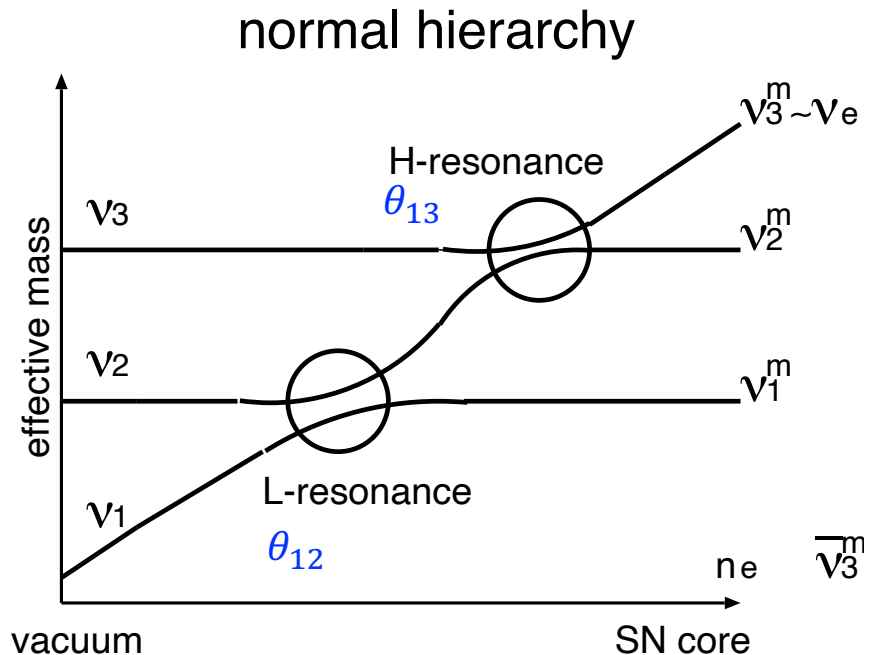


Fig. from Takahashi and Sato, Prog.Theor.Phys. 109 (2003) 919-931

# Complexities...

- Resonant interplays of collective and matter-driven effects
- Late time/large radii phenomena
  - Adiabaticity breaking at shockwave front
  - Turbulent matter density profile behind shock
  - Decoherence
- Progenitor-dependent effects
  - non-adiabatic H-resonance in ONeMg-core Sne
- Oscillations in Earth

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overview and highlights: experiments

# Real-time detectors with spectrum sensitivity

- Water Cherenkov, liquid scintillator
  - $\bar{\nu}_e + p \rightarrow n + e^+$  (main)
  - $\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$
  - $\bar{\nu}_e, \nu_e$  CC on nuclei
- Liquid Argon
  - $\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$  (main)
  - $\bar{\nu}_e + {}^{40}\text{Ar} \rightarrow e^+ + {}^{40}\text{Cl}^*$
  - $\nu_\alpha + e^- \rightarrow \nu_\alpha + e^-$
- Ice/seawater
  - $\bar{\nu}_e + p \rightarrow n + e^+$
  - \*luminosity only, background-limited

| Experiment                 | Type   | Mass [kt] | Location     | events    |
|----------------------------|--|-----------|--------------|-----------|
| <b>Super-K</b>             | H <sub>2</sub> O/ $\bar{\nu}_e$                | 32        | Japan        | 4000/4100 |
| Hyper-K                    | H <sub>2</sub> O/ $\bar{\nu}_e$                | 220       | Japan        | 28K/28K   |
| <b>IceCube</b>             | String/ $\bar{\nu}_e$                          | 2500*     | South Pole   | 320K/330K |
| <b>KM3NeT</b>              | String/ $\bar{\nu}_e$                          | 150*      | Italy/France | 17K/18K   |
| <b>LVD</b>                 | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 1         | Italy        | 190/190   |
| <b>KamLAND</b>             | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 1         | Japan        | 190/190   |
| <b>Borexino</b>            | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 0.278     | Italy        | 52/52     |
| JUNO                       | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 20        | China        | 3800/3800 |
| <b>SNO+</b>                | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 0.78      | Canada       | 150/150   |
| <b>NO<math>\nu</math>A</b> | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 14        | USA          | 1900/2000 |
| <b>Baksan</b>              | C <sub>n</sub> H <sub>2n</sub> / $\bar{\nu}_e$ | 0.24      | Russia       | 45/45     |
| <b>HALO</b>                | Lead/ $\nu_e$                                  | 0.079     | Canada       | 4/3       |
| HALO-1kT                   | Lead/ $\nu_e$                                  | 1         | Italy        | 53/47     |
| DUNE                       | Ar/ $\nu_e$                                    | 40        | USA          | 2700/2500 |
| <b>MicroBooNe</b>          | Ar/ $\nu_e$                                    | 0.09      | USA          | 6/5       |
| <b>SBND</b>                | Ar/ $\nu_e$                                    | 0.12      | USA          | 8/7       |
| DarkSide-20k               | Ar/any $\nu$                                   | 0.0386    | Italy        | -         |
| XENONnT                    | Xe/any $\nu$                                   | 0.006     | Italy        | 56        |
| LZ                         | Xe/any $\nu$                                   | 0.007     | USA          | 65        |
| PandaX-4T                  | Xe/any $\nu$                                   | 0.004     | China        | 37        |

Table: Al Kharusi et al., New J. Phys. 23 031201 (2021)  
D=10 kpc. **bold** : operating detectors as of 2021

# What can we learn?

- Flavor composition, spectra, luminosities
- Time evolution of near-core physics
  - Accretion, cooling, etc.
- Tests of physics Beyond the Standard Model

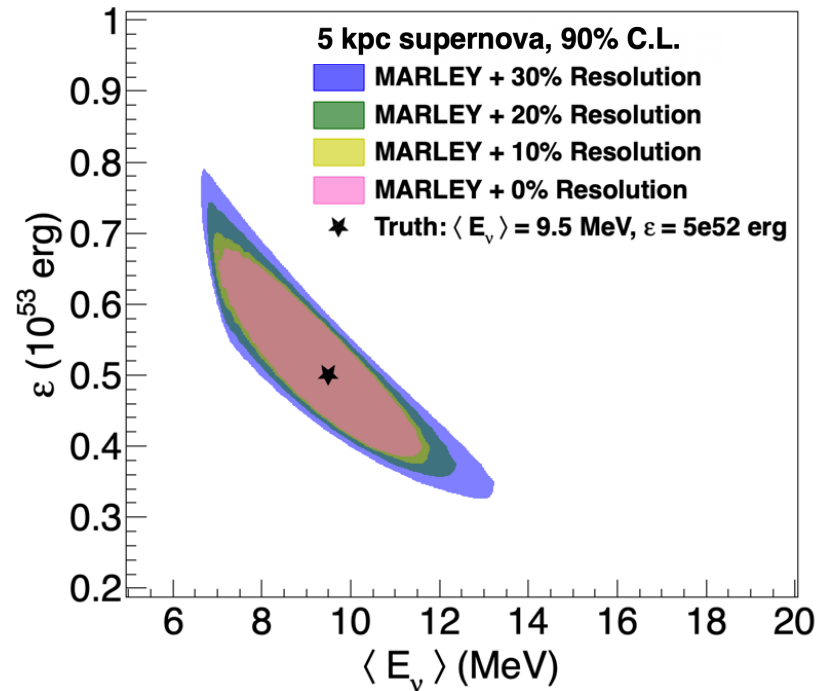


Fig: DUNE collab. *Eur.Phys.J.C* 81 (2021) 5, 423



# Future detectors: complementarity

- DUNE (LAr)
  - *Sensitivity to  $\nu_e$*  :  $\nu_e$  from electron capture,  $\theta_{13}$  -driven resonance ( $\nu_e$  disappearance)

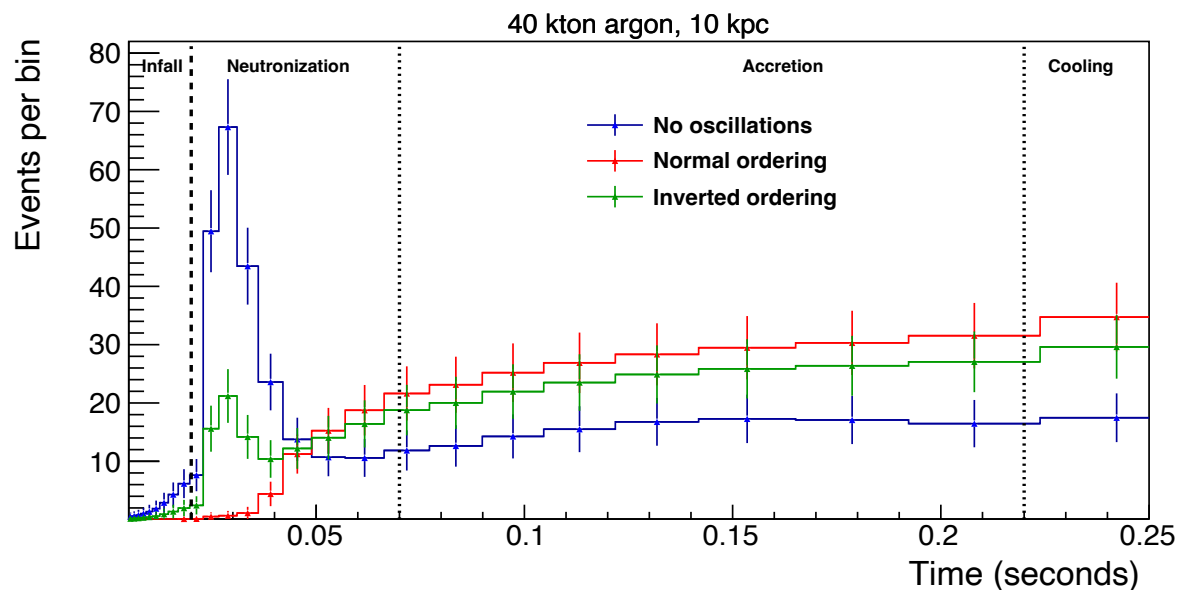


Fig: DUNE collab. *Eur.Phys.J.C* 81 (2021) 5, 423

- HyperKamiokande (Water Cherenkov)
  - *Largest mass ( $M \sim 220$  kt)  $\rightarrow$  extend sensitivity distance to Mpc scale; reach M31 galaxy*

**Poster:** F.  
Nakanishi

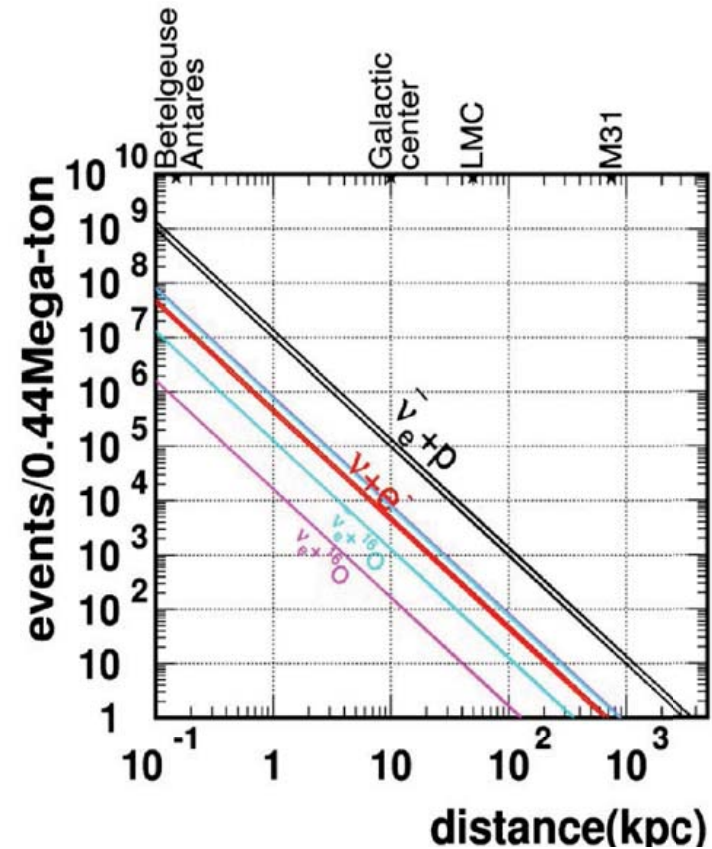


Fig. from H. Sekiya, 2017 *J. Phys.: Conf. Ser.* **888** 012041

**Poster: K. Saito**  
(Kamland + SuperK)

- JUNO (Liquid scintillator)

- *Low energy threshold*  $\rightarrow$  pre-SN neutrinos ( $\sim 1$  day before collapse), late time spectra, etc.
- *high energy resolution*  $\rightarrow$  spectral features due to oscillations, BSM, ...

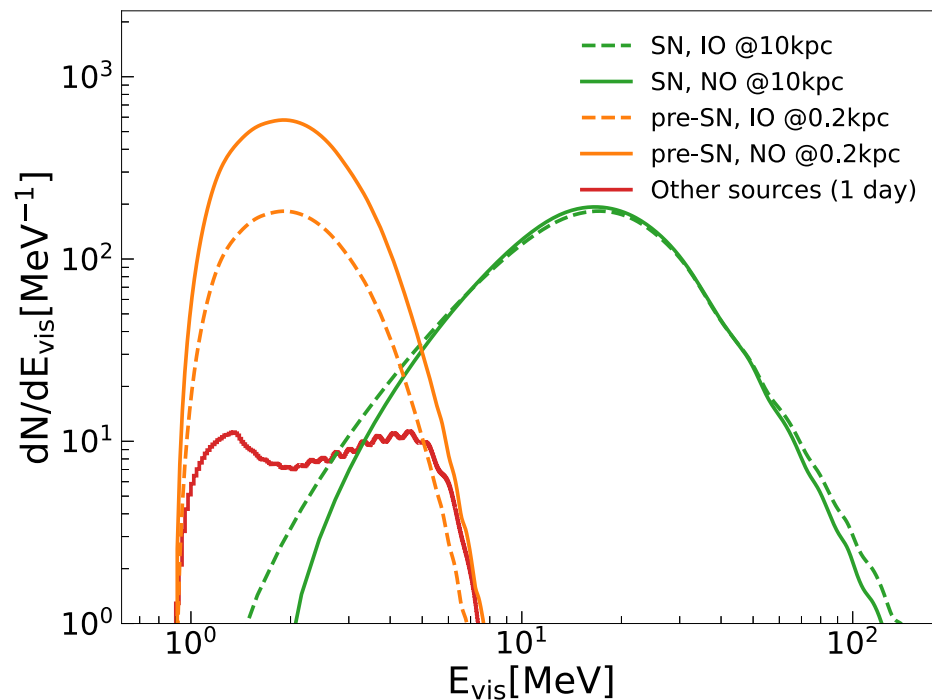


Fig: JUNO coll., JCAP 01 (2024) 057

# Other concepts

- Km<sup>3</sup> detectors: IceCube, Km3NeT
- Dark Matter detectors
  - coherent scattering, sensitive to non-electron flavors
- New Liquid Scintillator technologies
  - Water-based, LiquidO, ...
- Paleo detectors

**poster:** Isabel  
A. Goos

**poster:** R.J.  
Mota Peres

**poster:** D.  
Ramírez García

**poster:** M.  
Hughes

**poster:** L.  
Pattavina

**poster:** L.  
Apollonio

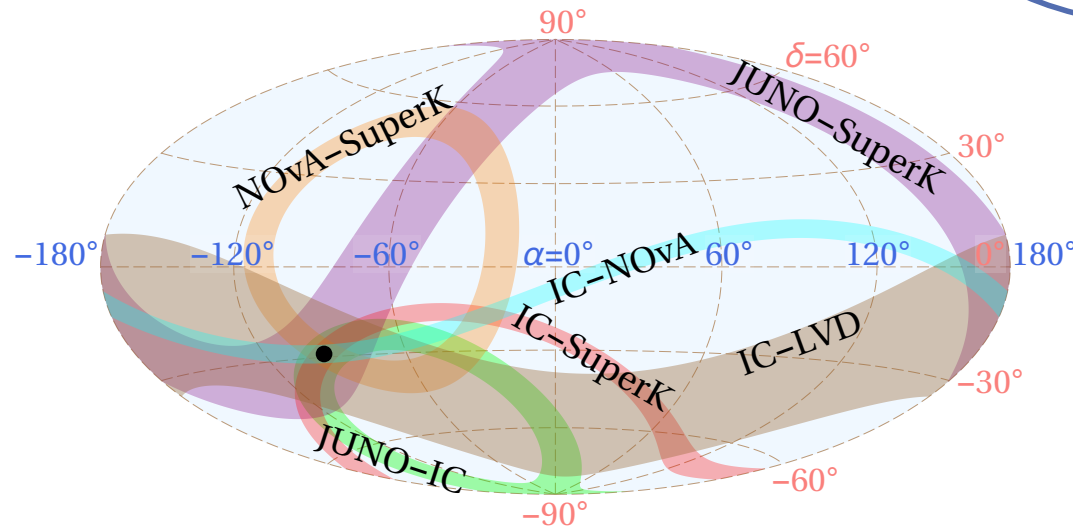
# Multi-detector/multimessenger coordination

- **S**upernova **E**arly **W**arning **S**ystem

- Timing and localization
- Engage broader community
- open-source software

Poster: A.  
Habig

Poster: A.  
Molinario (LVD)



Al Kharusi et al., New J. Phys. 23 031201 (2021) ; SNEWPY at <https://github.com/SNEWS2/snewpy>

Fig. from Brdar, Lindner, Xu, JCAP 1804 (2018) 025

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Synergies, new directions

# Synergy with gravitational waves (GW)

- GW from near-core dynamics,  $f = O(100) \text{ Hz}$
- likely to be observed at LIGO-Virgo-KAGRA for galactic SN

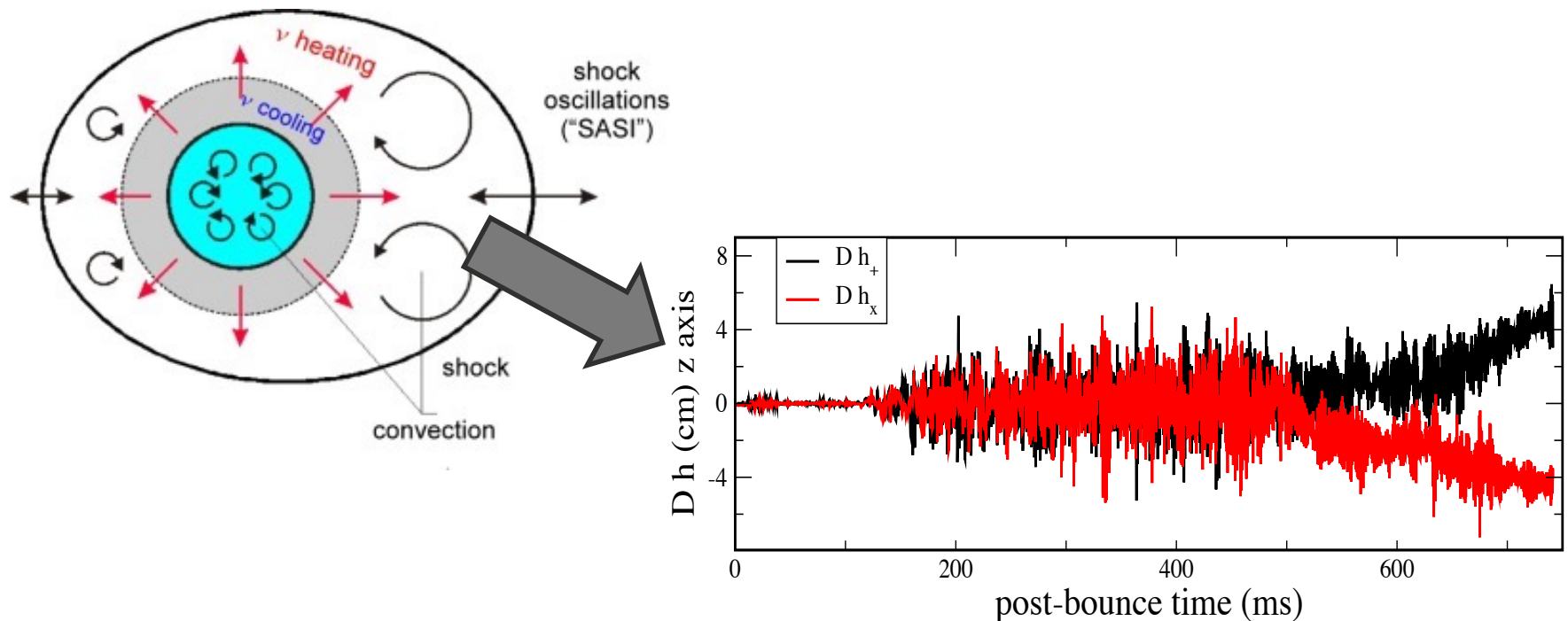
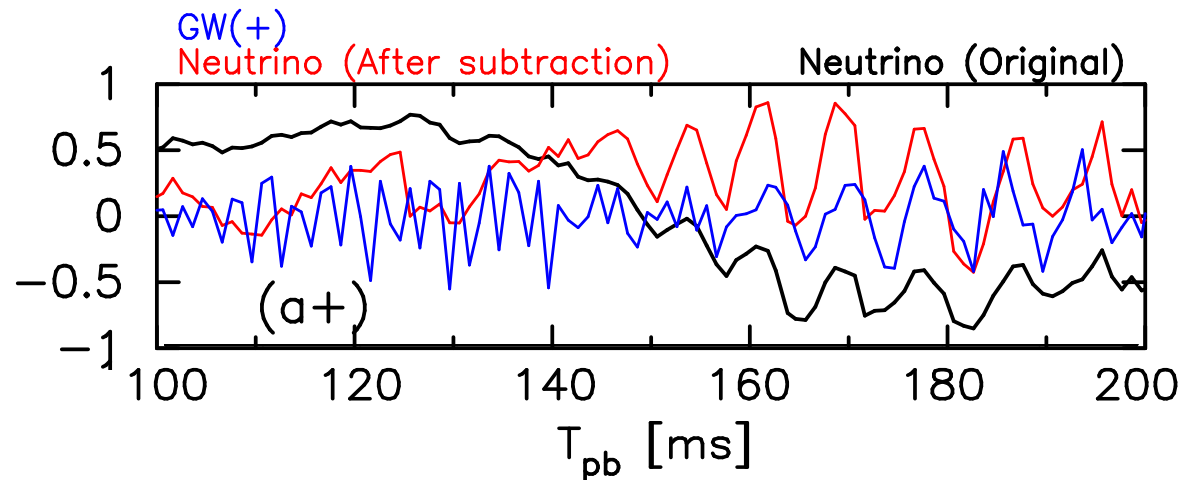


Fig. from Mezzacappa et al., (2023), PRD 107 (4), 043008

# GW + neutrinos: enhanced potential

- Improve alert: timing, localization
- test near-core physics: SASI, neutron star cooling, ...



Kuroda, Kotake, Hayama and Takami, *ApJ*, 851:62, 2017 (fig. credit)

Lin, Rijal, Lunardini, Morales and Zanolin, *PRD* 107 (2023) 8, 083017

Drago, Andresen, Di Palma, Tamborra and Torres-Forne', *PRD* 108, 10, 103036 (2023)

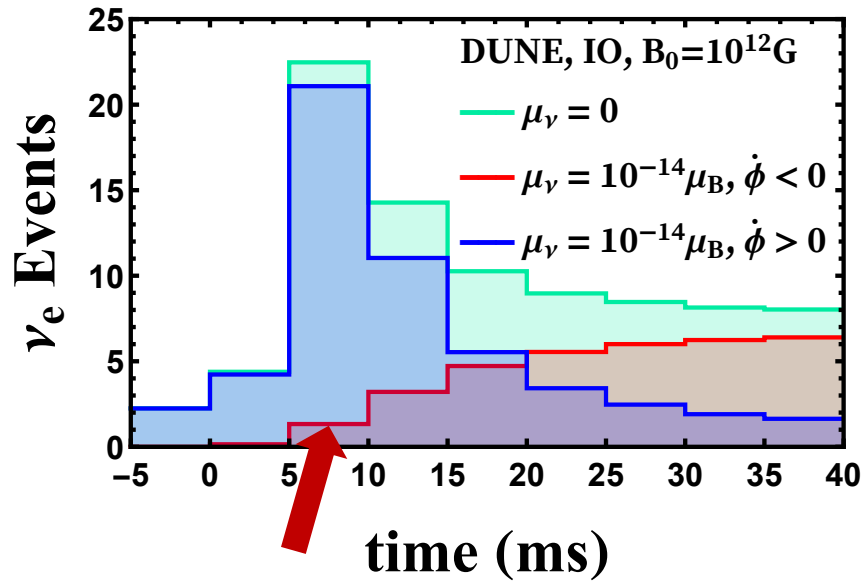


# Testing for new physics: what if....

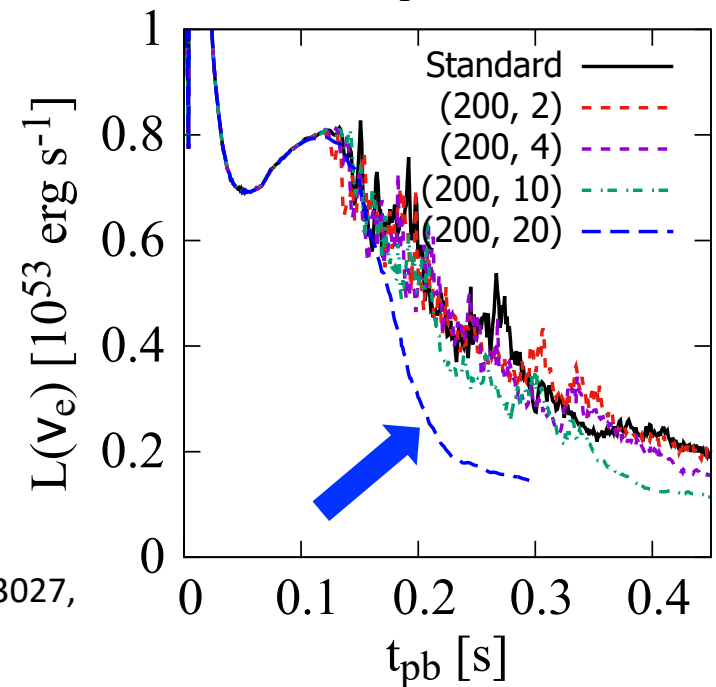
- Suppressed neutrino emission?
  - Extra cooling due light particles: sterile neutrinos, axion-like particles, ...
- Anomalous flavor composition at Earth?
  - Neutrino decay, e.g.,  $\nu_3 \rightarrow \nu_1$
  - Oscillations due to non-standard interactions
- Spectral distortions?
  - Exotic absorption channels (scattering on Dark Matter)
  - Oscillations due to non-standard interactions
- Anomalous time delays?
  - Lorentz-violation, ...

- Magnetic Moment of Dirac neutrinos + “twisting” magnetic field

Jana and Porto, PRL 132 (2024) 10, 101005



- With axion-like particles in simulation



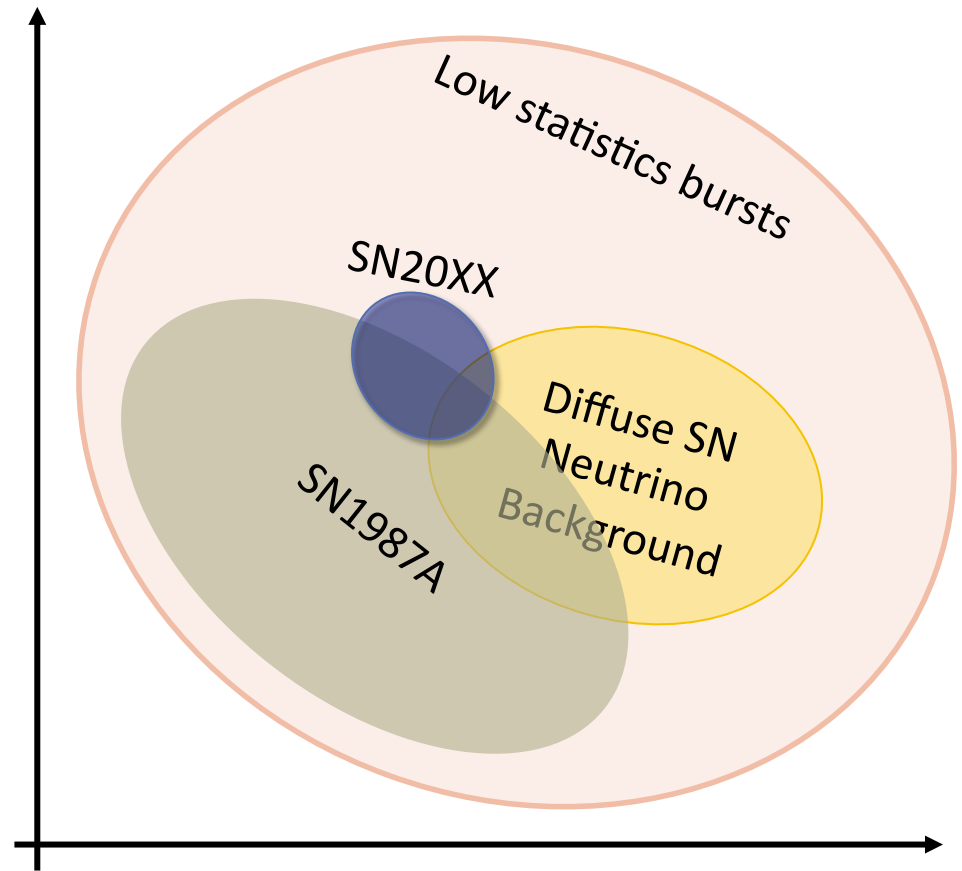
Mori, Takiwaki, Kotake, Horiuchi, PRD 108 (2023) 6, 063027,  
 Betranhandy and E. O'Connor, PRD 106, 063019 (2022)

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## Discussion

# From one to many: toward a population study

- The future: global analysis of multiple data sets
  - Test stellar *population*
  - Disentangle stellar physics from neutrino/particle physics



# Questions for future study

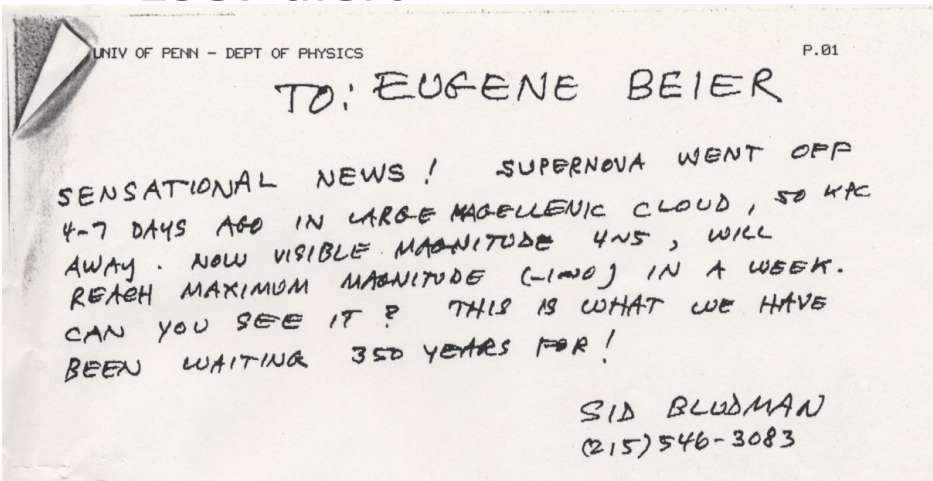
- Are we prepared for the next galactic supernova?
  - Will decision-making be fast enough?
  - What if it's very close to Earth (Betelgeuse, etc.)?
  - Public impact of early warning?
- Numerical simulations: neutrino-focused developments
  - What's the next most important improvement, and how long will it take?

- What near-core quantities can be measured with neutrinos + GW + astro?
  - Properties of core's nuclear matter (Equation of State, etc.)
  - Existence and features of hydrodynamic phenomena in the accretion phase (SASI, etc.)
  - Shockwave propagation parameters
- How well can we test flavor conversion?
  - Can we measure conversion probabilities?
  - use time evolution to disentangle neutrino-driven oscillations from matter effects?

# Thank you!

1987 alert

20xx alert



<https://www-sk.icrr.u-tokyo.ac.jp/en/news/detail/324>

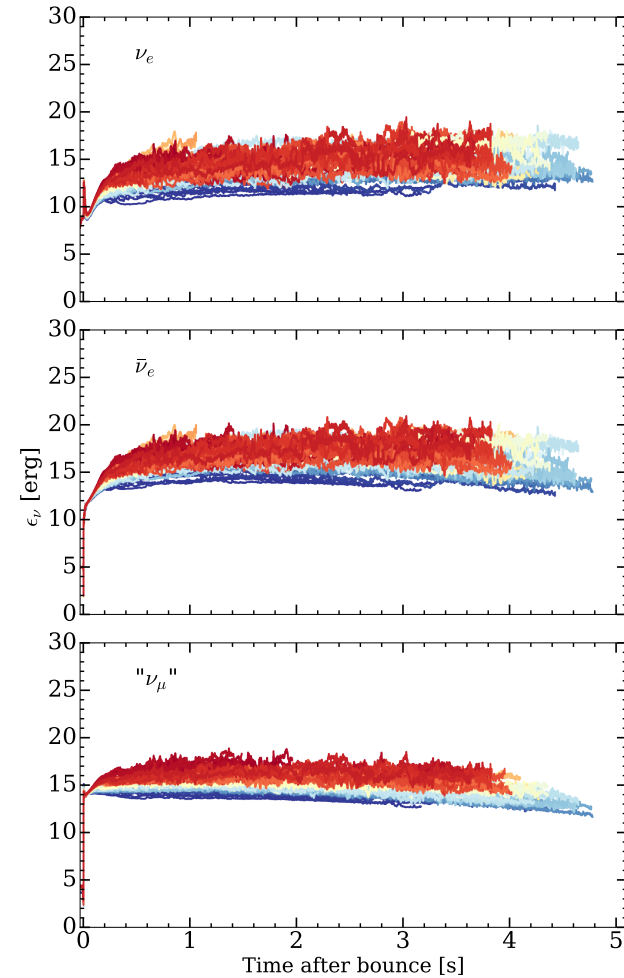
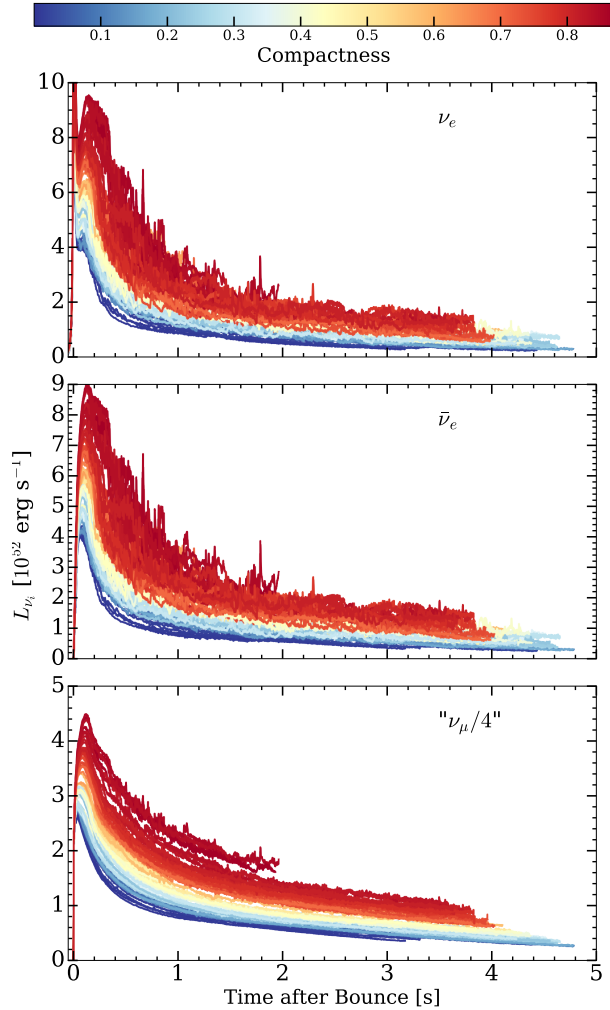


Courtesy of Jost Migenda,  
SNEWS 2.0 coll.

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BACKUP





FORNAX 2D multi-second simulations,  $M=9 - 27 M_{\text{sun}}$

D. Vartanyan, A. Burrows, MNRAS 526 (4) (2023) 5900–5910 ; data available at <https://dvardany.github.io/data/>

## Flavor conversion: Hamiltonian

$$F_e = p F_e^0 + (1 - p) F_x^0$$

$$H_E = H_E^{\text{vac}} + H_E^{\text{m}} + H_E^{\nu\nu}$$

$$H_E^{\text{vac}} = U \text{diag} \left( -\frac{\omega_{21}}{2}, +\frac{\omega_{21}}{2}, \omega_{31} \right) U^\dagger ,$$

$$H^{\text{m}} = \sqrt{2} G_F \text{diag}(N_e, 0, 0)$$

$$H_E^{\nu\nu} = \sqrt{2} G_F \int dE' (\rho_{E'} - \bar{\rho}_{E'}) (1 - \cos \theta)$$

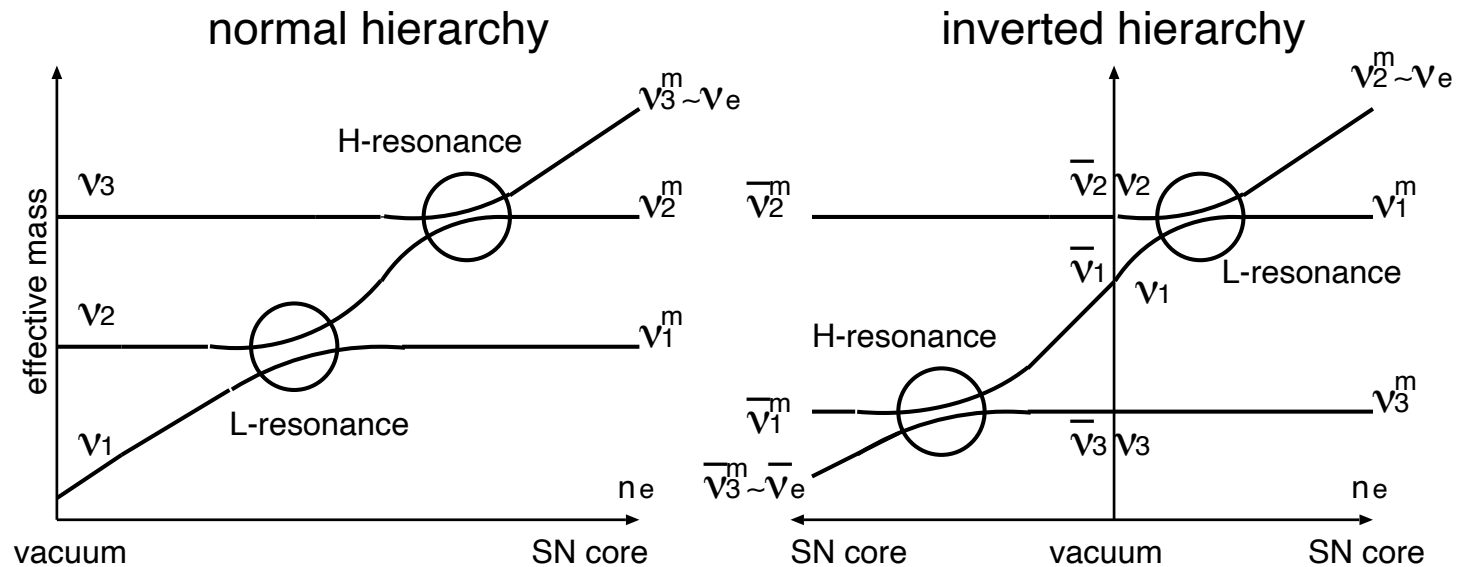
$\theta$  = angle between incident momenta

vacuum

$\nu$ -matter scattering: MSW effect

$\nu - \nu$  scattering :  
collective oscillations,  
*no general solution*

# Matter-driven conversion and mass ordering



# Complexities...

Table 4.1: Known types of neutrino flavor oscillations that can occur in CCSNe and in BNSMs (first column). The second column labels whether a given type is of collective nature or not. The third to sixth columns denotes whether they affect the physical processes and/or nucleosynthesis outcome. The symbols  $\checkmark$ ,  $\times$ , and  $?$  stand for “yes”, “no”, and “not explored yet” respectively.

| Type                      | Collective?  | SN explosion | SN $\nu$ wind nucleosynthesis | $\nu$ process | BNSM $r$ -process |
|---------------------------|--------------|--------------|-------------------------------|---------------|-------------------|
| Slow mode                 | $\checkmark$ | $\times$     | maybe                         | $\checkmark$  | $\times$          |
| Fast mode                 | $\checkmark$ | $\checkmark$ | $\checkmark$                  | $\checkmark$  | $\checkmark$      |
| Synchronized MSW          | $\checkmark$ | $\times$     | $\times$                      | $\times$      | $\times$          |
| Matter neutrino resonance | $\checkmark$ | $\times$     | $\times$                      | $\times$      | maybe             |
| Collisional induced       | $\checkmark$ | $?$          | $?$                           | $?$           | likely            |
| MSW transformation        | $\times$     | $\times$     | $\times$                      | $\checkmark$  | $\times$          |
| Parametric resonance      | $\times$     | $\times$     | $\times$                      | $?$           | $\times$          |

# DUNE sensitivity to SN neutrinos

- **DUNE Far Detector** employs liquid argon TPC (LArTPC) technology that allows excellent 3D imaging with few mm resolution, excellent energy measurement, and particle identification.
  - Placed 1,5 km deep underground at **SURF** (Lead, SD).
  - **4 x 17 kton modules** in phased approach for DUNE FD:
    - Phase I: FD-1 horizontal drift LArTPC, FD-2 vertical drift LArTPC.
    - Phase II: FD-3 & FD-4 with possible enhanced low energy physics capabilities.
- **Measurement of core-collapse SN  $\nu$ 's** in DUNE will provide information about:
  - **Supernova physics:** Core collapse mechanism, SN evolution in time, black hole formation.
  - **Neutrino physics:**  $\nu$  flavor transformation,  $\nu$  absolute mass, other  $\nu$  properties.
- **Diffuse background supernova  $\nu$ 's** are also potentially detectable.
- DUNE will have **burst pointing** resolution (  $\sim 5$  deg) and participate in SNEWs.

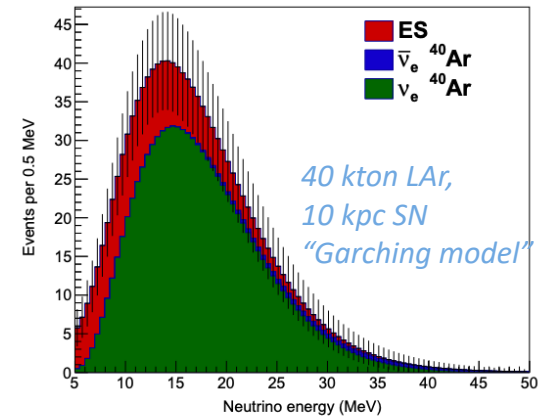
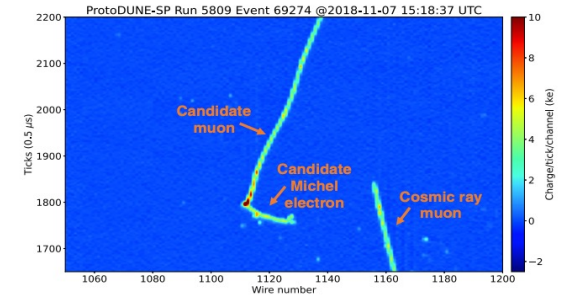
*$\nu$  events for different SN models in 40 kton LAr & 10 kpc SN*

| Channel   | Liver-more | GKVM | Garching |
|---|------------|------|----------|
| $\nu_e + {}^{40}\text{Ar} \rightarrow e^- + {}^{40}\text{K}^*$        | 2648       | 3295 | 882      |
| $\bar{\nu}_e + {}^{40}\text{Ar} \rightarrow e^+ + {}^{40}\text{Cl}^*$ | 224        | 155  | 23       |
| $\nu_X + e^- \rightarrow \nu_X + e^-$                                 | 341        | 206  | 142      |
| Total   | 3213       | 3656 | 1047     |

Main interactions: CC ( $\nu_e$ ) ES ( $\nu_x$ ).

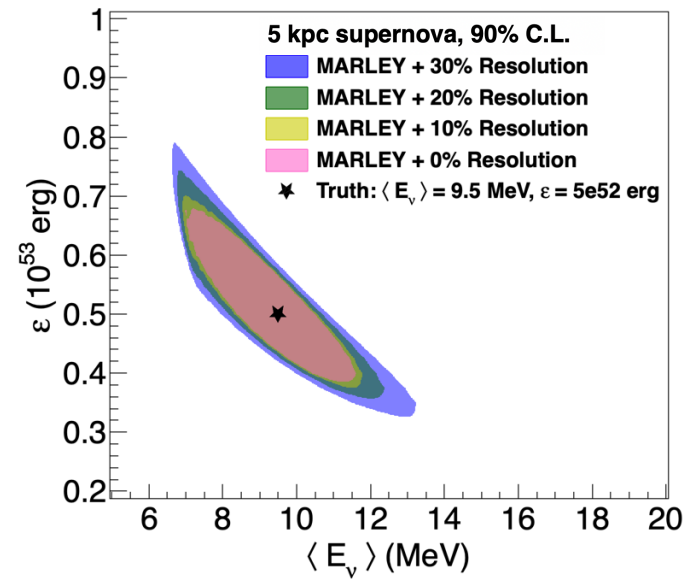
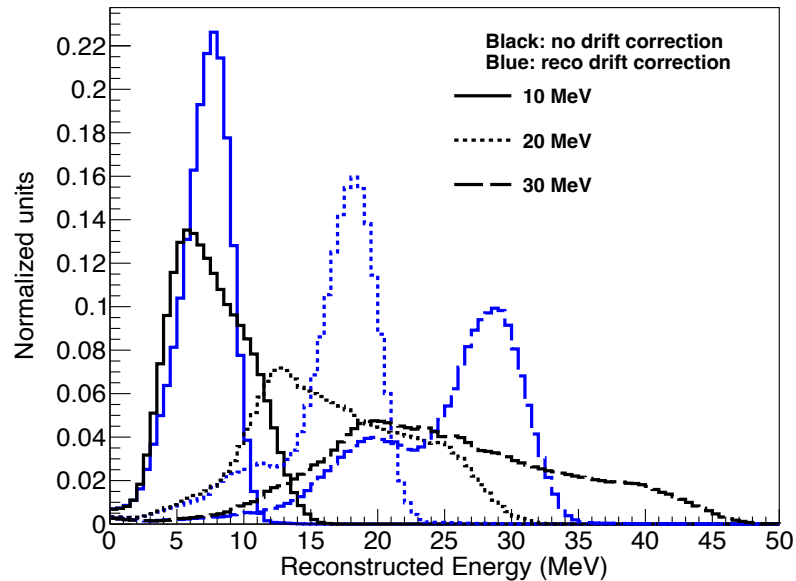
**$\nu_e$  flavor dominates**  $\rightarrow$  LAr only future prospect for a large, cleanly tagged SN  $\nu_e$  sample, which dominates in neutronization phase.

*Michel e- in ProtoDUNE-SP data*



courtesy of D. Pershey and C. Cuesta on behalf of the DUNE collaboration

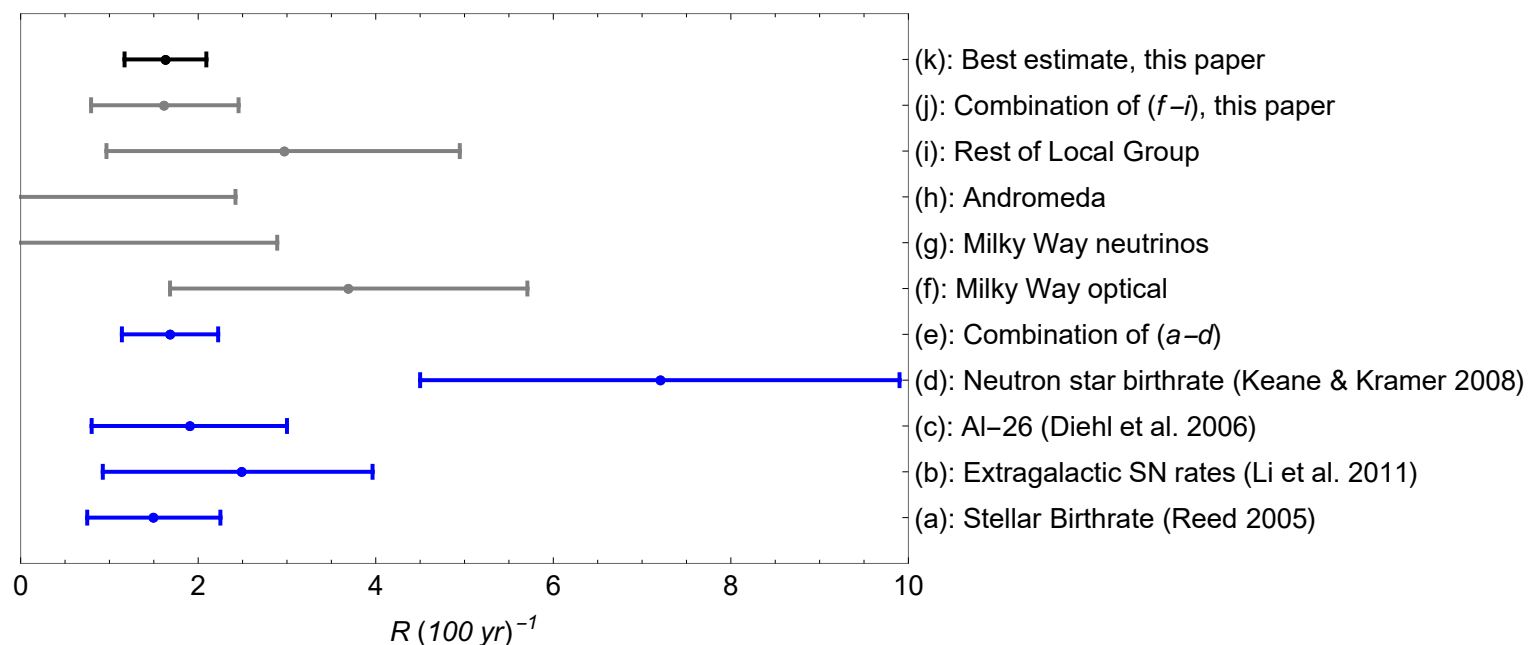
- Energy resolution:  $\sim 10\text{-}20\%$ 
  - MARLEY



# Supernova burst searches

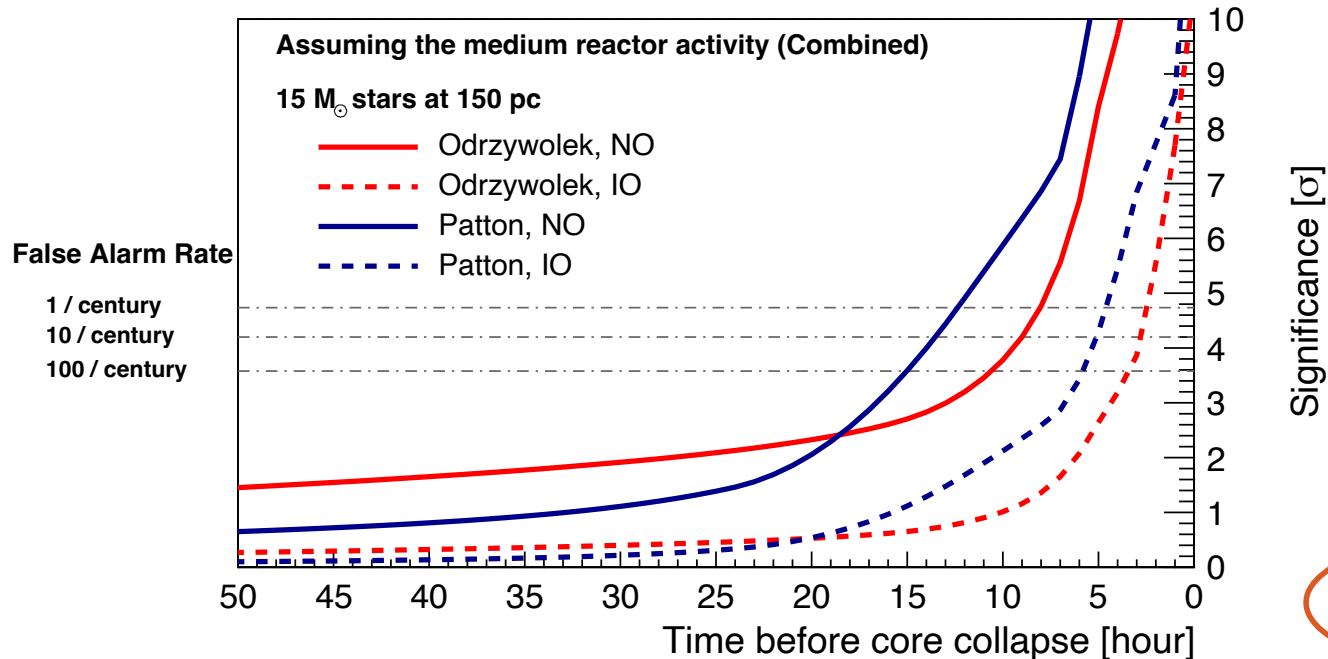
- SuperK IV archival search :  $\text{SNR}(D < 100 \text{ kpc}) < 0.29 \text{ yr}^{-1}$

M. Mori et al. (SuperK coll.) *Astrophys.J.* 938 (2022) 1, 35



# Preparedness: pre-SN neutrinos sensitivity

- Alert  $\sim 12$  hours pre-collapse, for 15 Msun star at  $D=150$  pc (e.g., Betelgeuse)
- SuperK-Gd at 0.033% Gd concentration



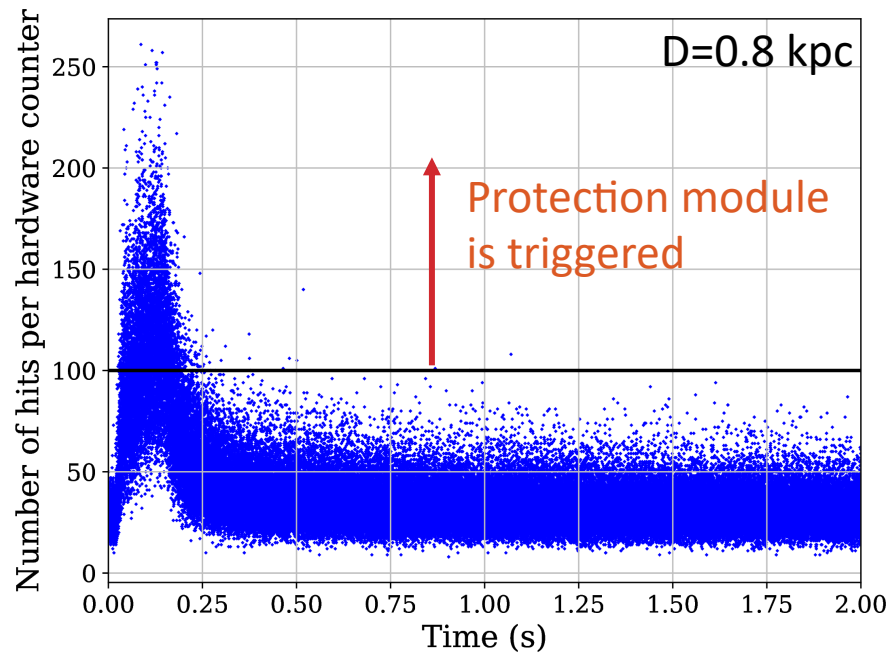
Poster: K.  
Saito

SuperK + KAMLAND, Abe et al., arxiv:2404.09920 ; see also  
Machado et al., Astrophys. J., 935, 40

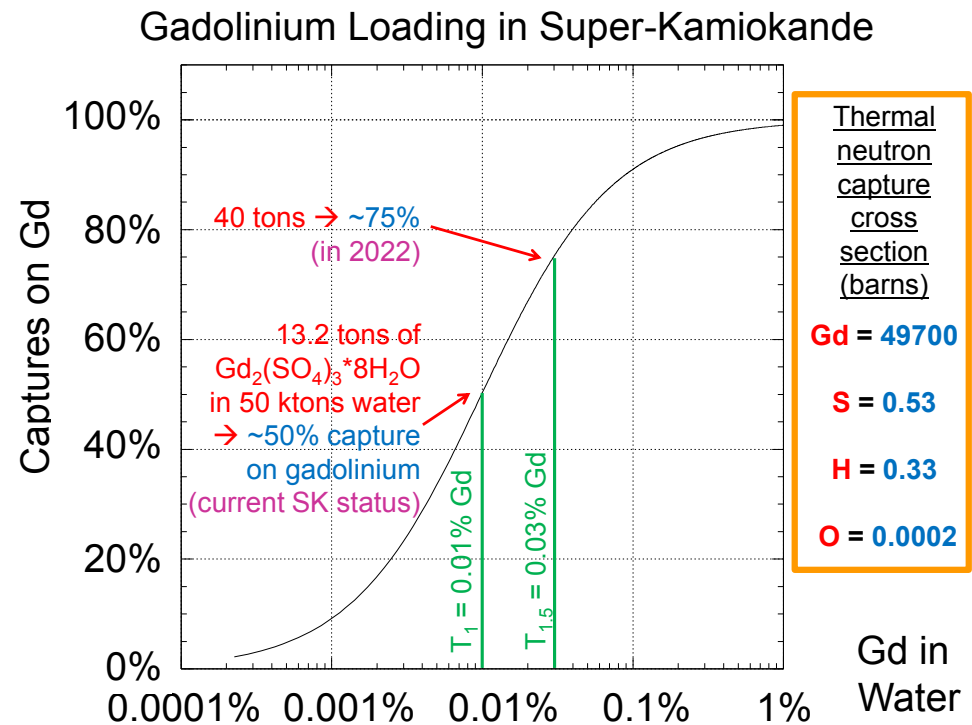


# Preparedness: near-Earth supernova

- Danger of Data Acquisition System overload!
  - New SuperK pretection module with veto

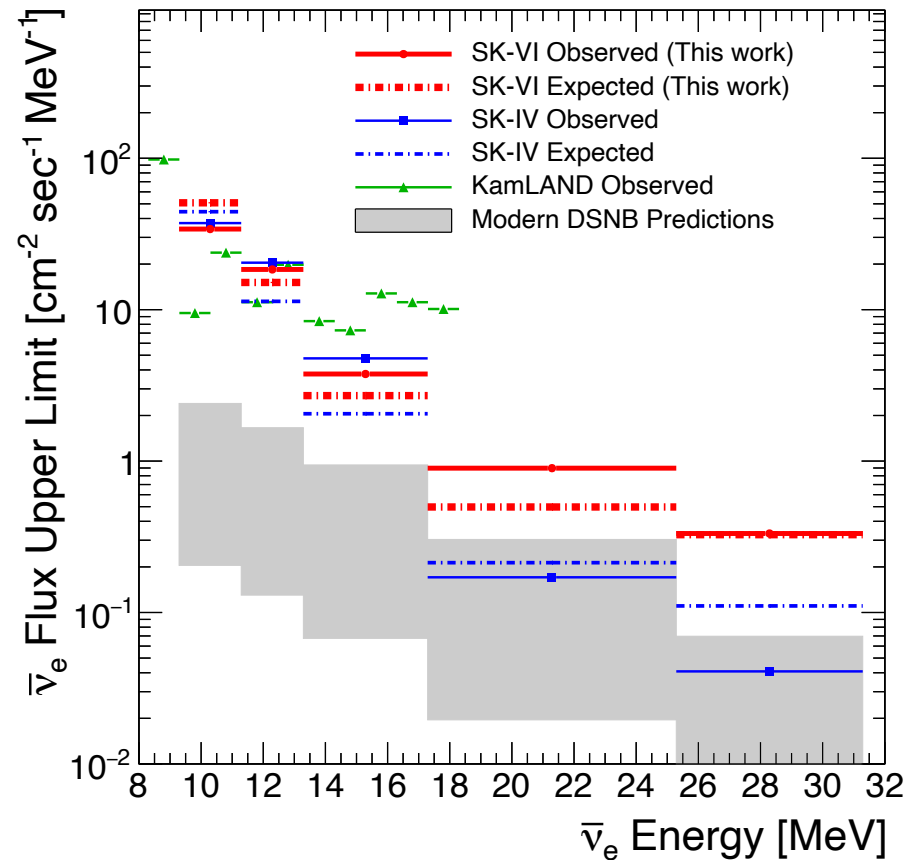


# Super-Gd loading progress



# DSNB - limits

- SuperK-Gd, 0.01% Gd (capture efficiency 50%)
  - Increased to 0.033% in 2024 (eff. 75%)
  - target is 0.1% (eff. 90%)



# Machine Learning and DSNB

- Use Convolutional Neural Network for NC background reduction at SuperK-Gd
  - $O(10^2)$  abatement
  - Maintain 96% signal efficiency

